

## $q$ -SUBHARMONICITY AND $q$ -CONVEX DOMAINS IN $\mathbb{C}^n$

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**ABSTRACT.** In this paper we study  $q$ -subharmonic and  $q$ -plurisubharmonic functions in  $\mathbb{C}^n$ . Next as an application, we give the notion of  $q$ -convex domains in  $\mathbb{C}^n$  which is an extension of weakly  $q$ -convex domains introduced and investigated in [10]. In the end of the paper we show that the  $q$ -convexity is the local property and give some examples about  $q$ -convex domains.

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### 1. Introduction

The notion about  $q$ -plurisubharmonic functions in the case of functions of  $C^2$ -class has been introduced and investigated first by Andreotti and Grauert in [2]. After that, Hunt and Murray gave a natural extension of this notion to the class of upper semi-continuous functions (see [13]). Next, in [10] Ho has introduced the class of  $q$ -subharmonic functions and weakly  $q$ -convex domains in  $\mathbb{C}^n$  and proved that the equation  $\bar{\partial}u = g$  has solutions  $u$  for every  $\bar{\partial}$ -closed form  $g$  of bidegree  $(0, r)$  ( $r \leq q$ ) on these domains. Recently, H. Ahn and N. Q. Dieu have proved a version of Donnelly-Fefferman theorem for the  $\bar{\partial}$ -equation on  $q$ -convex domains (see [1]).

In this note we continue to study the two classes of  $q$ -subharmonic and  $q$ -plurisubharmonic functions and domains in  $\mathbb{C}^n$  defined by these functions. In Section 2 we recall the definitions of  $q$ -subharmonic and  $q$ -plurisubharmonic

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functions. We establish some basic properties of the two classes of these functions and give some relations between them. In Section 3 we introduce the notion of  $q$ -convex domains in  $\mathbb{C}^n$ . It is an extension of the weakly  $q$ -convex domains introduced and investigated by L.-H. Ho in [10]. The main result in this section is to prove that the  $q$ -convexity is the local property (see Theorem 3.3). We remark that the implication from local  $q$ -convexity to  $q$ -convexity is more difficult than the classical proofs for pseudoconvexity and hyperconvexity. More precisely, in the pseudoconvex case, the idea is to use plurisubharmonicity of  $-\log d_\Omega(z)$ . However, we show that, for general,  $q$ -convex domains,  $-\log d_\Omega$  may not be  $q$ -subharmonic. On the other hand, for hyperconvexity, we use the upper boundedness of local functions in the patching processing (see [12]). This fact is again not available in our context. Therefore, it is necessary to introduce new techniques in the proof for the  $q$ -convex case. An another remarkable result is to establish the  $q$ -convexity of Hartogs domains which we present in the end of the paper.

## 2. $q$ -subharmonic and $q$ -plurisubharmonic functions in $\mathbb{C}^n$

First we recall the following definition of  $q$ -subharmonic functions which has been introduced by H. Ahn and N. Q. Dieu in [1] (also see [10]).

**DEFINITION 2.1.** Let  $\Omega$  be an open set in  $\mathbb{C}^n$  and let  $1 \leq q \leq n$ . A semi-continuous function  $u$  defined in  $\Omega$  is called a  $q$ -subharmonic function if for every  $q$ -dimension space  $L$  in  $\mathbb{C}^n$ ,  $u|_L$  is a subharmonic function on  $L \cap \Omega$ . This means that for every compact subset  $K \Subset L \cap \Omega$  and every continuous harmonic function  $h$  on  $K$  such that  $u \leq h$  on  $\partial K$  then  $u \leq h$  on  $K$ .

The set of all  $q$ -subharmonic functions in  $\Omega$  is denoted by  $SH_q(\Omega)$ .

Compared with subharmonic and plurisubharmonic functions in potential and pluripotential theory it is easy to see that 1-subharmonic functions are plurisubharmonic and  $n$ -subharmonic functions are subharmonic.

Next we recall the definition of  $q$ -plurisubharmonic functions given by Hunt and Murray in [13] (also see [6]).

**DEFINITION 2.2.** Let  $\Omega$  be an open set in  $\mathbb{C}^n$  and  $u: \Omega \rightarrow [-\infty, +\infty)$  be an upper semi-continuous function. Let  $q$  be an integer,  $0 \leq q \leq n-1$ .  $u$  is said to be a  $q$ -plurisubharmonic function on  $\Omega$  if for every complex linear subspace

$L$  of dimension  $q + 1$  intersecting  $\Omega$ , for every closed ball  $\bar{\mathbb{B}}$  in  $L$  and for every smooth plurisuperharmonic function  $g$  defined in a neighborhood of  $\bar{\mathbb{B}}$  in  $L$  satisfying  $u \leq g$  on  $\partial\mathbb{B}$  it follows that  $u \leq g$  on  $\bar{\mathbb{B}}$ . Here a function  $g$  is said to be plurisuperharmonic if  $-g$  is a plurisubharmonic function. The set of all  $q$ -plurisubharmonic functions in  $\Omega$  is denoted by  $PSH_q(\Omega)$ .

The following basic properties of  $q$ -subharmonic functions can be proved in the same way as for subharmonic functions.

**PROPOSITION 2.3.** *Let  $\Omega$  be an open set in  $\mathbb{C}^n$  and  $1 \leq q \leq n$ . Then the following hold:*

- 1)  $SH_q(\Omega)$  is a convex cone.
- 2) If  $\{u_\alpha\}$ ,  $\alpha \in A$  is a family of  $q$ -subharmonic functions and  $u = \sup_{\alpha \in A} u_\alpha < +\infty$ ,  $u$  is upper semi-continuous then  $u$  is a  $q$ -subharmonic function.
- 3) If  $\{u_j\}_{j=1}^\infty$  is a decreasing sequence of  $q$ -subharmonic functions then so is  $u = \lim_{j \rightarrow +\infty} u_j$ .
- 4) If  $u$  is a  $q$ -subharmonic function in  $\Omega$  then  $u_\varepsilon := u * \varrho_\varepsilon$  is smooth  $q$ -subharmonic in  $\Omega_\varepsilon$ , where  $\Omega_\varepsilon = \{z \in \Omega : d(z, \partial\Omega) > \varepsilon\}$  and  $\varrho_\varepsilon = \varrho(z/\varepsilon)/|\varepsilon|^{2n}$ ,  $\varrho$  is a non-negative smooth radial function in  $\mathbb{C}^n$  vanishing outside the unit ball and satisfying  $\int_{\mathbb{C}^n} \varrho dV_n = 1$ . Moreover,  $u * \varrho_\varepsilon$  is decreasing to  $u$  when  $\varepsilon \downarrow 0$ .
- 5) If  $\chi$  is a convex increasing function in  $\mathbb{R}$  and  $u$  is  $q$ -subharmonic in  $\Omega$ , then so is  $\chi \circ u$ .

**Proof.** In fact, the proof of this proposition are from properties of subharmonic functions. However, for convenience to readers we provide a bit more details. The proof of 4) and 5) is exact as in [1: Proposition 1.2]. Now, we give the proof of 1). Assume that  $u, v \in SH_q(\Omega)$ ,  $\alpha, \beta \geq 0$ . For every  $q$ -dimension space  $L$  in  $\mathbb{C}^n$ , since  $u|_L, v|_L$  are subharmonic functions on  $L \cap \Omega$  and  $SH(L \cap \Omega)$  is a convex cone so it follows that  $(\alpha u + \beta v)|_L = \alpha u|_L + \beta v|_L$  is a subharmonic function on  $L \cap \Omega$ . Hence,  $\alpha u + \beta v \in SH_q(\Omega)$  and 1) follows. Similarly, it is easy to see that 2) and 3) hold because these properties are true for subharmonic functions.  $\square$

Now we give the following.

**THEOREM 2.4.** *Let  $u$  be a upper-semicontinuous function on  $\Omega \subset \mathbb{C}^n$  and  $u \in L^1(\Omega, \text{loc})$ , where  $L^1(\Omega, \text{loc})$  denotes the set of locally integrable functions on  $\Omega$ . Then the following statements are equivalent.*

- 1)  $u$  is a  $q$ -subharmonic function in  $\Omega$ .
- 2) For every subharmonic function  $g$  on  $\Omega \cap L$ , where  $L$  is a  $q$ -dimension subspace of  $\mathbb{C}^n$  and for every ball  $\mathbb{B}$  in  $\mathbb{C}^n$  such that  $u + g \leq 0$  on  $\partial\mathbb{B} \cap L$  it follows that  $u + g \leq 0$  on  $\mathbb{B} \cap L$ .
- 3)  $i\partial\bar{\partial}u \wedge \omega^{q-1} \geq 0$  in the sense of currents, where  $\omega := i\partial\bar{\partial}|z|^2$ .
- 4) For each  $(0, q)$ -form  $f = \sum_{|J|=q} ' f_{jJ} d\bar{z}_J$  with constant coefficients and every non-negative test function  $\varphi \in \mathcal{C}_0^\infty(\Omega)$  the inequality holds

$$\sum_{|K|=q-1} ' \sum_{j,k=1}^n \int_{\Omega} u f_{jK} \overline{f_{kK}} \frac{\partial^2 \varphi}{\partial z_j \partial \bar{z}_k} \geq 0.$$

Proof.

1)  $\implies$  2) We assume that  $g$  is as in the statement of the theorem. From Definition 2.1 it follows that  $u + g$  is a subharmonic function on  $\Omega \cap L$ . Hence, the maximum principle for subharmonic functions gives 2). By the hypothesis 2) and Definition 2.1 we infer that 2)  $\implies$  1).

Now, we prove 1)  $\iff$  3). First, we assume that  $u \in C^2(\Omega)$ . Let  $u \in SH_q(\Omega)$  and  $z_0 \in \Omega$ . By [4, chapter IX] we can choose a system of coordinates  $(z_1, \dots, z_n)$  of  $\mathbb{C}^n$  such that the Hessian  $\left( \frac{\partial^2 u}{\partial z_j \partial \bar{z}_k}(z_0) \right)$  is diagonal. Assume that  $H \subset \mathbb{C}^n$  is a  $q$ -dimension subspace of  $\mathbb{C}^n$  with  $z_0 \in H$ . Then by the hypothesis  $u|_{\Omega \cap H}$  is subharmonic on  $\Omega \cap H$  then  $\sum_{k \in K} \frac{\partial^2 u}{\partial z_k \partial \bar{z}_k}(z_0) \geq 0$  for all  $K = \{k_1, k_2, \dots, k_q\} \subset \{1, 2, \dots, n\}$ . It follows that  $i\partial\bar{\partial}u(z_0) \wedge \omega^{q-1} \geq 0$ . Hence,  $i\partial\bar{\partial}u(z) \wedge \omega^{q-1} \geq 0$  for all  $z \in \Omega$ . Conversely, assume that  $i\partial\bar{\partial}u(z) \wedge \omega^{q-1} \geq 0$  for all  $z \in \Omega$ . Let  $L$  be a  $q$ -dimension space of  $\mathbb{C}^n$ . Since  $i\partial\bar{\partial}u(z) \wedge \omega^{q-1} \geq 0$ ,  $z \in \Omega$  it follows that  $u \in SH(\Omega \cap L)$ . Hence,  $u \in SH_q(\Omega)$ . Thus (1)  $\iff$  (3) holds in the case  $u \in C^2(\Omega)$ . Let  $u$  be as in the statement of the theorem. By putting  $u_\varepsilon = u * \varrho_\varepsilon$  and applying the above results to  $u_\varepsilon$  we obtain the desired conclusion.

Finally, we prove 1)  $\iff$  4). By Ho (see [10]), it is easy to see that this fact is true if  $u \in C^2(\Omega)$ . In the case  $u$  is arbitrary we note that the assertion is true for  $u_\varepsilon$ . Let  $\varepsilon \searrow 0$  we obtain the assertion for  $u$  and the proof of the theorem is complete.  $\square$

Next we obtain the following interest result which is an extension of a result of Ho (see [10: Theorem 2.4]).

**PROPOSITION 2.5.** *Let  $\Omega$  be an open set in  $\mathbb{C}^n$ . Assume that  $\rho$  is a smooth negative function in  $\Omega$  such that*

$$\sup_{z \in \Omega} \left| \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k}(z) \right| \leq 1$$

*for every  $1 \leq j, k \leq n$ . Then there exists a constant  $C_1 > 0$  only depending on  $q, n$  such that  $-\log(-\rho) + C_1|z|^2 \in SH_q(\Omega)$  if and only if there exists a constant  $C_2 > 0$  only depending on  $q, n$  such that*

$$\sum_{|K|=q-1} ' \sum_{j,k=1}^n \left( \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} - C_2 \rho \delta_{j,k} \right) u_{jK} \overline{u_{kK}} \geq 0 \quad (2.1)$$

*for every  $(0, q)$ -form  $u = \sum_{|J|=q} ' u_J d\bar{z}_J$  satisfying  $\sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} = 0$ ,  $|K| = q - 1$ , where  $\delta_{jk}$  denotes the Kronecker symbol.*

**Proof.** The proof is almost the same as the ones given by Ho (see [10: Theorem 2.4]). For convenience to readers, we sketch the proof of the proposition. Assume that  $-\log(-\rho) + C_1|z|^2 \in SH_q(\Omega)$ . By Theorem 2.4, we have

$$\sum_{|K|=q-1} ' \sum_{j,k=1}^n \left( -\frac{1}{\rho} \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} + \frac{1}{\rho^2} \frac{\partial \rho}{\partial z_j} \frac{\partial \rho}{\partial \bar{z}_k} + C_1 \delta_{j,k} \right) u_{jK} \overline{u_{kK}} \geq 0$$

for all  $(0, q)$ -form  $u$ . Hence, if we let  $C_2 = C_1$  then (2.1) follows.

Conversely, assume that (2.1) is satisfied. Let  $u$  be an arbitrary  $(0, q)$ -form. First we prove that

$$\sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \geq q C_2 \rho |u|^2 - C_3 \sum_{|K|=q-1} ' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right| |u|, \quad (2.2)$$

where  $C_3$  is a positive constant only depending on  $q, n$ . Indeed, let  $v$  be a  $(0, q)$ -form with coefficients defined by

$$v_{h_1 \dots h_q}(z) = \begin{cases} \sum_{j_1, \dots, j_q=1}^n \left( \delta_{j_1 h_1} - \frac{\frac{\partial \rho}{\partial z_{j_1}} \frac{\partial \rho}{\partial \bar{z}_{h_1}}}{\sum_{k=1}^n \left| \frac{\partial \rho}{\partial z_k} \right|^2} \right) \dots \\ \dots \left( \delta_{j_q h_q} - \frac{\frac{\partial \rho}{\partial z_{j_q}} \frac{\partial \rho}{\partial \bar{z}_{h_q}}}{\sum_{k=1}^n \left| \frac{\partial \rho}{\partial z_k} \right|^2} \right) u_{j_1 \dots j_q}(z) & \text{if } |\partial \rho(z)| \neq 0 \\ u_{h_1 \dots h_q}(z) & \text{if } |\partial \rho(z)| = 0. \end{cases}$$

Put  $w = u - v$ . It is easy to see that

$$\sum_{j=1}^n \frac{\partial \rho}{\partial z_j} v_{jK}(z) = 0 \quad \text{and} \quad |w| \leq C_4 \sum_{|K|=q-1}' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right|,$$

where  $C_4 > 0$  is a constant only depending on  $q, n$ . Hence, we get

$$\begin{aligned} & \sum_{|K|=q-1}' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\ &= \sum_{|K|=q-1}' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} v_{jK} \overline{v_{kK}} + 2\Re \left( \sum_{|K|=q-1}' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} v_{jK} \overline{w_{kK}} \right) \\ & \quad + \sum_{|K|=q-1}' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} w_{jK} \overline{w_{kK}} \\ &\geq qC_2\rho|u|^2 - 2 \sum_{|K|=q-1}' \sum_{j,k=1}^n (|v_{jK}| \cdot |w_{kK}| + |w_{jK}| \cdot |w_{kK}|) \\ &\geq qC_2\rho|u|^2 - C_3 \sum_{|K|=q-1}' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right| |u|, \end{aligned}$$

where  $C_3 > 0$  is a constant only depending only  $q, n$ . Hence (2.2) is proved.

Now, using (2.2), we have

$$\begin{aligned} & \sum_{|K|=q-1}' \sum_{j,k=1}^n \frac{\partial^2(-\log(-\rho))}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\ &= -\frac{1}{\rho} \sum_{|K|=q-1}' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} + \frac{1}{\rho^2} \sum_{|K|=q-1}' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right|^2 \\ &\geq -\frac{1}{\rho} \left( qC_2\rho|u|^2 - C_3 \sum_{|K|=q-1}' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right| \cdot |u| \right) + \frac{1}{\rho^2} \sum_{|K|=q-1}' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right|^2 \\ &= -qC_2|u|^2 + \sum_{|K|=q-1}' C_3|u| \cdot \frac{1}{\rho} \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right| + \frac{1}{\rho^2} \sum_{|K|=q-1}' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right|^2. \end{aligned}$$

Applying the inequality  $-|xy| \geq -\left|\frac{x}{2}\right|^2 - |y|^2$  to the second term with  $x = C_3|u|$ ,

$y = \frac{1}{\rho} \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right|$ , we get

$$\begin{aligned}
 & \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2(-\log(-\rho))}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\
 & \geq -qC_2|u|^2 - \sum_{|K|=q-1} ' \left( \frac{C_3}{2} \right)^2 |u|^2 \\
 & = - \left( qC_2 + \frac{n!}{4(q-1)!(n-q+1)!} (C_3)^2 \right) |u|^2.
 \end{aligned}$$

Put  $C_1 = C_2 + \frac{n!}{4q(q-1)!(n-q+1)!} (C_3)^2$ , we have  $-\log(-\rho) + C_1|z|^2 \in SH_q(\Omega)$  and the desired conclusion follows.  $\square$

**Remark 2.6.** Let  $\Omega$  be an open set in  $\mathbb{C}^n$  and  $u \in L^1(\Omega, \text{loc})$ .

1) if  $u \in C^2(\Omega)$  such that  $\frac{\partial^2 u}{\partial z_j \partial \bar{z}_k}(z) = 0$ , for all  $j \neq k$  and  $z \in \Omega$ . Then  $u \in SH_q(\Omega)$  if and only if  $\sum_{j,k \in J} \frac{\partial^2 u}{\partial z_j \partial \bar{z}_k}(z) \geq 0$ , for all  $|J| = q$  and for all  $z \in \Omega$ . Indeed, it is easy to see that

$$i\partial\bar{\partial}u(z) \wedge \omega^{q-1} = 2^q \sum_{|J|=q} ' \sum_{j,k \in J} \frac{\partial^2 u}{\partial z_j \partial \bar{z}_k}(z) dV_J$$

where  $dV_J = \bigwedge_{j \in J} \frac{i}{2} dz_j \wedge d\bar{z}_j$ . Thus the desired conclusion follows from Theorem 2.4.

2) If  $u$  is a  $q$ -subharmonic function in  $\Omega$  then  $u$  is also a  $r$ -subharmonic function in  $\Omega$  for every  $q \leq r \leq n$ . Indeed, since  $u$  is  $q$ -subharmonic then  $i\partial\bar{\partial}u(z) \wedge \omega^{q-1} \geq 0$  for all  $z \in \Omega$ . Hence

$$i\partial\bar{\partial}u(z) \wedge \omega^{r-1} = i\partial\bar{\partial}u(z) \wedge \omega^{q-1} \wedge \omega^{r-q} \geq 0$$

for every  $r \geq q$ . Thus  $u$  is  $r$ -subharmonic.

3) By 2) of Theorem 2.4 then every  $q$ -subharmonic function in  $\Omega$  is  $(q-1)$ -plurisubharmonic.

4) In [14] Slodkowski proved that if  $\varphi \in PSH_q(\Omega)$  and  $\psi \in PSH_q(\Omega)$  then  $\varphi + \psi \in PSH_{p+q}(\Omega)$ . On the other hand,  $SH_{p+1}(\Omega) + SH_{q+1}(\Omega) \subset SH_{\max(p+1, q+1)}(\Omega)$ . Thus there exists  $\varphi \in PSH_q(\Omega) \setminus SH_{q+1}(\Omega)$ . Indeed, in the converse case, we have

$$PSH_q(\Omega) + PSH_q(\Omega) \subset PSH_q(\Omega).$$

However, if we take  $\varphi(z_1, z_2) = |z_1|^2 - 2|z_2|^2$ ,  $\psi(z_1, z_2) = -2|z_1|^2 + |z_2|^2$  then  $\varphi, \psi \in PSH_1(\mathbb{C}^2)$ . But  $\varphi + \psi \notin PSH_1(\mathbb{C}^2)$  and we get a contradiction.

**COROLLARY 2.7.** *Let  $u$  be in  $SH_q(\Omega) \cap L^1(\Omega, \text{loc})$  and let  $D \subset \mathbb{C}$  be an open set. Then  $u \circ \pi$  is a  $(q+1)$ -subharmonic function on  $\Omega \times D$ , where  $\pi: \mathbb{C}^{n+1} \rightarrow \mathbb{C}^n$  denotes the canonical projection. Moreover, for every  $n > 1$  and for all  $1 < q \leq n$ , there exists a  $q$ -subharmonic function on  $\Omega$  such that  $\varphi \circ \pi$  is not  $q$ -subharmonic on  $\Omega \times \mathbb{C}$ .*

**Proof.** Let  $z = (z', z_{n+1}) \in \mathbb{C}^{n+1}$ . Then

$$i\partial\bar{\partial}|z|^2 = i\partial\bar{\partial}|z'|^2 + i\partial\bar{\partial}|z_{n+1}|^2,$$

and

$$(i\partial\bar{\partial}|z|^2)^q = (i\partial\bar{\partial}|z'|^2)^q + q(i\partial\bar{\partial}|z'|^2)^{q-1} \wedge i\partial\bar{\partial}|z_{n+1}|^2.$$

On the other hand, since  $u \in SH_q(\Omega)$  then  $i\partial\bar{\partial}u \wedge (i\partial\bar{\partial}|z'|^2)^{q-1} \geq 0$ . Hence, it follows that

$$\begin{aligned} & i\partial\bar{\partial}(u \circ \pi) \wedge (i\partial\bar{\partial}|z|^2)^q \\ &= i\partial\bar{\partial}u \wedge (i\partial\bar{\partial}|z'|^2)^q + qi\partial\bar{\partial}u \wedge (i\partial\bar{\partial}|z'|^2)^{q-1} \wedge i\partial\bar{\partial}|z_{n+1}|^2 \geq 0. \end{aligned}$$

This shows that  $u \circ \pi \in SH_{q+1}(\Omega \times D)$ . Next, we prove the second conclusion of the corollary. Consider the function  $\varphi(z) = |z|^2 - q|z_1|^2$ . Remark 2.6 implies that  $\varphi$  is a  $q$ -subharmonic function in  $\Omega$ . However,  $\varphi_1 = \varphi \circ \pi$  is not  $q$ -subharmonic in  $\Omega \times \mathbb{C}$ . Indeed, we have

$$\varphi_1(z, z_{n+1}) = |z|^2 - q|z_1|^2.$$

Hence

$$\frac{\partial^2 \varphi_1}{\partial z_1 \partial \bar{z}_1} + \sum_{j=n-q+2}^{n+1} \frac{\partial^2 \varphi_1}{\partial z_j \partial \bar{z}_j} = -1 < 0.$$

From remark 2.6 the desired conclusion follows.  $\square$

**THEOREM 2.8.** *Let  $\Omega \subset \mathbb{C}^n$  be an open set and  $\pi: \mathbb{C}^{n+m} \rightarrow \mathbb{C}^n$  denote the canonical projection. Then  $u \circ \pi$  is  $q$ -plurisubharmonic on  $\Omega \times \mathbb{C}^m$  for every  $q$ -plurisubharmonic function  $u$  on  $\Omega$ .*

We need the following.

**LEMMA 2.9.** *Let  $\Omega \subset \mathbb{C}^n$  be an open set and  $u$  an upper semi-continuous function on  $\Omega$ . Then  $\tilde{u} = u \circ \pi$  is  $n$ -plurisubharmonic on  $\Omega \times \mathbb{C}$ , where  $\pi: \mathbb{C}^{n+1} \rightarrow \mathbb{C}^n$  is the canonical projection.*



**P r o o f.** Let  $\tilde{\mathbb{B}}$  be an open ball in  $\Omega \times \mathbb{C}$  with the radius  $r > 0$  and  $\tilde{g}$  a  $C^\infty$ -function on  $\tilde{\mathbb{B}}$  which is plurisuperharmonic on  $\Omega \times \mathbb{C}$  such that  $\tilde{u} \leq \tilde{g}$  on  $\partial\tilde{\mathbb{B}}$ . For each  $(z^0, z_{n+1}^0) \in \mathbb{B}$  which we may assume that  $(z^0, z_{n+1}^0) = 0$ , put  $g(z) = \tilde{g}(z, 0)$  and  $g_{n+1}(z_{n+1}) = \tilde{g}(0, z_{n+1})$ . Then  $g_{n+1}$  is a  $C^\infty$ -function. Since  $-g_{n+1}$  is plurisubharmonic it follows that

$$\begin{aligned} (\tilde{u} - \tilde{g})(0) &= (u - g)(0) = \tilde{u}(0, r) - \tilde{g}(0) \\ &\leq \tilde{u}(0, r) + \sup_{|z_{n+1}|=r} -g_{n+1}(z_{n+1}) \\ &\leq \sup_{|z_{n+1}|=r} (\tilde{u}(0, z_{n+1}) - \tilde{g}(0, z_{n+1})) \leq \sup_{\partial\tilde{\mathbb{B}}} (\tilde{u} - \tilde{g}). \end{aligned}$$

Hence by Definition 2.2 it follows that  $\tilde{u}$  is  $n$ -plurisubharmonic. The lemma is proved.  $\square$

**LEMMA 2.10.** *Let  $T: \mathbb{C}^n \rightarrow \mathbb{C}^n$  be an isomorphism and let  $V \subset \mathbb{C}^n$  be an open set. Then  $u \circ T \in PSH_q(T^{-1}(V))$  for every  $u \in PSH_q(V)$ .*

**P r o o f.** Let  $\bar{\mathbb{B}}$  be a closed ball in  $V$  and  $\varphi$  a smooth plurisuperharmonic function in  $\mathbb{C}^n$  such that  $u \circ T \leq \varphi$  on  $\partial\bar{\mathbb{B}}$ . Then  $u \leq \varphi \circ T^{-1}$  on  $\partial(T(\bar{\mathbb{B}}))$ . Since  $-\varphi \circ T^{-1}$  is plurisubharmonic on  $\mathbb{C}^n$  then  $u + (-\varphi \circ T^{-1})$  is  $q$ -plurisubharmonic. The maximum principle for  $q$ -plurisubharmonic functions in [7] implies that  $u - \varphi \circ T^{-1} \leq 0$  on  $T(\bar{\mathbb{B}})$ . Hence  $u \circ T \leq \varphi$  on  $\bar{\mathbb{B}}$ .  $\square$

**P r o o f o f T h e o r e m 2.8.** Without loss of generality we may assume that  $m = 1$ . First we note that by [13] a function  $u$  is  $q$ -plurisubharmonic on  $\Omega \subset \mathbb{C}^n$  if and only if  $u$  is  $q$ -plurisubharmonic on  $\Omega \cap L$  for every complex linear subspace  $L$  of dimension  $q + 1$ . Now let  $L$  be a  $(q + 1)$ -dimension linear subspace of  $\mathbb{C}^{n+1}$ , it remains to show that  $\tilde{u} = u \circ \pi$  is  $q$ -plurisubharmonic on  $(\Omega \times \mathbb{C}) \cap L$ . Put  $\pi_0 = \pi|_L$ . Consider the two cases.

*Case 1.* Assume that  $\ker \pi_0 = 0$ . Then  $\pi_0: L \rightarrow \pi_0(L)$  is an isomorphism. It is easy to see that  $\pi_0(\Omega \times \mathbb{C} \cap L) = \Omega \cap \pi_0(L)$  and  $u$  is  $q$ -plurisubharmonic on  $\Omega \cap \pi_0(L)$ . By Lemma 2.10 we infer that  $\tilde{u} = u \circ \pi$  is  $q$ -plurisubharmonic on  $(\Omega \times \mathbb{C}) \cap L$ .

*Case 2.* If  $\ker \pi_0 \neq 0$ . Put  $L' = \pi_0(L)$ . Then  $L = L' \times \mathbb{C}$ . Indeed, assume that  $e_1, e_2, \dots, e_{q+1}$  is a basis of  $L$ . Write  $e_j = (e^j, z_{n+1}^j)$ . Then  $e^1, e^2, \dots, e^{q+1} \subset L'$ . Notice that  $\dim L' \leq q$ . Hence  $e^1, e^2, \dots, e^{q+1}$  is linearly dependent. Without loss of generality we may assume that  $e^1 = \sum_{j=2}^{q+1} \lambda_j e^j$ . Thus, the element

$e_1 - \sum_{j=2}^{q+1} \lambda_j e_j = (0, z_{n+1}) \in L$ , where  $z_{n+1} \neq 0$ . From this result it is easy to see that  $L = L' \times \mathbb{C}$ . By Lemma 2.9 it follows that  $\tilde{u} = u \circ \pi$  is  $q$ -plurisubharmonic on  $\Omega \times \mathbb{C} \cap L$ . Hence, theorem 2.8 is completely proved.  $\square$

Now we extend the notion of  $q$ -subharmonic functions with respect to a positive  $(1, 1)$ -form  $\Theta$ . Let  $\Theta$  be a positive  $(1, 1)$ -form with  $C^\infty$  coefficients and let  $1 \leq q \leq n$ . We give the following definition.

**DEFINITION 2.11.** Let  $\Omega$  be an open set in  $\mathbb{C}^n$  and  $u: \Omega \rightarrow [-\infty, +\infty)$  be an upper semi-continuous function. Let  $\Theta$  be a positive  $(1, 1)$ -form with  $C^\infty$  coefficients and  $1 \leq q \leq n$ . We say that  $u$  is a  $\Theta^{q-1}$ -subharmonic function on  $\Omega$  if for every ball  $\mathbb{B} \Subset \Omega$  there exists a decreasing sequence  $\{u_j\} \subset C^2(\mathbb{B})$  such that  $i\partial\bar{\partial}u_j \wedge \Theta^{q-1} \geq 0$  in  $\mathbb{B}$  and  $\{u_j\}$  pointwise converges to  $u$  on  $\mathbb{B}$ . The set of all  $\Theta^{q-1}$ -subharmonic functions in  $\Omega$  is denoted by  $SH_{\Theta^{q-1}}(\Omega)$ .

**Remark 2.12.** Assume that  $u, u_1, u_2, \dots \in C^2(\Omega)$  is such that  $i\partial\bar{\partial}u_j \wedge \Theta^{q-1} \geq 0$ ,  $j = 1, 2, \dots$  and  $\{u_j\}$  is decreasing and pointwise converges to  $u$ . Then  $i\partial\bar{\partial}u \wedge \Theta^{q-1} \geq 0$  and, hence, a function  $u \in C^2(\Omega)$  is  $\Theta^{q-1}$ -subharmonic if and only if  $i\partial\bar{\partial}u \wedge \Theta^{q-1} \geq 0$ . Indeed, the sufficiency is obvious. In order to prove the necessary condition we assume that  $i\partial\bar{\partial}u \wedge \Theta^{q-1}$  is not positive. Then there exists a positive  $(n - q, n - q)$ -form  $\eta$  with compact support in  $\Omega$  such that

$$\int_{\Omega} i\partial\bar{\partial}u \wedge \Theta^{q-1} \wedge \eta < 0$$

On the other hand, since  $\{u_j\}$  is decreasing and pointwise converges to  $u$  we have

$$\lim_{j \rightarrow +\infty} \int_{\Omega} (u_j - u) i\partial\bar{\partial}(\Theta^{q-1} \wedge \eta) = 0$$

Hence we have

$$0 \leq \lim_{j \rightarrow +\infty} \int_{\Omega} u_j i\partial\bar{\partial}(\Theta^{q-1} \wedge \eta) = \int_{\Omega} i\partial\bar{\partial}u \wedge \Theta^{q-1} \wedge \eta < 0$$

and we get a contradiction.

Now, we give some basic properties of  $\Theta^{q-1}$ -subharmonic functions.

**PROPOSITION 2.13.** Let  $\Theta$  be a positive  $(1, 1)$ -form with  $C^\infty$  coefficients and let  $1 \leq q \leq n$ . Then the following hold:

- 1)  $SH_{\Theta^{q-1}}(\Omega)$  is a convex cone.

- 2) If  $u$  is  $\Theta^{q-1}$ -subharmonic in  $\Omega$  then  $u$  is  $\Theta^{r-1}$ -subharmonic for every  $q \leq r \leq n$ .
- 3) If  $\chi$  is a smooth convex increasing function in  $\mathbb{R}$  and  $u$  is  $\Theta^{q-1}$ -subharmonic in  $\Omega$  then so is  $\chi \circ u$ .
- 4) If  $\{v_j\}_{j=1}^\infty$  is a decreasing sequence of  $\Theta^{q-1}$ -subharmonic functions then so is  $v = \lim_{j \rightarrow +\infty} v_j$ .

**Proof.**

1) Assume that  $u, v \in SH_{\Theta^{q-1}}(\Omega)$  and  $\alpha, \beta \geq 0$  and  $\mathbb{B} \Subset \Omega$  is a ball. By Definition 2.11 there exist two decreasing sequences  $\{u_j\}, \{v_j\} \subset C^2(\mathbb{B})$  which are pointwise convergent to  $u$  and  $v$  on  $\mathbb{B}$ , respectively, such that  $i\partial\bar{\partial}u_j \wedge \Theta^{q-1} \geq 0$  and  $i\partial\bar{\partial}v_j \wedge \Theta^{q-1} \geq 0$  in  $\mathbb{B}$ . It follows that  $\{\alpha u_j + \beta v_j\} \subset C^2(\mathbb{B})$  pointwise converges to  $\alpha u + \beta v$  on  $\mathbb{B}$  and  $i\partial\bar{\partial}(\alpha u_j + \beta v_j) \wedge \Theta^{q-1} = \alpha i\partial\bar{\partial}u_j \wedge \Theta^{q-1} + \beta i\partial\bar{\partial}v_j \wedge \Theta^{q-1} \geq 0$  in  $\mathbb{B}$ . Hence, the desired conclusion follows.

2) Assume that  $u \in SH_{\Theta^{q-1}}(\Omega)$  and  $r$  is an integer with  $q \leq r \leq n$ . For every ball  $\mathbb{B} \Subset \Omega$  by definition 2.11 there exists a decreasing sequence  $\{u_j\} \subset C^2(\mathbb{B})$  which is pointwise convergent to  $u$  on  $\mathbb{B}$  such that  $i\partial\bar{\partial}u_j \wedge \Theta^{q-1} \geq 0$  in  $\mathbb{B}$ . Since

$$i\partial\bar{\partial}u_j \wedge \Theta^{r-1} = i\partial\bar{\partial}u_j \wedge \Theta^{q-1} \wedge \Theta^{r-q} \geq 0,$$

it follows that  $u \in SH_{\Theta^{r-1}}(\Omega)$ .

3) Assume that  $u \in SH_{\Theta^{q-1}}(\Omega)$ . Let  $\mathbb{B} \Subset \Omega$  be a ball. Definition 2.11 implies that there exists a decreasing sequence  $\{u_j\} \subset C^2(\mathbb{B})$  which pointwise converges to  $u$  on  $\mathbb{B}$  such that  $i\partial\bar{\partial}u_j \wedge \Theta^{q-1} \geq 0$  in  $\mathbb{B}$ . Since  $\chi$  is a smooth convex increasing function in  $\mathbb{R}$  so the sequence  $\{\chi \circ u_j\} \subset C^2(\mathbb{B})$  decreasing pointwise to  $\chi \circ u$  on  $\mathbb{B}$  and

$$i\partial\bar{\partial}\chi \circ u_j \wedge \Theta^{q-1} = \chi' \circ u_j i\partial\bar{\partial}u_j \wedge \Theta^{q-1} + \chi'' \circ u_j i\partial u_j \wedge \bar{\partial}u_j \wedge \Theta^{q-1} \geq 0.$$

Hence,  $\chi \circ u \in SH_{\Theta^{q-1}}(\Omega)$ .

We prove 4). First we prove the following assertion. Let  $\{u_j\}$  and  $\{v_j\}$  be two decreasing sequences of continuous functions which pointwise converge to  $u$  and  $v$  in  $\Omega$  respectively. Assume that  $u < v$  on  $\Omega$  and  $\Omega' \Subset \Omega$ . Then there exists a subsequence  $\{u_{k_j}\}$  of the sequence  $\{u_j\}$  such that  $u_{k_j} < v_j$  in  $\Omega'$  for every  $j$ . Indeed, we begin with  $v_1$ . Let  $z \in \Omega$ . Since  $\lim_{j \rightarrow \infty} (u_j - v_1)(z) = (u - v_1)(z) \leq (u - v)(z) < 0$  so there exist  $r_z > 0$  and  $M_z > 0$  such that  $u_j < v_1$  in  $B(z, r_z)$  for every  $j \geq M_z$ . Moreover, because  $\Omega' \Subset \Omega$  so there exist  $z^1, \dots, z^m$  such that  $\Omega' \Subset \bigcup_{l=1}^m B(z^l, r_{z^l})$ . Put  $k_1 = \max\{M_{z^l} : l = 1, 2, \dots, m\}$ . It is clear that

$u_{k_1} < v_1$  in  $\Omega'$ . Similarly, for  $v_2$  we can choose  $k_2 > k_1$  such that  $u_{k_2} < v_2$  in  $\Omega'$ . Continuing this process we get the desired subsequence  $\{k_j\}$ .

Next we give the proof of 4). We may assume that  $v_{j+1} < v_j$  in  $\Omega$  for all  $j \geq 1$ . Let  $\mathbb{B} \Subset \Omega$  be a ball. Choose a ball  $\mathbb{B}_1$  such that  $\mathbb{B} \Subset \mathbb{B}_1 \Subset \Omega$ . Since  $v_1$  is  $\Theta^{q-1}$ -subharmonic there exists a sequence  $\{v_1^k\} \subset C^2(B_1)$ ,  $v_1^k \searrow v_1$  on  $\mathbb{B}_1$  as  $k \rightarrow \infty$ . Since  $v_2$  is  $\Theta^{q-1}$ -subharmonic there exists a sequence  $\{v_2^k\} \subset C^2(B_1)$ ,  $v_2^k \searrow v_2$  on  $\mathbb{B}_1$  as  $k \rightarrow \infty$ .

Using the above result we can choose a subsequence  $\{v_2^{l_k^2}\}$  of the sequence  $\{v_2^k\}$  that  $v_2^{l_k^2} < v_1^k$  for all  $k$ . Now again since  $v_3$  is  $\Theta^{q-1}$ -subharmonic there exists a sequence  $\{v_3^k\} \subset C^2(B_1)$ ,  $v_3^k \searrow v_3$  on  $\mathbb{B}_1$  as  $k \rightarrow \infty$ .

Repeating the above result we can choose a subsequence  $\{v_3^{l_k^3}\}$  of the sequence  $\{v_3^k\}$  such that  $v_3^{l_k^3} < v_2^{l_k^2}$  for all  $k$ . Continuing the process by induction we choose subsequences  $\{v_{m+1}^{l_k^{m+1}}\}$  of the sequences  $\{v_{m+1}^k\}$  such that  $v_{m+1}^{l_k^{m+1}} < v_m^{l_k^m}$  for all  $k, m$  and  $v_{m+1}^{l_k^{m+1}} \searrow v_{m+1}$  as  $k \rightarrow \infty$ .

Put  $u_j = v_j^{l_j^j} \in C^2(\mathbb{B}_1)$ ,  $j \geq 1$ . It is easy to see that the sequence  $\{u_j\}$  is decreasing in  $\mathbb{B}$ . For every  $z \in \mathbb{B}$  we have  $v(z) \leq v_j(z) \leq v_j^{l_j^j}(z) = u_j(z)$ , for all  $j \geq 1$ . At the same time, we have  $v(z) \leq \lim_{j \rightarrow +\infty} u_j(z) = \lim_{j \rightarrow +\infty} v_j^{l_j^j}(z) \leq \lim_{j \rightarrow +\infty} v_k^{l_j^j}(z) = v_k(z)$  for every  $k$ . Therefore we get  $\lim_{j \rightarrow +\infty} u_j(z) = v(z)$ . The proof is complete.  $\square$

Now, we consider in particular  $\Theta = i\partial\bar{\partial}\psi$ , where  $\psi \in C^\infty(\Omega)$  is a strictly plurisubharmonic function. We have relations between the  $(i\partial\bar{\partial}\psi)^{q-1}$ -subharmonic and  $(q-1)$ -plurisubharmonic functions as follows.

**PROPOSITION 2.14.** *Let  $\psi \in C^\infty(\Omega)$  be a plurisubharmonic function and  $u \in C^2(\Omega)$ . Then the following conditions are equivalent.*

- 1)  *$u$  is  $(i\partial\bar{\partial}\psi)^{q-1}$ -subharmonic in  $\Omega$  if and only if  $u|_{L \cap \Omega}$  is  $(i\partial\bar{\partial}\psi|_{L \cap \Omega})^{q-1}$ -subharmonic in  $L \cap \Omega$  for every  $q$ -dimension subspace  $L$  of  $\mathbb{C}^n$ .*
- 2) *if  $u$  is a  $(i\partial\bar{\partial}\psi)^{q-1}$ -subharmonic function then  $u$  is a  $(q-1)$ -plurisubharmonic function. Hence, every  $(i\partial\bar{\partial}\psi)^{q-1}$ -subharmonic function satisfies the local maximum principle.*

**Proof.**

1) Necessary. Let  $L$  be a  $q$ -dimension space of  $\mathbb{C}^n$ . By a unitary change of coordinates we may assume that  $L$  has the basis  $\{e_1, e_2, \dots, e_q\}$ , where  $e_j =$

$(\underbrace{0, \dots, 0}_j, 1, 0, \dots, 0)$  for  $1 \leq j \leq q$ . Since  $u$  is  $(i\partial\bar{\partial}\psi)^{q-1}$ -subharmonic in  $\Omega$ , we have  $i\partial\bar{\partial}u \wedge (i\partial\bar{\partial}\psi)^{q-1} \geq 0$ . Hence,  $i\partial\bar{\partial}u \wedge (i\partial\bar{\partial}\psi)^{q-1} \wedge \text{id}z_{q+1} \wedge d\bar{z}_{q+1} \wedge \dots \wedge \text{id}z_n \wedge d\bar{z}_n \geq 0$ . It follows that  $i\partial\bar{\partial}u|_{L \cap \Omega} \wedge (i\partial\bar{\partial}\psi|_{L \cap \Omega})^{q-1} \geq 0$ .

*Sufficient.* Let  $x_0 \in \Omega$ . Choose an orthogonal basis  $\{f_1, \dots, f_n\}$  of  $\mathbb{C}^n$  with coordinates  $w = (w_1, \dots, w_n)$  such that  $i\partial\bar{\partial}\psi(x_0) = i \sum_{j=1}^n dw_j \wedge d\bar{w}_j$  and  $i\partial\bar{\partial}u(x_0) = i \sum_{j=1}^n \lambda_j dw_j \wedge d\bar{w}_j$ . Hence, we have

$$i\partial\bar{\partial}u(x_0) \wedge (i\partial\bar{\partial}\psi(x_0))^{q-1} = (q-1)! \sum_{|J|=q} \lambda_J dV_J$$

where  $\lambda_J = \sum_{k \in J} \lambda_k$  and  $dV_J = \bigwedge_{k \in J} (\text{id}w_k \wedge d\bar{w}_k)$ .

Now applying the hypothesis to the subspace  $L = \text{span}\{f_k : k \in J\}$  containing  $x_0$ , we have

$$i\partial\bar{\partial}u(x_0)|_L \wedge (i\partial\bar{\partial}\psi(x_0)|_L)^{q-1} = (q-1)! \lambda_J dV_J \geq 0.$$

Hence,  $\lambda_J \geq 0$ .

On the other hand, the positivity is invariant with respect to complex isomorphisms of  $\mathbb{C}^n$ , we get  $i\partial\bar{\partial}u(x_0) \wedge (i\partial\bar{\partial}\psi(x_0))^{q-1} \geq 0$ . This completes the proof of the sufficiency.

2) We may assume that  $u \in C^2$ . If  $u$  is not a  $(q-1)$ -plurisubharmonic function then there exists  $x_0 \in \Omega$  such that the matrix  $\left(\frac{\partial^2 u}{\partial z_j \partial \bar{z}_k}(x_0)\right)$  has at least  $q$  negative eigenvalues. Take a  $q$ -dimension subspace  $L$  of  $\mathbb{C}^n$  containing  $x_0$  such that  $\left(\frac{\partial^2 u|_L}{\partial z_j \partial \bar{z}_k}(x_0)\right)$  has at least  $q$  negative eigenvalues. Hence, we have

$$i\partial\bar{\partial}u|_{L \cap \Omega}(x_0) \wedge (i\partial\bar{\partial}\psi|_{L \cap \Omega}(x_0))^{q-1} < 0$$

which contradicts 1). The proof is complete.  $\square$

### 3. Applications to $q$ -convexity

In this section, we will introduce the notion about  $q$ -convex domains and establish some results concerning to these domains. We begin with the following.

**DEFINITION 3.1.** A domain  $\Omega$  in  $\mathbb{C}^n$  is said to be  $q$ -convex if there exists a continuous  $q$ -subharmonic exhaustion function in  $\Omega$ .

By repeating arguments as in the proof of [11: Theorem 2.6.11] we see that if  $\Omega$  is  $q$ -convex then the exhaustion function in the above definition can be chosen to be smooth.

**Remark 3.2.**

1) The above definition is similar as [1: Definition 1.3]. The difference between the two these definitions is as follows. In the above definition we require that the  $q$ -subharmonic exhaustion function is continuous but in [1: Definition 1.3] not to have. The reason is late (see Theorem 3.3 below) we use the continuity of the  $q$ -subharmonic exhaustion function to prove the equivalence of the global  $q$ -subharmonicity and the local  $q$ -subharmonicity of a domain in  $\mathbb{C}^n$ .

2) By a result in [10] (see [10: Theorem 2.4]) we notice that every weakly  $q$ -convex domain in the sense of Ho (see [10: Definition 2.1]) is  $q$ -convex.

3) By [7], every  $n$ -dimensional connected non compact complex manifold has a strongly subharmonic exhaustion function with respect to any hermitian metric  $\omega$ . Thus, every open set in  $\mathbb{C}^n$  is  $n$ -convex.

4) A pseudoconvex domain in  $\mathbb{C}^n$  is exactly 1-convex. However if we take  $\Omega = \mathbb{B}(0, 1) \setminus \{0\} \subset \mathbb{C}^n$ . Then, as in 2),  $\Omega$  is a  $n$ -convex domain. We notice that the function  $-\log d(\bullet, \partial\Omega) = -\log |z|$  in a neighborhood of 0, and hence, it is not subharmonic in  $\Omega$ . Thus, there exist  $q$ -convex domains in  $\mathbb{C}^n$  but the function  $-\log d(\bullet, \Omega)$  is not  $q$ -subharmonic on  $\Omega$ . This is also the difference between the  $q$ -convex and the pseudoconvex domains.

Now we are position to prove the equivalence between the local  $q$ -convexity and the global  $q$ -convexity. Namely, we have the following.

**THEOREM 3.3.** *Let  $\Omega$  be a bounded domain in  $\mathbb{C}^n$ . Then  $\Omega$  is  $q$ -convex if and only if for each  $a \in \partial\Omega$  there is a neighborhood  $W$  of  $a$  such that  $W \cap \Omega$  is  $q$ -convex.*

The proof of the necessity is obvious. In order to prove the sufficiency we need the following auxiliary lemmas.

**LEMMA 3.4.** *Assume that  $\rho > 1$  is a smooth exhaustion function in  $\Omega \subset \mathbb{C}^n$ . Then there exists a smooth increasing convex function  $\chi$  such that  $\chi \circ \rho \geq \rho$  and*

$$\sup_{z \in \Omega} \left| \frac{\partial^2 (-e^{-\chi \circ \rho})}{\partial z_j \partial \bar{z}_k}(z) \right| \leq 1, \quad \text{for all } j, k. \quad (3.1)$$

Proof. Let  $\lambda$  be a smooth positive function in  $(0, +\infty)$  be chosen such that  $\lambda(t) \geq \sup\{\lambda_{j,k}(t) : j, k = 1, \dots, n\}$ , where  $\lambda_{j,k}$  is defined by

$$\lambda_{j,k}(t) = \begin{cases} \sup_{z \in \{\rho \leq t\}} \left\{ \left| \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k}(z) \right|, \left| \frac{\partial \rho}{\partial z_j}(z) \frac{\partial \rho}{\partial \bar{z}_k}(z) \right|, t, 1 \right\} & \text{if } \Omega \cap \{\rho \leq t\} \neq \emptyset \\ 1 & \text{if } \Omega \cap \{\rho \leq t\} = \emptyset. \end{cases}$$

By [5: Lemma VIII-5.7] there exists a smooth positive convex function  $\chi$  such that  $\chi, \chi', \chi'' \geq \lambda$  and  $(1 + \chi' + \chi'')^2 e^{-\chi} \leq \frac{1}{\lambda}$ . It is clear that  $\chi$  is a smooth increasing convex function. We prove that  $\chi$  satisfies (3.1). Indeed, for every  $j, k \in \{1, 2, \dots, n\}$  we have

$$\frac{\partial^2(-e^{-\chi \circ \rho})}{\partial z_j \partial \bar{z}_k} = e^{-\chi \circ \rho} \left( \chi' \circ \rho \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} + \chi'' \circ \rho \frac{\partial \rho}{\partial z_j} \frac{\partial \rho}{\partial \bar{z}_k} - (\chi' \circ \rho)^2 \frac{\partial \rho}{\partial z_j} \frac{\partial \rho}{\partial \bar{z}_k} \right).$$

Moreover, since

$$\begin{aligned} \lambda \circ \rho(z) &\geq \sup_{w \in \{\rho \leq \rho(z)\}} \left\{ \left| \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k}(w) \right|, \left| \frac{\partial \rho}{\partial z_j}(w) \frac{\partial \rho}{\partial \bar{z}_k}(w) \right|, \rho(z), 1 \right\} \\ &\geq \sup \left\{ \left| \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k}(z) \right|, \left| \frac{\partial \rho}{\partial z_j}(z) \frac{\partial \rho}{\partial \bar{z}_k}(z) \right|, \rho(z), 1 \right\} \end{aligned}$$

for every  $z \in \Omega$ , and  $\chi, \chi', \chi'' \geq 1$ , we get

$$\begin{aligned} \left| \frac{\partial^2(-e^{-\chi \circ \rho})}{\partial z_j \partial \bar{z}_k} \right| &\leq e^{-\chi \circ \rho} \left( \chi' \circ \rho \left| \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} \right| + \chi'' \circ \rho \left| \frac{\partial \rho}{\partial z_j} \frac{\partial \rho}{\partial \bar{z}_k} \right| + (\chi' \circ \rho)^2 \left| \frac{\partial \rho}{\partial z_j} \frac{\partial \rho}{\partial \bar{z}_k} \right| \right) \\ &\leq e^{-\chi \circ \rho} \lambda \circ \rho (\chi' \circ \rho + \chi'' \circ \rho + (\chi' \circ \rho)^2) \\ &\leq e^{-\chi \circ \rho} \lambda \circ \rho (1 + \chi' \circ \rho + \chi'' \circ \rho)^2 \leq 1. \end{aligned}$$

Thus, (3.1) is proved, and the proof of the lemma is complete.  $\square$

Now we give the proof of the sufficiency of Theorem 3.3.

Proof of the sufficiency of Theorem 3.3. We split the proof into three steps.

*Step 1.* We prove that if  $\rho$  is a smooth negative function in an open set  $\Omega$  of  $\mathbb{C}^n$  such that

$$\sup_{z \in \Omega} \left| \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k}(z) \right| \leq 1, \quad \text{for all } 1 \leq j, k \leq n$$

and

$$\sum_{|K|=q-1} ' \sum_{j,k=1}^n \left( \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} - C_2 \rho \delta_{j,k} \right) u_{jK} \overline{u_{kK}} \geq 0$$

for every  $(0, q)$ -form  $u = \sum_{|J|=q} ' u_J d\bar{z}_J$  satisfying  $\sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} = 0$ , where  $|K| = q - 1$  and  $C_2 > 0$  is a constant only depending on  $q, n$  then for every the continuous increasing convex function  $\tau: (-\infty; \sup_{\Omega} \rho) \rightarrow \mathbb{R}^+$ , for every  $\varepsilon > 0$  and for every  $\varphi \in \mathcal{C}^\infty(\Omega) \cap L^\infty(\Omega) \cap PSH^-(\Omega)$  such that  $\sup_{\Omega} |\partial \varphi| < \infty$ , there exists a constant  $C_1 > 0$  only depending on  $q, n$  and  $\sup_{\Omega} \left| \frac{\partial \varphi}{\varphi} \right|$  such that

$$-\log \left( 1 - \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)} \right) + C_1 |z|^2 \in SH_q(\Omega).$$

We can assume that  $\tau$  is smooth (since if  $\tau$  is continuous then we can approximate  $\tau$  by a sequence of smooth increasing convex functions). Let  $u = \sum_{|J|=q} ' u_J d\bar{z}_J$  be a  $(0, q)$ -form. Since  $\varphi \in PSH^-(\Omega)$  so we have

$$\sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \varphi}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \geq 0.$$

Hence, by a computation we have

$$\begin{aligned} & \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \left( -\log \left( 1 - \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)} \right) \right)}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\ &= \frac{\tau(-\varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \left( \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)} \right)}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\ & \quad + \left( \frac{\tau(-\varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \right)^2 \sum_{|K|=q-1} ' \left| \sum_{j=1}^n \frac{\partial \left( \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)} \right)}{\partial z_j} u_{jK} \right|^2 \\ & \geq \frac{\tau' \circ (\rho - \varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\ & \quad + \left( \frac{1}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \right)^2 \sum_{|K|=q-1} ' \left| \sum_{j=1}^n \frac{\partial (\tau \circ (\rho - \varepsilon) + \varphi)}{\partial z_j} u_{jK} \right|^2. \end{aligned}$$

Next using the inequality  $(x + y)^2 \geq \frac{x^2}{2} - y^2$ , we get



$$\begin{aligned}
 & \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2(-\log(1 - \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)}))}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\
 & \geq \frac{\tau' \circ (\rho - \varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\
 & \quad + \left( \frac{1}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \right)^2 \cdot \\
 & \quad \cdot \sum_{|K|=q-1} ' \left( \frac{1}{2} \left| \sum_{j=1}^n \frac{\partial \tau \circ (\rho - \varepsilon)}{\partial z_j} u_{jK} \right|^2 - \left| \sum_{j=1}^n \frac{\partial \varphi}{\partial z_j} u_{jK} \right|^2 \right).
 \end{aligned}$$

Moreover, since  $\tau$  is increasing and  $\varphi < 0$  so

$$\frac{1}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} < \frac{1}{-\varphi}.$$

From

$$\left| \sum_{j=1}^n \frac{\partial \varphi}{\partial z_j} u_{jK} \right|^2 \leq \sum_{j=1}^n \left| \frac{\partial \varphi}{\partial z_j} \right|^2 \cdot \sum_{j=1}^n |u_{jK}|^2 \leq |\partial \varphi|^2 \cdot |u|^2,$$

it follows that

$$\begin{aligned}
 & \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2(-\log(1 - \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)}))}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\
 & \geq \frac{\tau' \circ (\rho - \varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\
 & \quad + \left( \frac{\tau' \circ (\rho - \varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \right)^2 \sum_{|K|=q-1} ' \frac{1}{2} \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right|^2 - C_3 |u|^2,
 \end{aligned}$$

where  $C_3$  is a positive constant only depending  $q$ ,  $n$  and  $\sup_{\Omega} |\frac{\partial \varphi}{\varphi}|$ . On the other hand, by (2.2) we have

$$\sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \geq q C_2 \rho |u|^2 - C_4 \sum_{|K|=q-1} ' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right| |u|,$$

where  $C_4$  are a positive constant also only depending on  $q$ ,  $n$ . Therefore,

$$\begin{aligned}
 & \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \left( -\log \left( 1 - \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)} \right) \right)}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\
 & \geq \frac{\tau' \circ (\rho - \varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \left( q C_2 \rho |u|^2 - C_4 \sum_{|K|=q-1} ' \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right| |u| \right) \\
 & \quad + \left( \frac{\tau' \circ (\rho - \varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \right)^2 \sum_{|K|=q-1} ' \frac{1}{2} \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right|^2 - C_3 |u|^2.
 \end{aligned}$$

Applying the inequality  $-|xy| \geq -|x|^2 - \frac{1}{4}|y|^2$  to

$$x = C_4 |u|, \quad y = \frac{\tau' \circ (\rho - \varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) - \varphi} \left| \sum_{j=1}^n \frac{\partial \rho}{\partial z_j} u_{jK} \right|$$

we get

$$\begin{aligned}
 & \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \left( -\log \left( 1 - \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)} \right) \right)}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\
 & \geq \frac{\tau' \circ (\rho - \varepsilon)}{\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon)} q C_2 \rho |u|^2 - \left( \sum_{|K|=q-1} ' (C_4)^2 + C_3 \right) |u|^2.
 \end{aligned}$$

Moreover, by Taylor expansion we have  $\tau(-\varepsilon) - \tau \circ (\rho - \varepsilon) = -\rho \tau'(t)$  with  $\rho(z) - \varepsilon < t < -\varepsilon$ , and since  $0 < \tau'(\rho - \varepsilon) \leq \tau'(t)$  so we get

$$\begin{aligned}
 & \sum_{|K|=q-1} ' \sum_{j,k=1}^n \frac{\partial^2 \left( -\log \left( 1 - \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)} \right) \right)}{\partial z_j \partial \bar{z}_k} u_{jK} \overline{u_{kK}} \\
 & \geq \frac{\tau' \circ (\rho - \varepsilon)}{-\rho \tau'(t)} q C_2 \rho |u|^2 - \left( \sum_{|K|=q-1} ' (C_4)^2 + C_3 \right) |u|^2 \\
 & \geq -q C_2 |u|^2 - \left( \frac{n!}{(q-1)!(n-q+1)!} (C_4)^2 + C_3 \right) |u|^2.
 \end{aligned}$$

Thus, if put  $C_1 = C_2 + \frac{1}{q} \left( \frac{n!}{(q-1)!(n-q+1)!} (C_4)^2 + C_3 \right)$  then

$$-\log \left( 1 - \frac{\tau \circ (\rho - \varepsilon) + \varphi}{\tau(-\varepsilon)} \right) + C_1 |z|^2 \in SH_q(\Omega).$$

*Step 2.* Choose open subsets  $V_j \Subset U_j \Subset W_j$ ,  $j = 1, 2, \dots, m$  such that  $\partial\Omega \Subset \bigcup_{j=1}^m V_j$ , and  $W_j \cap \Omega$  is  $q$ -convex,  $j = 1, 2, \dots, m$ . By Lemma 3.4 we can

chose a smooth  $q$ -subharmonic exhaustion function  $\psi_j$  in  $W_j \cap \Omega$  such that

$$\sup_{W_j \cap \Omega} \left| \frac{\partial^2(-e^{-\psi_j})}{\partial z_h \partial \bar{z}_l} \right| \leq 1, \quad \text{for all } h, l.$$

Put  $\rho_j = -e^{-\psi_j}$ ,  $j = 1, 2, \dots, m$ . Using [4: Lemma 2] (also see the proof of [6: Proposition 3.2]) we find a continuous increasing function  $\tau: (-\infty; 0) \rightarrow \mathbb{R}$  such that  $\lim_{t \rightarrow 0} \tau(t) = +\infty$  and  $|\tau \circ \rho_j - \tau \circ \rho_k| \leq 1/2$  for every  $j, k \in \{1, 2, \dots, m\}$  with  $U_j \cap U_k \cap \Omega \neq \emptyset$ . Therefore for every  $\varepsilon > 0$  sufficiently small we have

$$|\tau \circ (\rho_j(z) - \varepsilon) - \tau \circ (\rho_k(z) - \varepsilon)| \leq \frac{2}{3}, \quad \text{for all } z \in U_j \cap U_k \cap \Omega.$$

Choose  $\chi_j \in \mathcal{C}_0^\infty(U_j)$  satisfying  $0 \leq \chi_j \leq 1$  and  $\chi_j \equiv 1$  on  $\overline{V_j}$ . Let  $C > 0$  so large that  $|z|^2 - C < 0$  on  $\Omega$  and that  $\chi_j(z) + C|z|^2$  is plurisubharmonic in  $\Omega$  for every  $j$ . Put  $\varphi_j = \chi_j(z) - 1 + C(|z|^2 - C) \in PSH^-(\Omega)$ . By Proposition 2.5 it is easy to see that  $\rho_j$  and  $\varphi_j$  satisfy the hypothesis of Step 1, and hence, there exists a constant  $C_j > 0$  only depending on  $q$ ,  $n$  and  $\sup_{W_j \cap \Omega} \left| \frac{\partial \varphi_j}{\varphi_j} \right|$  such

that  $-\log\left(1 - \frac{\tau \circ (\rho_j - \varepsilon) + \varphi_j}{\tau(-\varepsilon)}\right) + C_j|z|^2 \in SH_q(W_j \cap \Omega)$ . Let  $D = \max\{C_j : j = 1, 2, \dots, m\}$ . Then we have

$$-\log\left(1 - \frac{\tau \circ (\rho_j - \varepsilon) + \varphi_j}{\tau(-\varepsilon)}\right) + D|z|^2 \in SH_q(W_j \cap \Omega), \quad j = 1, 2, \dots, m$$

for every  $\varepsilon$  sufficiently small.

Moreover, it is clear that

$$\tau(\rho_j - \varepsilon) + \varphi_j < \tau(\rho_k - \varepsilon) + \varphi_k, \quad (3.2)$$

on  $\partial U_j \cap V_k \cap \Omega$ . Hence,

$$-\log\left(1 - \frac{\tau \circ (\rho_j - \varepsilon) + \varphi_j}{\tau(-\varepsilon)}\right) < -\log\left(1 - \frac{\tau \circ (\rho_k - \varepsilon) + \varphi_k}{\tau(-\varepsilon)}\right)$$

on  $\partial U_j \cap V_k \cap \Omega$ . For each  $z \in \Omega \cap (\bigcup_j V_j)$ , put  $I_z = \{j \in \{1, 2, \dots, m\} : z \in U_j\}$ , and define

$$\phi^\varepsilon(z) := \max_{j \in I_z} \left\{ -\log\left(1 - \frac{\tau \circ (\rho_j(z) - \varepsilon) + \varphi_j(z)}{\tau(-\varepsilon)}\right) \right\}.$$

Then, we deduce that  $\phi^\varepsilon + D|z|^2 \in SH_q(\Omega \cap (\bigcup_j V_j))$ , for every  $\varepsilon$  sufficiently small.

*Step 3.* Let  $\{\varepsilon_k\} \searrow 0$ ,  $k \rightarrow \infty$  such that  $\phi^{\varepsilon_k} + D|z|^2 \in SH_q(\Omega \cap (\bigcup_j V_j))$  for all  $k$ . Define  $\phi = \sup_k \phi^{\varepsilon_k}$ . Let  $K$  be a compact subset of  $\Omega$  such that  $(\Omega \setminus (\bigcup_j V_j)) \Subset K$  and  $\partial K \Subset \bigcup_j V_j$ . Let  $M = \max_{\partial K}(\phi + D|z|^2)$  and

$$\varphi := \begin{cases} \max(\phi + D|z|^2, M) & \text{on } \Omega \setminus K \\ M & \text{on } K. \end{cases}$$

It is clear that  $\varphi$  is an exhaustion function for  $\Omega$ . We prove that  $\varphi$  is continuous on  $\Omega$ . Indeed, first we prove  $\phi^\varepsilon$  is continuous on  $\Omega \cap (\bigcup_j V_j)$  for every  $\varepsilon$  sufficiently small. Let  $x_0 \in \Omega \cap (\bigcup_j V_j)$ . Choose a neighborhood  $D_{x_0} \subset \Omega \cap (\bigcup_j V_j)$  of  $x_0$  such that  $D_{x_0} \cap U_j = \emptyset$  if  $x_0 \notin \overline{U}_j$ ,  $j = 1, \dots, m$ . Assume that  $x_0 \in V_{j_0}$ . For each  $j$  such that  $x_0 \in \partial U_j$ , by (3.2) we have

$$\tau \circ (\rho_j - \varepsilon) + \varphi_j < \tau \circ (\rho_{j_0} - \varepsilon) + \varphi_{j_0} \quad \text{on } D_{x_0},$$

if  $D_{x_0} \subset V_{j_0}$  is chosen small enough. Hence we have

$$\phi^\varepsilon|_{D_{x_0}} := \max_{j \in I_{x_0}} \left\{ -\log \left( 1 - \frac{\tau \circ (\rho_j(z) - \varepsilon) + \varphi_j(z)}{\tau(-\varepsilon)} \right) \right\}.$$

It follows that  $\phi^\varepsilon|_{D_{x_0}}$  is continuous, and hence,  $\phi^\varepsilon$  is continuous on  $\Omega \cap (\bigcup_j V_j)$ .

Now, we prove  $\varphi$  is continuous. Let  $\{\varphi < M + 1\} \Subset \Omega' \Subset \Omega$  and  $\Omega \cap (\bigcup_j V_j) \Subset K' \Subset K$ . It is easy to see that  $\lim_{k \rightarrow \infty} \sup_{\Omega' \setminus K'} \phi^{\varepsilon_k} = 0$  and  $\inf_{\Omega' \setminus K'} \phi > 0$  (since we can choose  $K'$ ,  $K$  sufficiently large and near  $\Omega$ ). Hence there exists  $k_0$  such that

$$\sup_{\Omega' \setminus K'} \phi^{\varepsilon_k} < \inf_{\Omega' \setminus K'} \phi, \quad \text{for all } k \geq k_0.$$

Thus,  $\phi|_{\Omega' \setminus K'} = \sup\{\phi^{\varepsilon_k}|_{\Omega' \setminus K'} : k = 1, 2, \dots, k_0\}$ . Moreover since  $\phi^{\varepsilon_k}|_{\Omega' \setminus K'}$  is continuous, it follows that  $\phi|_{\Omega' \setminus K'}$  is continuous. Thus  $\varphi$  is continuous on  $\Omega'$ , and hence,  $\varphi$  is a continuous  $q$ -subharmonic exhaustion function for  $\Omega$ . The desired conclusion follows.  $\square$

As well-known that, if  $\Omega$  is a pseudoconvex domain in  $\mathbb{C}^n$  and  $K \Subset \Omega$  then  $\Omega \setminus K$  is not pseudoconvex. A raised question here is in the case of  $q$ -convex domains how is the above situation? The following proposition shows that if we take a small enough subset out a  $q$ -convex domain then  $q$ -convexity may be broken.

**PROPOSITION 3.5.** *Let  $A$  be an analytic subset of  $\Omega \subset \mathbb{C}^n$  with  $\dim A = k$ . Then  $\Omega \setminus A$  is not  $(n - k - 1)$ -convex.*

**Proof.** Assume that  $\Omega \setminus A$  is  $(n - k - 1)$ -convex and  $\varphi$  is a continuous  $(n - k - 1)$ -subharmonic exhaustion function of  $\Omega \setminus A$ . Take arbitrary a point  $\xi_0 \in R(A)$ , the regular locus of  $A$ . We may assume that  $\xi_0 = 0$ . Consider the tangent plan  $T_0 A$  and a subspace  $L$  of  $\mathbb{C}^n$  containing  $T_0 A$  with  $\dim L = k + 1$ . Write  $\mathbb{C}^n = L^\perp \oplus L$ . We have  $\dim(L^\perp \cap A) = 0$ . Indeed, in the converse case we can find a complex line  $l$  in  $L^\perp \cap T_0 A$  and we get a contradiction because  $l \subset T_0 A \subset L$  and  $L \cap L^\perp = \{0\}$ . Thus, there exists a neighborhood  $U' \times U''$  of  $0 \in L^\perp \oplus L$  such that  $(\partial U' \times U'') \cap A$  is empty. Given  $\xi'' \in L \setminus \pi(A)$ , where  $\pi: L^\perp \oplus L \rightarrow L$  is the orthogonal projection. Then  $(U' \times \{\xi''\}) \cap A$  is empty. Since  $\varphi(\bullet, \xi'')$  is subharmonic on  $U'$ , we have

$$\sup_{U' \times \{\xi''\}} \varphi(\bullet, \xi'') = \sup_{\partial U' \times \{\xi''\}} \varphi(\bullet, \xi'') \leq \sup_{\partial U' \times U''} \varphi < +\infty. \quad (3.3)$$

On the other hand,  $L \setminus \pi(A)$  is dense in  $L$  because  $\dim L > \dim A \geq \dim \pi(A)$  we can find a sequence  $\{(\xi'_k, \xi''_k)\} \subset U' \times (U'' \setminus \pi(A))$  converging to  $0 = \xi_0 \in R(A)$ . Thus,  $\varphi(\xi'_k, \xi''_k) \rightarrow +\infty$  which contradicts (3.3). The proof is complete.  $\square$

Finally, we give a result about  $q$ -convexity of Hartogs domains.

**PROPOSITION 3.6.** *Let  $1 < p, q \leq n$  and let  $\Omega \subset \mathbb{C}^n$  be a  $(q - 1)$ -convex domain. Assume that  $\varphi$  is a continuous  $(p - 1)$ -subharmonic function in  $\mathbb{C}^n$ . Then the Hartogs domain*

$$\Omega_\varphi = \{(z, \lambda) \in \Omega \times \mathbb{C} : |\lambda| < e^{-\varphi(z)}\}$$

*is  $\max(p, q)$ -convex.*

**Proof.** By Corollary 2.7  $\varphi \circ \pi$  is a continuous  $p$ -subharmonic function on  $\Omega \times \mathbb{C}$ , where  $\pi: \mathbb{C}^{n+1} \rightarrow \mathbb{C}^n$  denotes the canonical projection. Hence, the function  $\phi(z, \lambda) := \log |\lambda| + \varphi(z)$  is continuous  $p$ -subharmonic. Thus  $\{(z, \lambda) \in \mathbb{C}^n \times \mathbb{C} : |\lambda| < e^{-\varphi(z)}\}$  is a  $p$ -convex domain with the exhaustion function  $\varrho(z, \lambda) = -\frac{1}{\log |\lambda| + \varphi(z)}$ .

On the other hand, since  $\Omega \subset \mathbb{C}^n$  is a  $(q - 1)$ -convex domain so that there is a continuous  $(q - 1)$ -subharmonic exhaustion function  $\psi$  of  $\Omega$ . Hence again by Corollary 2.7  $\psi \circ \pi$  is a continuous  $q$ -subharmonic function on  $\Omega \times \mathbb{C}$ . Thus

$$\tilde{\varrho}(z, \lambda) = \max\{\psi(z), 0\} + |\lambda|$$

is a continuous  $q$ -subharmonic exhaustion function of  $\Omega \times \mathbb{C}$ . Hence  $\Omega \times \mathbb{C}$  is a  $q$ -convex domain. It is easy to check that  $\Omega_\varphi = (\Omega \times \mathbb{C}) \cap \{(z, \lambda) \in \mathbb{C}^n \times \mathbb{C} : |\lambda| < e^{-\varphi(z)}\}$  is  $\max(p, q)$ -convex. This completes the proof.  $\square$

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