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Discrete thickness

Abstract: We investigate the relationship between a discrete version of thickness and its smooth counterpart. These discrete energies are defined on equilateral polygons with n vertices. It will turn out that the smooth ropelength, which is the scale invariant quotient of length divided by thickness, is the Γ -limit of the discrete ropelength for $n \rightarrow \infty$, regarding the topology induced by the Sobolev norm $\|\cdot\|_{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)}$. This result directly implies the convergence of almost minimizers of the discrete energies in a fixed knot class to minimizers of the smooth energy. Moreover, we show that the unique absolute minimizer of inverse discrete thickness is the regular n -gon.

Keywords: discrete energy; thickness; ropelength; Γ -convergence; geometric knot theory; ideal knot; Schur's Theorem

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1 Introduction

In this article we are concerned with the relationship of a discrete version of the *thickness* Δ of a curve y , defined by

$$\Delta[y] := \inf_{\substack{x,y,z \in y(\mathbb{S}_1) \\ x=y=z=x}} r(x, y, z)$$

on \mathcal{C} , the set of all curves $y : \mathbb{S}_1 \rightarrow \mathbb{R}^d$ that are parametrised by arc length, i.e., $y \in C^{0,1}(\mathbb{S}_1, \mathbb{R}^d) = W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$ with $|y'| = 1$ a.e., and have length $\int_{\mathbb{S}_1} |y'| dt = 1$. Here, \mathbb{S}_1 is the circle of length 1 and $r(x, y, z)$ the radius of the unique circle that contains x, y and z , which is set to infinity if the three points are collinear. This notion of thickness was introduced in [8] and is equivalent to the Federer's reach, see [5]. Geometrically, the thickness of a curve gives the radius of the largest uniform tubular neighbourhood about the curve that does not intersect itself. The *ropelength*, which is length divided by thickness, is scale invariant and a knot is called *ideal* if it minimizes ropelength in a fixed knot class or, equivalently, minimizes this energy amongst all curves in this knot class with fixed length. These ideal knots are of great interest, not only to mathematicians but also to biologists, chemists, physicists, . . . , since they exposit interesting physical features and resemble the time-averaged shapes of knotted DNA molecules in solution [10, 11, 25], see [24, 26] for an overview of physical knot theory with applications. The existence of ideal knots in every knot class was settled in [2, 7, 9] and it was found that the unique absolute minimizer (in all knot classes) is the round circle. Furthermore, this energy is self-repulsive, meaning that finite energy prevents the curve from having self intersections. By now it is well-known that thick curves, or in general manifolds of positive reach, are of class $C^{1,1}$ and vice versa, see [5, 13, 21, 22]. In [2] it was shown that ideal links must not be of class C^2 and computer experiments in [28] suggest that $C^{1,1}$ regularity is optimal for knots, too. Still, there is a conjecture [2, Conjecture 24] that ropelength minimizers are piecewise analytic. Further interesting properties of critical points for the rope-

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length as well as the Euler-Lagrange equation were derived in [1, 22, 23].

Another way to write the thickness of an arc length curve is

$$\Delta[y] = \min\{\min\text{Rad}(y), 2^{-1} \text{dcsd}(y)\}, \quad (1)$$

which by [22] holds for all arc length curves with positive thickness. The *minimal radius of curvature* $\min\text{Rad}(y)$ of y is the inverse of the *maximal curvature* $\max\text{Curv}(y) := \|y''\|_{L^\infty}$ and $\text{dcsd}(y) := \min_{(x,y) \in \text{dcrit}(y)} |y - x|$ is the *doubly critical selfdistance*. The set of *doubly critical points* $\text{dcrit}(y)$ of a C^1 curve y consists of all pairs (x, y) where $x = y(t)$ and $y = y(s)$ are distinct points on y so that $\langle y'(t), y(t) - y(s) \rangle = \langle y'(s), y(t) - y(s) \rangle = 0$, i.e., s is critical for $u \mapsto |y(t) - y(u)|^2$ and t for $v \mapsto |y(v) - y(s)|^2$.

Appropriate versions of thickness for polygons derived from the representation in (1) are already available. The curvature of a polygon, localized at a vertex y , is defined by

$$\kappa_d(x, y, z) := \frac{2 \tan(\frac{\phi}{2})}{\frac{|x-y|+|z-y|}{2}} \quad \text{and as an alternative} \quad \kappa_{d,2}(x, y, z) := \frac{\phi}{\frac{|x-y|+|z-y|}{2}}$$

where x and z are the vertices adjacent to y and $\phi = \angle(y - x, z - y)$ is the exterior angle at y , note $\kappa_{d,2} \leq \kappa_d$. We then set $\min\text{Rad}(p) := \max\text{Curv}(p)^{-1} := \min_{i=1,\dots,n} \kappa_d^{-1}(x_{i-1}, x_i, x_{i+1})$ if the polygon p has the consecutive vertices x_i , $x_0 := x_n$, $x_{n+1} := x_1$; $\min\text{Rad}_2$ and $\max\text{Curv}_2$ are defined accordingly. The doubly critical self distance of a polygon p is given as for a smooth curve if we define $\text{dcrit}(p)$ to consist of pairs (x, y) where $x = p(t)$ and $y = p(s)$ and s locally extremizes $u \mapsto |p(t) - p(u)|^2$ and t locally extremizes $v \mapsto |p(v) - p(s)|^2$. Now, the discrete thickness Δ_n defined on \mathcal{P}_n , the class of arc length parametrisations of equilateral polygons of length 1 with n segments is defined analogously to (1) by

$$\Delta_n[p] = \min\{\min\text{Rad}(p), 2^{-1} \text{dcsd}(p)\}$$

if all vertices are distinct and $\Delta_n[p] = 0$ if two vertices of p coincide. This notion of thickness was introduced and investigated by Rawdon in [16–19] and by Millett, Piatek and Rawdon in [14]. In this series of works alternative representations of smooth and discrete thickness were established that were then used to show that not only does the value of the minimal discrete inverse thickness converge to the minimal smooth inverse thickness in every tame knot class, but, additionally, a subsequence of the discrete equilateral minimizers, which are shown to exist in every tame knot class, converge to a smooth minimizer of the same knot type in the C^0 topology as the number of segments increases, at least if we require that all discrete minimizers are bounded in L^∞ . Furthermore, it was shown that discrete thickness is continuous, for example on the space of simple equilateral polygons with fixed segment length. In [3, 19] similar questions for more general energy functions were considered.

In the present work we continue this line of thought and investigate the way in which the discrete thickness approximates smooth thickness in more detail. It will turn out that the right framework is given by Γ -convergence. This notion of convergence that was invented by DeGiorgi is devised in such a way as to allow the convergence of minimizers and even almost minimizers. For the convenience of the reader we summarise the relevant facts on Γ -convergence in Section 2.

Theorem 1.1 (Convergence of discrete inverse to smooth inverse thickness). *For every tame knot class \mathcal{K} we have that*

$$\Delta_n^{-1} \xrightarrow{\Gamma} \Delta^{-1} \quad \text{on } (\mathcal{C}(\mathcal{K}), \|\cdot\|_{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^3)}).$$

Here, the addition of a knot class \mathcal{K} means that only knots of this particular knot class are considered. The functionals are extended by infinity outside their natural domain. By the properties presented in Section 2 together with Proposition 1.4, we obtain the following convergence result of polygonal ideal knots to smooth ideal knots improving the convergence in [19, Theorem 8.5] from C^0 to $W^{1,\infty} = C^{0,1}$.

Corollary 1.2 (Ideal polygonal knots converge to smooth ideal knots). *Let \mathcal{K} be a tame knot class, $p_n \in \mathcal{P}_n(\mathcal{K})$ bounded in L^∞ with $|\inf_{\mathcal{P}_n(\mathcal{K})} \Delta_n^{-1} - \Delta_n[p_n]^{-1}| \rightarrow 0$. Then there is a subsequence*

$$p_{n_k} \xrightarrow[k \rightarrow \infty]{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^3)} y \in \mathcal{C}(\mathcal{K}) \quad \text{with} \quad \Delta[y]^{-1} = \inf_{\mathcal{C}(\mathcal{K})} \Delta^{-1} = \lim_{k \rightarrow \infty} \Delta_{n_k}[p_{n_k}]^{-1}.$$

The subsequent compactness result is proven via a version of Schur's Comparison Theorem (see Proposition 3.1) that allows to compare polygons with circles.

Proposition 1.3 (Compactness). *Let $p_n \in \mathcal{P}_n(\mathcal{K})$ be bounded in L^∞ with $\liminf_{n \rightarrow \infty} \max \text{Curv}(p_n) < \infty$. Then there is $y \in C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ and a subsequence*

$$p_{n_k} \xrightarrow[k \rightarrow \infty]{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)} y \in \mathcal{C} \quad \text{with} \quad \max \text{Curv}(y) \leq \liminf_{n \rightarrow \infty} \max \text{Curv}(p_n).$$

This result is then used to show another compactness result that additionally guarantees that the limit curve belongs to the same knot class, if one assures that the doubly critical self distance is bounded too.

Proposition 1.4 (Compactness II). *Let $p_n \in \mathcal{P}_n(\mathcal{K})$ be bounded in L^∞ with $\liminf_{n \rightarrow \infty} \Delta_n[p_n]^{-1} < \infty$. Then there is*

$$y \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d) \quad \text{with} \quad p_{n_k} \rightarrow y \text{ in } W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d).$$

If the knot class is not fixed the unique absolute minimizers of Δ_n^{-1} is the regular n -gon.

Proposition 1.5 (Regular n -gon is unique minimizer of Δ_n^{-1}). *Let $p \in \mathcal{P}_n$ and g_n be the regular n -gon. Then*

$$\Delta_n[g_n]^{-1} \leq \Delta_n[p]^{-1},$$

with equality if and only if p is a regular n -gon.

Organisation

The paper is organized as follows. In Section 2 we repeat the relevant facts about Γ -convergence necessary to understand the course of this paper. The aim of Section 3 is to establish a version of Schur's Comparison Theorem that allows to compare polygons with circles. This theorem is needed in Section 4 to prove the compactness result Proposition 1.3. Then Section 5 and Section 6 are dedicated to the proof of the \liminf and \limsup inequality, respectively, the two ingredients required to establish Γ -convergence. In Section 7 we show that the unique absolute minimizer of inverse discrete thickness is the regular n -gon.

2 Prelude in Γ -convergence

In this section we want to acquaint the reader with Γ -convergence and repeat its (to us) most important property.

Definition 2.1 (Γ -convergence). Let X be a topological space, $\mathcal{F}, \mathcal{F}_n : X \rightarrow \overline{\mathbb{R}} := \mathbb{R} \cup \{\pm\infty\}$. We say that \mathcal{F}_n Γ -converges to \mathcal{F} , written $\mathcal{F}_n \xrightarrow{\Gamma} \mathcal{F}$, if

- for every $x_n \rightarrow x$ holds $\mathcal{F}(x) \leq \liminf_{n \rightarrow \infty} \mathcal{F}_n(x_n)$,
- for every $x \in X$ there are $x_n \rightarrow x$ with $\limsup_{n \rightarrow \infty} \mathcal{F}_n(x_n) \leq \mathcal{F}(x)$.

The first inequality is usually called \liminf inequality and the second one \limsup inequality. Note, that if the functionals are only defined on subspaces Y and Y_n of X and we extend the functionals by plus infinity on the rest of X it is enough to show that the \liminf inequality holds for every $x_n \in Y_n$, $x \in X$ and the

lim sup inequality for $x \in Y$ and $x_n \in Y_n$ in order to establish $\mathcal{F}_n \xrightarrow{\Gamma} \mathcal{F}$. In our application we have $X = \mathcal{C}(\mathcal{K})$, $Y = \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ and $Y_n = \mathcal{P}_n(\mathcal{K})$.

This convergence is modeled in such a way that it allows the convergence of minimizers and even almost minimizers of the functionals \mathcal{F}_n to minimizers of the limit functional \mathcal{F} .

Theorem 2.2 (Convergence of minimizers, [4, Corollary 7.17, p.78]). *Let $\mathcal{F}_n, \mathcal{F} : X \rightarrow \overline{\mathbb{R}}$ with $\mathcal{F}_n \xrightarrow{\Gamma} \mathcal{F}$. Let $\epsilon_n > 0$, $\epsilon_n \rightarrow 0$ and $x_n \in X$ with $|\inf \mathcal{F}_n - \mathcal{F}_n(x_n)| \leq \epsilon_n$. If $x_{n_k} \rightarrow x$ then*

$$\mathcal{F}(x) = \inf \mathcal{F} = \lim_{k \rightarrow \infty} \mathcal{F}_n(x_{n_k}).$$

In order to use this result in our application where we want to show that minimizers of the discrete functional \mathcal{F}_n converge to minimizers of the “smooth” functional \mathcal{F} we do need $\mathcal{F}_n \xrightarrow{\Gamma} \mathcal{F}$ as well as an additional compactness result that show that there is a subsequence $x_{n_k} \rightarrow x$ with $x \in X$.

3 Schur’s Theorem for polygons

In this section we want to estimate for how many vertices a polygon that starts tangentially at a sphere stays out of this sphere if the curvature of the polygon is bounded in terms of the radius of the sphere. It turns out that make such an estimate we need Schur’s Comparison Theorem for a polygon and a circle. This theorem for smooth curves basically says that if the curvature of a smooth curve is strictly smaller than the curvature of a convex planar curve then the endpoint distance of the planar convex curve is strictly smaller than the endpoint distance of the other curve. There already is a version of this theorem for classes of curves including polygons, see [29, Theorem 5.1], however, with the drawback that the hypotheses there do not allow to compare polygons and smooth curves.

Proposition 3.1 (Schur’s Comparison Theorem). *Let $p \in C^{0,1}(I, \mathbb{R}^d)$, $I = [0, L]$ be the arc length parametrisation of a polygon with $\max \text{Curv}_2(p) \leq K$ and $KL \leq \pi$. Let η be the arc length parametrisation of a circle of curvature K . Then*

$$|\eta(L) - \eta(0)| < |p(L) - p(0)|.$$

Proof. Let $p(a_k)$ be the vertices of the polygon, $a_0 = 0$. We write $\alpha_{i,j} := \angle(p'(t_i), p'(t_j))$, where t_k is an interior point of $I_k := [a_{k-1}, a_k]$. From the curvature bound we get $\alpha_{i,i+1} \leq K \frac{|I_i| + |I_{i+1}|}{2}$ and hence for $i \leq j$ we can estimate $\alpha_{i,j} \leq \sum_{k=i}^{j-1} \alpha_{k,k+1} \leq \frac{K}{2} \sum_{k=i}^{j-1} (|I_k| + |I_{k+1}|)$. Now,

$$|p(L) - p(0)|^2 = \int_I \int_I \langle p'(s), p'(u) \rangle \, ds \, du = \sum_{i,j=1}^n \int_{I_i} \int_{I_j} \cos(\alpha_{i,j}) = \sum_{\substack{i,j=1 \\ i=j}}^n |I_i| |I_j| + 2 \sum_{\substack{i,j=1 \\ i < j}}^n |I_i| |I_j| \cos(\alpha_{i,j}).$$

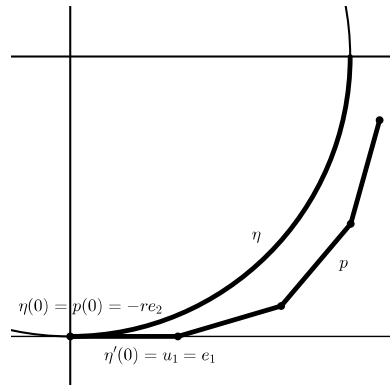


Fig. 2. The situation in the proof of Corollary 3.2.

Proof. Without loss of generality we might assume that the sphere is centred at the origin and that p touches the sphere at $p(0) = -re_2$ with $u_1 = e_1$, where $r = K^{-1}$ and $u_i \in \mathbb{S}^{d-1}$ are the directions of the edges. We have to show that $|p(a_k)| > r$ for $k = 1, \dots, n$. Let η be the arc length parametrisation of the circle of radius r about the origin in the e_1, e_2 plane, starting at $\eta(0) = p(0)$ with $\eta'(0) = u_1 = e_1$. On the unit sphere equipped with the great circle distance, i.e., angle, we have $\frac{\pi}{2} = d(e_1, e_2) \leq d(e_1, u_1) + \sum_{i=1}^{k-1} d(u_i, u_{i+1}) + d(u_k, e_2)$ and hence $u_1 = e_1$ and the curvature bound imply

$$\begin{aligned} d(\eta'(a_{k-1}), e_2) &= d(e_1, e_2) - d(e_1, \eta'(a_{k-1})) = \frac{\pi}{2} - d(\eta'(0), \eta'(a_{k-1})) = \frac{\pi}{2} - \int_0^{a_{k-1}} |\eta''| dt \\ &= \frac{\pi}{2} - Ka_{k-1} = \frac{\pi}{2} - K \sum_{i=1}^{k-1} \frac{|I_i| + |I_{i+1}|}{2} \leq \frac{\pi}{2} - \sum_{i=1}^{k-1} d(u_i, u_{i+1}) \leq d(u_k, e_2), \end{aligned}$$

since $\eta'|_{[0,L]}$ is a parametrisation of the unit circle in the e_1, e_2 plane from e_1 to e_2 with constant speed $|\eta''| = K$. Now, we can estimate

$$\begin{aligned} \langle p(a_k) - p(0), p(0) \rangle &= \left\langle \sum_{i=1}^k |I_i| u_i, -re_2 \right\rangle = -r \sum_{i=1}^k |I_i| \cos(d(u_i, e_2)) \geq -r \sum_{i=1}^k |I_i| \cos(d(\eta'(a_{i-1}), e_2)) \\ &\geq -r \sum_{i=1}^k \int_{I_i} \cos(d(\eta'(t), e_2)) dt = \int_0^{a_k} \langle \eta'(t), -re_2 \rangle dt = \langle \eta(a_k) - \eta(0), \eta(0) \rangle, \end{aligned} \quad (2)$$

as $d(\eta'(t), e_2) \leq d(\eta'(a_{i-1}), e_2)$ for $t \in I_i$. Using Schur's Comparison Theorem, Proposition 3.1, and (2) we conclude

$$\begin{aligned} |p(a_k)|^2 &= |p(a_k) - p(0) + p(0)|^2 = |p(a_k) - p(0)|^2 + 2\langle p(a_k) - p(0), p(0) \rangle + |p(0)|^2 \\ &> |\eta(a_k) - \eta(0)|^2 + 2\langle \eta(a_k) - \eta(0), \eta(0) \rangle + |\eta(0)|^2 = |\eta(a_k)|^2 = r^2. \end{aligned}$$

□

4 Compactness

Note, that since the domain is bounded we have $C^{0,1}(\mathbb{S}_1, \mathbb{R}^d) = W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$.

Proposition 4.1 (Compactness). *Let $p_n \in \mathcal{P}_n(\mathcal{K})$ be bounded in L^∞ with $\liminf_{n \rightarrow \infty} \max \text{Curv}(p_n) < \infty$. Then there is $y \in C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ and a subsequence*

$$p_{n_k} \xrightarrow[k \rightarrow \infty]{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)} y \in \mathcal{C} \quad \text{with} \quad \max \text{Curv}(y) \leq \liminf_{n \rightarrow \infty} \max \text{Curv}(p_n).$$

Proof. Step 1 Without loss of generality, by taking subsequences if necessary, we assume $\max \text{Curv}(p_n) \leq K < \infty$ for all $n \in \mathbb{N}$. As p_n is bounded in $W^{1,\infty}$ there is a subsequence (for notational convenience denoted by the same indices) converging to $y \in W^{1,2}(\mathbb{S}_1, \mathbb{R}^d)$ strongly in $C^0(\mathbb{S}_1, \mathbb{R}^d)$ and weakly in $W^{1,2}(\mathbb{S}_1, \mathbb{R}^d)$. First we have to show that y is also parametrised by arc length, i.e., $|y'| = 1$ a.e.. Since $|p'_n| = 1$ a.e. testing with $\varphi = y' \cdot \chi_{\{|y'|>1\}}$, χ_A the characteristic function of A , yields

$$0 \leftarrow \int_{\mathbb{S}_1} \langle p'_n - y', \varphi \rangle dt = \int_{\{|y'|>1\}} \langle p'_n - y', y' \rangle dt \leq \int_{\{|y'|>1\}} (|p'_n||y'| - |y'|^2) dt = \int_{\{|y'|>1\}} |y'| \underbrace{(1 - |y'|)}_{<0} dt$$

and thus $|y'| \leq 1 = |p'_n|$ a.e.. Additionally, we know from Schur's Theorem, Proposition 3.1, that if η is the arc length parametrisation of a circle of curvature K , then for a.e. t we have that

$$\begin{aligned} |y'(t)| &= \lim_{h \rightarrow 0} \left| \frac{y(t+h) - y(t)}{h} \right| \geq \lim_{h \rightarrow 0} \lim_{n \rightarrow \infty} \left(\left| \frac{p_n(t+h) - p_n(t)}{h} \right| - \left| \frac{(y(t+h) - p_n(t+h)) - (y(t) - p_n(t))}{h} \right| \right) \\ &= \lim_{h \rightarrow 0} \lim_{n \rightarrow \infty} \left| \frac{p_n(t+h) - p_n(t)}{h} \right| \geq \lim_{h \rightarrow 0} \left| \frac{\eta(t+h) - \eta(t)}{h} \right| = |\eta'(t)| = 1. \end{aligned}$$

Step 2 Denote by p'^- and p'^+ the left and right derivative of a polygon. From the curvature bound and Corollary 3.2 we know that any sphere of curvature K attached tangentially to the direction $p'_n{}^+(t)$ at a vertex $p_n(t)$, and thus a whole horn torus, cannot contain any vertex of p_n restricted to $(t, t + \frac{\pi}{2K})$, and the same is true for $p'_n{}^-(t)$ with regard to $(t - \frac{\pi}{2K}, t)$. Let

$$t_{n_k} \rightarrow t \quad \text{such that} \quad p_{n_k}(t_{n_k}) \text{ is a vertex} \quad \text{and} \quad p'_{n_k}{}^\pm(t_{n_k}) \rightarrow u^\pm \in \mathbb{S}^{d-1}. \quad (3)$$

Then $u^+ = u^-$ since

$$d(u^+, u^-) \leq d(u^+, p'_{n_k}{}^+(t_{n_k})) + d(p'_{n_k}{}^+(t_{n_k}), p'_{n_k}{}^-(t_{n_k})) + d(p'_{n_k}{}^-(t_{n_k}), u^-) \leq d(u^+, p'_{n_k}{}^+(t_{n_k})) + \frac{K}{n_k} + d(p'_{n_k}{}^-(t_{n_k}), u^-) \rightarrow 0.$$

For every t we can find a sequence of t_{n_k} with (3) and thanks to $p_{n_k} \rightarrow y$ in C^0 the (two) horn tori belonging to $p_{n_k}(t_{n_k})$ converge to a horn torus at $y(t)$ in direction $u^+ = u^-$ such that y does not enter the torus on the parameter range $B_{\frac{\pi}{4K}}(t)$. Then according to [6, Satz 2.14, p.26] we have that $y \in C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ and $\max \text{Curv}(y) \leq K$. Especially, $y'(t) = u^\pm$.

Step 3 If we had $\|p'_n - y'\|_{L^\infty} \rightarrow 0$ then for every $\epsilon > 0$ there is an N such that for $n \geq N$ we have that

$$|p'_n{}^+(\frac{i}{n}) - y'(\frac{i}{n})| = |p'_n(t) - y'(\frac{i}{n})| \leq |p'_n(t) - y'(t)| + |y'(t) - y'(\frac{i}{n})| \leq \epsilon + \frac{K}{n}$$

for all $i \in \{0, \dots, n-1\}$, $t \in (\frac{i}{n}, \frac{i+1}{n})$. Hence,

$$\sup_{i=0, \dots, n-1} |p'_n{}^+(\frac{i}{n}) - y'(\frac{i}{n})| \xrightarrow{n \rightarrow \infty} 0. \quad (4)$$

Now assume that (4) holds. Let $\epsilon > 0$. Then there is $N \in \mathbb{N}$ such that for every $n \geq N$ and every t such that $p_n(t)$ is not a vertex we find $i = i(n)$ with

$$|p'_n(t) - y'(t)| \leq |p'_n{}^+(\frac{i}{n}) - y'(\frac{i}{n})| + |y'(\frac{i}{n}) - y'(t)| \leq \epsilon + \frac{K}{n} \rightarrow 0.$$

Thus, (4) is equivalent to $\|p'_n - y'\|_{L^\infty} \rightarrow 0$. Assume that $\|p'_{n_k} - y'\|_{L^\infty} \not\rightarrow 0$. Then there is a sequence of parameters t_{n_k} as in (3) with $p'_{n_k}{}^\pm(t_{n_k}) \rightarrow u^\pm \neq y'(t)$, which contradicts the results of Step 1. Hence $p_{n_k} \rightarrow y$ in $W^{1,\infty}$ \square

Proposition 4.2 (Compactness II). *Let $p_n \in \mathcal{P}_n(\mathcal{K})$ be bounded in L^∞ with $\liminf_{n \rightarrow \infty} \Delta_n[p_n]^{-1} < \infty$. Then there is*

$$y \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d) \quad \text{with} \quad p_{n_k} \rightarrow y \text{ in } W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d).$$

Proof. Without loss of generality let $\Delta_n[p_n]^{-1} \leq K < \infty$ for all $n \in \mathbb{N}$. Note, that $\Delta_n[p_n]^{-1} < \infty$ means that p_n is injective. From Proposition 1.3 we know that there is a subsequence converging to $y \in \mathcal{C} \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ in $W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$. It remains to be shown that $y \in \mathcal{K}$. In order to deduce this from Proposition 4.3 we must show that y is injective. Assume that this is not the case. Then there are $s \neq t$ with $y(s) = y(t) = x$. Let $r_n := \|y - p_n\|_{L^\infty(\mathbb{S}_1, \mathbb{R}^d)} + \frac{1}{n}$, i.e., $p_n(s), p_n(t) \in B_{r_n}(x)$, and let n be large enough to be sure that there are u, v with $p_n(u), p_n(v) \in B_{4r_n}(x)$. The *singly critical self distance* $\text{scsd}(p)$ of a polygon p is given by $\text{scsd}(p) := \min_{(y,z) \in \text{crit}(p)} |z - y|$, where $\text{crit}(p)$ consists of pairs (y, z) where $y = p(t)$ and $z = p(s)$ and s locally extremizes $w \mapsto |p(t) - p(w)|^2$. In [14, Theorem 3.6] it was shown that for $p \in \mathcal{P}_n$ we have that $\Delta_n[p] = \min\{\min\text{Rad}(p), \text{scsd}(p)\}$. Since the mapping $f(w) = |p_n(t) - p_n(w)|$ is continuous with $f(s) \leq 2r_n$ and $f(u), f(v) \geq 3r_n$ we have

$$\text{scsd}(p_n) \leq \min_{\alpha} f \leq f(s) = |p_n(t) - p_n(s)| \leq 2r_n \rightarrow 0,$$

where α is the arc on \mathbb{S}_1 from u to v that contains s . This contradicts $\Delta_n[p_n]^{-1} \leq K$. Thus, we have proven the proposition. \square

Proposition 4.3 (Convergence of polygons does not change knot class). *Let $y \in \mathcal{C} \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ be injective and $p_n \in \mathcal{P}_n(\mathcal{K})$ with $p_n \rightarrow y$ in $W^{1,\infty}$. Then $y \in \mathcal{K}$.*

Proof. Step 1 For $\|p - y\|_{W^{1,\infty}} \leq \frac{\Delta[y]}{2}$ [7, Lemma 4] together with Lemma 4.4 and [5, 4.8 Theorem (8)] allows us to estimate

$$|y^{-1}(\xi_y(y(s))) - y^{-1}(\xi_y(p(s)))| \leq \tilde{c}^{-1} |\xi_y(y(s)) - \xi_y(p(s))| \leq 2\tilde{c}^{-1} |y(s) - p(s)|. \quad (5)$$

Here, ξ_y is the nearest point projection onto y . This means

$$\begin{aligned} |p'(s) - y'(y^{-1}(\xi_y(p(s))))| &\leq |p'(s) - y'(s)| + |y'(s) - y'(y^{-1}(\xi_y(p(s))))| \\ &\leq \|p' - y'\|_{L^\infty} + \Delta[y]^{-1} |s - y^{-1}(\xi_y(p(s)))| = \|p' - y'\|_{L^\infty} + \Delta[y]^{-1} |y^{-1}(\xi_y(y(s))) - y^{-1}(\xi_y(p(s)))| \\ &\leq \|p' - y'\|_{L^\infty} + \Delta[y]^{-1} 2\tilde{c}^{-1} |y(s) - p(s)| \leq C \|p - y\|_{W^{1,\infty}}. \end{aligned} \quad (6)$$

Note, that although we have a fixed parameter s we still can estimate $|p'(s) - y'(s)| \leq \|p' - y'\|_{L^\infty}$ since $p' - y'$ is piecewise continuous. If $p(s)$ is a vertex the estimate still holds if we identify $p'(s)$ with either the left or right derivative.

Step 2 Let $s_n, t_n \in I$, $s_n < t_n$ with $\xi_y(p_n(s_n)) = \xi_y(p_n(t_n))$. We want to show that this situation can only happen for a finite number of n . Assume that this is not true. Let $u_n \in [s_n, t_n]$ such that $p_n(u_n)$ is a vertex and maximizes the distance to $y(y_n) + y'(y_n)^\perp$ for $y_n = y^{-1}(\xi_y(p_n(s_n)))$. For the right derivative $p'^+(u_n)$ we have that $d(p'^+(u_n), y'(y_n)) \geq \frac{\pi}{2}$. As in (5) we have $|p_n(s_n) - p_n(t_n)| \leq 4\tilde{c}^{-1} \|p_n - y\|_{W^{1,\infty}}$ and hence for some subsequence $s_n \rightarrow s_0$, $t_n \rightarrow t_0$ and $p_n(s_n) \rightarrow y(s_0)$, $p_n(t_n) \rightarrow y(t_0)$ so that $s_0 = t_0$, since y is injective. Therefore also $p_n(u_n) \rightarrow y(t_0)$. But on the other hand (6) for $s = u_n$, $y^{-1}(\xi_y(p_n(u_n))) = z_n$ and d the distance on the sphere gives a contradiction via

$$\begin{aligned} \frac{\pi}{2} - \frac{\pi}{2} C \|p_n - y\|_{W^{1,\infty}} &\stackrel{(6)}{\leq} d(p'^+(u_n), y'(y_n)) - d(p'^+(u_n), y'(z_n)) \\ &\leq d(y'(y_n), y'(z_n)) \leq \frac{\pi}{2} \Delta[y]^{-1} |y_n - z_n| \stackrel{(5)}{\leq} \frac{\pi}{2} \Delta[y]^{-1} 2\tilde{c}^{-1} |p_n(s_n) - p_n(u_n)| \rightarrow 0. \end{aligned}$$

Step 3 Now we are in a situation similar to [9, Proof of Lemma 5], [27, Theorem 4.10] and as there we can construct an ambient isotopy by moving the point $p_n(s)$ to $y(y^{-1}(\xi_y(p_n(s))))$ along a straight line segment in the circular cross section of the tubular neighbourhood about y . \square

Lemma 4.4 (Injective locally bi-L. mappings on compact sets are globally bi-L.). *Let (K, d_1) , (X, d_2) be non-empty metric spaces, K compact and $f : K \rightarrow X$ be an injective mapping that is locally bi-Lipschitz, i.e., there are constants $c, C > 0$ such that for every $x \in K$ there is a neighbourhood U_x of x with*

$$c d_1(x, y) \leq d_2(f(x), f(y)) \leq C d_1(x, y) \quad \text{for all } y \in U_x.$$

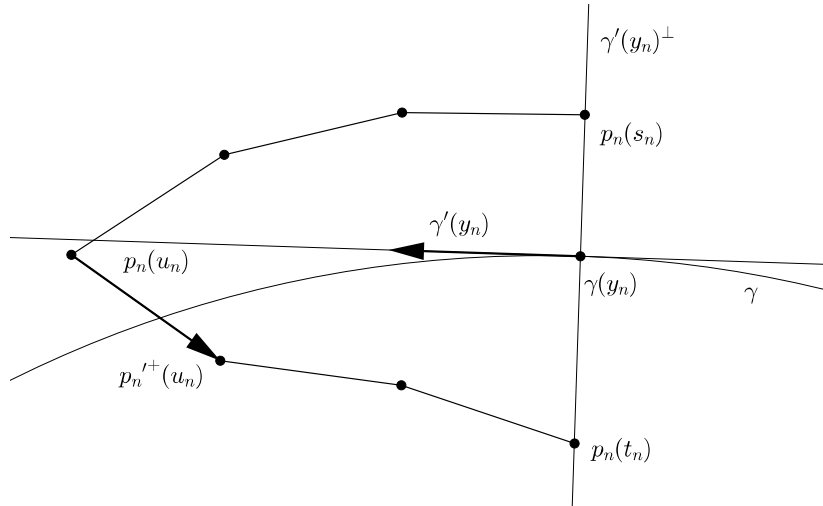


Fig. 3. The situation in the proof of Proposition 4.3.

Then there are constants $\tilde{c}, \tilde{C} > 0$ with

$$\tilde{c} d_1(x, y) \leq d_2(f(x), f(y)) \leq \tilde{C} d_1(x, y) \quad \text{for all } x, y \in K. \quad (7)$$

Proof. By Lebesgue's Covering Lemma we obtain a $\text{diam}(K) > \delta > 0$ such that $(B_\delta(x))_{x \in K}$ is a refinement of $(U_x)_{x \in K}$. Then $K_\delta := \{(x, y) \in K^2 \mid d_1(x, y) \geq \delta\}$ is compact and non-empty. Hence

$$0 < \epsilon := \min_{(x, y) \in K_\delta} d_2(f(x), f(y)) \leq \max_{(x, y) \in K_\delta} d_2(f(x), f(y)) =: M < \infty,$$

since $\text{diag}(K) \cap K_\delta = \emptyset$ and f is continuous and injective. Thus

$$d_2(f(x), f(y)) \leq M = C' \delta \leq C' d_1(x, y) \quad \text{for all } x, y \in K_\delta$$

holds for $C' := M\delta^{-1}$ and

$$c' d_1(x, y) \leq c' \text{diam}(K) = \epsilon \leq d_2(f(x), f(y)) \quad \text{for all } x, y \in K_\delta$$

for $c' := \epsilon \text{diam}(K)^{-1}$. Choosing $\tilde{c} := \min\{c, c'\}$ and $\tilde{C} := \max\{C, C'\}$ yields (7), because $(x, y) \in K_\delta$ implies $y \in B_\delta(x) \subset U_x$. \square

5 The lim inf inequality

Using Schur's Theorem for curves of finite total curvature, see for example [29, Theorem 5.1], we can prove Rawdon's result [16, Lemma 2.9.7, p.58] for embedded $C^{1,1}$ curves. Note, that especially the estimate from [12, Proof of Theorem 2] that is implicitly used in the proof of [16, Lemma 2.9.7, p.58] holds for $C^{1,1}$ curves.

Lemma 5.1 (Approximation of curves with $\frac{\text{dcsd}(y)}{2} < \min\text{Rad}(y)$). *Let $y \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ and $p \in \mathcal{P}_n$ for some n such that*

$$\min\text{Rad}(y) - \frac{\text{dcsd}(y)}{2} = \delta > 0 \quad \text{and} \quad \|y - p\|_{L^\infty} < \epsilon$$

for $\epsilon < \delta/4$. Then

$$\text{dcsd}(p) \leq \text{dcsd}(y) + 2\epsilon.$$

Proof. Let $\min\text{Rad}(y) - \frac{\text{dcsd}(y)}{2} = \delta > 0$, $\epsilon < \delta/4$ and set $d := \frac{1}{2}(\min\text{Rad}(y) + \frac{\text{dcsd}(y)}{2})$. By [16, Lemma 2.9.7 2., p.58] there are $(s_0, t_0) \in \bar{A}_{\pi d}^y := \{(s, t) \mid d(s, t) \geq \pi d\}$, see notation in [16], such that

$$|p(s_0) - p(t_0)| < \text{dcsd}(y) + 2\epsilon.$$

Now, let $(\bar{s}, \bar{t}) \in \bar{A}_{\pi d}^y$ such that

$$|p(\bar{s}) - p(\bar{t})| = \min_{(s, t) \in \bar{A}_{\pi d}^y} |p(s) - p(t)| \leq |p(s_0) - p(t_0)| < \text{dcsd}(y) + 2\epsilon. \quad (8)$$

Then either (\bar{s}, \bar{t}) lie in the open set $A_{\pi d}^y := \{(s, t) \mid d(s, t) > \pi d\}$ or by [16, Lemma 2.9.7 1., p.58] we have that

$$|p(\bar{t}) - p(\bar{s})| \geq \min\text{Rad}(y) + \frac{\text{dcsd}(y)}{2} - 2\epsilon = \text{dcsd}(y) + \delta - 2\epsilon > \text{dcsd}(y) + 2\epsilon,$$

which contradicts (8). Hence (\bar{s}, \bar{t}) lie in the open set $A_{\pi d}^y$. This means we can use the argument from [16, Lemma 2.9.8, p.60] to show that $p(\bar{s})$ and $p(\bar{t})$ are doubly critical for p and therefore

$$\text{dcsd}(p) \leq |p(\bar{s}) - p(\bar{t})| \leq \text{dcsd}(y) + 2\epsilon.$$

□

Proposition 5.2 (The lim inf inequality). *Let $y \in \mathcal{C}(\mathcal{K})$, $p_n \in \mathcal{P}_n(\mathcal{K})$ with $p_n \rightarrow y$ in $W^{1,\infty}$ for $n \rightarrow \infty$. Then*

$$\Delta[y]^{-1} \leq \liminf_{n \rightarrow \infty} \Delta_n[p_n]^{-1}.$$

Proof. By Proposition 1.4 we might assume without loss of generality that $y \in C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$. In case $\Delta[y]^{-1} = \max\text{Curv}(y)$ the proposition follows from Proposition 1.3 and in case $\Delta[y]^{-1} = \frac{2}{\text{dcsd}(y)} > \max\text{Curv}(y)$ Lemma 5.1 gives $\limsup_{n \rightarrow \infty} \text{dcsd}(p_n) \leq \text{dcsd}(y)$, so that

$$\Delta[y]^{-1} = \frac{2}{\text{dcsd}(y)} \leq \liminf_{n \rightarrow \infty} \frac{2}{\text{dcsd}(p_n)} \leq \liminf_{n \rightarrow \infty} \Delta_n[p_n]^{-1}.$$

□

Clearly, the previous proposition also holds for subsequences p_{n_k} .

6 The lim sup inequality

Proposition 6.1 (The lim sup inequality). *For every $y \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ there are $p_n \in \mathcal{P}_n(\mathcal{K})$ with $p_n \rightarrow y$ in $W^{1,\infty}$ and*

$$\limsup_{n \rightarrow \infty} \Delta_n[p_n]^{-1} \leq \Delta[y]^{-1}.$$

Proof. In [20, Proposition 8] we showed that if n is large enough we can find an equilateral inscribed closed polygon \tilde{p}_n of length $\tilde{L}_n \leq 1$ with n vertices that lies in the same knot class as y . By rescaling it to unit length via $p_n(t) = L\tilde{L}_n^{-1}\tilde{p}_n(\tilde{L}_n L^{-1}t)$, $L = 1$, we could show in addition that $p_n \rightarrow y$ in $W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$, as $n \rightarrow \infty$.

Step 1 From Figure 4 we see $r = r(x, y, z)$ and

$$\kappa_d(x, y, z) = \frac{4 \tan(\frac{\phi}{2})}{|x - y| + |z - y|} = \frac{2 \tan(\frac{\alpha + \beta}{2})}{\sin(\alpha) + \sin(\beta)} \frac{1}{r}.$$

Thus, we can estimate

$$0 \leq \frac{2 \tan(\frac{\alpha + \beta}{2})}{\sin(\alpha) + \sin(\beta)} - 1 \leq \frac{\tan(\alpha + \beta)}{\sin(\alpha) + \sin(\beta)} - 1 \leq \frac{\tan(\alpha + \beta)}{\sin(\alpha + \beta)} - 1 = \frac{1 - \cos(\alpha + \beta)}{\cos(\alpha + \beta)} \leq (\alpha + \beta)^2 \quad (9)$$

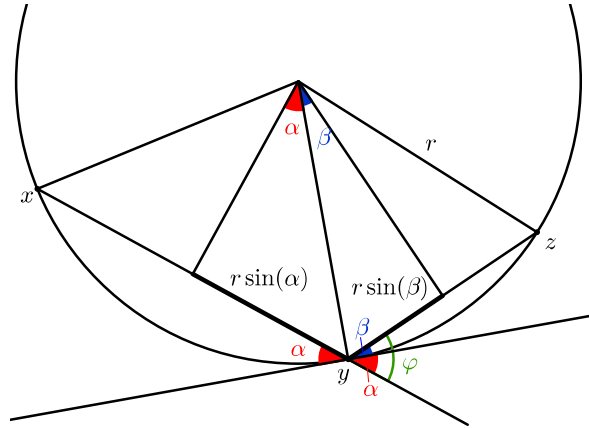


Fig. 4. Quantities for the computation of discrete curvature.

for $\alpha, \beta \in [0, \frac{\pi}{6}]$, since

$$\begin{aligned} \sin(\alpha) + \sin(\beta) &= 2 \left(\sin\left(\frac{\alpha}{2}\right) \cos\left(\frac{\alpha}{2}\right) + \sin\left(\frac{\beta}{2}\right) \cos\left(\frac{\beta}{2}\right) \right) \leq 2 \left(\sin\left(\frac{\alpha}{2}\right) + \sin\left(\frac{\beta}{2}\right) \right) \\ &\leq 2 \frac{\sin\left(\frac{\alpha}{2}\right) \cos\left(\frac{\beta}{2}\right) + \sin\left(\frac{\beta}{2}\right) \cos\left(\frac{\alpha}{2}\right)}{\cos\left(\frac{\alpha+\beta}{2}\right)} = 2 \tan\left(\frac{\alpha+\beta}{2}\right), \end{aligned}$$

$2 \tan\left(\frac{x}{2}\right) \leq \frac{2 \tan\left(\frac{x}{2}\right)}{1 - \tan^2\left(\frac{x}{2}\right)} = \tan(x)$ and $\frac{1}{2} \leq \cos(\alpha + \beta)$, as well as $1 - \frac{(\alpha+\beta)^2}{2} \leq \cos(\alpha + \beta)$. Let $x = y(s)$, $y = y(t)$ and $z = y(u)$ for $s < t < u$ with $|t - s|, |u - t| \leq \frac{2L}{n}$. Now, again by Figure 4, we have

$$2Ln^{-1} \geq |t - s| \geq |y - x| = 2 \sin(\alpha)r \geq 4\pi^{-1}\alpha r \geq 4\pi^{-1}\alpha \Delta[y] \geq \alpha \Delta[y],$$

or in other words $\alpha \leq 2L\Delta[y]^{-1}n^{-1}$ and the same is true for β . According to (9) we can estimate

$$\kappa_d(x, y, z) \leq \frac{1 + (\alpha + \beta)^2}{r} \leq (1 + 16L^2\Delta[y]^{-2}n^{-2})\Delta[y]^{-1}.$$

This means for the sequence of inscribed polygons \tilde{p}_n that

$$\limsup_{n \rightarrow \infty} \max \text{Curv}(\tilde{p}_n) \leq \Delta[y]^{-1}.$$

Step 2 According to [16, Lemma 2.8.2, p.46] the total curvature between two doubly critical points of polygons must be at least π . Let $\tilde{p}_n(s_n)$ and $\tilde{p}_n(t_n)$ be doubly critical for p_n . Using the curvature bound from the previous step we obtain $\pi \leq 2\Delta[y]^{-1}|t_n - s_n|$, so that s_n and t_n cannot converge to the same limit. From Lemma 6.2 we directly obtain

$$\text{dcsd}(y) \leq \liminf_{n \rightarrow \infty} \text{dcsd}(\tilde{p}_n) \Rightarrow \limsup_{n \rightarrow \infty} \frac{2}{\text{dcsd}(\tilde{p}_n)} \leq \frac{2}{\text{dcsd}(y)} \leq \Delta[y]^{-1}.$$

Step 3 Noting that $L\tilde{L}_n^{-1} \rightarrow 1$ the previous steps yield

$$\limsup_{n \rightarrow \infty} \Delta_n[p_n]^{-1} = \limsup_{n \rightarrow \infty} \max \left\{ \max \text{Curv}(p_n), \frac{2}{\text{dcsd}(p_n)} \right\} \leq \Delta[y]^{-1}.$$

□

Lemma 6.2 (Limits of double critical points are double critical). *Let $y \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$, $p_n \in \mathcal{P}_n$ with $p_n \rightarrow y$ in $W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$. Let $s_n \neq t_n$ be such that $s_n \rightarrow s$, $t_n \rightarrow t$ and $s \neq t$. If $p_n(s_n)$ and $p_n(t_n)$ are double critical for p_n . Then $y(s)$ and $y(t)$ are double critical for y .*

Proof. Denote by p'^+ and p'^- the right and left derivative of a polygon p . Since the piecewise continuous derivatives p'_n converge in L^∞ to the continuous derivatives y we have

$$0 \geq \langle p'_n(s_n), p_n(t_n) - p(s_n) \rangle \cdot \langle p'_n(s_n), p_n(t_n) - p(s_n) \rangle \rightarrow \langle y'(s), y(t) - y(s) \rangle^2.$$

The analogous result is obtained if we change the roles of s and t , so that $y(t)$ and $y(s)$ are double critical for y . \square

7 Discrete Minimizers

Lemma 7.1 (Computation of Δ_n for regular n -gon g_n). *For $n \geq 3$ holds*

$$\frac{1}{\Delta_n[g_n]} = 2n \tan\left(\frac{\pi}{n}\right).$$

Proof. From Figure 5 we see that for the regular n -gon g_n of length 1 we have

$$\text{dcsd}(g_n) \geq \frac{1}{n \tan(\frac{\pi}{n})}$$

and as $\max\text{Curv}(g_n) = 2n \tan(\frac{\pi}{n})$ by Figure 4 we have shown the proposition. \square

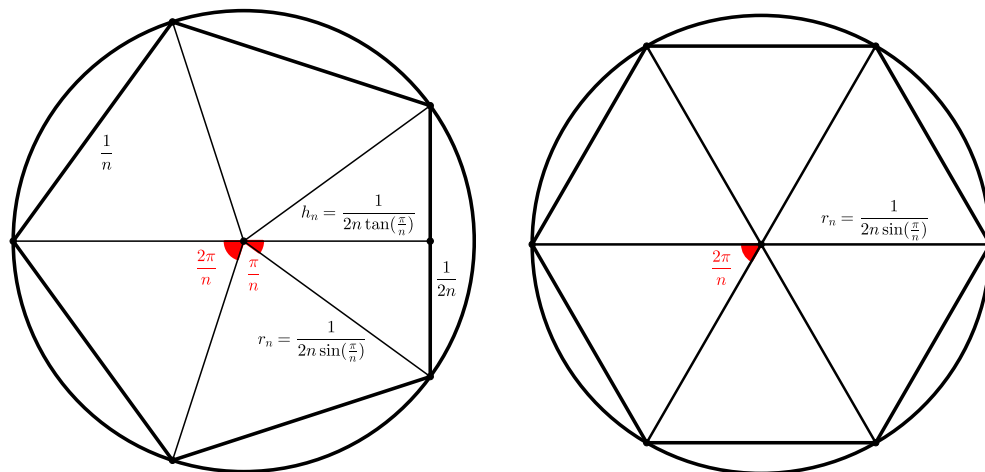


Fig. 5. Computation of dcsd for regular n -gons of length 1.

Proposition 7.1 (Regular n -gon is unique minimizer of Δ_n^{-1}). *Let $p \in \mathcal{P}_n$ then*

$$\Delta_n[g_n]^{-1} \leq \Delta_n[p]^{-1},$$

with equality if and only if p is a regular n -gon.

Proof. According to Fenchel's Theorem for polygons, see [15, 3.4 Theorem], the total curvature is at least 2π , i.e., $\sum_{i=1}^n \phi_i \geq 2\pi$ for the exterior angles $\phi_i = \angle(x_i - x_{i-1}, x_{i+1} - x_i)$. This means there must be $j \in \{1, \dots, n\}$ with $\phi_j \geq \frac{2\pi}{n}$. Thus

$$\Delta_n[p]^{-1} \geq \max\text{Curv}(p) \geq 2n \tan\left(\frac{\phi_j}{2}\right) \geq 2n \tan\left(\frac{\pi}{n}\right) = \Delta_n[g_n]^{-1}. \quad (10)$$

Equality holds in Fenchel's Theorem if and only if p is a convex planar curve. If $\phi_j < \frac{2\pi}{n}$ there must be $\phi_k > \frac{2\pi}{n}$ and thus $\Delta_n[p]^{-1} > \Delta_n[g_n]^{-1}$. Since the regular n -gon g_n is the only convex equilateral polygon with $\phi_i = \frac{2\pi}{n}$ for $i = 1, \dots, n$ we have equality in (10) if and only if p is a regular n -gon. \square

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