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# Comparing the influence of different kinds of zirconia on properties and microstructure of $\text{Al}_2\text{O}_3$ ceramics

**Abstract:** This paper compared the influence of fused zirconia-corundum (AZ40), monoclinic zirconia (m- $\text{ZrO}_2$ ), and 3 mol% yttria-stabilized zirconia (3Y- $\text{ZrO}_2$ ) on physical properties at room temperature, hot modulus of rupture, and thermal shock resistance of  $\text{Al}_2\text{O}_3$  ceramics, and their relationships with microstructure changes were investigated. It was found that m- $\text{ZrO}_2$  or 3Y- $\text{ZrO}_2$  addition promoted the process of sintering densification and enhanced the room temperature strength and the hot modulus of rupture of  $\text{Al}_2\text{O}_3$  ceramics, and the effect of the latter was more distinct, while those of the sample with AZ40 addition decreased. In addition, the three kinds of  $\text{ZrO}_2$  were beneficial to improving the thermal shock resistance of  $\text{Al}_2\text{O}_3$  ceramics. All these changes had close relationships with the changes of corresponding microstructure characteristics (including distribution of particles, degree of contact between crystals, grain boundary solid solution, microcrack density) and phase composition.

**Keywords:**  $\text{Al}_2\text{O}_3$  ceramics; hot modulus of rupture; microstructure; phase composition; thermal shock resistance;  $\text{ZrO}_2$ .

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## 1 Introduction

Alumina ( $\text{Al}_2\text{O}_3$ ) is considered as one of the most interesting ceramic candidates regarding its high melting point and excellent mechanical properties, such as high hardness, good chemical and thermal stability [1]. However, the application of  $\text{Al}_2\text{O}_3$  ceramics is limited by their inherent brittle nature, which often leads to catastrophic

failure, especially under impact and tensile stress conditions [2, 3]. One of the methods to improve this property is by introducing a moderate quantity of  $\text{ZrO}_2$  into  $\text{Al}_2\text{O}_3$  ceramics; the mechanism of this process is based on the stress-induced phase transition from tetragonal zirconia (t- $\text{ZrO}_2$ ) to monoclinic zirconia (m- $\text{ZrO}_2$ ) [4–8]. Thus, the dispersion of  $\text{ZrO}_2$  in  $\text{Al}_2\text{O}_3$  matrix results in a  $\text{Al}_2\text{O}_3/\text{ZrO}_2$  composite with higher hardness, elastic modulus, high temperature mechanical properties, and heat-shock property, and it is mainly used as cutting tools, bearings, high-temperature gas burner, knee replacement prostheses, and so on [9, 10].

Fused zirconia-corundum is one of the important  $\text{ZrO}_2$ -containing materials, which contains a typical eutectic microstructure. Composites with a stoichiometry close to the eutectic point of around 40–42 wt.%  $\text{ZrO}_2$  are found to exhibit excellent thermal stability and mechanical properties [11–13]. Some reports have pointed out that when the  $\text{ZrO}_2$  contains 3 mol.%  $\text{Y}_2\text{O}_3$ , the partially stabilized  $\text{ZrO}_2$  possesses excellent strength and fracture toughness [14, 15].

In this work, fused zirconia-corundum, 3 mol%  $\text{Y}_2\text{O}_3$  stabilized  $\text{ZrO}_2$ , and m- $\text{ZrO}_2$  were introduced into  $\text{Al}_2\text{O}_3$  ceramics. The purpose was to compare the influence of them on physical properties at room temperature, hot modulus of rupture, and thermal shock resistance of  $\text{Al}_2\text{O}_3$  ceramics, and their relationships with microstructure changes (including distribution of particles, degree of contact between crystals, grain boundary solid solution, microcrack density) and phase composition were discussed.

## 2 Materials and methods

### 2.1 Starting materials and sample preparation

The starting materials used in the present work were fused zirconia-corundum with its chemical composition of 59.4%  $\alpha\text{-Al}_2\text{O}_3$ -33.2% m- $\text{ZrO}_2$ -7.4% t- $\text{ZrO}_2$  (denoted

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as AZ40,  $\leq 7 \mu\text{m}$ ), 3 mol% yttria-stabilized zirconia with its chemical composition of 76.2% t-ZrO<sub>2</sub>-23.8% m-ZrO<sub>2</sub> (marked as 3Y-ZrO<sub>2</sub>,  $\leq 7 \mu\text{m}$ ), monoclinic zirconia (ZrO<sub>2</sub>  $\geq 99.0\%$ ,  $\leq 7 \mu\text{m}$ ), and white fused corundum ( $\alpha\text{-Al}_2\text{O}_3 \geq 98.5\%$ ,  $\leq 44 \mu\text{m}$ ). The mass ratio of  $\alpha\text{-Al}_2\text{O}_3$  to ZrO<sub>2</sub> was 85/15, ZrO<sub>2</sub> was introduced into Al<sub>2</sub>O<sub>3</sub> ceramics in forms of AZ40, m-ZrO<sub>2</sub>, and 3Y-ZrO<sub>2</sub>, denoted as Z<sub>1</sub>, Z<sub>2</sub> and Z<sub>3</sub>, respectively, and the sample without ZrO<sub>2</sub> addition was marked as Z<sub>0</sub>. These materials were weighed in terms of this mass ratio and mixed for 1 h in a polyurethane bottle with water and zirconia balls, then pressed at 150 MPa into samples with sizes of 180 mm×15 mm×15 mm and  $\varnothing 36 \text{ mm} \times 36 \text{ mm}$ , and sintered in air. The thermal profile was a ramp of 7°C/min to 1100°C, 2°C/min to 1200°C, and followed by a ramp of 3°C/min to 1650°C, held for 3 h.

## 2.2 Sample characterization

Bulk density and apparent porosity were measured using Archimedes' principle in water medium. Permanent linear change was examined by measuring the change in length of samples before and after firing. The modulus of rupture at room temperature and at 1400°C were determined by the standard three-point bending method. Cold crushing strength was measured using a hydraulic press machine in accordance with ISO 10059-1:1992. In thermal shock tests, the samples were heated to 1100°C and then quickly quenched in air. After three thermal shock cycles, the residual strength ( $\sigma_r$ ) was measured and compared with the original strength ( $\sigma_f$ ). Residual strength ratio ( $\sigma_r/\sigma_f$ ) at  $\Delta T=1100^\circ\text{C}$  was a criterion for evaluating thermal shock resistance.

The phase contents were conducted using Philips X-ray diffractometer (XRD) with Cu K $\alpha$  radiation ( $\lambda=1.5406 \text{ \AA}$ ) in the  $2\theta$  range of 20–80°C for a period of 3°/min in the step scan mode. Microstructure and composition of samples were investigated by scanning electron microscopy (SEM, JEOL JSM-5610LV) equipped with an energy-dispersive spectrometry (EDS).

## 3 Results and discussion

### 3.1 Physical properties at room temperature

The permanent linear change, apparent porosity, and bulk density of samples are listed in Table 1, showing that the addition of m-ZrO<sub>2</sub> or 3Y-ZrO<sub>2</sub> can promote the process of sintering densification, while AZ40 addition results in a

**Table 1** Permanent linear change, apparent porosity and bulk density of samples.

Sample	Z <sub>0</sub>	Z <sub>1</sub>	Z <sub>2</sub>	Z <sub>3</sub>
Permanent linear change (%)	-3.91	+3.80	-4.90	-6.85
Apparent porosity (%)	28.27	40.89	25.60	22.29
Bulk density (g/cm <sup>3</sup> )	2.95	2.40	3.08	3.23

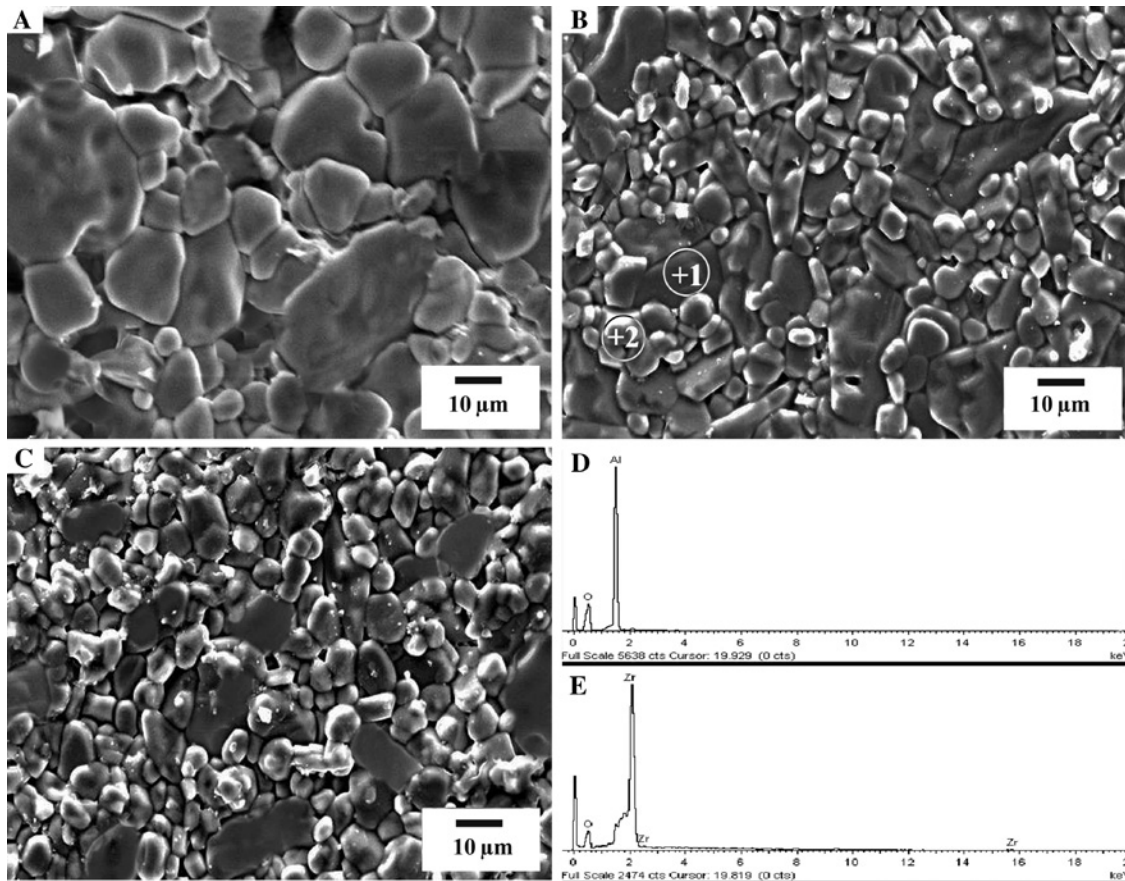
volume expansion and reduces the densification of Al<sub>2</sub>O<sub>3</sub> ceramics.

Figure 1 shows the microstructures of samples Z<sub>0</sub>, Z<sub>2</sub>, and Z<sub>3</sub>. In contrast to samples Z<sub>2</sub> and Z<sub>3</sub>, the SEM photograph of the Al<sub>2</sub>O<sub>3</sub> sample shown in Figure 1A has a microstructure with coarse grains and large gaps, indicating a weak interface between crystals. For the sample with m-ZrO<sub>2</sub> or 3Y-ZrO<sub>2</sub> addition (see Figure 1B and C, respectively), the presence of the two distinct phases, Al<sub>2</sub>O<sub>3</sub> (darker phase) and ZrO<sub>2</sub> (brighter phase), can clearly be observed. It is clear that ZrO<sub>2</sub> particles are homogeneously distributed in corundum skeleton structure inhibiting abnormal grain growth of Al<sub>2</sub>O<sub>3</sub> and leading the dense structure.

The micrograph of the AZ40 starting material shown in Figure 2A is a typical eutectic microstructure, which is made up of faceted colonies with a size of around 10–15  $\mu\text{m}$  that consist of ordered ZrO<sub>2</sub> phases within the Al<sub>2</sub>O<sub>3</sub> matrix. When it is introduced into Al<sub>2</sub>O<sub>3</sub> ceramics and sintered at 1650°C for 3 h, the eutectic microstructure no longer exists, which is substituted by the microstructure that consists of coarse ZrO<sub>2</sub> particles within the Al<sub>2</sub>O<sub>3</sub> matrix, as shown in Figure 2B. The grown ZrO<sub>2</sub> particles cannot be suppressed by Al<sub>2</sub>O<sub>3</sub> matrix, t-phase has transformed spontaneously to m-phase during the cooling process. This is supported by the XRD pattern shown in Figure 4A; it can be seen that there is few t-ZrO<sub>2</sub> in the sample. The phase transformation of these grown t-ZrO<sub>2</sub> particles are accompanied by the volume expansion and crack formation, as shown in Figure 2C, which leads to a decrease in the density of Al<sub>2</sub>O<sub>3</sub> ceramics.

Cold crushing strength and modulus of rupture of samples are shown in Figure 3. As Figure 3 shows, m-ZrO<sub>2</sub> or 3Y-ZrO<sub>2</sub> addition is beneficial to improving the room temperature strength of Al<sub>2</sub>O<sub>3</sub> ceramics, and the effect of the latter is more distinct, while those of the sample with AZ40 addition decrease.

The improvement of the room temperature strength of samples is primarily attributed to the stress-induced phase transformation toughening and the enhancement of density. Through XRD analysis on the surfaces of samples, as shown in Figure 4, monoclinic peaks at the (11 $\bar{1}$ ) and (111) planes and tetragonal peak at the (111) plane of ZrO<sub>2</sub>



**Figure 1** SEM photographs of samples (A)  $Z_0$ , (B)  $Z_2$ , (C)  $Z_3$ , (D) and (E) EDS spectrum of scanned sites 1 and 2 in (B), respectively.

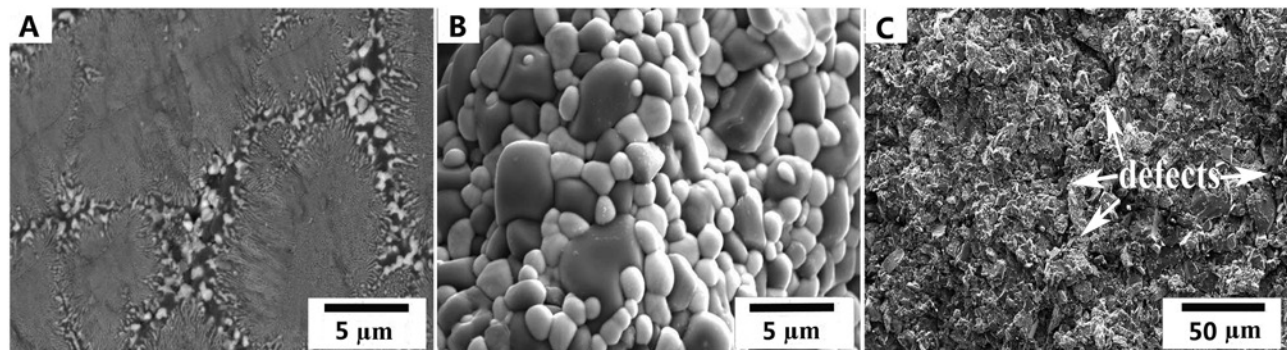
are detected; the amount of  $t\text{-ZrO}_2$  in samples is evaluated using Eq. (1) and Eq. (2) below [16]:

$$X_m = \frac{I_m(111) + I_m(11\bar{1})}{I_m(111) + I_m(11\bar{1}) + I_t(111)} \quad (1)$$

$$X_t = 1 - X_m \quad (2)$$

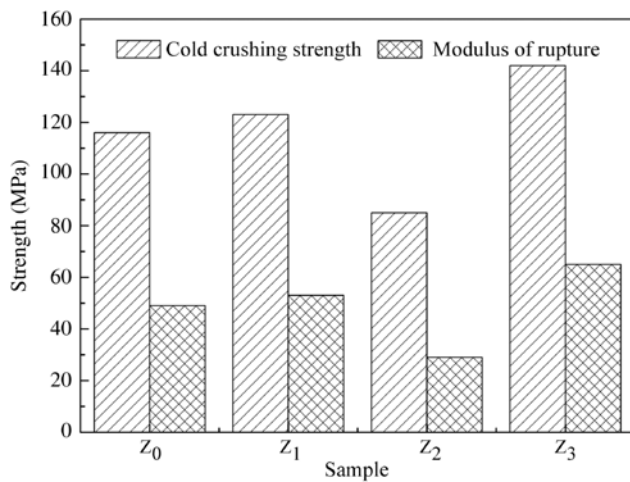
Here,  $X$  is the relative content in the sample,  $I$  is the absolute intensity, and the subscripts  $m$  and  $t$  refer to the monoclinic and the tetragonal phase, respectively.

For the sample with  $3Y\text{-ZrO}_2$  addition, the amount of  $t\text{-ZrO}_2$  is calculated and found to be 12% and 5% on the fracture face before and after sample failure, respectively, indicating that a portion of  $t\text{-ZrO}_2$  has transformed into  $m$ -phase during the modulus of rupture test, the crack propagation can be prevented by compressive stress due to this stress-induced phase transformation. In addition, the sample with  $3Y\text{-ZrO}_2$  addition has a dense structure. All these are important strengthening effects contributing to improvement of the room temperature strength of

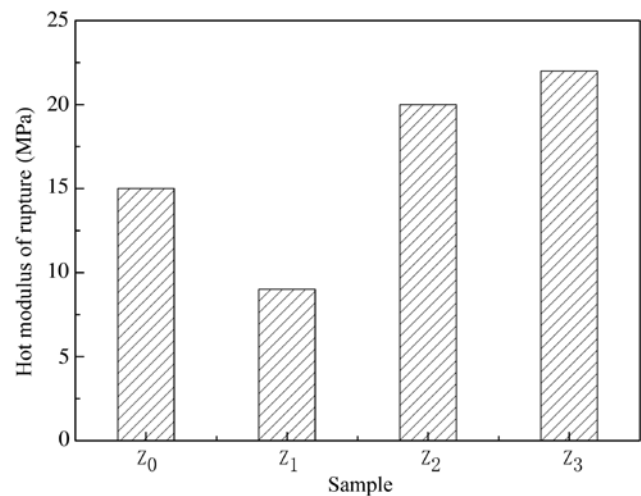


**Figure 2** SEM photographs of (A) AZ40 starting material, (B) AZ40 after 3 h at  $1650^\circ\text{C}$ , (C) the sample with AZ40 addition.





**Figure 3** Cold crushing strength and modulus of rupture of samples.



**Figure 5** Hot modulus of rupture of samples at 1400°C.

$\text{Al}_2\text{O}_3$  ceramics. But there is only a negligible amount of t- $\text{ZrO}_2$  in the sample with m- $\text{ZrO}_2$  addition; thus, the slight enhancement of its room temperature strength can be explained by the dense structure. As shown in the marked area by the arrows in Figure 2C, there is obvious defects in the sample with AZ40 addition, which lead to a decrease in room temperature strength of  $\text{Al}_2\text{O}_3$  ceramics.

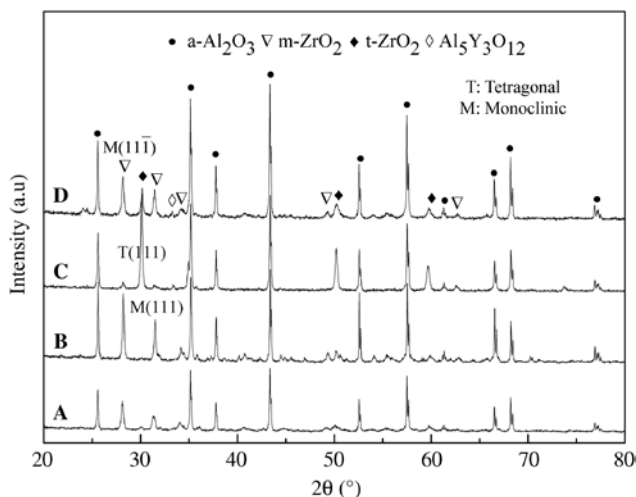
### 3.2 Hot modulus of rupture

Figure 5 shows the hot modulus of rupture of samples at 1400°C. It can be seen that the addition of m- $\text{ZrO}_2$  or 3Y- $\text{ZrO}_2$  can improve the hot modulus of rupture of  $\text{Al}_2\text{O}_3$  ceramics, the values of them are 20 MPa and 22 MPa,

respectively, while that of the sample with AZ40 addition decreases to 9 MPa.

Some reports have pointed out that the principal factors influencing high temperature mechanical properties are the crystal effect and the glass effect [17, 18]. In this work, there is practically no glass phase in the samples; the controlling factor is the crystal effect (degree and mode of contact or bonding between crystals).

Figure 6 shows the fracture surfaces of samples Z<sub>1</sub>, Z<sub>2</sub>, and Z<sub>3</sub> after hot modulus of rupture test. In comparison with sample Z<sub>1</sub>, there are fewer gaps and pores between crystals in the sample with m- $\text{ZrO}_2$  or 3Y- $\text{ZrO}_2$  addition (see Figure 6C and D, respectively), indicating a strong interface between crystals. Moreover, the study on the bonding between  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  by SEM and EDS shown in Figure 7 and Table 2 indicates that at the  $\text{Al}_2\text{O}_3$ - $\text{ZrO}_2$  interface, there is interdiffusion at grain boundaries between the two phases. All these are important strengthening effects contributing to improvement of the hot modulus of rupture of  $\text{Al}_2\text{O}_3$  ceramics. The SEM photograph of the sample with AZ40 addition shown in Figure 6A has a microstructure with large gaps and defects, the AZ40 particles grow during heat treatment to form larger particles with a diameter ranging from 8  $\mu\text{m}$  to 20  $\mu\text{m}$  due to the martensitic transformation of  $\text{ZrO}_2$ , and even some  $\text{ZrO}_2$  particles drop out from the  $\text{Al}_2\text{O}_3$  matrix in AZ40 particles (see Figure 6B). These defects result in a decrease in the hot modulus of rupture of  $\text{Al}_2\text{O}_3$  ceramics.



**Figure 4** XRD patterns of the surfaces of samples (A) Z<sub>1</sub>, (B) Z<sub>2</sub>, (C) Z<sub>3</sub>, (D) Z<sub>3</sub> after the modulus of rupture test.

### 3.3 Thermal shock resistance

The results of the thermal shock resistance of the samples are shown in Figure 8. As can be seen in Figure 8, the

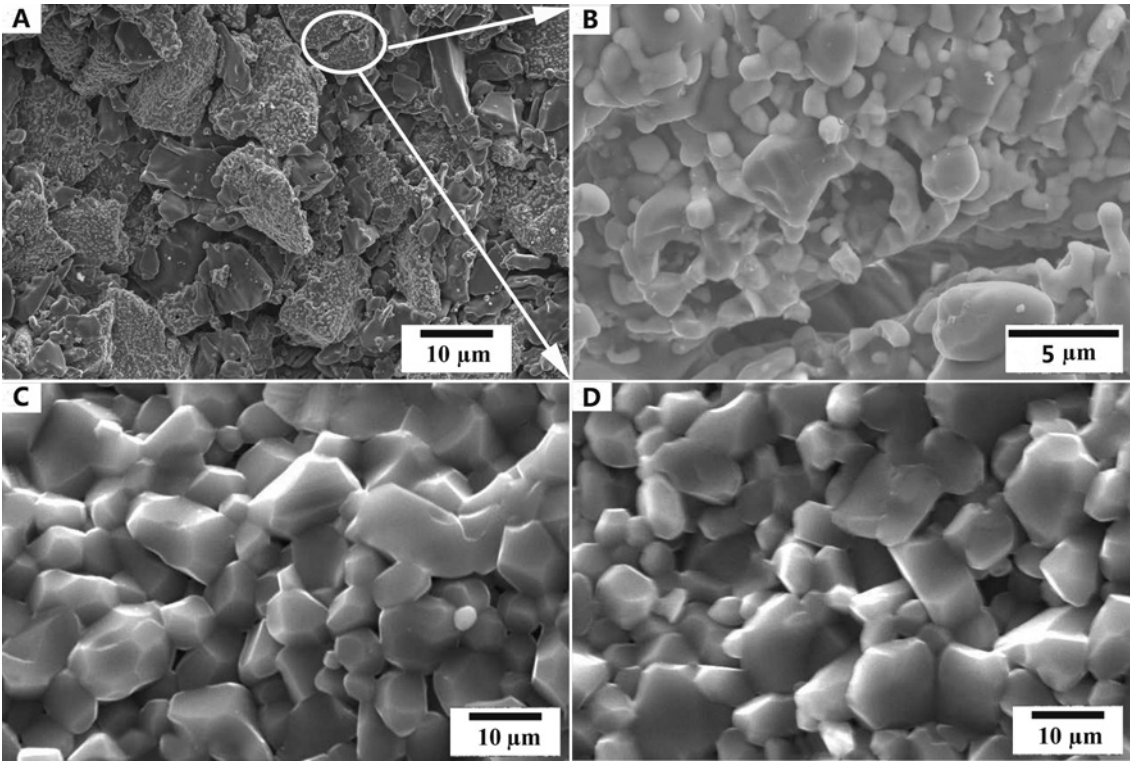


Figure 6 Fracture surfaces of samples (A) Z<sub>1</sub>, (B) the magnification of the marked area in (A), (C) Z<sub>2</sub>, and (D) Z<sub>3</sub>.

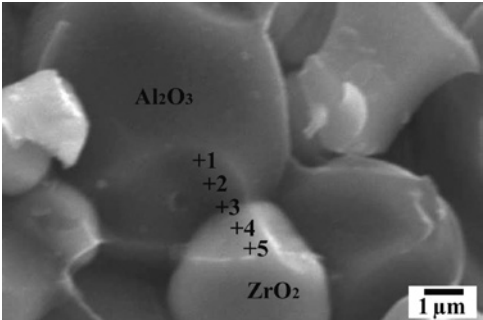


Figure 7 SEM photograph showing bonding between zirconia and corundum (the sample Z<sub>3</sub>).

three kinds of ZrO<sub>2</sub> are beneficial to improving the thermal shock resistance of Al<sub>2</sub>O<sub>3</sub> ceramics, the residual strength ratios of samples Z<sub>1</sub>, Z<sub>2</sub>, and Z<sub>3</sub> are 62%, 68%, and 71%, respectively, and the maximum residual strength of 44 MPa is achieved from the sample Z<sub>3</sub>.

Table 2 Composition of scanned sites of corundum and zirconia particles in Figure 7 by EDS.

Scanned site	1	2	3	4	5
Al <sub>2</sub> O <sub>3</sub> (%)	98.07	93.67	58.53	6.72	3.82
ZrO <sub>2</sub> (%)	1.93	6.33	41.36	93.06	95.93
Y <sub>2</sub> O <sub>3</sub> (%)	–	–	0.11	0.22	0.25

In order to further evaluate the thermal shock resistance behavior, the microstructures of samples Z<sub>1</sub>, Z<sub>2</sub>, and Z<sub>3</sub> after thermal shock tests are examined, as shown in Figure 9. Some microcracks are observed on the surfaces of all the samples due to the spontaneous transformation of ZrO<sub>2</sub>, which can relax the stress concentration to enhance the thermal shock resistance of Al<sub>2</sub>O<sub>3</sub> ceramics. In addition, for the sample with 3Y-ZrO<sub>2</sub> addition, t-ZrO<sub>2</sub> can prevent crack propagation by compressive stress due to

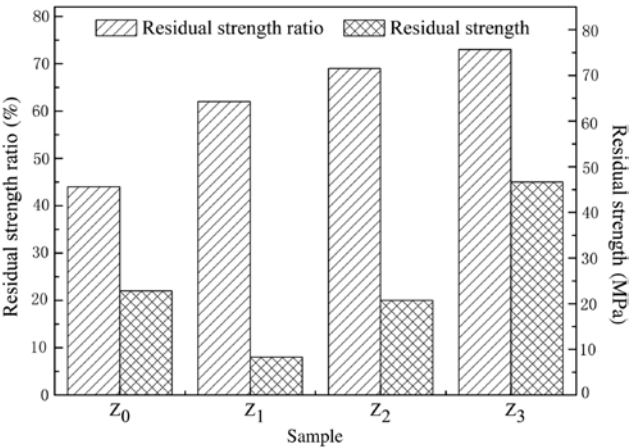


Figure 8 Residual strength ratio and residual strength of samples (ΔT=1100°C).

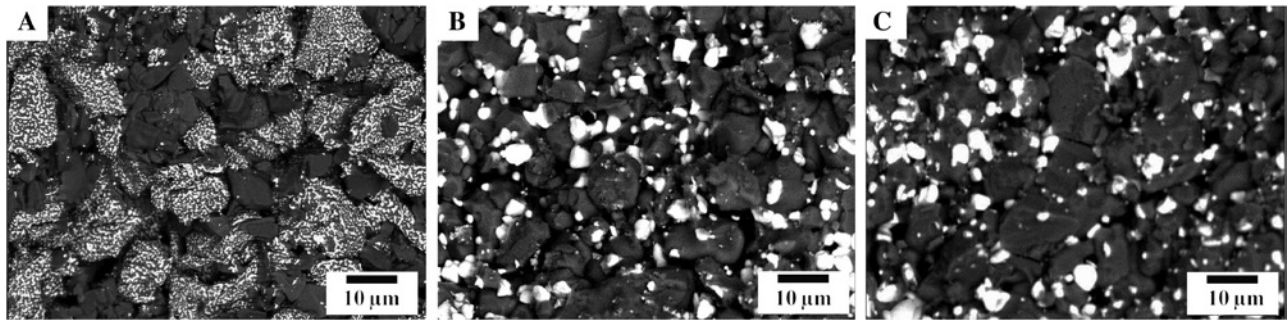


Figure 9 SEM photographs of samples (A)  $Z_1$ , (B)  $Z_2$ , (C)  $Z_3$  after thermal shock tests at  $\Delta T=1100^\circ\text{C}$ .

the stress-induced phase transformation, which is beneficial to enhancing the residual strength of  $\text{Al}_2\text{O}_3$  ceramics.

## 4 Conclusions

This paper compared the influence of AZ40, m- $\text{ZrO}_2$ , and 3Y- $\text{ZrO}_2$  on the properties and microstructure of  $\text{Al}_2\text{O}_3$  ceramics. The main results obtained were summarized as follows:

1. m- $\text{ZrO}_2$  or 3Y- $\text{ZrO}_2$  addition promoted the process of sintering densification of  $\text{Al}_2\text{O}_3$  ceramics due to the distributions of  $\text{ZrO}_2$ , which inhibited abnormal grain growth of  $\text{Al}_2\text{O}_3$  and led the dense structure, while AZ40 addition resulted in a volume expansion because of the phase transformation of the grown  $\text{ZrO}_2$  particles.
2. m- $\text{ZrO}_2$  or 3Y- $\text{ZrO}_2$  addition was beneficial to improving the room temperature strength of  $\text{Al}_2\text{O}_3$  ceramics, and the effect of the latter was more distinct because of the stress-induced phase transformation toughening, while that of the sample with AZ40 addition decreased due to the defects in the sample.
3. The hot modulus of rupture of  $\text{Al}_2\text{O}_3$  ceramics was enhanced by adding m- $\text{ZrO}_2$  or 3Y- $\text{ZrO}_2$ , which was attributed to the enhancement of density and the interdiffusion at grain boundaries between  $\text{Al}_2\text{O}_3$  and  $\text{ZrO}_2$  crystals, but that of the sample with AZ40 addition decreased.
4. The three kinds of  $\text{ZrO}_2$  were beneficial to improving the thermal shock resistance of  $\text{Al}_2\text{O}_3$  ceramics because of the microcracks, induced by the martensitic transformation of  $\text{ZrO}_2$ , which could relax stress concentrations and lead to toughening.

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