



## Research Article

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# Heuristic based real-time hybrid rendering with the use of rasterization and ray tracing method

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**Abstract:** Nowadays, rasterization is the most common method used to achieve real-time semi-photorealistic effects in games or interactive applications. Some of those effects are not easily achievable, thus require more complicated methods and are difficult to obtain. The appearance of the presented worlds depends to a large extent on the approximation to the physical basis of light behaviour in them. The best effects in this regard are global illumination algorithms. Each of them including ray tracing give the most plausible effects, but at cost of higher computational complexity. Today's hardware allows usage of ray tracing methods in-real time on Graphics Processing Units (GPU) thanks to its parallel nature. However, using ray tracing as a single rendering method may still result in poor performance, especially when used to create many image effects in complex environments. In this paper we present a hybrid approach for real-time rendering using both rasterization and ray tracing using heuristic, which determines whether to render secondary effects such as shadows, reflections and refractions for individual objects considering their relevancy and cost of rendering those effects for these objects in particular case.

**Keywords:** physical-based rendering, hybrid rendering, rasterization, ray tracing, real-time rendering, reflections, refractions, heuristic

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## 1 Introduction

Computer graphics is an area of study, which tries to achieve best effects of generating the most realistic images of artificial environment while keeping the best performance. There were several rendering methods developed over the years, which were then optimized in order to achieve better-looking results and to speed them up. The aim was to get the performance that allows to use the method in real-time applications with additional requirement of possibility of full interaction. It means that the resulting performance should allow application to render single frame in less than 33ms (at least 30 frames per second (FPS)). The first rendering method that was widely used in generating images in real-time was rendering using rasterization thanks to its speed and wide support of the GPU. It was widely used in computer games and 3D interactive applications within many years. Further studies allowed to use it not only in computer graphics, but also in scientific or database field [1]. The method which was the result of trying to achieve more photorealistic results in rendering images was ray tracing. Its nature allowed to obtain better looking results but at cost of higher computational complexity. The main reason of highly demanded computational task is that many rays may be generated in order to calculate exact color of specific pixel, and each ray must be determined whether and which objects does it intersects. Further rendering methods that were developed, allowed to obtain more effects, such as global illumination, which allows objects to receive lighting not only directly from light sources, but also from other objects in the scene, but they were applied mainly in offline rendering applications that may better simulate the way how light interacts with objects, due to the time-demanding computations. Further development of GPUs which were dedicated for images computations allowed researchers to optimize those methods and allowed developing improved algorithms that achieved better results at a better time, that has started to reach real-time demands. Performance increase caused by technology development allowed ray tracing to be used as a rendering method on GPU due to its parallel nature [2]. Because the basic ray

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tracing method is able to be paralleled [3], the usage of GPU as parallel processor makes a promising way in producing real-time, interactive solutions using ray tracing. The first work that introduced the possibility of real-time ray tracing use was Nvidia Ray Tracing Demo developed using CUDA programming platform [4]. With further development, a special GPU-based ray tracing engine was developed by Nvidia engineers called Nvidia OptiX [5]. It is a professional framework and is being used in many professional graphic applications, including Adobe After Effects or Pixar's lighting preview tool. Another method of global illumination, which currently allows for a real-time approach, where the camera could be easily navigated by a user [6] is for example photon mapping [7].

In this paper, we present and describe a hybrid approach to rendering that combines all presented methods. It uses rasterization as the first rendering stage that calculates basic lighting, using deferred rendering [8] method, which allows to postpone shading process to point after filling structure called G-Buffer with visible scene data. After deferred rendering, in the next stage, a heuristic is carried out on CPU in order to calculate and select the most suitable objects to render secondary effects for. The result of heuristic is then passed to GPU, and in the third stage ray tracing is used to calculate shadows, reflections and refractions for appropriate objects. Thanks to this approach, we can ensure that basic image will always be available in real-time, and our heuristic will evaluate, if there is still enough time to render effects using ray tracing and will try to maintain real-time characteristic of rendering.

The way the heuristic will perform selective rendering and try to select which objects should have secondary effects rendered is based on human perception – the way our eyes perceives specific elements. It depends on both current arrangement of objects in scene and the way user currently interacts with viewed image and is called visual attention [9]. It may differ even when user have specific task to do inside virtual environment [10], or depending on how dynamically the image is changing [11].

The organization of this paper is following: after the introduction, a related work regarding ray tracing optimization as well as hybrid rendering solutions is presented. Then we present our hybrid rendering solution including details of different performed stages and applied algorithms. In the next section we present performed tests, following by received results in next section. Last section consist of conclusions from results, future work proposals and brief summary.

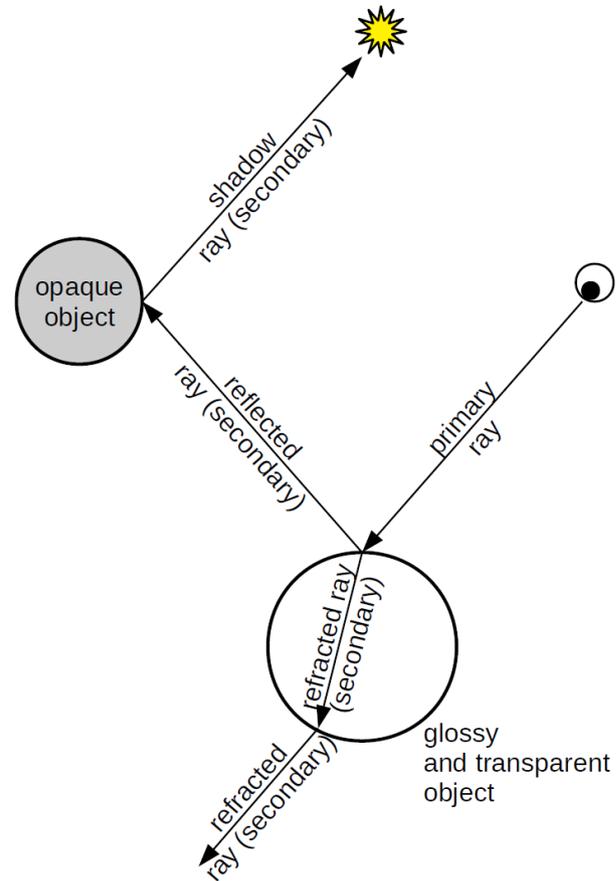


Figure 1: Whitted's idea of the ray-tracing algorithm

## 2 Related work

The initial work introducing full recursive ray tracing idea was proposed in [12] by Turner Whitted (Figure 1). In this algorithm, primary rays were responsible for geometry detection and base surface color calculations, where the secondary rays were responsible for additional effects – reflections, refractions and shadows. Despite parallel characteristic of ray tracing, it is still more computationally expensive than standard rendering pipeline and one of proposals of speeding it up was usage of space partitioning algorithms, like k-d trees [13]. Furthermore, there were researches to efficiently combine both methods – speed of rasterization and ease of obtaining better effects from ray tracing method. Thanks to this, hybrid rendering methods were developed and those methods can be divided into three groups. The first group tries to split rendering workload into both GPU and Central Processing Unit. An example can be paper [14], where rendering process is divided into three GPU passes and two CPU passes, where the results of the second pass done in GPU is received by CPU

where reflection and refraction pass using ray tracing may be performed. Work [15] is similar except that it uses DirectX 11 and HLSL instead of OpenGL and GLSL. Paper [16] describes usage of rasterization in order to generate visible geometry and passing data to CPU in order to process ray tracing stage. The second group consists of methods that uses ray tracing to generate only effects hardly achievable in rasterization. For example, in [17] kd trees were used to accelerate ray tracing calculations for shadows generation. Last group are methods that uses selective rendering that rejects some effects for part of the image basing on human perception [18, 19].

There are several works that have been further investigating an area of hybrid rendering. Each work focused on different aspect of rendering, trying to increase visual level of rendered images, implementing more visual effects or reducing computing time while maintaining the same quality. There are several approaches for hybrid rendering that can be highlighted.

### 2.1 Offline hybrid rendering using light transport methods

Reference [20] is a very popular article frequently cited among others. It connects rasterization and ray tracing method and additionally implements some additional graphic algorithms, like ambient occlusion, and extends basic ray tracing method. Authors proposed usage of k-d trees and voxels (points lying on regular 3D grid) to optimize scene calculations. However, this solution is not efficient enough to be a real-time renderer, giving results in more than a second. Another problem is the fact that huge and complicated scenes cause performance drop as well as great memory demand in order to maintain all required scene structures.

### 2.2 Hybrid rendering of shadows and reflections

A series of articles [21–24] presents another approach to the hybrid rendering issue. This solution is integrated into authors' own game engine prototype, which is successively extended with new visual effects. The first implemented effect included dynamic shadows, which turned out to be faster than ray tracing solution but at cost of high memory demand. Next added effect was dynamic reflection of environment which was a hybrid solution of ray tracing and Screen Space Reflection (SSR). If a reflection could not be calculated by SSR, the ray tracing method

was used. Those reflections were additionally filtered with Gaussian blur in order to reduce visible graphical artifacts. They also prepared a comparison of different structure data provided by ray tracing API Nvidia OptiX [25] where the structure must have been suitable to handle dynamically deforming objects. The problematic scenario were scenes with multiple recursive reflections (like e.g. mirrors facing each-other).

### 2.3 Hybrid rendering with heuristic approach

This series of publications by Sabino T. L. R. *et al.* [26–32] presents development of authorial hybrid renderer called PHRT. The first stage uses rasterization with deferred rendering method which fills G-Buffer with appropriated data. Then, the data from G-Buffer is used in the stage of calculating secondary effects which allows to replace the first stage of ray tracing where the primary rays are intersecting the objects in the scene. Additionally, a heuristic was introduced to adaptively select appropriate objects which will receive secondary effects in order to maintain real-time characteristic. The solution was then adopted as a simple game engine demo, and the heuristic was optimized in order to calculate and manage objects that will receive reflections. The tests they performed confirmed that proposed heuristic satisfies set conditions and can be still developed further.

### 2.4 Voxel based selective rendering

Two another publications [33, 34] presented a way of implementing hybrid rendering based on voxels grid which are used to optimize rendering process. An analysis is carried on how such structures affects memory demand and a structure called A-Buffer is being used. This structure allows the mix of colors from specific triangle fragments onto another pixel. The effort is to reduce the memory cost of maintaining those structures, and a screen space object complexity cost is being measured. The result for scenes of low complexity fits real-time demands, but the more extended the scene is, the more time it takes to build a structure.

### 2.5 Mobile GPU hybrid rendering

There are also researches to optimally perform hybrid rendering on mobile devices. Article [35] provides proposal of

special architecture for rasterization and ray tracing rendering in use of mobile GPU. As a result they achieve not only increased performance in terms of rendering speed, but also achieved lower energy consumption compared with ray tracing only solution. They will continue the analysis of architectures and they predict to see further energy consumption decrease.

## 2.6 Ray tracing with DirectX Ray Tracing and NVIDIA RTX

Recently, a two promising APIs were announced and released that provide support for implementing GPU ray tracing algorithms. Those are DirectX Ray Tracing API (DXR) and NVIDIA RTX API. The second one will be additionally beneficial on NVIDIA GPU's based on Volta and future microarchitectures, which is currently totally new one. Because these were recently announced, besides many promoting materials and presentations, there is currently a paper describing results of implementing a ray tracing method, for adaptive temporal antialiasing along with rasterization [36]. It states, that both API allowed to easily overcome technical difficulties in combining both rendering techniques and ray tracing ecosystem will adopt along with further versions of GPUs and drivers over the next years.

## 3 GPU heuristic based hybrid rendering

Our application allows rendering scenes using hybrid rendering, in which four stages can be distinguished. The first stage is a rasterization stage, where G-Buffer is filled with data and then base scene lighting is calculated and stored in P-Buffer. The second stage is heuristic stage, where all visible scene objects are reinvestigated for relevancy and cost parameters and result of this stage is being passed to the next one which is ray tracing stage. In this stage all objects that have been selected by heuristic are ray traced in order to generate secondary effects. Results of the first and the third stage are then merged into one – final result during the fourth stage – blending stage.

Application allows creating either one of basic primitives – plane, cube or sphere with specified number of slices and stacks or reading model from file. Each object can be placed at any point in the world, specifying its position, rotation in Euler angles and scale. Each object may have assigned any user – specified material, one material

**Table 1:** Available material properties

Material property	Colors components meaning
Emission	RGB – emission color values
Ambient	RGB – ambient color values
Diffuse	RGB – diffuse color values, A – opacity
Specular	RGB – specular color values, A – specular exponent value <sup>a</sup>
Normal map	RGB – normal direction in tangent space
Optical properties	R – reflectivity, G – index of refraction, B – opacity

<sup>a</sup>Value of 0.0 means exponent equal to 1, Value of 1.0 means exponent equal to 255.0, values between are linearly interpolated

can be shared by many objects. Each material may have defined both texture and basic color for specific properties, which are listed in Table 1.

Additional material properties are texture tiling, texture offset, and shadow casting boolean value. Each scene can have up to 8 directional lights, up to 32 point lights, and up to 32 spot lights. Image can be rendered from either perspective or orthographic camera, and additional scene parameters are global ambient color, environment refraction index and skybox map, which can be created using six skybox textures, each for different side [37]. Scene objects, materials and parameters can be read from specially formatted text files. One of implemented improvements is gamma correction, which makes lighting calculation more physically-correct.

### 3.1 The first stage – rasterization

In this stage, scene is being rendered using rasterization with deferred rendering method. Thanks to this, lighting computation can be performed only after all geometry has been tested for visibility. The pseudo-code of this stage and used shaders code is presented in listings 1 – 5. Note that the comments in the codes are marked with a small triangle (>).

Listing 1. Rasterization stage frame rendering function

```

Enable depth test
Clear depth buffer
Enable G-Buffer attach its textures for writing
Clear depth buffer
for each loaded shader do
    Update current camera viewProjection matrix

```

```

end for
for each model in scene do
  if world matrix is dirty then
    Update world and normal matrix
  end if
  for each mesh in model do
    Use shader associated with appropriate model's
    material
    Update shader's world and normal matrix
    Bind appropriate textures from material
    Update shader values with values from material
    Draw mesh ▷ fill gBuffer with mesh data, listing
    2 and 3
  end for
end for
Draw skybox cube
Disable depth test
Disable G-Buffer
Enable P-Buffer and attach its texture for writing
Use deferred shading shader
Bind appropriate G-Buffer textures
Update camera position value ▷ produce image with
lighting calculations
Draw screen quad ▷ listing 4 and 5
Unbind textures
Disable P-Buffer

```

Listing 2. Rasterization stage G-Buffer fill vertex shader

```

input: position p, normal n, tangent t, bitangent b, texture
coordinates itc
output: tbn matrix tbn, world position wp, texture coordinates
otc
uniforms: world matrix wm, viewProjection matrix
vpm, normal matrix nm, texture tiling tt, texture offset
toff
tbn ← mat3(norm(nm * t), norm(nm * b), norm(nm * n))
wp ← wm * p
otc ← toff + (itc * tt)
gl_Position ← vpm * wm * vec4(p, 1.0)

```

Listing 3. Rasterization stage G-Buffer fill fragment shader

```

input: tbn matrix tbn, world position wp, texture coordinates
itc
output: position op, normal on, emission oe, ambient
oa, diffuse od, specular os, optical properties oop ▷
G-Buffer textures
uniforms: emission ie, ambient ia, diffuse id, specular
is, shininess iss, optical properties iop

```

```

samplers: emission texture et, ambient texture at, diffuse
texture dt, specular texture st, normal texture nt,
optical properties texture opt
op ← vec4(wp, 1.0)
on ← vec4((norm(tbn*norm(tex(nt, itc).rgb*2.0-
1.0))+1.0)*0.5, 0.0)
oe ← tex(et, itc) * ie in linear space
oa ← tex(at, itc) * ia in linear space
od ← tex(dt, itc) * id in linear space
os ← vec4(tex(st, itc) * is * is.a, tex(st, itc).a * iss) in linear
space
oop ← tex(opt, itc) * iop

```

Listing 4. Rasterization stage deferred shading vertex shader

```

input: position p, texture coordinates itc
output: texture coordinates otc
otc ← itc
gl_Position ← vec4(p, 1.0)

```

Listing 5. Rasterization stage deferred shading fragment shader

```

input: texture coordinates itc
output: fragment color ofc
uniforms: number of directional lights, number of
point lights, number of spot lights, environment
ambient color, view position, directional lights array, point
lights array, spot lights array
samplers: position texture, normal texture, emission
texture, ambient texture, diffuse texture, specular texture
▷ G-Buffer
textures
Retrieve the data from G-Buffer using itc
▷ lighting calculations using Blinn-Phong reflection
model:
color gets emission + environment_ambient_color *
ambient_texture
for each directional light do
  Add lighting calculated for this directional light to
  color
end for
for each point light do
  Add lighting calculated for this point light to color
end for
for each spot light do
  Add lighting calculated for this spot light to color
end for
ofc gets vec4(light.rgb, 1.0)

```

This stage was implemented using OpenGL and GLSL. To perform calculations based on objects' materials, deferred rendering fills G-Buffer structure with appropriate data, which is then used in the lighting calculation pass and next stages. Thanks to technique called Multiple Render Targets (MRT), we can use multiple textures, which will contain information provided by deferred rendering. Every application can define its own values saved in G-buffer. In our case, we defined 8 textures for material parameters, one texture for z-buffer and one texture for lighting calculation in final image. As for z-buffer, a reversed z-mapping algorithm was implemented [38], which allows for better depth buffer precision. This makes the depth texture look opposite to what default depth buffer produces – objects that are close to observer appears brighter than those that are further away. Per-pixel data that is stored in G-Buffer contains: position, normal, emission color, ambient color, diffuse color, specular color, optical properties, heuristic mask, depth buffer. Details and formats of all targets and data stored in G-Buffer can be seen in Table 2. This stored data can be used by ray tracing stage to calculate appropriate visual effects depending on optical properties of specific pixel, and heuristic mask which is being filled during heuristic stage. This allows to avoid ray tracing stage of primary rays intersection which would determine objects that would have been hit by camera rays.

An example of textures generated in this stage for example scene is shown in Figure 2.

Color textures appears darker than they are due to gamma correction implementation, and because textures are already in sRGB space, they have to be transformed to linear space before any calculations. As for position and normal textures, they save fragment positions and normals in world space coordinates. Normal coordinates values are mapped from  $[-1, 1]$  to  $[0, 1]$  to be in texture values range. As it was mentioned earlier, heuristic mask is calculated in heuristic stage, but it is included in G-Buffer because heuristic uses depth buffer generated in the first stage in order to calculate object's visible part for its coefficient calculations. The result of lighting calculations pass that uses data generated during previous pass is stored in P-buffer structure, which, in our case, consists of only one texture of GL\_RGBA16F type. The result of this pass is presented in Figure 3.

The lighting is calculated using Blinn-Phong reflection model. In this scene there was one point light positioned above camera, and two spot lights, one directed on Stanford bunny model, and the second lighting earth sphere.

Such prepared data is sufficient to perform stage with ray tracing method, in which primary rays that would de-

termine geometry hit can be replaced with data accumulated in G-Buffer, and all secondary effects that will be calculated using this stage can be blended into lighting stage image. However, before we start ray tracing stage, a heuristic has to be carried out in order to select only those objects, which will fit provided time constraints.

### 3.2 The second stage – heuristic

Heuristic we propose is somehow similar to the one presented by Sabino *et al.* It was incorporated in order to maintain real-time characteristic of application by omitting generation of some secondary effects if there is no time left for calculating them. Listing 6 contains pseudo-code for heuristic calculations.

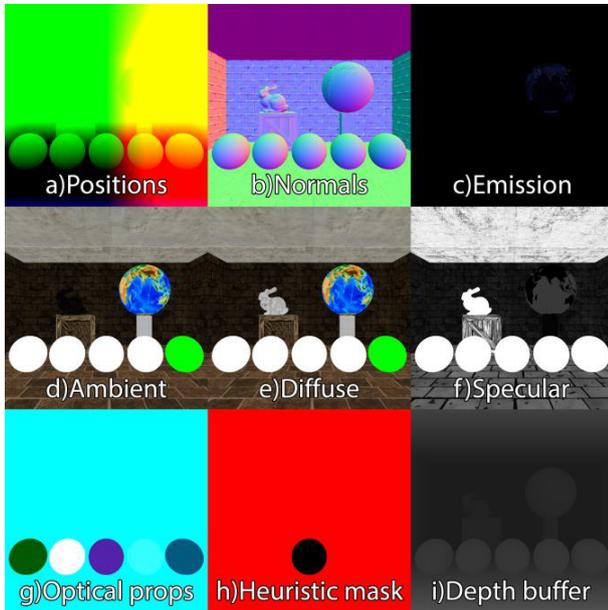
Listing 6. Heuristic stage calculations – update function

```
Enable G-Buffer and attach heuristic texture for writing
Enable depth test
depth test ← GL_EQUAL
```

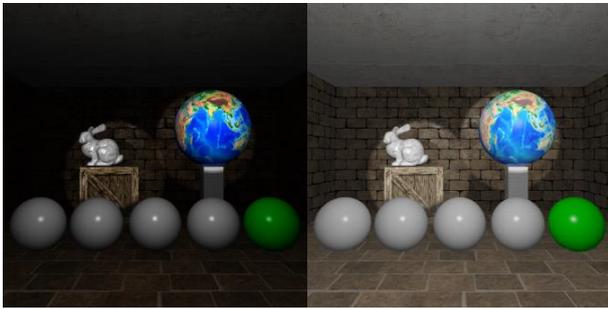
Table 2: G-buffer render targets

Target type	Target format <sup>b</sup>	Notes
Position	GL_RGBA32F	-
Normal	GL_RGBA16	8 bit per component variant had too low precision
Emission	GL_RGBA8	-
Ambient	GL_RGBA8	-
Diffuse	GL_RGBA8	-
Specular	GL_RGBA8	-
Optical properties	GL_RGBA8	Color channels
Heuristic mask	GL_R32F	corresponding to same properties as for texture Floating point type to enable texture visualization
Depth buffer	GL_DEPTH_COMPONENT32F	32-bit float due to reversed z-buffer implementation

<sup>b</sup>Format of texture specified to OpenGL



**Figure 2:** An example of data generated in G-Buffer: a) Position, b) normal, c) emission color, d) ambient color, e) diffuse color, f) specular color, g) optical properties, h) heuristic mask, i) depth buffer



**Figure 3:** Example of lighting calculations final image. On the left is original image texture stored in P-Buffer, on the right is the same image with gamma correction applied

Use heuristic shader

lights\_count ← number of lights

**for** each object in scene **do**

    Update shader's worldViewProjection matrix

    Start OpenGL query for passed samples calculation

    Draw object

    A ← query result

**if** query result is zero **then**

        Mark object as not selected in this frame

        Process next object

**end if**

    Calculate bounding box center in NDC (bb) space using matrices

    P ← (max(1.0-sqrt(bb.x\*bb.x+bb.y\*bb.y),0.0)

\*0.99)+0.01

D ← distance between bounding box in and view position

V ← (A \* P) / D ▷ eq. (1)

Q ← lights\_count

**if** object is reflective **then**

    Q ← Q + 1 + lights\_count

**end if**

**if** object is refractive **then**

    Q ← Q + 4 + lights\_count

**end if**

object\_cost ← A \* Q \* object.estimatedCost ▷ eq. (2)

object\_relevancy ← (object.wasSelected \* previous-SelectionImportance + V) \* object.heuristicRelevance ▷ eq. (3)

**end for**

Sort selected objects by cost

Add pointers for selected objects to more relevant selected objects

Clear heuristic mask texture

costLeftForRT ← defined cost left for RT

**while** costLeftForRT > 0 **and** visible\_objects > 0 **do**

    Set obj\_cur ← selected object with the highest cost

**if** obj\_cur.cost > costLeftForRT **then**

        Mark obj\_cur as not selected in this frame

        Remove obj\_cur from selected objects container

**else if** obj\_cur points to more relevant object **then**

        obj\_cur ← more relevant object

        Update shader's worldViewProjection matrix

        Draw obj\_cur

        costLeftForRT ← costLeftForRT - obj\_cur.cost

        Mark obj\_cur as selected in this frame

        Remove obj\_cur from selected objects container

**else**

        Update shader's worldViewProjection matrix

        Draw obj\_cur

        costLeftForRT ← costLeftForRT - obj\_cur.cost

        Mark obj\_cur as selected in this frame

        Remove obj\_cur from selected objects container

**end if**

**end while**

Draw skybox cube

Disable depth test

Disable G\_Buffer

We do not provide pseudo-code for heuristic's shaders as they only have to fill heuristic's texture with solid color for object's pixels and thus are trivial. Heuristic's task is not only to constraint ray tracing effects generation, but also to do it the way, that those objects that are most rele-

vant to visual appearance and contribute the most for human visual perception will be selected for ray tracing instead of those with less importance. The heuristic itself could not predict correctly if some given object is important from the environment designer point of view, so it has additionally some factors that can be adjusted during scene creation. As for automatic calculation of objects relevancy there were two reasons on how to configure such behavior, both coming from the way human perception works. The first one is assumption that objects that are closer to the center of visible area and closer to the observer are more important than those further away. This comes from the fact that we unconsciously ignore many visual effects while image we see is frequently changing. The second one could be the fact that our perception is highly changed when given some kind of motivation, like e.g. task of looking for something in specific environment or focusing in other aspects rather than image only. Although this can approximate to some extent the way we will perceive the image and partially relieve designers from changing the environment to meet performance restrictions, they still may, and should, assign some factors that will indicate that some particular objects are more important than others. A short description of this behavior would be that “for visible objects in the scene, select X most relevant from the perception point of view that will meet time constraint T”.

This heuristic consists of three phases. One phase is offline phase – its the part of assigning heuristic values by user during scene design, and two another phases are phases which happen every frame between rasterization stage and ray tracing stage. The heuristic itself consists of both values that can be set by environment designers and of values that are calculated based on current scene visibility. During every-frame phases, a graph of scene objects is created, and then is used to determine which objects should have secondary effects in specified time constraints generated during ray tracing phase.

As for offline phase, there are four user-defined values – two for heuristic in general and two per every object. First value that can be specified for heuristic is time available in ray tracing phase (T). This is value that will be set as initial ray tracing stage time every frame, and tested against all objects costs. It mainly depends on available computing power of running machine, which allows to adopt it depending on whole application performance. The second general value is the importance of selecting previously selected objects (I). This value allows to introduce some stability in generated image, so if any object has been selected for ray tracing stage, it will be more important to select it in the next frame because frequent changes in object visible

visual effects will be highly noticeable, and by setting this parameter to high value, these changes can be reduced. Another factor is one of factors assigned per-object. It is object static relevancy (K), which determines how important is to render secondary effects for this particular object. High values means that this object’s relevancy may be higher than for objects that are more relevant from the perception point of view than this one. The last factor configured by user is estimated object’s cost (E). This value allows to indicate heuristic, that object may be more computationally complex for ray tracing stage due to e.g. its complicated shape, unnatural size, or to adjust performance for this object, if it causes heavy performance drop for designed scene form. All those four factors are used in calculations that are performed during the second and the third phase.

The second phase, which is already performed every frame is the one in which each object current relevancy and current processing cost. Firstly, size of object visible area (A) is calculated. It is exactly the number of visible pixels that this object covers. It is measured using depth buffer generated in rasterization stage and OpenGL queries. If there is no such pixel, this means that the object is not visible and is being rejected. Next calculated value is distance of object 2D projection center from the view plane center (P). It is calculated using center of 3D bounding box that is transformed using world, view and projection matrices. This value is in range [0.01, 1.0], where 0.01 means that the center is out of the view plane, and 1.0 means center of object exactly in center of the view plane. The third value that is used for visibility calculations is distance from the object to the observer (camera) in 3D space (D). Using these three values, we can calculate object’s visibility (V) using the following equation.

$$V = \frac{A \cdot P}{D} \quad (1)$$

Object’s visibility will affect the value of relevancy for analyzed object. Next step is to calculate estimated numbers of required rays to calculate all visual effects for particular object (Q). This is initially a number of lights in scene, which is then increased by number of lights + 1, if object is reflective, and by number of lights + 4 if object is refractive. Every object has additionally a Boolean value that indicates whether this object has been selected or not for secondary effects rendering in previous frame (S). Using all calculated values, we can finally calculate object’s current processing cost (C) and relevancy (R) using the following equations.

$$C = A \cdot Q \cdot E \quad (2)$$

**Table 3:** Heuristic factors

Factor letter	Description	Heuristic variable/User defined
T	Time available for ray tracing stage – global	User defined
I	Importance of selecting previously selected objects – global	User defined
K	Object static relevancy	User defined
E	Estimated objec’s cost	User defined
A	Size of object’s visible area	Heuristic variable
P	Distance between object’s projection and center of view plane	Heuristic variable
D	Distance between object and observer	Heuristic variable
V	Object’s visibility	Heuristic variable
Q	Estimated number of required rays	Heuristic variable
S	Flag of object being selected in previous frame	Heuristic variable
C	Object’s current processing cost	Heuristic variable
R	Object’s current relevancy	Heuristic variable

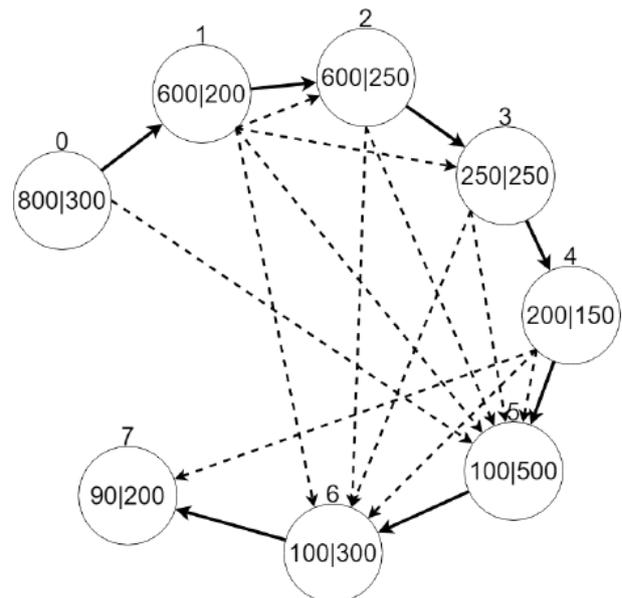
$$R = (S \cdot I + V) \cdot K \tag{3}$$

With these calculated values we can add node to the graph for this object, which contains reference to object, its calculated cost and relevancy. Table 3 presents all factors that are used by heuristic during every object estimated cost and relevancy calculations.

After all objects have been updated, the graph is sorted by objects calculated processing cost in descending order, so every node points to a node with object, which cost is smaller or equal to this one. Additionally, all nodes points to nodes that have objects with cost smaller or equal, but having higher relevancy – these pointer are sorted by relevancy in descending order. This is the end of the second phase.

The third phase is this one in which heuristic makes decision which objects will receive secondary effects generation. Heuristic mask is cleared by setting mask texture value to 0.0 and current cost left for ray tracing is set to value that was provided by user, and while there is still cost left for ray tracing, we check if object cost is less than this available time. If not, the object is set as not selected by heuristic and is removed from the graph. If there is time to render this object, heuristic checks if there are any object that are more relevant to render (if node points to another node with equal or less cost and greater relevancy). If it does, this object with higher relevancy (if there are multiple, the one with the highest) is selected as the one receiving secondary effects. The object is being rendered to heuristic mask – and marking pixels which belongs to this object with color, and current available cost is decreased by this object’s cost. If there were no more relevant objects, this one is being processed similarly. Selected objects are marked as selected by heuristic and are removed from current selection graph. If we run out of available cost, all remaining objects are set as not selected and moved to the graph for next frame. After this phase, heuristic is done and heuristic mask texture is ready to be passed to ray tracing stage, which could be seen in Figure 2 – red color means pixel that will be ray traced while black one are pixels that will be ignored.

Let’s make an example. Let the left time available for ray tracing be 400. Figure 4 presents an example of a graph that could be created by heuristic.



**Figure 4:** An example of nodes of heuristic’s graph. Value above node is its index. Value to the left of vertical bar is object’s current cost, value to the right is object’s relevancy

Every node points to node with processing cost not greater than this one. Additionally, every node points to nodes with cost not greater and higher relevancy. As for now, the first node that has cost smaller than available time is the node with index 3, so all nodes with smaller indices are removed from the graph. This node points to node 4 as next in cost order, and nodes 5 and 6 as nodes with higher relevancy. From nodes 5 and 6, node 5 has higher relevancy, so this node is selected for processing, after which it is removed from the graph. Operation is performed as long as there is still available time for rendering and the graph is not empty.

### 3.3 The third stage – ray tracing

In this stage, ray tracing method is responsible for generating secondary effects for objects that have been selected basing on heuristic decisions. Those effects includes shadows, reflections and refractions. It was implemented using NVIDIA OptiX, and CUDA language for algorithms. It means that there is one part of code that runs on host – which in CUDA refers to processor and its memory, and the other runs on device – which refers to GPU and its memory. Multiple parallel functions running on GPU are called kernels. Listing 7 presents frame rendering pseudo-code running on host while listings 8a-d present so called OptiX's programs running on GPU.

Listing 7. Ray tracing stage frame rendering function

```

Update context's view position value
Launch 2D ray tracing ray generation program with
width and height
Enable ray tracing results buffer
Attach shadows texture for writing
Draw shadows texture using shadows buffer
Attach reflections texture for writing
Draw reflections texture using shadows buffer
Attach refractions texture for writing
Draw refractions texture using shadows buffer
Disable ray tracing results buffer

```

Listing 8a. Ray tracing stage CUDA rendering pipeline – generate secondary rays

```

▷ this function will be run on multiple kernels upon
launch call
function RT_PROGRAM
generate_secondary_rays()
input: launch index li

```

```

output: shadows buffer sb, reflections buffer reflb, re-
fractions buffer refrb ▷ G-Buffer
textures

```

```

samplers: position texture, normal texture, emission
texture, ambient texture, diffuse texture, specular tex-
ture, optical properties texture, heuristic mask texture
tc ← li / buffer.size

```

```

if heuristic mask sample is zero then

```

```

    sb[li] ← 0
    reflb[li] ← 0
    refrb[li] ← 0

```

```

return

```

```

end if

```

```

if normal sample saved in texture is of zero length then

```

```

    sb[li] ← 0
    reflb[li] ← skybox sample value in linear space
    refrb[li] ← 0

```

```

return

```

```

end if

```

```

color ← 1.0F

```

```

for each directional light do

```

```

    if directional light does not cast shadows then

```

```

        Process next light

```

```

    end if

```

```

    shadowRay.att ← 1.0F

```

```

    Trace shadow ray from fragment position in opposite
light direction ▷ this call will launch shadow rays any
hit program, listing 8b

```

```

    shadowAtt ← 1.0 - shadowRay.att

```

```

    lightColor ← value calculated using Blinn-Phong for-
mula

```

```

    color ← color - (lightColor * shadowAtt)

```

```

end for

```

```

for each point light do

```

```

    if point light does not cast shadows then

```

```

        Process next light

```

```

    end if

```

```

    shadowRay.att ← 1.0F

```

```

    Trace shadow ray from fragment position to point
light position ▷ this call will launch shadow rays any
hit program, listing 8b

```

```

    shadowAtt ← 1.0 - shadowRay.att

```

```

    lightColor ← value calculated using Blinn-Phong for-
mula

```

```

    color ← color - (lightColor * shadowAtt)

```

```

end for

```

```

for each spot light do

```

```

    if spot light does not cast shadows then

```

```

        Process next light

```

```

    end if

```

```

    shadowRay.att ← 1.0F

```

```

Trace shadow ray from fragment position to spot
light position ▷ this call will launch shadow rays any
hit program, listing 8b
shadowAtt ← 1.0 - shadowRay.att
lightColor ← value calculated using Blinn-Phong formula
color ← color - (lightColor * shadowAtt)
end for
sb[li] ← color
reflColor ← 0
if optical properties reflection value is not zero then
  initial reflection ray payload values
  reflRayDir ← viewDir reflected by normal
  Trace reflection ray from fragment position in reflection
  direction ▷ this call will launch secondary ray
  closest hit program, listing 8c
  reflColor ← reflection ray result color
end if
reflb[li] ← reflColor
refrColor ← 0
if optical properties refraction value is not zero then
  initial refraction ray payload values
  refrRayDir ← viewDir refracted by normal
  if refraction was not successful then
    refrRayDir ← negated viewDir reflected by normal
  end if
  Trace refraction ray from fragment position in refraction
  direction ▷ this call will launch secondary ray
  closest hit program, listing 8c
  refrColor ← refraction ray result color
end if
refrb[li] ← refrColor

```

Listing 8b. Ray tracing stage CUDA rendering pipeline – any hit shadow rays

```

▷ this function will be launched whenever shadow ray
hits any object
function RT_PROGRAM
any_hit_shadow_rays()
input: shadow ray sr
if material is set not to cast shadows then
  return
end if
sr.att ← sr.att*transparency*(1.0 - diffuse color)
if sr.att is zero then
  Terminate ray
else
  Ignore intersection and continue traversal

```

**end if**

Listing 8c. Ray tracing stage CUDA rendering pipeline – closest hit secondary rays

```

▷ this function will be launched whenever secondary
ray hits closest object
function RT_PROGRAM
closest_hit_secondary_rays
input: secondary ray secr, Importance cutoff ic, Max
depth md
reflImp ← secr.imp * reflection-luminance
reflCol ← 0.0F
if reflImp > ic AND secr.depth < md then
  new reflection ray payload values
  reflRayDir ← secr.direction reflected by normal
  Trace reflection ray from intersection point in reflection
  direction ▷ this call will launch secondary ray
  closest hit program, listing 8c
  reflColor ← reflection ray result color
end if
refrImp ← secr.imp * refraction-luminance
refrCol ← 0.0F
if refrImp > ic AND secr.depth < md then
  new refraction ray payload values
  refrRayDir ← secr.direction refracted by normal
  if refraction was not successful then
    refrRayDir ← negated secr.direction reflected by
    normal
  end if
  Trace refraction ray from intersection point in refraction
  direction ▷ this call will launch secondary ray
  closest hit program, listing 8c
  refrColor ← refraction ray result color
end if
lighting ← 0.0F;
if object is not fully reflective or refractive then
  Calculate color using Blinn-Phong formula
end if
if secr.depth >= md then
  reflCol ← lighting
  refrCol ← lighting
end if
color1 ← (reflectivity * reflCol) + ((1.0F - reflectivity) *
((transparency * reflCol) + ((1.0F - transparency) * lighting)))
color2 ← (transparency * refrColor) + ((1.0F - refraction)
* ((reflectivity * reflCol) + ((1.0F - reflectivity) * lighting)))
secr.result ← (color1 + color2) * 0.5F

```

Listing 8d. Ray tracing stage CUDA rendering pipeline – miss secondary rays

```

▷ this will be launched whenever secondary ray will not
hit any object
function RT_PROGRAM
miss_secondary_rays
input: secondary ray secr
secr.result ← skybox sample value in linear space

```

The result of this stage are three textures, each containing different visual effect. As an input, ray tracing receives both data accumulated in G-Buffer during previous stages and scene object's data using structures provided by OptiX. As acceleration structure for OptiX's group and geometry groups, "TrbvH" has been selected. It allows fast acceleration structure building and is recommended for most datasets. For each pixel for image size, so called "ray generation program" is launched. Then, if heuristic mask marked selected pixel for ray tracing stage, firstly, a shadow of selected pixel is determined. A ray is being shot to all lights in scene. If there is any non-transparent object on the way or ray, the shadow texture is decreased by appropriate light amount that is blocked by its surface. After all lights have been examined, based on information carried by optical properties texture, reflections and refractions are calculated. Both of those effects may have multiple recursive rays, in case of multiple ray reflections with objects near each other. Maximum ray depth was reduced to 10 for performance reasons. Images generated by this stage for example scene are presented in Figure 5.

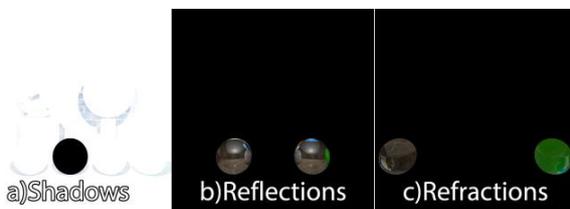


Figure 5: An example of textures generated during ray tracing stage: a) shadow, b) reflection, c) refraction. The images were gamma corrected so the results are clearly visible

Additionally, ray tracing stage is responsible for generation skybox values in case there is no object in camera view. Although as for primary rays we can use G-Buffer data, every next ray must be tested against scene geometry. These result images are saved in special buffers and are passed in last stage – blending stage.

### 3.4 The fourth stage – blending

This stage uses images generated in the first and the third stage in order to produce one, final image that will be presented to the user. This step is done again in OpenGL with usage of GLSL shader. To correctly blend images, four mentioned textures are being passed: lighting (L), shadows (S), reflection (M), refraction (R), and two additional textures from G-Buffer: optical properties and heuristic mask. Pseudo-code of blending stage is presented in listing 9. The vertex shader used in this stage is the same shader as in listing 4, and fragment shader used to generate final image containing all calculated effects is presented in listing 10.

Listing 9. Blending stage frame rendering function

```

Use shader for combining result textures into final image
Bind appropriate textures from previous calculations
Draw screen quad ▷ produce final image, listing 10
Unbind textures
Swap display buffers

```

Listing 10. Blending stage fragment shader

```

input: texture coordinates itc
output: fragment color ofc
samplers: lighting texture lt, heuristic mask texture hmt, shadows texture st, reflections texture refl refractions texture refrt, optical properties texture opt
lighting ← tex(lt, itc)
heurVal ← tex(hmt, itc)
if heurVal < 0.0001 then
    ofc ← lighting in sRGB color space
return
end if
opProp ← tex(opt, itc)
lightingShadows ← lighting - (vec4(1.0) - tex(st, itc))
reflCol ← reflectivity * tex(refl, itc) ▷ opProp.x is reflectivity
refrCol ← transparency * tex(refrt, itc) ▷ opProp.z is opacity, so 1.0 - opProp.z is transparency
color1 ← reflCol + ((1.0 - reflectivity) * (refrCol + ((1.0 - transparency) * lightingShadows))) ▷ eq. (5)
color2 ← refrCol + ((1.0 - transparency) * (reflCol + ((1.0 - reflectivity) * lightingShadows))) ▷ eq. (6)
finalColor ← (color1 + color2) * 0.5
ofc ← finalColor in sRGB space

```

**Table 4:** Test scenes complexity information

Scene	Sponza Spheres	Sponza Armadillo	Dining Room	Backyard
Vertices	150704	160896	71697	1323288
Triangles	272144	292544	139378	1128786
Objects	29	25	27	48
Reflective objects	3	0	0	1
Refractive objects	23	21	1	2

The combination goes as follow: if selected pixel was not marked by heuristic, pixel color is the same as from lighting texture. If not, we calculate color of lighting with applied shadows ( $C$ ) using following equation.

$$C = L - (1.0 - S) \quad (4)$$

Using this formula we receive lighting with darkened area, which were darker than white in shadow texture. We then calculate color of reflections ( $C_M$ ) and refractions ( $C_R$ ) considering given optical properties values for reflections ( $O_M$ ) and refractions ( $O_R$ ) (assuming refraction value equal 1.0 means full transparency) as follows.

$$C_M = O_M \cdot M + ((1.0 - O_M)(O_R \cdot R + (1.0 - O_R)C)) \quad (5)$$

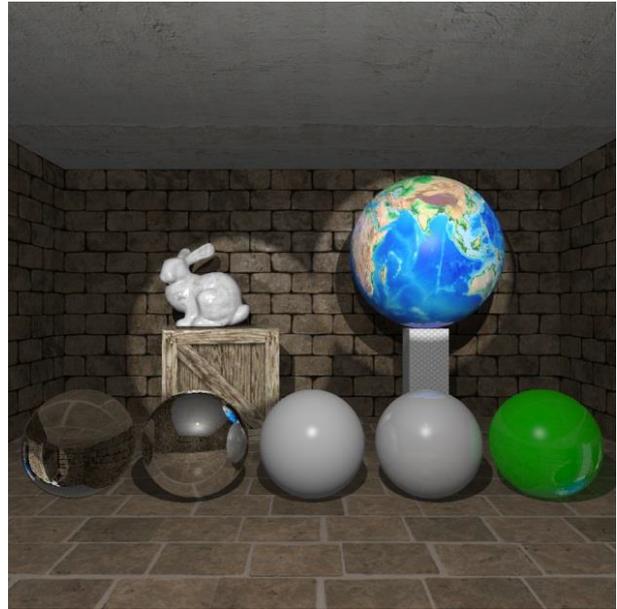
$$C_R = O_R \cdot R + ((1.0 - O_R)(O_M \cdot M + (1.0 - O_M)C)) \quad (6)$$

Final color is taken as an average of  $C_M$  and  $C_R$  and as a last step gamma correction is finally applied. Such calculated image is a final form presented to user. Figure 6 presents final image for provided examples. Each of presented stages is repeated each frame until the end of application process.

## 4 Tests

In order to determine the correctness and performance of implementation of our solution for both hybrid rendering and heuristic, we have performed two sets of tests each performed in four different scenes. Each set consist of four scenes: Sponza scene with multiple reflective and refractive objects, Sponza scene with armadillo model using refractive material only, Dining Room Scene and Backyard Scene (Table 4). All performance measurements will be presented in average single frame rendering time.

The first set of tests consist of single, static image containing most of most important scene elements. We measured performance of our application, including performance of each rasterization stage, heuristic stage and



**Figure 6:** An example of final image generated during blending stage for example scene

ray tracing stage. We additionally tested our solution with performance of ray tracing only variant of our solution, where primary rays are generated from camera and are intersected against visible objects, and no heuristic is used for selecting appropriate objects for secondary effects.

The second set of tests consist of the same scenes being rendered by camera moving inside presented environment. The camera was moving using fixed path for 20 seconds. We compare performance for both runs with and without heuristic and compare obtained times in both camera traversals.

The tests and performance results were obtained using Lenovo IdeaPad Y700-15ISK notebook with 16 GB of RAM, Intel Core i7-6700HQ 2.60GHz CPU and NVIDIA GeForce GTX 960M GPU running on 64 bits version of Microsoft Windows 10 operating system. All tests were performed with application running with HD resolution (1280x720). As for heuristic settings, reflective and refractive objects in all scenes were set as relevant, and other parameters were adjusted to achieve frames per second (FPS) at a level of

**Table 5:** The first set of tests results (ms)

Scene	Sponza Spheres	Sponza Armadillo	Dining Room	Backyard
FRAME <sup>a</sup>	35.991	38.713	38.032	38.632
RAST <sup>b</sup>	1.830	1.548	2.109	0.816
HEUR <sup>c</sup>	6.686	7.037	5.223	14.862
RAYT <sup>d</sup>	26.778	29.319	30.618	22.215
RAYT ONLY FRAME <sup>e</sup>	69.020	80.237	60.781	59.042

<sup>a</sup>FRAME – this value means the time of one full frame rendering time

<sup>b</sup>RAST – this value is the time of rasterization stage rendering

<sup>c</sup>HEUR – this value indicates how much time heuristic stage needed to calculate and create the graph and the data

<sup>d</sup>RAYT – this value shows how much time was spend in ray tracing stage

<sup>e</sup>RAYT ONLY FRAME – this is the time of rendering single frame when using ray tracing only to generate the whole image. No rasterization stage nor heuristic were used in this case

**Table 6:** The second set of tests results (ms)

Scene	Sponza Spheres	Sponza Armadillo	Dining Room	Backyard
Frame rendering time – with heuristic	28.975	33.694	37.925	33.263
Frame rendering time – no heuristic	52.769	64.373	47.097	45.223

above 25 (frame rendered in less than 40ms). All typical graphical settings have been set to maximum (native textures resolution, texture 16x anisotropic filtering etc.). Information of each scene complexity is included in Table 4.

## 5 Results

Table 5 presents results of performance tests for the first set of tests, while Table 6 contains results regarding measurements in the second set of tests.

As it can be seen in Table 5 our solution maintained to obtain at least 25 FPS in each test scene. Of course, the sum of values from three stages does not give the frame rendering values, because there are some minor phases in between like final image compositing or input handling, which were not measured individually. For each test scene, there is a high gain in comparison with ray tracing only variant. The gain varies from 1.529 ms for Backyard scene following 1.598 ms for Dining Room, then 1.918 ms for Sponza with spheres and to 2.073 ms as for Sponza with armadillo scene which means our solution can be even two times faster than ray tracing only. One can also see that along with the increasing amount and complexity of objects the time spend on heuristic is also increased. This is caused by the fact that heuristic has to calculate how much screen space does the object cover, and then create and analyze the graph of all visible objects. The way of decreasing

time of heuristic calculations may be one of the issues to investigate.

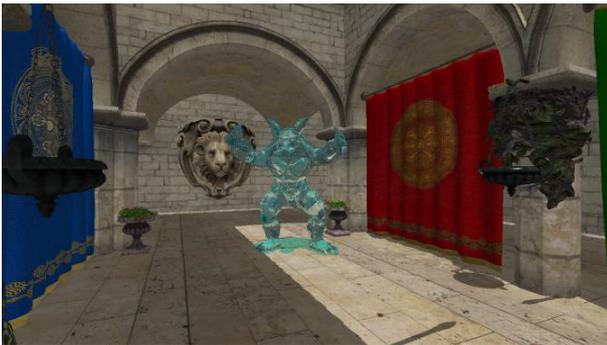
Analyzing Table 6 we can see that using heuristic allowed to maintain average FPS during traversals above 25, while without heuristic the results were worse. The difference in results between the first two tests and last two tests comes due to the fact that in the first two tests, reflective and refractive objects were main part of scene and were visible in camera most of time, while the Dining Room and Backyard scenes were traversed in more general way, with more objects receiving shadows only, which calculating task is computationally less demanding. However, our tests also showed that there are two problematic issues that poorly affects performance of our solution. One of the issue are reflective or refractive objects that cover a significant part of the screen, which lead to high performance drop due to demanding ray tracing calculations for many pixels. Of course, our heuristic can detect such situations and decide to avoid rendering of effects for such object for maintaining performance reason, but this poorly affects visual experience of image because this object loses its details while being clearly visible. The solution of this would be to investigate and detect the performance bottleneck and develop a way to bypass it, or apply some other, less computationally demanding method of obtaining those effects. The second problematic matter are multiple refractive objects that are close to each other one by one, like e.g. leaves of photorealistic tree model using alpha mask. Rays



**Figure 7:** Image of the first test scene – Sponza with multiple reflective and refractive spheres. Note that due to heuristic decisions shadows of objects that are further away are not rendered



**Figure 9:** Image of the third test scene – Dining Room. There are two light sources in this scene



**Figure 8:** Image of the second test scene – Sponza with refractive armadillo statue



**Figure 10:** Image of the fourth test scene – Backyard. An example of ray tracing generating detailed shadows for complicated objects

recursively hit each object and calculate its visual look and whether calculate further transparency, causing massive performance drop. In case of mentioned tree, the solution would be to make the rendering pipeline know, how to deal with object's transparency and instead of ray tracing, calculate it during rasterization stage using alpha blending technique. As for refractive objects, there is still a gap on how to deal with them so environment would not have to be simplified in order to maintain performance. Visual effects have been shown in the Figures 7, 8, 9 and 10.

One issue not tested in our paper is the user visual experience of scenes with heuristic enabled. Of course, our heuristic tries to select most relevant objects from the point of view of human perception, but it would be valuable to check how noticeable changes of object secondary effects would be in certain scenarios. This is important as the case when close, relevant object appears on the screen, the heuristic may decide not to render effects object's that were further away from observer and to this point were having those effects calculated. This can be also reduced by spending more time on appropriate heuristic values setting for both heuristic and objects as those are affecting the heuristic behavior mostly. The best way to perform such

measurement would be to use Eye Tracking System and ask people with some tasks to do in virtual environment.

Despite those issues our tests indicates that presented solution is capable of making ray tracing a method that may be used in real-time interactive applications, especially with the use of high-end graphic cards which computing power is even bigger than the tested one.

## 6 Conclusions

We have described a hybrid approach of rendering virtual environments with the use of both rasterization which use in real-time environments is common and ray tracing which allows simpler generation of any secondary image effects along with heuristic that determines objects which will have shadows, reflections and refractions rendered based on theirs relevance and processing cost. We also described how this heuristic is designed, what parameters is taking into account and how data is passed to other stages.

Nowadays, with the use of modern high-end GPU it is now possible to perform ray tracing along with rasterization stage and maintain real-time characteristic of appli-

cation. Usage of G-Buffer structure as replacement of primary rays generation comes as a very good optimization that in cost of higher memory demand, which is no longer such a big issue, allows to omit one of the computationally expensive phase of rays intersection. There are some cases that are problematic, like many complex objects with secondary effects near each other that may cause performance drop, but with appropriate optimization of rendering pipeline or use of properly configured heuristic this problem can be overcome.

There are many ways of further development that can be proposed as future work. As for application in general, it was currently designed as a rendering program, however, with a few changes in architecture, it could be easily adopted as a prototype of game engine, and its performance when used in a game could be investigated. This would require implementation of support of different animations for objects. As another way of research, it would be interesting to investigate how hybrid rendering would work when replace ray tracing with other rendering methods, including those with global illumination effects like path-tracing, metropolis light transport, photon mapping, radiosity or any of monte carlo methods and measure performance of both application and heuristic. It would also be valuable to explore how additional effects like *e.g.* ambient occlusion, anti-aliasing, tessellation or post-processing filters would affect both performance and image quality.

As for rasterization stage it would be worth to implement different effects. It would be interesting to incorporate better illumination models other than Blinn-Phong, especially these that comes from physical based rendering (PBR). Other effects includes implementation of high dynamic range (HDR), more specific textures support, like *e.g.* height maps or other rendering optimizations including frustum culling and level of detail (LOD).

As presented in this paper, our heuristic configuration highly depends on its configuration by designer. The overall computing time can be designed as a Graphic Setting that has few pre-defined steps that is selected by user, but per object parameters are still configurable during scene creation. In future work it could be redesigned and automated so it could work and determine both relevancy and specific costs without need of explicit pass of values by scene designer. Currently, our heuristic decides binary whether to calculate all or none secondary effects for specific object. In future it could be done per effect, so some objects could have *e.g.* reflections calculated, but not the shadows etc., depending on available time and object's parameters. The heuristic could also decide on secondary effects calculated on recursive rays of reflections

and refractions, because currently those are not affected by heuristic and ray tracing recursive rays calculates all secondary effects. Additionally, some other variations of heuristic could be deployed, with some additional factors being taken into account during its calculations.

Currently, ray tracing stage responsible for shadows generates hard shadows only. There is possibility to investigate some algorithms of generating soft shadows considering their complexity and quality of such generated shadows. An additional research on currently created scene's graph using OptiX's provided data structure and selected acceleration structures may be required in order to further improve performance of this stage and accelerate ray with scene geometry intersection calculations. OptiX does not provide support for mipmapping and ray traced effects may have visible noise, or tangent and bitangent calculations, so normal maps are not used, so extending ray tracing pipeline by methods providing these functionalities would positively influence ray traced effects visual aspect. Currently, our application is limited for use only on computers equipped with NVIDIA Graphic Card, due to the fact that OptiX uses CUDA, which are not supported in cards of other vendors. It would be very interesting and valuable to re-implement application using recent ray tracing API mentioned in related work. With either DXR or NVIDIA RTX, which are new API specialized in ray tracing algorithms, their usage could result in further performance and image quality increase.

To sum up, hybrid rendering is a promising topic and research shows, that its use is wide and can be customized depending on target application, requirements, restrictions and hardware. With further development of computer architecture, with particular emphasis on GPU's, it will be possible to easily overcome difficulties related to specific rendering issues and methods using well-optimized and correctly implemented techniques and quality of rendered images in terms of both photorealistic result and user experience will increase. It shows that, despite the apparent impression that we reach to the limits of what Computer Graphics may offer, there will always be a field to discover some new ways of improvements of current state of the art and using and combining different approaches may still turn out as beneficial and improve known method in either performance or quality aspect particularly or in general.

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