

## Conference paper

Aleksey E. Kuznetsov\*

# Comparison of P- and As-core-modified porphyrins with the parental porphyrin: a computational study

<https://doi.org/10.1515/pac-2020-1105>

**Abstract:** The first comparative DFT (B3LYP/6-31G\*) study of the Zn-porphyrin and its two derivatives, ZnP(P)<sub>4</sub> and ZnP(As)<sub>4</sub>, is reported. For all three species studied, ZnP, ZnP(P)<sub>4</sub> and ZnP(As)<sub>4</sub>, the singlet was calculated to be the lowest-energy structure and singlet-triplet gap was found to decrease from ca. 41–42 kcal/mol for N to ca. 17–18 kcal/mol for P and to ca. 10 kcal/mol for As. Both ZnP(P)<sub>4</sub> and ZnP(As)<sub>4</sub> were calculated to attain very pronounced bowl-like shapes. The frontier molecular orbitals (MOs) of the core-modified porphyrins are quite similar to the ZnP frontier MOs. For the HOMO-2 of the core-modified porphyrins due to the ZnP(P)<sub>4</sub>/ZnP(As)<sub>4</sub> bowl-like shapes we might suppose the existence of “internal” electron delocalization inside the ZnP(P)<sub>4</sub>/ZnP(As)<sub>4</sub> “bowls”. Noticeable reduction of the HOMO/LUMO gaps was calculated for ZnP(P)<sub>4</sub> and ZnP(As)<sub>4</sub>, by ca. 1.10 and 1.47 eV, respectively, compared to ZnP. The core-modification of porphyrins by P and especially by As was found to result in significant decrease of the charge on Zn-centers, by ca. 0.61–0.67e for P and by ca. 0.69–0.76e for As. Charges on P- and As-centers were computed to have large positive values, ca. 0.41–0.45e and ca. 0.43–0.47e, for P and As, respectively, compared to significant negative values, ca. –0.65 to –0.66e for N. The porphyrin core-modification by heavier N congeners, P and As, can noticeably modify the structures, electronic, and optical properties of porphyrins, thus affecting their reactivity and potential applications.

**Keywords:** bowl-like distortions; chemistry and its applications; core-modification; density functional theory; electronic properties; NBO analysis; porphyrins; VCCA-2020.

## Introduction

Metalloporphyrins and their derivatives are representatives of the extremely interesting and broad class of 18- $\pi$ -electron aromatic tetrapyrrole compounds [1–5]. They play the role of cofactors in various enzymes due to their ability to bind metal ions in different oxidation states along with their noticeable structural flexibility allowing them to adopt conformations required for fulfilling specific biological functions [1–11]. Tetrapyrroles find numerous applications in catalysis [1, 2, 5, 12], molecular photonics [5, 13, 14], as sensitizers for dye-sensitized solar cells [2, 5, 14–17], in artificial photosynthesis [18, 19], as sensor devices [20], and in medicine [1, 2, 5]. Their sizes, shapes, and different properties can be fine-tuned by suitable structural modifications. An attractive and promising approach is the modification of the porphyrin core by replacing one or several pyrrole N-atoms with other heteroatoms: chalcogens (O, S, Se, Te), C, and P. The resulting porphyrinoids are known as heteroatom-containing porphyrins or *core-modified* porphyrins and possess very interesting physicochemical properties and structures that can be quite different from those of regular N<sub>4</sub>-porphyrins [21–27]. This can

**Article note:** A collection of invited papers based on presentations at the Virtual Conference on Chemistry and its Applications (VCCA-2020) held on-line, 1-31 August 2020.

**\*Corresponding author: Aleksey E. Kuznetsov**, Department of Chemistry, Universidad Técnica Federico Santa María, Av. Santa María 6400, Vitacura 7660251, Santiago, Chile, e-mail: [aleksey.kuznetsov@usm.cl](mailto:aleksey.kuznetsov@usm.cl)

result in core-modified porphyrins having promising novel applications. Computational research can be helpful in predicting structures and properties of novel core-modified porphyrins and their derivatives (*vide infra*, and also see Refs. [26, 27]).

Let us consider more closely core modification of porphyrins with the heavier congener of nitrogen, phosphorus. Pyrrole isologue, phosphole  $C_5H_5P$ , was shown to have much lower aromaticity compared to the pyrrole due to insufficient conjugation between the *cis*-dienic  $\pi$ -system and the P-atom lone electron pair [28]. However,  $C_5H_5P$  possesses several prominent features [28]: (i) a trigonal pyramidal geometry of the P-center due to insufficient n- $\pi$  interactions; (ii) the effective  $\pi^*(P-R) - \pi-\pi^*(1,3\text{-diene})$  hyperconjugation which lowers the  $C_5H_5P$  lowest unoccupied molecular orbital (LUMO) energy compared to the pyrrole LUMO; (iii)  $C_5H_5P$  MOs energies can be easily tuned by different modifications on P-center; and (iv) the P-bridged 1,3-diene unit is rigid, electron rich, and polarizable. The core-modification by P should be considered as a promising strategy for tuning porphyrinoids' properties.

However, so far studies devoted to the core-modification of porphyrins and their derivatives with P have been relatively scarce. In the series of studies Matano, Nakabuchi, Imahori and co-workers reported syntheses and characterizations of various porphyrins and their derivatives with one pyrrole N replaced by a P-atom [29–35]. The treatment of the  $\sigma^3\text{-P,N}_3$ -porphyrin with  $[RhCl(CO)_2]_2$  in  $CH_2Cl_2$  was shown to give  $[Rh^{III}\text{-P,N}_3]Cl_2$  complex [30]. The P- and S-core-modified  $\sigma^3\text{-P,S,N}_2$ -porphyrin was shown to give the Pd-P,S,N<sub>2</sub> complex, and for the S-core-modified  $S_2\text{-N}_2$ -porphyrin no complexation was observed [31]. The results were supported by the density functional theory (DFT) studies on model compounds. Similar Ni-P,S,N<sub>2</sub> and Pt-P,S,N<sub>2</sub> complexes were synthesized as well [31]. The  $\sigma^3\text{-P,N}_3$ -porphyrin (trigonal pyramidal P-center) was shown to possess a slightly distorted 18 $\pi$ -electron plane and the 22 $\pi$ -electron  $\sigma^4\text{-P,N}_3$ -porphyrin (tetrahedral P-center) was shown to have a highly ruffled structure. Significant structural distortions were also found in the  $Rh^{III}$  and  $Pd^{II}$  complexes of these compounds [29, 30]. The metal complexes of these porphyrins were found to exhibit weak anti-aromaticity in terms of the magnetic criterion [32, 33]. In the 2009 review, Matano and Imahori reported phosphole-containing porphyrins and porphyrinogens as macrocyclic mixed-donor ligands [34]. The investigations of the influence of different combinations of core heteroatoms (P, N, S, and O) on the macrocycle coordination properties showed the P,S,N<sub>2</sub>-calixpyrroles to behave as monophosphine ligands, whereas the P,X,N<sub>2</sub>-calixpyrins were shown to behave as neutral, monoanionic, or dianionic tetradentate ligands with electronic structures varying widely depending on the combination of core-modifying elements. It is also worthwhile to mention the 2009 DFT investigation of electronic structure and reactivity for oxidative addition for the Pd complex of P,S-containing hybrid calixphyrin [35]. Also, it is of interest to mention the 2003 DFT study by Delaere and Nguyen of the P-containing porphyrins with one or two pyrrole nitrogens replaced by P [36]. Upon substitution of a NH- by a PH-unit the carbon skeleton of the porphyrin was shown to remain essentially planar, whereas replacement of a N-atom by P-atom caused weak distortion of the ring.

Thus, it can be seen that porphyrins modified with more P atoms could possess intriguing structural, electronic, optical, and, most important, metal-coordinating properties. However, no studies of the completely P-core-modified porphyrins (P<sub>4</sub>-porphyrins, or  $P(P)_4$ ) have been reported, except the 2012 report by Barbee and Kuznetsov on the  $NiP(P)_4$  compound [37]. Motivated by the lack of such studies, Kuznetsov reported the computational investigations of structures, electronic properties, and various complexes formation for the completely P-core-modified metallocporphyrins,  $MP(P)_4$ ,  $M = Sc\text{-}Zn$  (and also for completely P-core-modified phthalocyanine) [37–43]. In 2015, the first systematic DFT study of the structures and electronic properties of the  $MP(P)_4$  compounds ( $M = Sc, Ti, Fe, Ni, Cu, \text{ and } Zn$ ) was reported along with the systematic comparison with the tetrapyrrole MP counterparts [39]. The prominent structural feature of all the  $MP(P)_4$  compounds studied was their bowl-like shapes, in sharp contrast to generally planar or slightly distorted MP counterparts. Significant positive charges were computed to be accumulated on P-atoms in  $MP(P)_4$  and positive charges on metals in them were found to be noticeably lower than in the MP counterparts. The calculated  $MP(P)_4$  HOMO/LUMO and optical gaps were noticeably smaller than the corresponding gaps of their MP counterparts, which was explained by stabilization of the  $MP(P)_4$  LUMOs. In the follow-up 2016 work, the comparative DFT study, including Natural Bond Orbitals (NBO) analysis, of the binding energies between the first-row transition metal cations  $M^{n+}$  ( $M = Sc\text{-}Zn$ ) and two ligands of the similar type, porphine  $P^{2-}$  and its completely P-modified

counterpart  $P(P)_4^{2-}$ , was reported [40]. The binding energy trends between  $M^{n+}$  and  $P(P)_4^{2-}/P^{2-}$  were shown to be similar for both ligands. The complete P-core-modification of porphyrins was found to decrease the  $M^{n+}$ -ligand binding energies; however, all the  $MP(P)_4$  compounds studied were shown to be stable according to the calculated  $E_{\text{bind}}$  values. Also in 2016, motivated by the phenomenon of stack formation by regular metalloporphyrins, the stack formation between  $ZnP(P)_4$  species without any linkers or substituents was found computationally [41]. Three modes of coordination were found to be possible. The dimer with the monomeric  $ZnP(P)_4$  units oriented by their Zn-centers toward each other was found to be the most stable species. Next year, motivated by numerous examples of the complex formation between regular metalloporphyrins and fullerenes, Kuznetsov computationally investigated possibility of the complex formation between  $ZnP(P)_4$  and  $NiP(P)_4$  and  $C_{60}$  without any linkers, both in the gas phase and with implicit effects from  $C_6H_6$  [42]. The binding energies in the  $MP(P)_4-C_{60}$  complexes were computed to be relatively small, ca. 1–1.6 kcal/mol and ca. 5 kcal/mol for  $M = \text{Zn}$  and  $\text{Ni}$ , respectively (CAM-B3LYP method). The  $ZnP(P)_4$  species was found to be noticeably distorted in the complex whereas  $NiP(P)_4$  inside the  $NiP(P)_4-C_{60}$  complex essentially retained its bowl-like shape. Next, motivated by the phenomenon of numerous complexes formation between tetrapyrroles and nanoparticles (NPs), Kuznetsov computationally studied the complex formation between two core-modified  $ZnP(X)_4$  species ( $X = \text{P}$  and  $\text{S}$ ) without any substituents or linkers and small NP  $Zn_6S_6$  [43]. The complex formation was investigated with two theoretical approaches, B3LYP/6-31G\* and CAM-B3LYP/6-31G\*, both in the gas phase and with implicit effects from benzene. Both complexes were found to be quite strongly bound, with binding energies varying from ca. 29 up to ca. 69 kcal/mol. In general, the shape of the  $ZnP(S)_4$  porphyrin macrocycle was considered as more favorable for the stronger binding between the NP and core-modified porphyrin. Very recently, Kuznetsov performed DFT studies on the P-core-modified and S-core-modified phthalocyanines (Pc)  $ZnPcs$ ,  $ZnPc(P)_4$  and  $ZnPc(S)_4$ , using B3LYP and two dispersion-corrected functionals, wB97XD and CAM-B3LYP. Also, computational study of the complexes between  $C_{60}$  and  $ZnPc(P)_4$  and  $ZnPc(S)_4$  was done. The size of the “bowl” cavity of the both core-modified phthalocyanines was found to be essentially the same. The calculated binding energies of the complexes optimized using the CAM-B3LYP and wB97XD varied within ca. 14–52 kcal/mol and were generally by ca. 8.5–12.4 kcal/mol higher for the  $C_{60}-ZnPc(S)_4$  complex.

Thus, motivated by the above-presented studies on P-core-modified porphyrins and their derivatives, we decided to perform first comparative DFT studies of the regular porphyrin,  $ZnP$ , and its two analogs modified with the heavier congeners of nitrogen:  $\text{P}$ ,  $ZnP(P)_4$ , and  $\text{As}$ ,  $ZnP(\text{As})_4$ . We want to emphasize here that our goal was to make comparisons between the  $ZnP$  species and its core-modified analogs,  $ZnP(P)_4$ , taken for comparison purposes, and  $ZnP(\text{As})_4$ , which *has never been studied before*, computationally or experimentally, from the point of view of their structures and some electronic (frontier molecular orbitals and orbital gaps, energy gaps, charges) and optical (peaks and gaps calculated by time-dependent DFT) properties. The more profound studies are planned for the follow-up research and are currently being undertaken.

The paper is organized as follows. In the next section, we describe the computational approaches employed. Then we address energetics of  $ZnP$ ,  $ZnP(P)_4$ , and  $ZnP(\text{As})_4$  and compare their structural features. Afterward we consider some of their molecular orbitals, HOMO/LUMO gaps, and optical gaps, and also NBO charges. Finally, we summarize the research findings and discuss further research perspectives.

## Theoretical methods

The study reported here was performed using the Gaussian 09 package, revision B.01 [44]. The  $ZnP$ ,  $ZnP(P)_4$ , and  $ZnP(\text{As})_4$  species (both singlets and triplets) were optimized without any symmetry constraints, and the resulting structures were assessed using vibrational frequency analysis to check whether or not the optimized structures represented true minimum-energy geometries.

We performed the geometry optimizations and frequencies calculations using the hybrid functional B3LYP [45, 46] with the split-valence polarized 6-31G\* basis set [47–53], furthermore referred to as B3LYP/6-31G\*. Previously, the B3LYP/6-31G\* approach was proved to give metalloporphyrin geometries in good agreement

with experimental data (see, e.g., Ref. [54]): thus, Kozłowski et al. demonstrated applying the B3LYP/6-31G\* approach to several unsubstituted planar MPs ( $M = \text{Cr-Zn}$ ) that the computed range of M-N bond distances, 1.96–2.09 Å, covered essentially the range of experimentally observed values [54]. This approach was also shown by Hirao and co-workers to produce the ordering of spin states of metalloporphyrin complexes reasonably well [55].

Geometry optimizations and frequencies calculations, also for comparison purposes, were performed in the gas phase and with implicit effects of two solvents with differing polarities, benzene and dichloromethane, taken into account (dielectric constants  $\epsilon(\text{C}_6\text{H}_6) = 2.2706$  and  $\epsilon(\text{CH}_2\text{Cl}_2) = 8.93$ ) using the self-consistent reaction field IEF-PCM method [56]. The UFF default model used in the Gaussian 09 package, with the electrostatic scaling factor  $\alpha$  set to 1.0, was employed. These solvents were chosen because organic syntheses and characterization are often performed using them.

Below we consider the B3LYP/6-31G\* results without the zero-point correction ZPE. The charge distribution analysis was performed using the Natural Bond Orbital (NBO) scheme with the ‘pop = nbo’ command as implemented in the Gaussian 09 package [57, 58]. Molecular orbitals (MOs) for the ground state structures were calculated at the B3LYP/6-31G\* level in the gas phase on the B3LYP/6-31G\* optimized geometries. Molecular structures and MOs were visualized using OpenGL version of Molden 5.8.2 visualization software [59].

## Results and discussion

### Electronic states and structural features

In Table 1 characteristics of the  $\text{ZnP}(\text{X})_4$  ( $\text{X} = \text{N, P, As}$ ) species calculated at the B3LYP/6-31G\* level of theory are presented, and Fig. 1 shows the calculated lowest-lying (singlet) structures of  $\text{ZnP}(\text{X})_4$ , with selected structural parameters, at the B3LYP/6-31G\* level. From Table 1 it can be seen that for the  $\text{ZnP}(\text{X})_4$  compounds singlet is the global structure for all three  $\text{X} = \text{N, P, As}$ , although the singlet-triplet gap decreases steadily from  $\text{X} = \text{N}$  to  $\text{As}$ : from ca. 41–42 kcal/mol for N to ca. 17–18 kcal/mol for P and to ca. 10 kcal/mol for As. Implicit solvent effects non-significantly decrease the singlet-triplet gap values keeping the same trend.

Comparison of the structural features of the porphyrins  $\text{ZnP}(\text{X})_4$  (Fig. 1) shows drastic changes upon replacement of N with its heavier congeners. (i) Both  $\text{ZnP}(\text{P})_4$  and  $\text{ZnP}(\text{As})_4$  attain very pronounced bowl-like shape, which was found earlier for different completely P-core-modified porphyrins (see Refs. [37–43]). While the original ZnP species is essentially planar, which can be seen from the value of its valence angle N-Zn-N, which is essentially equal to 180° both in the gas phase and with two implicit solvents used (Fig. 1a), for  $\text{ZnP}(\text{P})_4$  and  $\text{ZnP}(\text{As})_4$  the values of the valence angles P-Zn-P and As-Zn-As noticeably differ from 180°, being 173.84°//173.15°//174.27° and 173.11°//171.88°//170.71°, respectively (gas phase// $\text{C}_6\text{H}_6$ // $\text{CH}_2\text{Cl}_2$ ) (Fig. 1b, c). Interestingly, for  $\text{X} = \text{P}$  the value of the P-Zn-P angle first slightly decreases when going from the gas phase to implicit benzene and then slightly increases from implicit benzene to implicit  $\text{CH}_2\text{Cl}_2$ , whereas for  $\text{X} = \text{As}$  steady slight decrease of the As-Zn-As angle value was calculated. (ii) The values of the dihedral angles, X4-X2-X3-Zn (Fig. 1b, c), which were used as measure of Zn entering the “bowl”, show the slight protrusion of Zn inside the molecular bowls, being  $-4.36^\circ$ // $-4.10^\circ$ // $-4.06^\circ$  for  $\text{X} = \text{P}$  and  $-4.84^\circ$ // $-5.77^\circ$ // $-6.56^\circ$  for  $\text{X} = \text{As}$ . While for  $\text{X} = \text{P}$  steady slight decrease of the dihedral angle value is observed from the gas phase to benzene to dichloromethane, for  $\text{X} = \text{As}$  steady slight increase was calculated. (iii) The Zn-X bond distances steadily increase from  $\text{X} = \text{N}$  to  $\text{X} = \text{As}$ , by 0.327–0.332 and 0.378–0.391 Å for P and As, respectively (cf. Fig. 1a–c). For all three X's, the Zn-X bond distances increase steadily when going from the gas phase to implicit  $\text{C}_6\text{H}_6$  and to implicit  $\text{CH}_2\text{Cl}_2$ . (iv) Because of the decrease of the atom pyramidity from N to P to As, the value of the valence angle Zn-X-C $_{\alpha}$  noticeably decreases from N to P to As, by 15.28–15.40° and 17.97–18.94° for  $\text{X} = \text{P}$  and As, respectively (cf. Fig. 1a–c). For  $\text{X} = \text{As}$ , this angle slightly decreases from the gas phase to implicit benzene to implicit dichloromethane, whereas for  $\text{X} = \text{N}$  and P it remains almost the same. (v) Also, it is interesting to notice that the distances C $_{\text{m}}$ -C $_{\text{m}}$  and C $_{\beta}$ -C $_{\beta}$  between the opposite sides of the porphyrin macrocycle taken as the measure of the porphyrin “bowl” size (see Fig. 1b, c for notations), are quite close for the both  $\text{ZnP}(\text{P})_4$  and  $\text{ZnP}(\text{As})_4$  species

Table 1: ZnP(X)<sub>4</sub> compounds (X = N, P, As) (B3LYP/6-31G\* approach, gas phase//C<sub>6</sub>H<sub>6</sub>//CH<sub>2</sub>Cl<sub>2</sub>).

Species	ΔE, kcal/mol	E(HOMO/LUMO), A.U.	ΔE(HOMO/LUMO), eV	TDDFT gap, eV <sup>a</sup>	NBO, e	
					M	X
ZnP, <sup>1</sup> A	0.0//	−0.19154/−0.07860//	3.07//	2.45(w) <sup>b</sup> , 3.55.3.85//	1.284//	−0.654//
	0.0//	−0.19229/−0.07953//	3.07//	2.44(w), 3.35.3.83//	1.303//	−0.655//
	0.0	−0.19377/−0.08148	3.06	2.44(w), 3.37.3.83	1.325	−0.657
ZnP, <sup>3</sup> A	42.10//	−0.12626/−0.08342				
	41.80//	−0.19331/−0.14738//				
	41.10	−0.12749/−0.08404				
		−0.19437/−0.14757//				
		−0.12875/−0.08469				
ZnP(P) <sub>4</sub> , <sup>1</sup> A		−0.19570/−0.14757				
	0.0//	−0.18048/−0.10810//	1.97//	1.29(w), 2.07(w), 2.71//	0.615//	0.450//
	0.0//	−0.18031/−0.10786//	1.97//	1.29(w), 2.07(w), 2.69//	0.657//	0.434//
	0.0	−0.18113/−0.10863	1.97	1.29(w), 2.06(w), 2.69	0.717	0.410
ZnP(P) <sub>4</sub> , <sup>3</sup> A	17.71//	−0.15358/−0.10338				
		−0.20178/−0.13690//				
	17.56//	−0.15339/−0.10349				
		−0.20169/−0.13648//				
	17.29	−0.15401/−0.10430				
ZnP(As) <sub>4</sub> , <sup>1</sup> A		−0.20245/−0.13717				
	0.0//	−0.16935/−0.11067//	1.60//	0.94(w) <sup>b</sup> , 1.99.2.47//	0.523//	0.469//
	0.0//	−0.16900/−0.11037//	1.60//	0.94(w), 1.98.2.47//	0.570	0.450//
	0.0	−0.16944/−0.11073	1.60	0.94(w), 1.98.2.47	//0.632	0.429
ZnP(As) <sub>4</sub> , <sup>3</sup> A	9.90//	−0.15521/−0.10495				
		−0.19823/−0.12757//				
	9.89//	−0.15498/−0.10447				
		−0.19787/−0.12721//				
	9.82	−0.15537/−0.10476				
		−0.19827/−0.12753				

<sup>a</sup>We provide here values for the several first peaks in the TDDFT results, with oscillator strength in the range 0.001–0.1. <sup>b</sup>“w” means the weak peaks, with oscillator strength less than 0.01.

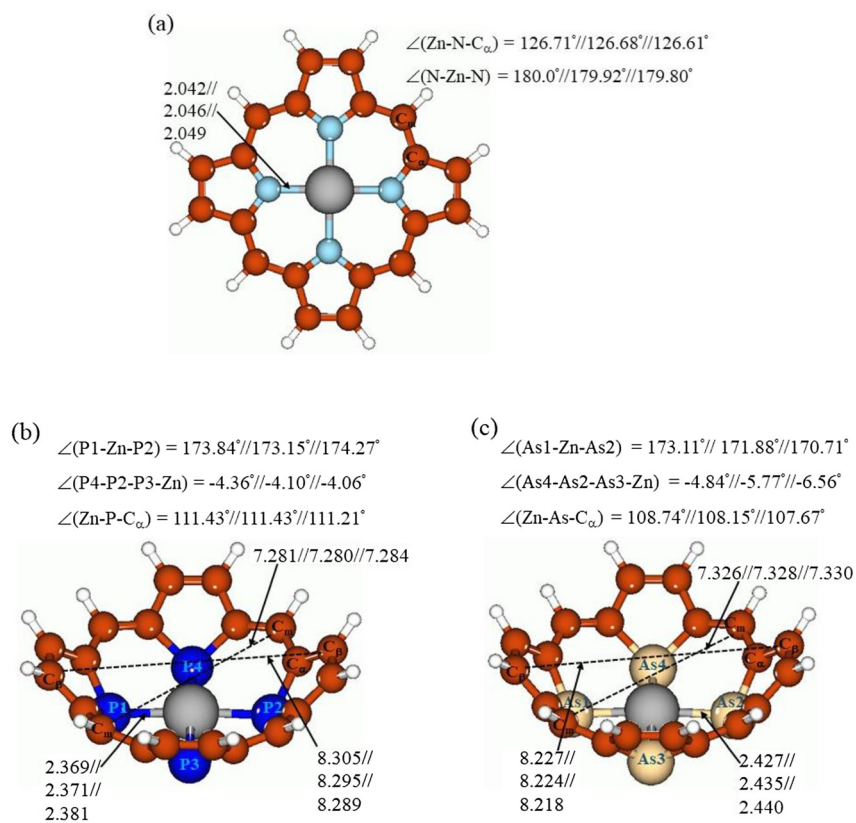
(Fig. 1b, c). For ZnP(As)<sub>4</sub> the C<sub>m</sub>-C<sub>m</sub> distances are elongated by 0.045–0.048 Å and the C<sub>β</sub>-C<sub>β</sub> distances are shortened by 0.071–0.078 Å compared to ZnP(P)<sub>4</sub>. From the gas phase through implicit C<sub>6</sub>H<sub>6</sub> and to implicit CH<sub>2</sub>Cl<sub>2</sub>, both C<sub>m</sub>-C<sub>m</sub> and C<sub>β</sub>-C<sub>β</sub> distances are steadily shortened. (vi) Thus, the main structural difference between ZnP(P)<sub>4</sub> and ZnP(As)<sub>4</sub> is in the “bottom part” of the molecular bowl because in the former species Zn protrudes inside a little less than the latter. Also, there is the little difference in the size of “rim” of the porphyrin “bowl”.

It can be supposed that both ZnP(P)<sub>4</sub> and ZnP(As)<sub>4</sub> due to their pronounced bowl-like distortions may serve as good receptors for various species, e.g., nanoparticles, fullerenes, or small molecules (H<sub>2</sub>, O<sub>2</sub>, hydrocarbons, etc.). For the ZnP(P)<sub>4</sub> species the ability to act as a receptor for semiconductor nanoparticles exemplified by Zn<sub>6</sub>S<sub>6</sub> [43] and fullerene C<sub>60</sub> [42] was already demonstrated computationally, and more similar research is currently under way.

Frontier molecular orbitals and NBO charges

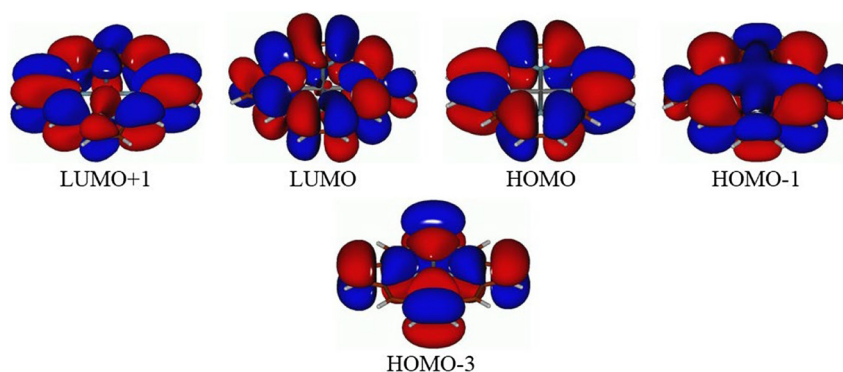
In Figs. 2 and 3 several MOs with similar shapes are shown for ZnP (Fig. 2) and ZnP(P)<sub>4</sub> (Fig. 3a) and ZnP(As)<sub>4</sub> (Fig. 3b). Consideration and comparison of these MOs along with the data from Table 1 leads to the following conclusions. (i) Replacement of N by P/As in the porphyrin core (core-modification with P or As) essentially does not affect noticeably both the shape and composition of the MOs considered. Noticeable similarity can be



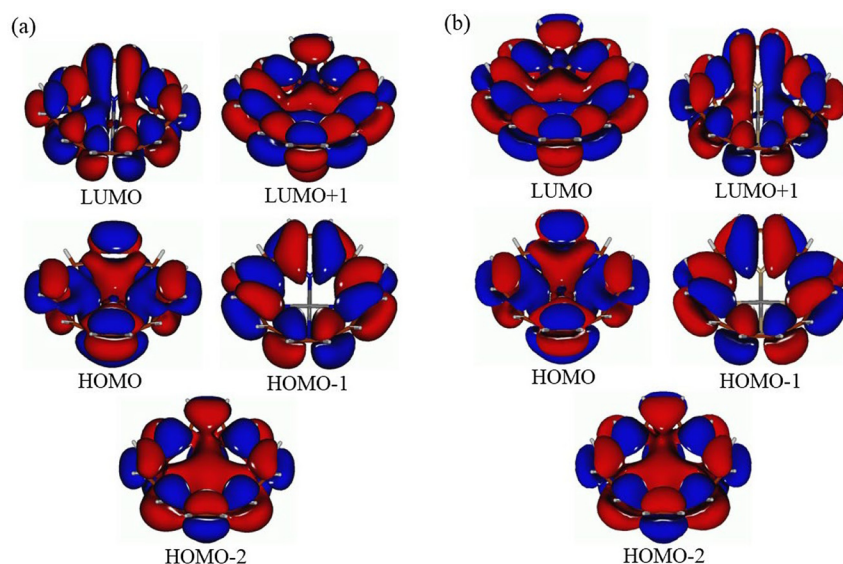


**Fig. 1:** Calculated singlet structures of  $\text{ZnP}(\text{X})_4$  ( $\text{X} = \text{N}$  (a),  $\text{P}$  (b), and  $\text{As}$  (c)), with selected structural parameters, at the B3LYP/6-31G\* level, in the gas phase// $\text{C}_6\text{H}_6$ // $\text{CH}_2\text{Cl}_2$ . Bond distances are given in Å, bond angles and dihedral angles are given in degrees. Color coding: gray for Zn, light blue for N, dark blue for P, light brown for As, brown for C, and white for H.

seen between the  $\text{ZnP}$  HOMO and HOMO-3, on one side, and the  $\text{ZnP}(\text{P})_4/\text{ZnP}(\text{As})_4$  HOMO and HOMO-1, on another side. Only the MOs ordering is changed for the core-modified analogs of  $\text{ZnP}$ . (ii) The  $\text{ZnP}(\text{P})_4/\text{ZnP}(\text{As})_4$  HOMO-2 is the analog of the  $\text{ZnP}$  HOMO-1. Because of the  $\text{ZnP}(\text{P})_4/\text{ZnP}(\text{As})_4$  bowl-like shapes we might suppose the existence of “internal” electron delocalization (or “internal” aromaticity, although this hypothesis requires further investigations) inside the  $\text{ZnP}(\text{P})_4/\text{ZnP}(\text{As})_4$  “bowls” (see Fig. 3). (iii) The  $\text{ZnP}(\text{P})_4/\text{ZnP}(\text{As})_4$  LUMO and LUMO+1 are similar to the  $\text{ZnP}$  LUMO/LUMO+1 (cf. Figs. 2 and 3), some differences seen in the  $\text{ZnP}(\text{P})_4/\text{ZnP}(\text{As})_4$  LUMO and LUMO+1 can be explained by the bowl-like distortions of  $\text{ZnP}(\text{P})_4$  and  $\text{ZnP}(\text{As})_4$ . (iv) Noticeable closure of the HOMO/LUMO gaps was calculated to occur in the  $\text{ZnP}(\text{P})_4$  and  $\text{ZnP}(\text{As})_4$  species, by ca. 1.10 and 1.47 eV, respectively, compared to  $\text{ZnP}$  (see Table 1). This can be explained by quite significant *HOMO destabilization and LUMO stabilization* for  $\text{ZnP}(\text{P})_4$  [37–43] and  $\text{ZnP}(\text{As})_4$  compared to  $\text{ZnP}$ . Furthermore, from the TDDFT results we can see that the TDDFT gaps (designated either by appearance of the first weak peak (oscillator strengths less than 0.01) or by the first strong peak (oscillator strengths 0.01–0.1) also reduce steadily from  $\text{ZnP}$  to  $\text{ZnP}(\text{P})_4$  and further to  $\text{ZnP}(\text{As})_4$  (Table 1). (v) The implicit solvent effects on the HOMO/LUMO and TDDFT gaps can be essentially neglected.



**Fig. 2:** Frontier molecular orbitals along with HOMO-1, HOMO-3, and LUMO+1 of the  $\text{ZnP}$  species.



**Fig. 3:** Frontier molecular orbitals along with HOMO-1, HOMO-2, and LUMO+1 of the  $\text{ZnP(P)}_4$  (a)  $\text{ZnP(As)}_4$  (b) and species.

Furthermore, comparison of the calculated NBO charges, provided in Table 1, shows the following. (i) The core-modification of porphyrins by P and especially by As results in significant decrease of the charge on Zn-centers, by ca. 0.61–0.67e for P and by ca. 0.69–0.76e for As. (ii) Charges on P- and As-centers have large positive values, ca. 0.41–0.45e and ca. 0.43–0.47e, for P and As, respectively, compared to significant negative values, ca. –0.65 to –0.66e for N. This can be clearly explained by decreasing electronegativities (by Pauling scale) from N (3.04) to P (2.19) and further to As (2.18) [60] and by increasing covalent radii (in pm) from N (71) to P (107) and to As (119) [61]. (iii) With the implicit solvent effects included, the calculated NBO charges steadily increase from the gas phase to benzene and further to  $\text{CH}_2\text{Cl}_2$ .

The above-considered results show as well that the porphyrin core-modification by heavier N congeners, P and As, can modify the porphyrin electronic and optical properties, thus affecting their reactivity and potential applications. More detailed TDDFT studies of UV–vis spectra of these compounds are necessary to shed more light on how their properties can be changed/tuned by the core-modification with P and As.

## Conclusions

We have performed the first comparative DFT (B3LYP/6-31G\*) study of the Zn-porphyrin and its two derivatives,  $\text{ZnP(P)}_4$  and  $\text{ZnP(As)}_4$ , investigating in details the effects of core-modification by the heavier N congeners, P and As, on the structures, electronic, and optical properties, and charge distribution in porphyrin molecules, both in the gas phase and with effects from two implicit solvents, benzene and dichloromethane, included. The results of this computational study can be summarized as follows.

- (i) For all three species studied,  $\text{ZnP}$ ,  $\text{ZnP(P)}_4$ , and  $\text{ZnP(As)}_4$ , the singlet was calculated to be the lowest-energy structure. The singlet-triplet gap was found to decrease from ca. 41–42 kcal/mol for N to ca. 17–18 kcal/mol for P and to ca. 10 kcal/mol for As. Implicit solvents were found not to have significant effects on the singlet-triplet gap values.
- (ii) Both  $\text{ZnP(P)}_4$  and  $\text{ZnP(As)}_4$  were calculated to attain very pronounced bowl-like shapes, which was found earlier for completely P-core-modified porphyrins [37–43]. The values of the dihedral angles,  $\text{X4-X2-X3-Zn}$  (Fig. 1b, c) show the slight protrusion of Zn inside the molecular bowls. The Zn-X bond distances were calculated to steadily increase from  $\text{X} = \text{N}$  to  $\text{X} = \text{As}$ , by 0.327–0.332 and 0.378–0.391 Å for P and As, respectively (cf. Fig. 1a–c). For all three X's, the Zn-X bond distances were computed to increase steadily when going from the gas phase to implicit  $\text{C}_6\text{H}_6$  and to implicit  $\text{CH}_2\text{Cl}_2$ .

- (iii) The distances  $C_m-C_m$  and  $C_\beta-C_\beta$  between the opposite sides of the porphyrin macrocycle (see Fig. 1b, c for notations), were found to be quite close for the both  $ZnP(P)_4$  and  $ZnP(As)_4$  species. For  $ZnP(As)_4$  the  $C_m-C_m$  distances are elongated by 0.045–0.048 Å and the  $C_\beta-C_\beta$  distances are shortened by 0.071–0.078 Å compared to  $ZnP(P)_4$ . From the gas phase through implicit  $C_6H_6$  and to implicit  $CH_2Cl_2$ , both  $C_m-C_m$  and  $C_\beta-C_\beta$  distances are steadily shortened.
- (iv) The main structural difference between  $ZnP(P)_4$  and  $ZnP(As)_4$  was found to be in the “bottom part” of the molecular bowl because in the former species Zn protrudes inside a little less than the latter. Also, there is the little difference in the size of “rim” of the porphyrin “bowl”.
- (v) Core-modification with P or As was found not to affect noticeably both the shape and composition of the MOs considered. Significant similarity can be seen between the  $ZnP$  HOMO and HOMO-3 and the  $ZnP(P)_4/ZnP(As)_4$  HOMO and HOMO-1. Only the MOs ordering is changed for the core-modified analogs of  $ZnP$ .
- (vi) The  $ZnP(P)_4/ZnP(As)_4$  HOMO-2 is the analog of the  $ZnP$  HOMO-1. Because of the  $ZnP(P)_4/ZnP(As)_4$  bowl-like shapes we might suppose the existence of “internal” electron delocalization (or “internal” aromaticity, although this hypothesis requires further investigations) inside the  $ZnP(P)_4/ZnP(As)_4$  “bowls” (see Fig. 3).
- (vii) Noticeable closure of the HOMO/LUMO gaps was calculated to occur in the  $ZnP(P)_4$  and  $ZnP(As)_4$  species, by ca. 1.10 and 1.47 eV, respectively, compared to  $ZnP$  (see Table 1). This can be explained by quite significant *HOMO destabilization and LUMO stabilization* for  $ZnP(P)_4$  [37–43] and  $ZnP(As)_4$  compared to  $ZnP$ . Furthermore, from the TDDFT results we can see that the TDDFT gaps also reduce steadily from  $ZnP$  to  $ZnP(P)_4$  and further to  $ZnP(As)_4$  (Table 1). The implicit solvent effects on the HOMO/LUMO and TDDFT gaps can be essentially neglected.
- (viii) The core-modification of porphyrins by P and especially by As results in significant decrease of the charge on Zn-centers, by ca. 0.61–0.67e for P and by ca. 0.69–0.76e for As. Charges on P- and As-centers were computed to have large positive values, ca. 0.41–0.45e and ca. 0.43–0.47e, for P and As, respectively, compared to significant negative values, ca. –0.65 to –0.66e for N. This can be clearly explained by decreasing electronegativities from N to P and further to As and by increasing covalent radii from N to P and to As. With the implicit solvent effects included, the calculated NBO charges steadily increase from the gas phase to benzene and further to  $CH_2Cl_2$ .

Thus, from the results obtained it can be seen that porphyrin core-modification by heavier N congeners, P and As, can noticeably modify the structures, electronic and optical properties of porphyrins, thus affecting their reactivity and potential applications. For instance, we can suppose that this core-modification can make the modified porphyrins act as good receptors for various species, e.g., nanoparticles, fullerenes, or small molecules ( $H_2$ ,  $O_2$ , hydrocarbons, etc.).

Based on these conclusions, the following perspective directions of studies can be formulated.

- (i) To study in details electronic structure and aromaticity of the P- and As-core-modified porphyrins.
- (ii) To investigate the effects of various electron-donating or electron-withdrawing substituents on structures and various properties of the P- and As-core-modified porphyrins.
- (iii) To extend studies of core-modified porphyrins to other core-modifying elements, e.g., S, Se, Te, Sb.
- (iv) To study in more details formation of various complexes of core-modified porphyrins, first of all, with small nanoparticles, fullerene  $C_{60}$ , graphene, and small molecules.

Some of these studies are being undertaken currently.

**Acknowledgments:** The computational resources of the supercomputer facilities at Instituto Tecnológica de Aeronautica (ITA) are highly appreciated.

**Research funding:** The author deeply acknowledges the financial support of the Universidad Técnica Federico Santa María (USM), Santiago, Chile.



## References

- [1] *The Porphyrins*, D. Dolphin (Ed.), Academic, New York (1978).
- [2] *The Porphyrin Handbook*, K. M. Kadish, K. M. Smith, R. Guilard (Eds.), pp. 1–6, Academic Press, San Diego, CA (2000).
- [3] I. Bertini, H. B. Gray, S. J. Lippard, S. J. Valentine. *Bioinorganic Chemistry*, University Science Book, Mill Valley, CA (1994).
- [4] S. Severance, I. Hamza. *Chem. Rev.* **109**, 4596 (2009).
- [5] *Handbook of Porphyrin Science with Applications to Chemistry, Physics, Materials Science, Engineering, Biology and Medicine*, K. M. Kadish, K. M. Smith, R. Guilard (Eds.), World Scientific, Singapore (2010).
- [6] K. R. Rodgers. *Curr. Opin. Chem. Biol.* **3**, 158 (1999).
- [7] J. A. Hoy, H. Robinson, J. T. Trent, III, S. Kakar, B. J. Smagghe, M. S. Hargrove. *J. Mol. Biol.* **371**, 168 (2007).
- [8] A. S. Tsiftoglou, A. I. Tsamadou, L. C. Papadopoulou. *Pharmacol. Ther.* **111**, 327 (2006).
- [9] M. T. Wilson, B. J. Reeder. *Exp. Physiol.* **93**, 128 (2008).
- [10] M. Faller, M. Matsunaga, S. Yin, J. A. Loo, F. Guo. *Nat. Struct. Mol. Biol.* **14**, 23 (2007).
- [11] K. Kitanishi, J. Igarashi, K. Hayasaka, N. Hikage, I. Saiful, S. Yamauchi, T. Uchida, K. Ishimori, T. Shimizu. *Biochemistry* **47**, 6157 (2008).
- [12] *Phthalocyanines: Properties and Applications*, C. C. Leznoff, A. B. P. Lever (Eds.), pp. 1–4, VCH Publishers, New York (1989).
- [13] M. O. Senge, M. Fazekas, E. G. A. Notaras, W. J. Blau, M. Zawadzka, O. B. Locos, E. M. N. Mhuircheartaigh. *Adv. Mater.* **19**, 2737 (2007).
- [14] Z. Zhoua, Z. Shen. 2015. *J. Mater. Chem. C* **3**, 3239.
- [15] H. Imahori, T. Umeyama, S. Ito. *Acc. Chem. Res.* **42**, 1809 (2009).
- [16] W. J. Youngblood, S.-H. Anna Lee, M. Kazuhiko, T. E. Mallouk. *Acc. Chem. Res.* **42**, 1966 (2009).
- [17] T. Higashino, H. Imahori. *Dalton Trans.* **44**, 448 (2015).
- [18] M. R. Wasielewski. *Acc. Chem. Res.* **42**, 1910 (2009).
- [19] N. Aratani, D. Kim, A. Osuka. *Acc. Chem. Res.* **42**, 1922 (2009).
- [20] Y. Ding, W.-H. Zhu, Y. Xie. *Chem. Rev.* **117**, 2203 (2017).
- [21] L. Latos-Grażyński. in *The Porphyrin Handbook*, K. M. Kadish, K. M. Smith, R. Guilard, (Eds.), pp. 361–416, Academic Press, New York (2000).
- [22] I. Gupta, M. Ravikanth. *Coord. Chem. Rev.* **250**, 468 (2006).
- [23] B. Szyszko, L. Latos-Grażyński. *Chem. Soc. Rev.* **44**, 3588 (2015).
- [24] P. J. Chmielewski, L. Latos-Grażyński. *Coord. Chem. Rev.* **249**, 2510 (2005).
- [25] T. Chatterjee, V. S. Shetti, R. Sharma, M. Ravikanth. *Chem. Rev.* **117**, 3254 (2017).
- [26] Kuznetsov A. E., in: Akitsu T. (Ed.), *Descriptive Inorganic Chemistry Researches of Metal Compounds*, InTech, 2017, pp. 135–152. ISBN 978-953-51-3398-8.
- [27] A. E. Kuznetsov. *Adv. Chem. Res.* **57**, 1 (2019).
- [28] Y. Matano, H. Imahori. *Org. Biomol. Chem.* **7**, 1258 (2009).
- [29] Y. Matano, T. Nakabuchi, H. Imahori. *Pure Appl. Chem.* **82**, 583 (2010).
- [30] Y. Matano, T. Nakabuchi, H. Imahori. Unpublished results.
- [31] Y. Matano, T. Nakabuchi, S. Fujishige, H. Nakano, H. Imahori. *J. Am. Chem. Soc.* **130**, 16446 (2008).
- [32] Y. Matano, T. Miyajima, N. Ochi, T. Nakabuchi, M. Shiro, Y. Nakao, S. Sakaki, H. Imahori. *J. Am. Chem. Soc.* **130**, 990 (2008).
- [33] Y. Matano, T. Miyajima, T. Nakabuchi, H. Imahori, N. Ochi, S. Sakaki. *J. Am. Chem. Soc.* **128**, 11760 (2006).
- [34] Y. Matano, H. Imahori. *Acc. Chem. Res.* **42**, 1193 (2009).
- [35] N. Ochi, Y. Nakao, H. Sato, Y. Matano, H. Imahori, S. Sakaki. *J. Am. Chem. Soc.* **131**, 10955 (2009).
- [36] D. Delaere, M. T. Nguyen. *Chem. Phys. Lett.* **376**, 329 (2003).
- [37] J. Barbee, A. E. Kuznetsov. *Comput. Theor. Chem.* **981**, 73 (2012).
- [38] Kuznetsov A. E., *Phys. Sci. Rev.* **4** (2019) 20190001.
- [39] A. E. Kuznetsov. *Chem. Phys.* **447**, 36 (2015).
- [40] A. E. Kuznetsov. *Chem. Phys.* **469–470**, 38 (2016).
- [41] A. E. Kuznetsov. *J. Theor. Comput. Chem.* **15**, 1650043 (2016).
- [42] A. E. Kuznetsov. *J. Appl. Solut. Chem. Model.* **6**, 91 (2017).
- [43] A. E. Kuznetsov. in *Density Functional Theory*, R. Ponnadurai (Ed.), pp. 135–146, De Gruyter (2018).
- [44] M. J. Frisch, G. W. Trucks, H. B. Schlegel, G. E. Scuseria, M. A. Robb, J. R. Cheeseman, G. Scalmani, V. Barone, B. Mennucci, G. A. Petersson, H. Nakatsuji, M. Caricato, X. Li, H. P. Hratchian, A. F. Izmaylov, J. Bloino, G. Zheng, J. L. Sonnenberg, M. Hada, M. Ehara, K. Toyota, R. Fukuda, J. Hasegawa, M. Ishida, T. Nakajima, Y. Honda, O. Kitao, H. Nakai, T. Vreven, J. A. Montgomery Jr., J. E. Peralta, F. Ogliaro, M. Bearpark, J. J. Heyd, E. Brothers, K. N. Kudin, V. N. Staroverov, R. Kobayashi, J. Normand, K. Raghavachari, A. Rendell, J. C. Burant, S. S. Iyengar, J. Tomasi, M. Cossi, N. Rega, J. M. Millam, M. Klene, J. E. Knox, J. B. Cross, V. Bakken, C. Adamo, J. Jaramillo, R. Gomperts, R. E. Stratmann, O. Yazyev, A. J. Austin, R. Cammi, C. Pomelli, J. W. Ochterski, R. L. Martin, K. Morokuma, V. G. Zakrzewski, G. A. Voth, P. Salvador, J. J. Dannenberg, S. Dapprich, A. D. Daniels, Ö. Farkas, J. B. Foresman, J. V. Ortiz, J. Cioslowski, D. J. Fox, Gaussian, Inc, Wallingford CT (2010).

- [45] A. D. Becke. *J. Chem. Phys.* **98**, 5648 (1993).
- [46] R. G. Parr, W. Yang. *Density-Functional Theory of Atoms and Molecules*, Oxford University Press, Oxford (1989).
- [47] A. D. McLean, G. S. Chandler. *J. Chem. Phys.* **72**, 5639 (1980).
- [48] K. Raghavachari, J. S. Binkley, R. Seeger, J. A. Pople. *J. Chem. Phys.* **72**, 650 (1980).
- [49] R. Ditchfield, W. J. Hehre, J. A. Pople. *J. Chem. Phys.* **54**, 724 (1971).
- [50] W. J. Hehre, R. Ditchfield, J. A. Pople. *J. Chem. Phys.* **56**, 2257 (1972).
- [51] P. C. Hariharan, J. A. Pople. *Mol. Phys.* **27**, 209 (1974).
- [52] M. S. Gordon. *Chem. Phys. Lett.* **76**, 163 (1980).
- [53] P. C. Hariharan, J. A. Pople. *Acta* **28**, 213 (1973).
- [54] P. M. Kozłowski, J. R. Bingham, A. A. Jarzecki. *J. Phys. Chem.* **112**, 12781 (2008).
- [55] S. Myradalyev, T. Limpanuparb, X. Wang, H. Hirao. *Polyhedron* **52**, 96 (2013).
- [56] B. Mennucci, J. Tomasi. *J. Chem. Phys.* **106**, 5151 (1997).
- [57] A. E. Reed, L. A. Curtiss, F. Weinhold. *Chem. Rev.* **88**, 899 (1988).
- [58] A. E. Reed, R. B. Weinstock, F. Weinhold. *J. Chem. Phys.* **83**, 735 (1985).
- [59] G. Schaftenaar, J. H. Noordik. *J. Comput.-Aided Mol. Design* **14**, 123 (2000).
- [60] *CRC Handbook of Chemistry and Physics*, D. R. Lide (Ed.), CRC Press, Boca Raton, Florida, 84th ed. (2003).
- [61] P. Pyykkö, M. Atsumi. *Chem. Eur J.* **15**, 186 (2009).