

Open Mathematics

Research Article

Eric H. Liu* and Wenjing Du

The log-concavity of the q -derangement numbers of type B

<https://doi.org/10.1515/math-2018-0009>

Received September 22, 2017; accepted January 11, 2018.

Abstract: Recently, Chen and Xia proved that for $n \geq 6$, the q -derangement numbers $D_n(q)$ are log-concave except for the last term when n is even. In this paper, employing a recurrence relation for $D_n^B(q)$ discovered by Chow, we show that for $n \geq 4$, the q -derangement numbers of type B $D_n^B(q)$ are also log-concave.

Keywords: The q -derangement numbers of type B , Unimodality, Log-concavity

MSC: 05A15, 05A19, 05A20

1 Introduction

Let $\mathcal{D}_n$ denote the set of derangements on $\{1, 2, \dots, n\}$ and let $D(\pi) := \{i | 1 \leq i \leq n-1, \pi(i) > \pi(i+1)\}$ denote the descent set of a permutation π . Define the major index of π by

$$\text{maj}(\pi) := \sum_{i \in D(\pi)} i. \quad (1)$$

The q -derangement number $D_n(q)$ is defined by

$$D_n(q) := \sum_{\pi \in \mathcal{D}_n} q^{\text{maj}(\pi)}. \quad (2)$$

Gessel [1] (see also [2]) discovered the following formula

$$D_n(q) := [n]! \sum_{k=0}^n (-1)^k q^{\binom{k}{2}} \frac{1}{[k]!}, \quad (3)$$

where $[n] = 1 + q + q^2 + \dots + q^{n-1}$ and $[n]! = [1][2]\dots[n]$. Combinatorial proofs of (3) have been found by Wachs [3] and Chen and Xu [4]. Chen and Rota [5] showed that the q -derangement numbers are unimodal, and conjectured that the maximum coefficient appears in the middle. Zhang [6] confirmed this conjecture by showing that the q -derangement numbers satisfy the spiral property. Recently, Chen and Xia [7] introduced the notion of ratio monotonicity for polynomials with nonnegative coefficients, and they proved that, for $n \geq 6$, the q -derangement numbers $D_n(q)$ are strictly ratio monotone except for the last term when n is even. The ratio monotonicity implies the spiral property and log-concavity.

Let B_n denote the hyperoctahedral group of rank n , consisting of the signed permutations of $\{1, 2, \dots, n\}$. Let $\mathcal{D}_n^B$ denote the set of derangements on B_n , which is defined as

$$\mathcal{D}_n^B := \{\pi | \pi \in B_n, \pi(i) \neq i \text{ for all } i \in \{1, 2, \dots, n\}\}. \quad (4)$$

***Corresponding Author: Eric H. Liu:** School of Statistics and Information, Shanghai University of International Business and Economics, Shanghai, 201620, China, E-mail: liuhai@suiibe.edu.cn

Wenjing Du: Wenbo College, East China University of Political Science and Law, Shanghai 201620, China, E-mail: duwenjing_16@163.com

Let $N(\pi) := \#\{i | 1 \leq i \leq n, \pi(i) < 0\}$ be the number of negative letters of π and let $\text{maj}(\pi)$ be defined as before. In [8], Chow considered the q -derangement number of type B $D_n^B(q)$, which is defined as

$$D_n^B(q) := \sum_{\pi \in \mathcal{D}_n^B} q^{\text{fmaj}(\pi)}, \quad (5)$$

where $\text{fmaj}(\pi) := 2\text{maj}(\pi) + N(\pi)$. Chow [8] (see also [9]) established the following formula

$$D_n^B(q) := [2][4] \cdots [2n] \sum_{k=0}^n \frac{(-1)^k q^{2\binom{k}{2}}}{[2][4] \cdots [2k]}, \quad (6)$$

where $[n]$ is defined as before. Furthermore, Chow [8] discovered that for all integers $n \geq 1$,

$$D_{n+1}^B(q) = (1 + q + \cdots + q^{2n+1})D_n^B(q) + (-1)^{n+1} q^{n^2+n}. \quad (7)$$

Chen and Wang [10] proved the normality of the limiting distribution of the coefficients of the usual q -derangement numbers of type B .

Recall that a positive sequence $a_0, a_1, \dots, a_n$ or the polynomial $a_0 + a_1x + \cdots + a_nx^n$ is called log-concave if the ratios

$$\frac{a_0}{a_1}, \frac{a_1}{a_2}, \dots, \frac{a_{n-1}}{a_n} \quad (8)$$

form an increasing sequence. Clearly, if a positive sequence is log-concavity, then it is unimodality. In this paper, we prove that for $n \geq 4$, the q -derangement numbers of type B $D_n^B(q)$ are log-concave.

Suppose that n is given. It is easy to prove that the degree of $D_n^B(q)$ is n^2 and the coefficient of q^{n^2} is 1. Set

$$D_n^B(q) = B_n(1)q + B_n(2)q^2 + \cdots + B_n(n^2)q^{n^2}. \quad (9)$$

The log-concavity of $D_n^B(q)$ can be stated as the following theorem.

Theorem 1.1. For all integers $n \geq 4$, the q -derangement numbers of type B $D_n^B(q)$ are log-concave, namely,

$$\frac{B_n(1)}{B_n(2)} < \frac{B_n(2)}{B_n(3)} < \cdots < \frac{B_n(n^2-2)}{B_n(n^2-1)} < \frac{B_n(n^2-1)}{B_n(n^2)}. \quad (10)$$

For example, by (6), we have

$$\begin{aligned} D_4^B(q) = & q + 4q^2 + 8q^3 + 13q^4 + 18q^5 + 22q^6 + 26q^7 + 28q^8 + 28q^9 + 25q^{10} \\ & + 21q^{11} + 17q^{12} + 11q^{13} + 7q^{14} + 3q^{15} + q^{16}. \end{aligned}$$

It is easy to check that

$$\frac{1}{4} < \frac{4}{8} < \frac{8}{13} < \frac{13}{18} < \frac{18}{22} < \frac{22}{26} < \frac{26}{28} < \frac{28}{28} < \frac{28}{25} < \frac{25}{21} < \frac{21}{17} < \frac{17}{11} < \frac{11}{7} < \frac{7}{3} < \frac{3}{1}.$$

2 Some lemmas

To prove Theorem 1.1, we first present some lemmas. By (7), it is easy to check that

Lemma 2.1. For $n \geq 4$,

$$B_{n+1}(k) = \begin{cases} \sum_{i=1}^k B_n(i), & 1 \leq k \leq 2n+2, \\ \sum_{i=k-2n-1}^k B_n(i), & 2n+2 < k \leq n^2, \\ \sum_{i=n^2-n-1}^{n^2} B_n(i) + (-1)^{n+1}, & k = n^2 + n, \\ \sum_{i=k-2n-1}^{n^2} B_n(i), & n^2 \leq k \leq (n+1)^2 \text{ and } k \neq n^2 + n. \end{cases} \quad (11)$$

Based on recurrence relation (11), it is easy to verify the following lemma.

Lemma 2.2. Let $n \geq 4$ be an integer. Then $B_n(i)$ are positive integers for $1 \leq i \leq n^2$ and

$$B_n(n^2) = 1, \quad B_n(n^2 - 1) = n - 1, \quad (12)$$

$$B_n(n^2 - 2) = \frac{n^2 - n + 2}{2}, \quad B_n(n^2 - 3) = \frac{n^3 + 5n - 18}{6}. \quad (13)$$

To prove Theorem 1.1, we require the following lemma.

Lemma 2.3. For positive integers $a_1, a_2, \dots, a_{k+1}, a_{k+2}$ ($k \geq 1$) satisfying

$$\frac{a_i}{a_{i+1}} < \frac{a_{i+1}}{a_{i+2}}, \quad 1 \leq i \leq k, \quad (14)$$

we have

$$\frac{\sum_{i=1}^k a_i}{\sum_{i=1}^{k+1} a_i} < \frac{\sum_{i=1}^{k+1} a_i}{\sum_{i=1}^{k+2} a_i}, \quad (15)$$

$$\frac{\sum_{i=1}^k a_i}{\sum_{i=1}^{k+1} a_i} < \frac{\sum_{i=1}^{k+1} a_i}{\sum_{i=2}^{k+2} a_i}, \quad (16)$$

$$\frac{\sum_{i=1}^k a_i}{\sum_{i=2}^{k+1} a_i} < \frac{\sum_{i=2}^{k+1} a_i}{\sum_{i=3}^{k+2} a_i}, \quad (17)$$

$$\frac{\sum_{i=1}^k a_i}{\sum_{i=2}^{k+1} a_i} < \frac{\sum_{i=2}^{k+1} a_i}{\sum_{i=3}^{k+1} a_i}, \quad (18)$$

$$\frac{\sum_{i=1}^k a_i}{\sum_{i=2}^k a_i} < \frac{\sum_{i=2}^k a_i}{\sum_{i=3}^k a_i}. \quad (19)$$

Proof. We only prove (15). The rest can be proved similarly and the details are omitted. Based on (14),

$$a_i a_{k+2} < a_{i+1} a_{k+1} \quad (1 \leq i \leq k),$$

and

$$a_{k+2}(a_1 + a_2 + \dots + a_k) < a_{k+1}(a_2 + a_3 + \dots + a_{k+1}).$$

Therefore,

$$\begin{aligned} & (a_1 + a_2 + \dots + a_k)(a_1 + a_2 + \dots + a_k + a_{k+1} + a_{k+1}) \\ &= (a_1 + a_2 + \dots + a_k)^2 + a_{k+1}(a_1 + a_2 + \dots + a_k) + a_{k+2}(a_1 + a_2 + \dots + a_k) \\ &< (a_1 + a_2 + \dots + a_k)^2 + a_{k+1}(a_1 + a_2 + \dots + a_k) + a_{k+1}(a_2 + a_3 + \dots + a_{k+1}) \\ &< (a_1 + a_2 + \dots + a_k)^2 + a_{k+1}(a_1 + a_2 + \dots + a_k) + a_{k+1}(a_2 + a_3 + \dots + a_{k+1}) + a_1 a_{k+1} \\ &= (a_1 + a_2 + \dots + a_k + a_{k+1})^2, \end{aligned}$$

which yields (15). This completes the proof of this lemma.

3 Proof of Theorem 1.1

We prove Theorem 1.1 by induction on n . It is easy to check that Theorem 1.1 holds for $4 \leq n \leq 12$. Thus, we always assume that $n \geq 13$ in the following proof. Suppose that Theorem 1.1 holds for $n = m$, namely,

$$\frac{B_m(i)}{B_m(i+1)} < \frac{B_m(i+1)}{B_m(i+2)}, \quad 1 \leq i \leq m^2 - 2. \quad (20)$$

We proceed to show that Theorem 1.1 holds for $n = m + 1$, that is,

$$\frac{B_{m+1}(k)}{B_{m+1}(k+1)} < \frac{B_{m+1}(k+1)}{B_{m+1}(k+2)}, \quad 1 \leq k \leq (m+1)^2 - 2. \quad (21)$$

Employing (11), (15) and (20), we see that (21) holds for $1 \leq k \leq 2m$. It follows from (11), (16) and (20) that (21) is true for the case $k = 2m + 1$. In view of (11), (17) and (20), we find that (21) holds for $2m + 2 \leq k \leq m^2 - 2$. From (11), (18) and (20), we deduce that (21) is true for the case $k = m^2 - 1$. By (11), (19) and (20), we can prove that (21) holds for $m^2 \leq k \leq m^2 + m - 3$ and $m^2 + m + 1 \leq k \leq (m+1)^2 - 2$.

Now, special attentions should be paid to three cases $k = m^2 + m - 2$, $k = m^2 + m - 1$ and $k = m^2 + m$.

By (12) and (13), it is easy to check that for $m \geq 4$,

$$\begin{aligned} & \frac{B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2) - m - 3}{B_m(m^2 - 1) + B_m(m^2) + 1} - \frac{B_m(m^2 - 3)}{B_m(m^2 - 2)} \\ &= \frac{m^4 - 13m^2 + 72m - 132}{6(m-3)(m^2 - m + 2)} > 0. \end{aligned} \quad (22)$$

In view of (20) and (22),

$$\frac{B_m(m^2 - m - 3)}{B_m(m^2 - m - 2)} < \frac{B_m(m^2 - 3)}{B_m(m^2 - 2)} < \frac{B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2) - m - 3}{B_m(m^2 - 1) + B_m(m^2) + 1}. \quad (23)$$

From (11), it is easy to prove that for $m \geq 4$,

$$B_m(m^2 - m - 2) > B_m(m^2 - m - 1) > \cdots > B_m(m^2 - 1) > B_m(m^2). \quad (24)$$

Thanks to (23) and (24),

$$\begin{aligned} & B_m(m^2 - m - 3)(B_m(m^2 - 1) + B_m(m^2) + (-1)^{m+1}) + (-1)^{m+1} \sum_{i=0}^{m+2} B_m(m^2 - i) \\ & < B_m(m^2 - m - 3)(B_m(m^2 - 1) + B_m(m^2) + 1) + (m+3)B_m(m^2 - m - 2) \\ & < B_m(m^2 - m - 2)(B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2)). \end{aligned} \quad (25)$$

By (20),

$$B_m(m^2 - m - 3) \sum_{i=2}^{m+1} B_m(m^2 - i) < B_m(m^2 - m - 2) \sum_{i=3}^{m+2} B_m(m^2 - i). \quad (26)$$

Combining (25) and (26) yields

$$\frac{\sum_{i=m^2-m-3}^{m^2} B_m(i)}{\sum_{i=m^2-m-2}^{m^2} B_m(i)} < \frac{\sum_{i=m^2-m-2}^{m^2} B_m(i)}{\sum_{i=m^2-m-1}^{m^2} B_m(i) + (-1)^{m+1}}, \quad (27)$$

which can be rewritten as

$$\frac{B_{m+1}(m^2 + m - 2)}{B_{m+1}(m^2 + m - 1)} < \frac{B_{m+1}(m^2 + m - 1)}{B_{m+1}(m^2 + m)}. \quad (28)$$

Therefore, (21) holds for the case $k = m^2 + m - 2$.

Based on (12) and (13), we deduce that for $m \geq 13$,

$$\begin{aligned} & \frac{B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2) - 2(m+2)}{B_m(m^2 - 1) + B_m(m^2)} - \frac{B_m(m^2 - 3)}{B_m(m^2 - 2)} \\ &= \frac{m^4 - 12m^3 - 13m^2 + 36m - 36}{6m(m^2 - m + 2)} > 0. \end{aligned} \quad (29)$$

By (20) and (29),

$$\frac{B_m(m^2 - m - 2)}{B_m(m^2 - m - 1)} < \frac{B_m(m^2 - 3)}{B_m(m^2 - 2)} < \frac{B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2) - 2(m + 2)}{B_m(m^2 - 1) + B_m(m^2)}. \quad (30)$$

It follows from (24) and (30) that

$$\begin{aligned} & B_m(m^2 - m - 2)(B_m(m^2 - 1) + B_m(m^2)) \\ & < B_m(m^2 - m - 1)(B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2) - 2(m + 2)) \\ & < B_m(m^2 - m - 1)(B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2)) - 2 \sum_{i=0}^{m+1} B_m(m^2 - i) \\ & \leq B_m(m^2 - m - 1)(B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2)) \\ & \quad + 2 \times (-1)^{m+1} \sum_{i=0}^{m+1} B_m(m^2 - i). \end{aligned} \quad (31)$$

In view of (20),

$$B_m(m^2 - m - 2) \sum_{i=2}^m B_m(m^2 - i) < B_m(m^2 - m - 1) \sum_{i=3}^{m+1} B_m(m^2 - i). \quad (32)$$

It follows from (31) and (32) that

$$\frac{\sum_{i=m^2-m-2}^{m^2} B_m(i)}{\sum_{i=m^2-m-1}^{m^2} B_m(i) + (-1)^{m+1}} < \frac{\sum_{i=m^2-m-1}^{m^2} B_m(i) + (-1)^{m+1}}{\sum_{i=m^2-m}^{m^2} B_m(i)}. \quad (33)$$

By (11), we can rewrite (33) as follows

$$\frac{B_{m+1}(m^2 + m - 1)}{B_{m+1}(m^2 + m)} < \frac{B_{m+1}(m^2 + m)}{B_{m+1}(m^2 + m + 1)}, \quad (34)$$

which implies that (21) holds for the case $k = m^2 + m - 1$.

In view of (12) and (13), we see that for $m \geq 4$,

$$\begin{aligned} & \frac{B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2) - m}{B_m(m^2 - 1) + B_m(m^2)} - \frac{B_m(m^2 - 3)}{B_m(m^2 - 2)} \\ & = \frac{(m + 1)(m^3 - 7m^2 + 12m + 12)}{6m(m^2 - m + 2)} > 0. \end{aligned} \quad (35)$$

By (20) and (35), we find that for $m \geq 4$,

$$\frac{B_m(m^2 - m - 1)}{B_m(m^2 - m)} < \frac{B_m(m^2 - 3)}{B_m(m^2 - 2)} < \frac{B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2) - m}{B_m(m^2 - 1) + B_m(m^2)}. \quad (36)$$

It follows from (24) and (36) that

$$\begin{aligned} & B_m(m^2 - m - 1)(B_m(m^2 - 1) + B_m(m^2)) + (-1)^{m+1} \sum_{i=0}^{m-1} B_m(m^2 - i) \\ & < B_m(m^2 - m - 1)(B_m(m^2 - 1) + B_m(m^2)) + mB_m(m^2 - m) \\ & \leq B_m(m^2 - m)(B_m(m^2 - 2) + B_m(m^2 - 1) + B_m(m^2)). \end{aligned} \quad (37)$$

By (20),

$$B_m(m^2 - m - 1) \sum_{i=2}^{m-1} B_m(m^2 - i) < B_m(m^2 - m) \sum_{i=3}^m B_m(m^2 - i). \quad (38)$$

In view of (37) and (38), we can prove that

$$\frac{\sum_{i=m^2-m-1}^{m^2} B_m(i) + (-1)^{m+1}}{\sum_{i=m^2-m}^{m^2} B_m(i)} < \frac{\sum_{i=m^2-m}^{m^2} B_m(i)}{\sum_{i=m^2-m+1}^{m^2} B_m(i)}. \quad (39)$$

By (11), we can rewrite (39) as follows

$$\frac{B_{m+1}(m^2 + m)}{B_{m+1}(m^2 + m + 1)} < \frac{B_{m+1}(m^2 + m + 1)}{B_{m+1}(m^2 + m + 2)}, \quad (40)$$

which implies that (21) holds for the case $k = m^2 + m$. This completes the proof.

Acknowledgement: The authors would like to thank the anonymous referee for valuable corrections and comments. This work was supported by the National Science Foundation of China (11701362).

References

- [1] Gessel, I.M., Counting permutations by descents, greater index, and cycle structure, 1981, unpublished manuscript
- [2] Gessel, I.M., Reutenauer, C., Counting permutations with given cycle structure and descent set, *J. Combin. Theory Ser. A*, 1993, 64, 189–215
- [3] Wachs, M.L., On q -derangement numbers, *Proc. Amer. Math. Soc.*, 1989, 106, 273–278.
- [4] Chen, W.Y.C., Xu, D.H., Labeled partitions and the q -derangement numbers, *SIAM J. Discrete Math.* 2008, 22, 1099–1104
- [5] Chen, W.Y.C., Rota, G.-C., q -Analogues of the inclusion-exclusion principle and permutations with restricted position, *Discrete Math.*, 1992, 104, 7–22
- [6] Zhang, X.D., Note on the spiral property of the q -derangement numbers, *Discrete Math.*, 1996, 159, 295–298
- [7] Chen, W.Y.C., Xia, E.X.W., The ratio monotonicity of the q -derangement numbers, *Discrete Math.*, 2011, 311, 393–397
- [8] Chow, C.-O., On derangement polynomials of type B, *Sém. Lothar. Combin.*, 2006, 55, Article B55b
- [9] Chow, C.-O., Gessel, I.M., On the descent numbers and major indices for the hyperoctahedral group, *Adv. in Appl. Math.*, 2007, 38, 275–301
- [10] Chen, W.Y.C., Wang, D.G.L., The limiting distribution of the q -derangement numbers, *European J. Combin.*, 2010, 31, 2006–2013