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Convolutions of harmonic right half-plane mappings

DOI 10.1515/math-2016-0069

Received March 22, 2016; accepted July 27, 2016.

Abstract: We first prove that the convolution of a normalized right half-plane mapping with another subclass of normalized right half-plane mappings with the dilatation $-z(a+z)/(1+az)$ is CHD (convex in the horizontal direction) provided $a = 1$ or $-1 \leq a \leq 0$. Secondly, we give a simply method to prove the convolution of two special subclasses of harmonic univalent mappings in the right half-plane is CHD which was proved by Kumar et al. [1, Theorem 2.2]. In addition, we derive the convolution of harmonic univalent mappings involving the generalized harmonic right half-plane mappings is CHD. Finally, we present two examples of harmonic mappings to illuminate our main results.

Keywords: Harmonic univalent mappings, Harmonic convolution, Generalized right half-plane mappings

MSC: 30C45, 58E20

1 Introduction and main results

Assume that $f = u + iv$ is a complex-valued harmonic function defined on the open unit disk $\mathbb{U} = \{z \in \mathbb{C} : |z| < 1\}$, where u and v are real harmonic functions in \mathbb{U} . Such function can be expressed as $f = h + \bar{g}$, where

$$h(z) = z + \sum_{n=1}^{\infty} a_n z^n \quad \text{and} \quad g(z) = \sum_{n=1}^{\infty} b_n z^n$$

are analytic in \mathbb{U} , h and g are known as the analytic part and co-analytic part of f , respectively. A harmonic mapping $f = h + \bar{g}$ is locally univalent and sense-preserving if and only if the dilatation of f defined by $\omega(z) = g'(z)/h'(z)$, satisfies $|\omega(z)| < 1$ for all $z \in \mathbb{U}$.

We denote by \mathcal{S}_H the class of all harmonic, sense-preserving and univalent mappings $f = h + \bar{g}$ in \mathbb{U} , which are normalized by the conditions $h(0) = g(0) = 0$ and $h'(0) = 1$. Let \mathcal{S}_H^0 be the subset of all $f \in \mathcal{S}_H$ in which $g'(0) = 0$. Further, let $\mathcal{K}_H, \mathcal{C}_H$ (resp. $\mathcal{K}_H^0, \mathcal{C}_H^0$) be the subclass of \mathcal{S}_H (resp. \mathcal{S}_H^0) whose images are convex and close-to-convex domains. A domain Ω is said to be convex in the horizontal direction (CHD) if every line parallel to the real axis has a connected intersection with Ω .

For harmonic univalent functions

$$f(z) = h(z) + \overline{g(z)} = z + \sum_{n=2}^{\infty} a_n z^n + \sum_{n=1}^{\infty} \overline{b_n} \bar{z}^n$$

and

$$F(z) = H(z) + \overline{G(z)} = z + \sum_{n=2}^{\infty} A_n z^n + \sum_{n=1}^{\infty} \overline{B_n} \bar{z}^n,$$

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the convolution (or Hadamard product) of them is given by

$$(f * F)(z) = (h * H)(z) + \overline{(g * G)(z)} = z + \sum_{n=2}^{\infty} a_n A_n z^n + \sum_{n=1}^{\infty} \overline{b_n B_n} \bar{z}^n.$$

Many research papers in recent years have studied the convolution or Hadamard product of planar harmonic mappings, see [2–12]. However, corresponding questions for the class of univalent harmonic mappings seem to be difficult to handle as can be seen from the recent investigations of the authors [13–17]. In [1], Kumar et al. constructed the harmonic functions $f_a = h_a + \overline{g_a} \in \mathcal{K}_H$ in the right half-plane, which satisfy the conditions $h_a + g_a = z/(1-z)$ with $\omega_a(z) = (a-z)/(1-az)$ ($-1 < a < 1$). By using the technique of shear construction (see [18]), we have

$$h_a(z) = \frac{\frac{1}{1+a}z - \frac{1}{2}z^2}{(1-z)^2} \quad \text{and} \quad g_a(z) = \frac{\frac{a}{1+a}z - \frac{1}{2}z^2}{(1-z)^2}. \quad (1)$$

Obviously, for $a = 0$, $f_0(z) = h_0(z) + \overline{g_0(z)} \in \mathcal{K}_H^0$ is the standard right half-plane mapping, where

$$h_0(z) = \frac{z - \frac{1}{2}z^2}{(1-z)^2} \quad \text{and} \quad g_0(z) = \frac{-\frac{1}{2}z^2}{(1-z)^2}. \quad (2)$$

Recently Dorff et al. studied the convolution of harmonic univalent mappings in the right half-plane (cf. [14, 15]). They proved that:

Theorem A ([14, Theorem 5]). *Let $f_1 = h_1 + \overline{g_1}$, $f_2 = h_2 + \overline{g_2} \in \mathcal{S}_H^0$ with $h_i + g_i = z/(1-z)$ for $i = 1, 2$. If $f_1 * f_2$ is locally univalent and sense-preserving, then $f_1 * f_2 \in \mathcal{S}_H^0$ and convex in the horizontal direction.*

Theorem B ([15, Theorem 3]). *Let $f_n = h + \overline{g} \in \mathcal{S}_H^0$ with $h + g = z/(1-z)$ and $\omega(z) = g'(z)/h'(z) = e^{i\theta}z^n$ ($\theta \in \mathbb{R}, n \in \mathbb{N}^+$). If $n = 1, 2$, then $f_0 * f_n \in \mathcal{S}_H^0$ and is convex in the horizontal direction.*

Theorem C ([15, Theorem 4]). *Let $f = h + \overline{g} \in \mathcal{K}_H^0$ with $h(z) + g(z) = z/(1-z)$ and $\omega(z) = (z+a)/(1+az)$ with $a \in (-1, 1)$. Then $f_0 * f \in \mathcal{S}_H^0$ and is convex in the horizontal direction.*

We now begin to state the elementary result concerning the convolutions of f_0 with the other special subclass harmonic mappings.

Theorem 1.1. *Let $f = h + \overline{g} \in \mathcal{S}_H^0$ with $h(z) + g(z) = z/(1-z)$ and $\omega(z) = -z(z+a)/(1+az)$, then $f_0 * f \in \mathcal{S}_H^0$ and is convex in the horizontal direction for $a = 1$ or $-1 \leq a \leq 0$.*

The following generalized right half-plane harmonic univalent mappings were introduced by Muir [7]:

$$L_c(z) = H_c(z) + \overline{G_c(z)} = \frac{1}{1+c} \left[\frac{z}{1-z} + \frac{cz}{(1-z)^2} \right] + \frac{1}{1+c} \overline{\left[\frac{z}{1-z} - \frac{cz}{(1-z)^2} \right]} \quad (z \in \mathbb{U}; c > 0). \quad (3)$$

Clearly, $L_1(z) = f_0(z)$, it was proved in [7] that $L_c(\mathbb{U}) = \{\operatorname{Re}(\omega) > -1/(1+c)\}$ for each $c > 0$. Moreover, if $f = h + \overline{g} \in \mathcal{S}_H$, then the above representation gives that

$$L_c * f = \frac{h + czh'}{1+c} + \frac{\overline{g - czg'}}{1+c}. \quad (4)$$

The following *Cohn's Rule* is helpful in proving our main results.

Cohn's Rule ([19, p.375]). *Given a polynomial*

$$p(z) = p_0(z) = a_{n,0}z^n + a_{n-1,0}z^{n-1} + \cdots + a_{1,0}z + a_{0,0} \quad (a_{n,0} \neq 0) \quad (5)$$

of degree n , let

$$p^*(z) = p_0^*(z) = z^n \overline{p(1/\bar{z})} = \overline{a_{n,0}} + \overline{a_{n-1,0}}z + \cdots + \overline{a_{1,0}}z^{n-1} + \overline{a_{0,0}}z^n \quad (6)$$

Denote by r and s the number of zeros of $p(z)$ inside the unit circle and on it, respectively. If $|a_{0,0}| < |a_{n,0}|$, then

$$p_1(z) = \frac{\overline{a_{n,0}}p(z) - a_{0,0}p^*(z)}{z}$$

is of degree $n - 1$ with $r_1 = r - 1$ and $s_1 = s$ the number of zeros of $p_1(z)$ inside the unit circle and on it, respectively.

It should be remarked that *Cohn's Rule* and *Schur-Cohn's algorithm* [19, p. 378] are important tools to prove harmonic mappings are locally univalent and sense-preserving. Some related works have been done on these topics, one can refer to [1, 3, 6, 13, 15, 16]. In [1], the authors proved the following result.

Theorem D. Let $f_a = h_a + \overline{g_a}$ be given by (1). If $f_n = h + \overline{g}$ is the right half-plane mapping given by $h + g = z/(1 - z)$ with $\omega(z) = e^{i\theta}z^n$ ($\theta \in \mathbb{R}, n \in \mathbb{N}^+$), then $f_a * f_n \in \mathcal{S}_H$ is CHD for $a \in [\frac{n-2}{n+2}, 1)$.

In this paper, we will use a new method to prove the above theorem. The main difference of our work from [1] is that we construct a sequence of functions for finding all zeros of polynomials which are in $\overline{\mathbb{U}}$, and we will show that the dilatation of $f_a * f_n$ satisfies $|\widetilde{\omega}_1(z)| = |(g_a * g)'/(h_a * h)'| < 1$ by using mathematical induction, which greatly simplifies the calculation compared with the proof of Theorem 2.2 in [1]. We also show that $L_c * f_a$ is univalent and convex in the horizontal direction for $0 < c \leq 2(1 + a)/(1 - a)$, and derive the following theorem.

Theorem 1.2. Let $L_c = H_c + \overline{G_c}$ be harmonic mappings given by (3). If $f_a = h_a + \overline{g_a}$ is the right half-plane mappings given by (1), then $L_c * f_a$ is univalent and convex in the horizontal direction for $0 < c \leq 2(1 + a)/(1 - a)$.

Recently, Liu and Li [6] defined a subclass of harmonic mappings defined by

$$P_c(z) = H_c(z) - \overline{G_c(z)} = \frac{1}{1+c} \left[\frac{cz}{(1-z)^2} + \frac{z}{1-z} \right] + \frac{1}{1+c} \overline{\left[\frac{cz}{(1-z)^2} - \frac{z}{1-z} \right]} \quad (z \in \mathbb{U}; c > 0). \quad (7)$$

They proved the following result.

Theorem E. ([6, Theorem 7]) Let $P_c(z)$ be harmonic mappings defined by (7) and $f_n = h + \overline{g}$ with $h - g = z/(1 - z)$ and dilatation $\omega(z) = e^{i\theta}z^n$ ($\theta \in \mathbb{R}, n \in \mathbb{N}^+$). Then $P_c * f_n$ is univalent and convex in the horizontal direction for $0 < c \leq 2/n$.

Similar to the approach used in the proof of Theorem E, we get the following result.

Theorem 1.3. Let $L_c = H_c + \overline{G_c}$ be harmonic mappings given by (3) and $f_n = h + \overline{g}$ with $h + g = z/(1 - z)$ and dilatation $\omega(z) = e^{i\theta}z^n$ ($\theta \in \mathbb{R}, n \in \mathbb{N}^+$). Then $L_c * f_n$ is univalent and convex in the horizontal direction for $0 < c \leq 2/n$.

Remark 1.4. In Theorem 1.2 and Theorem 1.3, let $c = 1$, clearly, $L_1 = f_0$, so Theorem 1.2 and Theorem 1.3 are generalization of Theorem C and Theorem B, respectively. It also explains why Theorem B does not hold for $n \geq 3$, since $c = 1$, according to Theorem 1.3, it follows that $0 < c \leq 2/n$ hold for $n = 1, 2$.

2 Preliminary results

In this section, we will give the following lemmas which play an important role in proving the main results.

Lemma 2.1 ([15, Eq.(6)]). If $f = h + \overline{g} \in \mathcal{S}_H^0$ with $h + g = z/(1 - z)$ and dilatation $\omega(z) = g'(z)/h'(z)$, then the dilatation of $f_0 * f$ is given by

$$\widetilde{\omega}(z) = -z \frac{\omega^2 + [\omega - \frac{1}{2}\omega'z] + \frac{1}{2}\omega'}{1 + [\omega - \frac{1}{2}\omega'z] + \frac{1}{2}\omega'z^2}. \quad (8)$$

Lemma 2.2 ([1, Lemma 2.1]). Let $f_a = h_a + \overline{g_a}$ be defined by (1) and $f = h + \overline{g} \in \mathcal{S}_H^0$ be right half-plane mapping, where $h + g = z/(1 - z)$ with dilatation $\omega(z) = g'(z)/h'(z)$ ($h'(z) \neq 0, z \in \mathbb{U}$). Then $\tilde{\omega}_1$, the dilatation of $f_a * f$, is given by

$$\tilde{\omega}_1(z) = \frac{2(a - z)\omega(1 + \omega) + (a - 1)\omega'z(1 - z)}{2(1 - az)(1 + \omega) + (a - 1)\omega'z(1 - z)}. \quad (9)$$

Lemma 2.3. Let $L_c = H_c + \overline{G_c}$ be defined by (3) and $f = h + \overline{g} \in \mathcal{S}_H^0$ be right half-plane mapping, where $h + g = z/(1 - z)$ with dilatation $\omega(z) = g'(z)/h'(z)$ ($h'(z) \neq 0, z \in \mathbb{U}$). Then $\tilde{\omega}_2$, the dilatation of $L_c * f$, is given by

$$\tilde{\omega}_2(z) = \frac{[(1 - c) - (1 + c)z]\omega(1 + \omega) - c\omega'z(1 - z)}{[(1 + c) - (1 - c)z](1 + \omega) - c\omega'z(1 - z)}. \quad (10)$$

Proof. By (4), we know that

$$\tilde{\omega}_2(z) = \frac{(g - czg')'}{(h + czh')'}.$$

Similar calculation as in the proof of [6, Lemma 7] gives (10). \square

Lemma 2.4 ([20, Theorem 5.3]). A harmonic function $f = h + \overline{g}$ locally univalent in \mathbb{U} is a univalent mapping of \mathbb{U} onto a domain convex in the horizontal direction if and only if $h - g$ is a conformal univalent mapping of \mathbb{U} onto a domain convex in the horizontal direction.

Lemma 2.5 (See [21]). Let f be an analytic function in \mathbb{U} with $f(0) = 0$ and $f'(0) \neq 0$, and let

$$\varphi(z) = \frac{z}{(1 + ze^{i\theta_1})(1 + ze^{i\theta_2})}, \quad (11)$$

where $\theta_1, \theta_2 \in \mathbb{R}$. If

$$\operatorname{Re} \left(\frac{zf'(z)}{\varphi(z)} \right) > 0 \quad (z \in \mathbb{U}).$$

Then f is convex in the horizontal direction.

Lemma 2.6. Let $L_c = H_c + \overline{G_c}$ be a mapping given by (3) and $f = h + \overline{g}$ be the right half-plane mapping with $h + g = z/(1 - z)$. If $L_c * f$ is locally univalent, then $L_c * f \in \mathcal{S}_H^0$ and is convex in the horizontal direction.

Proof. Recalling that $L_c = H_c + \overline{G_c}$ and

$$H_c + G_c = \frac{2z}{(1 + c)(1 - z)}, \quad h + g = \frac{z}{1 - z}.$$

Hence

$$\begin{aligned} h - g &= \frac{1 + c}{2}(H_c + G_c) * (h - g) = \frac{1 + c}{2}(H_c * h - H_c * g + G_c * h - G_c * g), \\ H_c - G_c &= (H_c - G_c) * (h + g) = (H_c * h + H_c * g - G_c * h - G_c * g). \end{aligned}$$

Thus

$$H_c * h - G_c * g = \frac{1}{2} \left[\frac{2}{1 + c}(h - g) + (H_c - G_c) \right]. \quad (12)$$

Next, we will show that $\frac{2}{1 + c}(h - g) + (H_c - G_c)$ is convex in the horizontal direction. Letting $\varphi(z) = z/(1 - z)^2 \in \mathcal{S}^*$, we have

$$\begin{aligned} \operatorname{Re} \left\{ \frac{z}{\varphi} \left[\frac{2}{1 + c}(h' - g') + (H'_c - G'_c) \right] \right\} &= \operatorname{Re} \left\{ \frac{z \left[\frac{2}{1 + c}(h' + g') \left(\frac{h' - g'}{h' + g'} \right) + (H'_c + G'_c) \left(\frac{H'_c - G'_c}{H'_c + G'_c} \right) \right]}{\varphi} \right\} \\ &= \operatorname{Re} \left\{ \frac{z \left[\frac{2}{1 + c}(h' + g') \left(\frac{1 - \omega}{1 + \omega} \right) + (H'_c + G'_c) \left(\frac{1 - \omega_c}{1 + \omega_c} \right) \right]}{\varphi} \right\} \end{aligned}$$

$$= \frac{2}{1+c} \operatorname{Re} \left\{ \frac{\frac{z}{(1-z)^2} [r(z) + r_c(z)]}{\frac{z}{(1-z)^2}} \right\} = \frac{2}{1+c} \operatorname{Re} \{r(z) + r_c(z)\} > 0,$$

where $r(z) = \frac{1-\omega(z)}{1+\omega(z)}$, $r_c(z) = \frac{1-\omega_c(z)}{1+\omega_c(z)}$. Therefore, by Lemma 2.5 and the equation (12), we know that $H_c * h - G_c * g$ is convex in the horizontal direction.

Finally, since we assumed that $L_c * f$ is locally univalent and $H_c * h - G_c * g$ is convex in the horizontal direction, we apply Lemma 2.4 to obtain that $L_c * f = H_c * h + \overline{G_c * g}$ is convex in the horizontal direction. \square

3 Proofs of theorems

Proof of Theorem 1.1. By Theorem A, we know that $f_0 * f$ is convex in the horizontal direction. Now we need to establish that $f_0 * f$ is locally univalent.

Substituting $\omega(z) = -z(z+a)/(1+az)$ into (8) and simplifying, yields

$$\widetilde{\omega}(z) = z \frac{z^3 + \frac{2+3a}{2}z^2 + (1+a)z + \frac{a}{2}}{1 + \frac{2+3a}{2}z + (1+a)z^2 + \frac{a}{2}z^3} = z \frac{q(z)}{q^*(z)} = z \frac{(z-A)(z-B)(z-C)}{(1-\overline{A}z)(1-\overline{B}z)(1-\overline{C}z)}, \quad (13)$$

If $a = 1$, then $q(z) = z^3 + \frac{5}{2}z^2 + 2z + \frac{1}{2} = \frac{1}{2}(1+z)^2(1+2z)$ has all its three zeros in $\overline{\mathbb{U}}$. By Cohn's Rule, so $|\widetilde{\omega}(z)| < 1$ for all $z \in \mathbb{U}$.

If $a = 0$, it is clearly that $|\widetilde{\omega}(z)| = |z^2| < 1$ for all $z \in \mathbb{U}$.

If $-1 \leq a < 0$, we apply Cohn's Rule to $q(z) = z^3 + \frac{2+3a}{2}z^2 + (1+a)z + \frac{a}{2}$. Note that $|\frac{a}{2}| < 1$, thus we get

$$Q(z) = \frac{\overline{a_3}q(z) - a_0q^*(z)}{z} = \frac{4-a^2}{4} \left(z^2 + \frac{2(2+2a-a^2)}{4-a^2}z + \frac{4+2a-3a^2}{4-a^2} \right) := \frac{4-a^2}{4}q_1(z).$$

Since

$$\left| \frac{4+2a-3a^2}{4-a^2} \right| = \left| 1 + \frac{2a(1-a)}{4-a^2} \right| < 1 \quad (\text{as } -1 \leq a < 0),$$

we use Cohn's Rule on $q_1(z)$ again, we get

$$q_2(z) = \frac{q_1(z) - \frac{4+2a-3a^2}{4-a^2}q_1^*(z)}{z} = \frac{4a(a-1)(4+a-2a^2)}{(4-a^2)^2} \left(z + \frac{2+2a-a^2}{4+a-2a^2} \right).$$

Clearly, $q_2(z)$ has one zero at

$$z_0 = -\frac{2+2a-a^2}{4+a-2a^2}.$$

We show that $|z_0| \leq 1$, or equivalently,

$$|2+2a-a^2|^2 - |4+a-2a^2|^2 = (2+2a-a^2)^2 - (4+a-2a^2)^2 = 3(4-a^2)(a^2-1) \leq 0.$$

Therefore, by Cohn's Rule, $q(z)$ has all its three zeros in $\overline{\mathbb{U}}$, that is $A, B, C \in \overline{\mathbb{U}}$ and so $|\widetilde{\omega}(z)| < 1$ for all $z \in \mathbb{U}$. \square

A new proof of Theorem D. By Theorem A, it suffices to show that the dilatation of $f_a * f_n$ satisfies $|\widetilde{\omega}_1(z)| < 1$ for all $z \in \mathbb{U}$. Setting $\omega(z) = e^{i\theta}z^n$ in (9), we have

$$\widetilde{\omega}_1(z) = -z^n e^{2i\theta} \left[\frac{z^{n+1} - az^n + \frac{1}{2}(2+an-n)e^{-i\theta}z + \frac{1}{2}(n-2a-an)e^{-i\theta}}{1-az + \frac{1}{2}(2+an-n)e^{i\theta}z^n + \frac{1}{2}(n-2a-an)e^{i\theta}z^{n+1}} \right] = -z^n e^{2i\theta} \frac{p(z)}{p^*(z)}, \quad (14)$$

where

$$p(z) = z^{n+1} - az^n + \frac{1}{2}(2+an-n)e^{-i\theta}z + \frac{1}{2}(n-2a-an)e^{-i\theta}, \quad (15)$$

and

$$p^*(z) = z^{n+1} \overline{p(1/\overline{z})}.$$

Firstly, we will show that $|\widetilde{\omega}_1(z)| < 1$ for $a = \frac{n-2}{n+2}$. In this case, substituting $a = \frac{n-2}{n+2}$ into (14), we have

$$\begin{aligned} |\widetilde{\omega}_1(z)| &= \left| -z^n e^{2i\theta} \frac{z^{n+1} - \frac{n-2}{n+2} z^n - \frac{n-2}{n+2} e^{-i\theta} z + e^{-i\theta}}{1 - \frac{n-2}{n+2} z - \frac{n-2}{n+2} e^{i\theta} z^n + e^{i\theta} z^{n+1}} \right| \\ &= \left| -z^n e^{i\theta} \frac{e^{i\theta} z^{n+1} - \frac{n-2}{n+2} e^{i\theta} z^n - \frac{n-2}{n+2} z + 1}{1 - \frac{n-2}{n+2} z - \frac{n-2}{n+2} e^{i\theta} z^n + e^{i\theta} z^{n+1}} \right| \\ &= |-z^n e^{i\theta}| < 1. \end{aligned}$$

Next, we will show that $|\widetilde{\omega}_1(z)| < 1$ for $\frac{n-2}{n+2} < a < 1$. Obviously, if z_0 is a zero of $p(z)$, then $1/\overline{z_0}$ is a zero of $p^*(z)$. Hence, if A_1, A_2, \dots, A_{n+1} are the zeros of $p(z)$ (not necessarily distinct), then we can write

$$\widetilde{\omega}_1(z) = -z^n e^{2i\theta} \frac{(z - A_1)}{(1 - \overline{A_1}z)} \frac{(z - A_2)}{(1 - \overline{A_2}z)} \dots \frac{(z - A_{n+1})}{(1 - \overline{A_{n+1}}z)}.$$

Now for $|A_j| \leq 1$, $\frac{z - A_j}{1 - \overline{A_j}z}$ ($j = 1, 2, \dots, n+1$) maps \mathbb{U} onto \mathbb{U} . It suffices to show that all zeros of (15) lie on $\overline{\mathbb{U}}$ for $\frac{n-2}{n+2} < a < 1$. Since $|a_{0,0}| = |\frac{1}{2}(n-2a-an)e^{-i\theta}| < |a_{n+1,0}| = 1$, then by using *Cohn's Rule* on $p(z)$, we get

$$\begin{aligned} p_1(z) &= \frac{\overline{a_{n+1,0}}p(z) - a_{0,0}p^*(z)}{z} = \frac{p(z) - \frac{1}{2}(n-2a-an)e^{-i\theta}p^*(z)}{z} \\ &= \frac{(1-a)(2+n)[2(1+a)-(1-a)n]}{4} \left(z^n - \frac{n}{n+2}z^{n-1} + \frac{2}{n+2}e^{-i\theta} \right). \end{aligned}$$

Since $\frac{n-2}{n+2} < a < 1$, we have $\frac{(1-a)(2+n)[2(1+a)-(1-a)n]}{4} > 0$. Let $q_1(z) = z^n - \frac{n}{n+2}z^{n-1} + \frac{2}{n+2}e^{-i\theta}$, since $|a_{0,1}| = |\frac{2}{n+2}e^{-i\theta}| < 1 = |a_{n,1}|$, by using *Cohn's Rule* on $q_1(z)$ again, we obtain

$$\begin{aligned} p_2(z) &= \frac{\overline{a_{n,1}}q_1(z) - a_{0,1}q_1^*(z)}{z} = \frac{q_1(z) - \frac{2}{n+2}e^{-i\theta}q_1^*(z)}{z} \\ &= \frac{n(n+4)}{(n+2)^2} \left(z^{n-1} - \frac{n+2}{n+4}z^{n-2} + \frac{2}{n+4}e^{-i\theta} \right). \end{aligned}$$

Let $q_2(z) = z^{n-1} - \frac{n+2}{n+4}z^{n-2} + \frac{2}{n+4}e^{-i\theta}$, then $|a_{0,2}| = \frac{2}{n+4} < 1 = |a_{n-1,2}|$, we get

$$\begin{aligned} p_3(z) &= \frac{\overline{a_{n-1,2}}q_2(z) - a_{0,2}q_2^*(z)}{z} = \frac{q_2(z) - \frac{2}{n+4}e^{-i\theta}q_2^*(z)}{z} \\ &= \frac{(n+2)(n+6)}{(n+4)^2} \left(z^{n-2} - \frac{n+4}{n+6}z^{n-3} + \frac{2}{n+6}e^{-i\theta} \right). \end{aligned}$$

Let $q_3(z) = z^{n-2} - \frac{n+4}{n+6}z^{n-3} + \frac{2}{n+6}e^{-i\theta}$, then $|a_{0,3}| = \frac{2}{n+6} < 1 = |a_{n-2,3}|$, we obtain

$$\begin{aligned} p_4(z) &= \frac{\overline{a_{n-2,3}}q_3(z) - a_{0,3}q_3^*(z)}{z} = \frac{q_3(z) - \frac{2}{n+6}e^{-i\theta}q_3^*(z)}{z} \\ &= \frac{(n+4)(n+8)}{(n+6)^2} \left(z^{n-3} - \frac{n+6}{n+8}z^{n-4} + \frac{2}{n+8}e^{-i\theta} \right). \end{aligned}$$

By using this manner, we claim that

$$p_k(z) = \frac{[n+2(k-2)](n+2k)}{[n+2(k-1)]^2} \left(z^{n-k+1} - \frac{n+2(k-1)}{n+2k}z^{n-k} + \frac{2}{n+2k}e^{-i\theta} \right). \quad (16)$$

where $k = 2, 3, \dots, n$.

To prove the equation (16) is correct for all $k \in \mathbb{N}^+$ ($k \geq 2$), it suffices to show

$$p_{k+1}(z) = \frac{[n+2(k-1)][n+2(k+1)]}{(n+2k)^2} \left(z^{n-k} - \frac{n+2k}{n+2(k+1)}z^{n-(k+1)} + \frac{2}{n+2(k+1)}e^{-i\theta} \right). \quad (17)$$

Let $q_k(z) = z^{n-k+1} - \frac{n+2(k-1)}{n+2k}z^{n-k} + \frac{2}{n+2k}e^{-i\theta}$, then $q_k^*(z) = z^{n-k+1}\overline{q_k(1/\bar{z})} = 1 - \frac{n+2(k-1)}{n+2k}z + \frac{2}{n+2k}e^{-i\theta}z^{n-k+1}$. Since $|a_{0,k}| = |\frac{2}{n+2k}e^{-i\theta}| = \frac{2}{n+2k} < 1 = |a_{n-k+1,k}|$, by using *Cohn's Rule* on $q_k(z)$, we deduce that

$$\begin{aligned} p_{k+1}(z) &= \frac{\overline{a_{n-k+1,k}}q_k(z) - a_{0,k}q_k^*(z)}{z} = \frac{q_k(z) - \frac{2}{n+2k}e^{-i\theta}q_k^*(z)}{z} \\ &= \frac{[n+2(k-1)][n+2(k+1)]}{(n+2k)^2} \left(z^{n-k} - \frac{n+2k}{n+2(k+1)}z^{n-(k+1)} + \frac{2}{n+2(k+1)}e^{-i\theta} \right). \end{aligned}$$

Setting $n = k(k \geq 2)$ in (16), we have

$$p_n(z) = \frac{3n(3n-4)}{(3n-2)^2} \left(z - \frac{3n-2-2e^{-i\theta}}{3n} \right).$$

Then $z_0 = \frac{3n-2-2e^{-i\theta}}{3n}$ is a zero of $p_n(z)$, and

$$|z_0| = \left| \frac{3n-2-2e^{-i\theta}}{3n} \right| \leq \frac{|3n-2| + |2e^{-i\theta}|}{3n} = \frac{3n-2+2}{3n} = 1.$$

So z_0 lies inside or on the unit circle $|z| = 1$, by Lemma 1, we know that all zeros of (15) lie on $\bar{\mathbb{U}}$. This completes the proof. \square

Proof of Theorem 1.2. In view of Lemma 2.6, it suffices to show that $L_c * f_a$ is locally univalent and sense-preserving. Substituting $\omega(z) = \omega_a(z) = (a-z)/(1-az)$ into (10), we have

$$\begin{aligned} \tilde{\omega}_2(z) &= \frac{[(1-c) - (1+c)z] \left(\frac{a-z}{1-az} \right) (1 + \frac{a-z}{1-az}) - \frac{c(a^2-1)}{(1-az)^2} z(1-z)}{[(1+c) - (1-c)z] (1 + \frac{a-z}{1-az}) - \frac{c(a^2-1)}{(1-az)^2} z(1-z)} \\ &= \frac{[(1-c) - (1+c)z][(a-z)(1-az) + (a-z)^2] - (a^2-1)cz(1-z)}{[(1+c) - (1-c)z][(1-az)^2 + (a-z)(1-az)] - (a^2-1)cz(1-z)} \quad (18) \\ &= -\frac{z^3 - \frac{2+a-c+2ac}{1+c}z^2 + \frac{1+2a-2c+ac}{1+c}z - \frac{a(1-c)}{1+c}}{1 - \frac{2+a-c+2ac}{1+c}z + \frac{1+2a-2c+ac}{1+c}z^2 - \frac{a(1-c)}{1+c}z^3} \end{aligned}$$

Next we just need to show that $|\tilde{\omega}_2(z)| < 1$ for $0 < c \leq 2(1+a)/(1-a)$, where $-1 < a < 1$. We shall consider the following two cases.

Case 1. Suppose that $a = 0$. Then substituting $a = 0$ into (18) yields

$$\tilde{\omega}_2(z) = -z \frac{z^2 - \frac{2-c}{1+c}z + \frac{1-2c}{1+c}}{1 - \frac{2-c}{1+c}z + \frac{1-2c}{1+c}z^2} = -z \frac{(z-1) \left(z - \frac{1-2c}{1+c} \right)}{(1-z) \left(1 - \frac{1-2c}{1+c}z \right)}.$$

Then two zeros $z_1 = 1$ and $z_2 = (1-2c)/(1+c)$ of the above numerator lie in or on the unit circle for all $0 < c \leq 2$, so we have $|\tilde{\omega}_2(z)| < 1$.

Case 2. Suppose that $a \neq 0$. From (18), we can write

$$\tilde{\omega}_2(z) = -\frac{z^3 - \frac{2+a-c+2ac}{1+c}z^2 + \frac{1+2a-2c+ac}{1+c}z - \frac{a(1-c)}{1+c}}{1 - \frac{2+a-c+2ac}{1+c}z + \frac{1+2a-2c+ac}{1+c}z^2 - \frac{a(1-c)}{1+c}z^3} = -\frac{p(z)}{p^*(z)} = -\frac{(z-A)(z-B)(z-C)}{(1-\bar{A}z)(1-\bar{B}z)(1-\bar{C}z)}.$$

We will show that $A, B, C \in \bar{\mathbb{U}}$ for $0 < c \leq 2(1+a)/(1-a)$. Applying Lemma 1 to

$$p(z) = z^3 - \frac{2+a-c+2ac}{1+c}z^2 + \frac{1+2a-2c+ac}{1+c}z - \frac{a(1-c)}{1+c}.$$

Note that $|\frac{a(1-c)}{1+c}| < 1$ for $c > 0$ and $-1 < a < 1$, we get

$$\begin{aligned} p_1(z) &= \frac{\overline{a_3}p(z) - a_0p^*(z)}{z} = \frac{p(z) + \frac{a(1-c)}{1+c}p^*(z)}{z} \\ &= \frac{(1+c+a-ac)(1+c-a+ac)}{(1+c)^2}z^2 + \frac{-2-c-6ac+c^2+2a^2-a^2c-a^2c^2}{(1+c)^2}z \\ &\quad + \frac{1-c+6ac-2c^2-a^2-a^2c+2a^2c^2}{(1+c)^2} \\ &= \frac{(1+c+a-ac)(1+c-a+ac)}{(1+c)^2} \left(z^2 + \frac{-2+c-2a-ac}{1+c+a-ac}z + \frac{1-2c+a+2ac}{1+c+a-ac} \right) \\ &= \frac{(1+c+a-ac)(1+c-a+ac)}{(1+c)^2} (z-1) \left(z - \frac{1+a-2c(1-a)}{1+a+c(1-a)} \right). \end{aligned}$$

So $p_1(z)$ has two zeros $z_1^* = 1$ and $z_2^* = \frac{1+a-2c(1-a)}{1+a+c(1-a)}$ which are in or on the unit circle for $0 < c \leq 2(1+a)/(1-a)$. Thus, by Lemma 1, all zeros of $p(z)$ lie on $\overline{\mathbb{U}}$, that is $A, B, C \in \overline{\mathbb{U}}$ and so $|\widetilde{\omega}_2(z)| < 1$ for all $z \in \mathbb{U}$. \square

4 Examples

In this section, we give interesting examples resulting from Theorem 1.1 and Theorem 1.2.

Example 4.1. In Theorem 1.1, note that $f = h + \overline{g} \in \mathcal{S}_H^0$ with $h + g = z/(1-z)$ and the dilatation $\omega(z) = -z(a+z)/(1+az)$. By shearing

$$h'(z) + g'(z) = \frac{1}{(1-z)^2} \quad \text{and} \quad g'(z) = \omega(z)h'(z).$$

Solving these equations, we get

$$h'(z) = \frac{1+az}{(1-z)^3(1+z)} \quad \text{and} \quad g'(z) = \frac{-z(a+z)}{(1-z)^3(1+z)}.$$

Integration gives

$$h(z) = \frac{1}{2} \frac{z}{1-z} + \frac{1+a}{4} \frac{z}{(1-z)^2} + \frac{1-a}{8} \log \left(\frac{1+z}{1-z} \right), \quad (19)$$

and then,

$$g(z) = \frac{1}{2} \frac{z}{1-z} - \frac{1+a}{4} \frac{z}{(1-z)^2} - \frac{1-a}{8} \log \left(\frac{1+z}{1-z} \right). \quad (20)$$

By the convolutions, we have

$$f_0 * f = h_0 * h + \overline{g_0 * g} = \frac{h(z) + zh'(z)}{2} + \overline{\left(\frac{g(z) - zg'(z)}{2} \right)}.$$

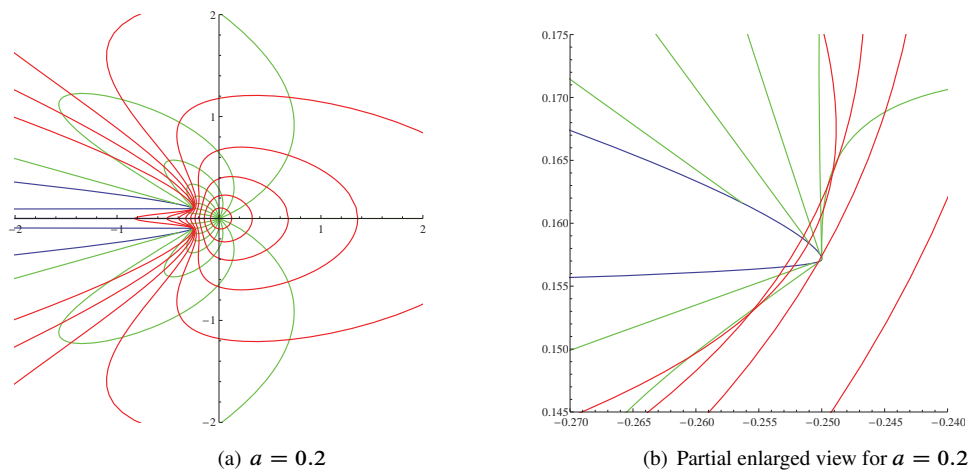
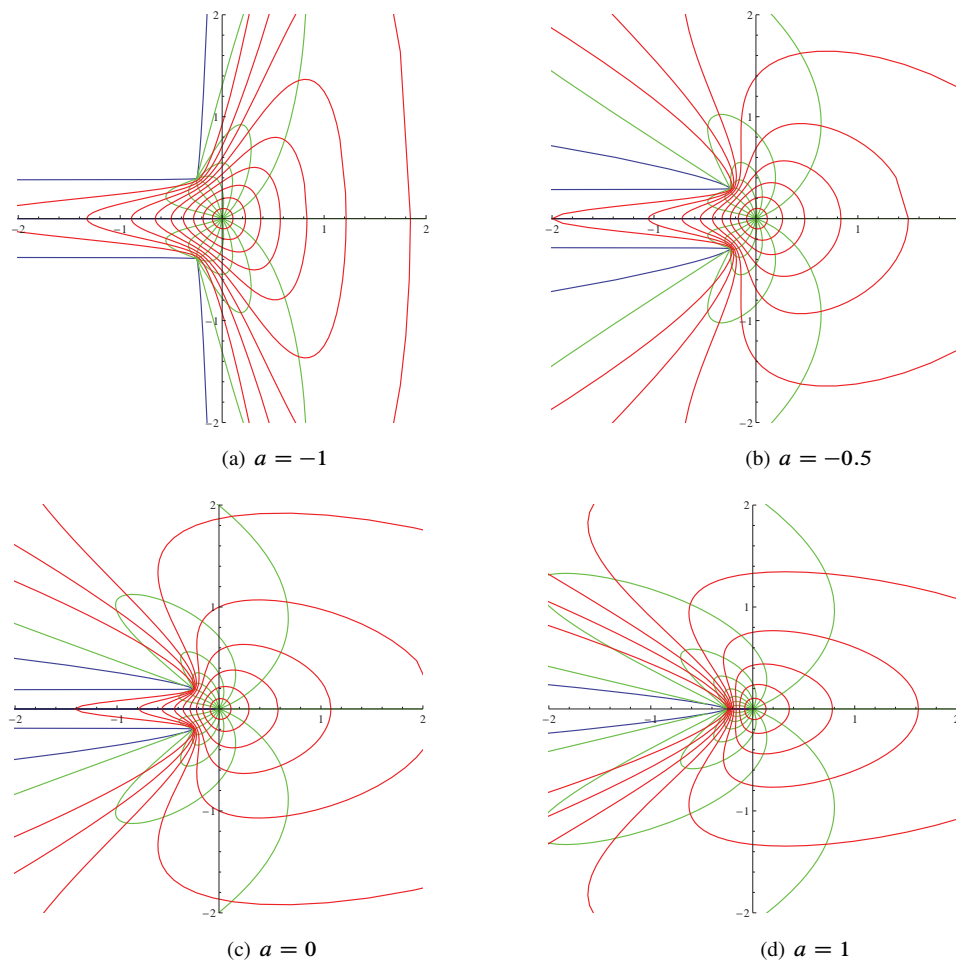
So that

$$h_0 * h = \frac{1}{4} \frac{z}{1-z} + \frac{1+a}{8} \frac{z}{(1-z)^2} + \frac{1-a}{16} \log \left(\frac{1+z}{1-z} \right) + \frac{1}{2} \frac{z(1+az)}{(1-z)^3(1+z)}$$

and

$$g_0 * g = \frac{1}{4} \frac{z}{1-z} - \frac{1+a}{8} \frac{z}{(1-z)^2} - \frac{1-a}{16} \log \left(\frac{1+z}{1-z} \right) + \frac{1}{2} \frac{z^2(a+z)}{(1-z)^3(1+z)}.$$

Images of \mathbb{U} under $f_0 * f$ for certain values of a are drawn in Figure 1 and Figure 2 (a)-(d) by using Mathematica. By Theorem 1.1, it follows that $f_0 * f$ is locally univalent and convex in the horizontal direction for $a = -1, -0.5, 0, 1$, but it is not locally univalent for $a = 0.2$.

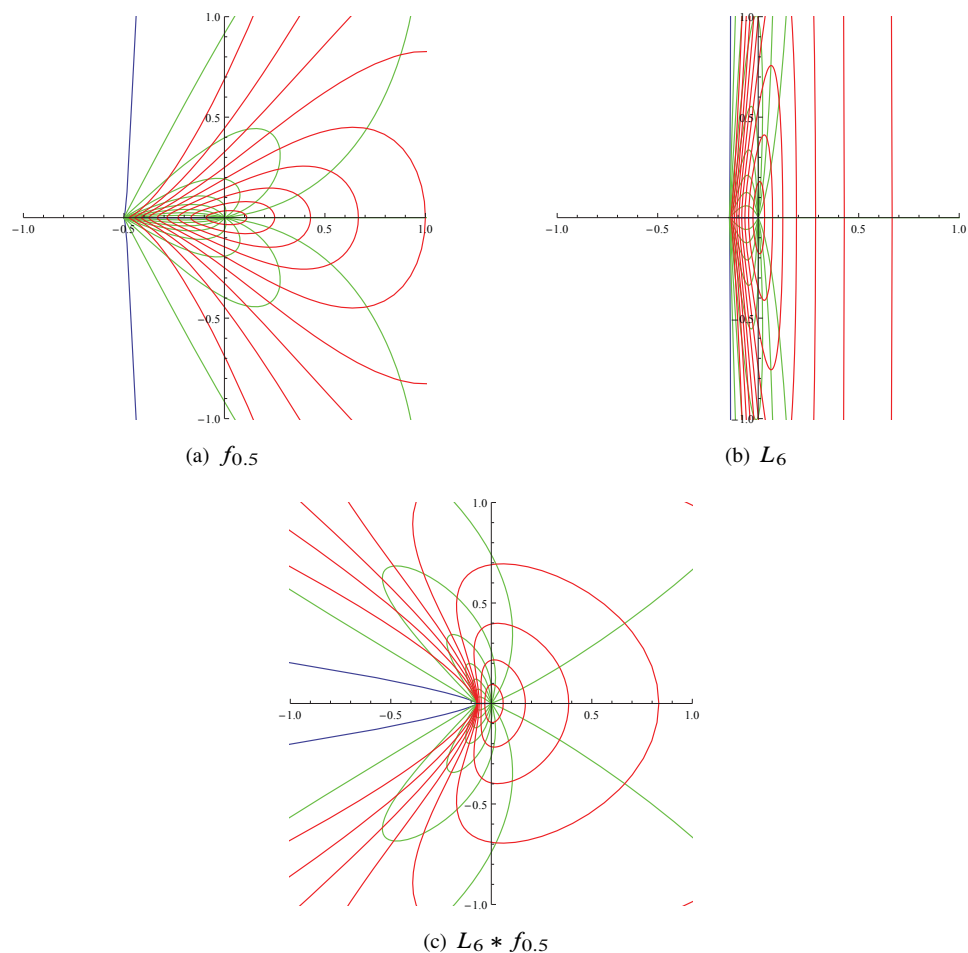
Fig. 1. Images of \mathbb{U} under $f_0 * f$ for $a = 0.2$.**Fig. 2.** Images of \mathbb{U} under $f_0 * f$ for various values a .

Example 4.2. In Theorem 1.2, by (1) and (4), we have

$$\begin{aligned} L_c * f_a &= \frac{1}{1+c} [h_a(z) + cz h'_a(z)] + \frac{1}{1+c} \overline{[g_a(z) - cz g'_a(z)]} \\ &= \frac{1}{1+c} \left[\frac{\frac{1}{1+a}z - \frac{1}{2}z^2}{(1-z)^2} + \frac{cz(1-az)}{(1+a)(1-z)^3} \right] + \frac{1}{1+c} \overline{\left[\frac{\frac{a}{1+a}z - \frac{1}{2}z^2}{(1-z)^2} - \frac{cz(a-z)}{(1+a)(1-z)^3} \right]} \\ &= \operatorname{Re} \left\{ \frac{z}{(1+c)(1-z)} + \frac{c(1-a)z(1+z)}{(1+c)(1+a)(1-z)^3} \right\} + i \operatorname{Im} \left\{ \frac{\left(\frac{1-a}{1+a} + c \right) z}{(1+c)(1-z)^2} \right\}. \end{aligned}$$

If we take $a = 0.5, c = 6$, in view of Theorem 1.2, we know that $L_6 * f_{0.5}$ is univalent and convex in the horizontal direction. The image of \mathbb{U} under $f_{0.5}$, L_6 and $L_6 * f_{0.5}$ are shown in Figure 3 (a)-(c), respectively.

Fig. 3. Images of \mathbb{U} under $f_{0.5}$, L_6 and $L_6 * f_{0.5}$.

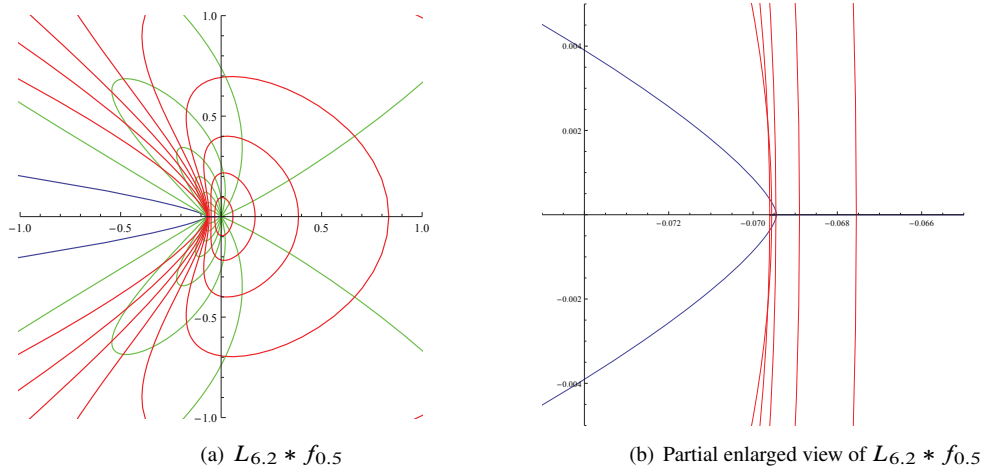


If we take $a = 0.5, c = 6.2$, then

$$L_{6.2} * f_{0.5} = \operatorname{Re} \left\{ \frac{1}{7.2} \frac{z}{1-z} + \frac{3.1}{10.8} \frac{z(1+z)}{(1-z)^3} \right\} + i \operatorname{Im} \left\{ \frac{\frac{1}{3} + 6.2}{7.2} \frac{z}{(1-z)^2} \right\}.$$

The image of \mathbb{U} under $L_{6.2} * f_{0.5}$ is shown in Figure 4 (a). Figure 4 (b) is a partial enlarged view of Figure 4 (a) showing that the images of two outer most concentric circles in \mathbb{U} are intersecting and so $L_{6.2} * f_{0.5}$ is not univalent.

Fig. 4. Images of the unit disk \mathbb{U} under $L_{6.2} * f_{0.5}$.



Remark 4.3. This example shows that the condition $0 < c \leq 2(1 + a)/(1 - a)$ in Theorem 1.2 is sharp.

Acknowledgement: The authors would like to thank the referees for their helpful comments. According to the hints of the referees the authors were able to improve the paper considerably.

The research was supported by the Project Education Fund of Yunnan Province under Grant No. 2015Y456, the First Bath of Young and Middle-aged Academic Training Object Backbone of Honghe University under Grant No. 2014GG0102.

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