

## Research Article

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# Analysis of a certain polycyclic-group-based cryptosystem

**Abstract:** We investigate security properties of the Anshel–Anshel–Goldfeld commutator key-establishment protocol [1] used with certain polycyclic groups described by Eick and Kahrobaei [3]. We show that despite low success of the length based attack shown by Garber, Kahrobaei and Lam [5] the protocol can be broken by a deterministic polynomial-time algorithm.

**Keywords:** Cryptography, commutator-key establishment, conjugacy problem, polycyclic groups, metabelian groups

**MSC 2010:** 94A60, 68W30

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**Communicated by:** Spyros Magliveras

## 1 Introduction

In this paper we analyze the *commutator key-establishment* protocol [1] used with certain polycyclic groups described in [3]. The commutator key-establishment (CKE) protocol is a two-party protocol performed as follows.

- Fix a group  $G$  (called *the platform group*) and a set of generators  $g_1, \dots, g_k$  for  $G$ . All this information is made public.
- Alice prepares a tuple of elements  $\bar{a} = (a_1, \dots, a_{N_1})$  called *Alice's public tuple*. Each  $a_i$  is generated randomly as a product of  $g_i$ 's and their inverses.
- Bob prepares a tuple of elements  $\bar{b} = (b_1, \dots, b_{N_2})$  called *Bob's public tuple*. Each  $b_i$  is generated randomly as a product of  $g_i$ 's and their inverses.
- Alice generates a random element  $A$  as a product  $a_{s_1}^{\varepsilon_1} \dots a_{s_L}^{\varepsilon_L}$  of  $a_i$ 's and their inverses. The element  $A$  (or more precisely its factorization) is called *Alice's private element*.
- Bob generates a random element  $B$  as a product  $b_{t_1}^{\delta_1} \dots b_{t_L}^{\delta_L}$  of  $b_i$ 's and their inverses, called *Bob's private element*.
- Alice publishes the tuple of conjugates  $\bar{b}^A = (A^{-1}b_1A, \dots, A^{-1}b_{N_2}A)$ .
- Bob publishes the tuple of conjugates  $\bar{a}^B = (B^{-1}a_1B, \dots, B^{-1}a_{N_1}B)$ .
- Finally, Alice computes the element  $K_A$  as a product:

$$A^{-1} \cdot (B^{-1}a_{s_1}^{\varepsilon_1}B \dots B^{-1}a_{s_L}^{\varepsilon_L}B)$$

using the elements of Bob's conjugate tuple  $\bar{a}^B$ .

- Bob computes the key  $K_B$  as a product:

$$(A^{-1}b_{t_1}^{\delta_1}A \dots A^{-1}b_{t_L}^{\delta_L}A)^{-1} \cdot B$$

using the elements of Alice's conjugate tuple  $\bar{b}^A$ .

It is easy to check that  $K_A = K_B = A^{-1}B^{-1}AB$  in  $G$ . The obtained commutator is the *shared key*.

Security of the commutator key establishment protocol is based on computational hardness of computing the commutator  $[A, B]$  based on the intercepted public information – the tuples  $\bar{a}, \bar{b}$  and their conjugates  $\bar{a}^B, \bar{b}^A$ . In practice it is often achieved by solving systems of conjugacy equations for  $A$  and  $B$ , i.e., finding

$X = A'$  and  $Y = B'$  satisfying:

$$\left\{ \begin{array}{l} X^{-1}b_1X = b'_1, \\ \vdots \\ X^{-1}b_{N_1}X = b'_{N_1}, \end{array} \right. \quad \text{and} \quad \left\{ \begin{array}{l} Y^{-1}a_1Y = a'_1, \\ \vdots \\ Y^{-1}a_{N_2}Y = a'_{N_2}, \end{array} \right.$$

and computing  $K' = [A', B']$ . In general it can happen that  $K' \neq K$  as explained in [10], but as practice shows very often  $K = K'$  (for instance, as in [6]).

A big advantage of the commutator key-establishment protocol over other group-based protocols is that it can be used with any group  $G$  satisfying certain computational properties. Originally, the group of braids  $B_n$  was suggested to be used as a platform group, but after a series of attacks it became clear that  $B_n$  can not provide good security. But the search for a good group is still very active and in [3] a certain class of polycyclic groups was proposed to be used with CKE. In this paper we show that this class can not provide good security. For more on group-based cryptography see [9].

**Outline.** In Section 2 we define the class of groups under investigation and discuss two different ways to represent the elements. In Sections 3 and 4 we describe the attacks on different group presentations.

## 2 The platform group

Consider an irreducible monic polynomial  $f(x) \in \mathbb{Z}[x]$  and define a field

$$F = \mathbb{Q}[x]/(f).$$

The *ring of integers* of  $F$  is defined as

$$\mathcal{O}_F = \{a \in F \mid a \text{ is a zero of a monic polynomial } g(x) \in \mathbb{Z}[x]\}$$

and its *group of units* as

$$U_F = \{a \mid a^{-1} \in \mathcal{O}_F\}.$$

A semidirect product  $U_F \rtimes \mathcal{O}_F$  of  $U_F$  and  $\mathcal{O}_F$  is defined as a Cartesian product  $U_F \times \mathcal{O}_F$  equipped with the following binary operation:

$$(\alpha, a) \cdot (\beta, b) = (\alpha\beta, a\beta + b). \quad (1)$$

The constructed group  $G_F$  is the platform group in [3]. It is easy to see that  $G_F$  is polycyclic and metabelian and there are several different ways to represent  $G_F$ .

- (a) One can work with  $G_F$  as it is defined above, i.e., as a semidirect product, in which case its elements are represented as pairs and multiplication (1) is used.
- (b) One can construct a polycyclic presentation for  $G_F$  and work with its elements as with words over the generating set.

Unfortunately, neither [3] nor [5] give any detail on how to treat  $G_F$ . Since computational properties of the same group can vary depending on a way we represent its elements, in the next sections we discuss both presentations of  $G_F$ .

### 2.1 $G_F$ as a set of pairs of matrices

There are different ways to represent the elements of  $F$ . For instance, elements in  $F$  can be represented as polynomials over  $\mathbb{Q}$  of degree up to  $n - 1$  with addition and multiplication performed modulo the original polynomial  $f$ . Also one can represent elements in  $F$  by matrices as described below. Recall that the *companion*

matrix for a monic polynomial  $f = x^n + c_{n-1}x^{n-1} + \dots + c_1x + c_0$  is a matrix of the form

$$M = \begin{bmatrix} 0 & 0 & \dots & 0 & -c_0 \\ 1 & 0 & \dots & 0 & -c_1 \\ 0 & 1 & \dots & 0 & -c_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & -c_{n-1} \end{bmatrix}.$$

Denote by  $E$  the identity matrix. The characteristic and minimal polynomial of  $M$  is  $f$  and the set of matrices

$$F = \{a_0E + a_1M + a_2M^2 + \dots + a_{n-1}M^{n-1} \mid a_0, \dots, a_{n-1} \in \mathbb{Q}\} \quad (2)$$

equipped with the usual matrix addition and multiplication is a field. The correspondence between two presentations is obvious:

$$a_0 + a_1x + \dots + a_{n-1}x^{n-1} \longleftrightarrow a_0 + a_1M + \dots + a_{n-1}M^{n-1}.$$

Choosing a particular presentation, we do not change computational properties of  $F$ . Here we choose the matrix presentation for  $F$ .

Let  $O_1, \dots, O_n$  be a basis of the ring of integers  $\mathcal{O}_F$ , where each  $O_i$  is a matrix. Hence

$$\mathcal{O}_F = \{a_1O_1 + a_2O_2 + \dots + a_nO_n \mid a_1, \dots, a_n \in \mathbb{Z}\}.$$

Let  $\{U_1, \dots, U_m\}$  be a generating set for the group  $U_F$ , where every  $U_i$  is a matrix. Hence

$$U_F = \{U_1^{a_1} \cdot U_2^{a_2} \cdot \dots \cdot U_m^{a_m} \mid a_1, \dots, a_m \in \mathbb{Z}\}.$$

By the Dirichlet theorem [7, Chapter 8], we have  $U_F \cong \mathbb{Z}_k \times \mathbb{Z}^{m-1}$ , where  $m = s + t - 1$ ,  $s$  is the number of real field monomorphisms  $F \rightarrow \mathbb{R}$ , and  $2t$  is the number of complex field monomorphisms  $F \rightarrow \mathbb{C}$ . Without loss of generality it can be assumed that  $U_1^k = E$ .

Now naturally the group  $G_F = U_F \times \mathcal{O}_F$  is a set of pairs of matrices:

$$G = \{(C, S) \mid C \in U_F, S \in \mathcal{O}_F\},$$

equipped with multiplication given by

$$(C, S) \cdot (D, T) = (CD, SD + T).$$

It is easy to check that the inverse in  $U_F \times \mathcal{O}_F$  can be computed as

$$(C, S)^{-1} = (C^{-1}, -SC^{-1}),$$

which gives the following expression for the conjugate of  $(B, T)$  by  $(C, S)$ :

$$(D, T)^{(C, S)} = (C, S)^{-1}(D, T)(C, S) = (D, S(E - D) + TC). \quad (3)$$

## 2.2 $G_F$ given by polycyclic presentation

Recall that a group  $G$  is called polycyclic if there exists a subnormal series of  $G$ :

$$G = G_0 \triangleright G_2 \triangleright G_3 \triangleright \dots \triangleright G_n = \{1\},$$

with cyclic factors  $G_{i-1}/G_i$ . Denote  $[G_{i-1} : G_i]$  by  $r_i$  and put  $I = \{i \mid r_i < \infty\}$ . Relative to the series above one can find a generating set  $g_1, \dots, g_n$  for  $G$  satisfying  $\langle G_i, g_i \rangle = G_{i-1}$ . Every element  $g \in G$  can be uniquely expressed

as a product  $g = g_1^{e_1} \dots g_n^{e_n}$ , where  $e_i \in \mathbb{Z}$ ,  $i = 1, \dots, n$ , and  $0 \leq e_i < r_i$  if  $i \in I$ . The polycyclic group  $G$  has a finite presentation of the form

$$G = \langle g_1, \dots, g_n \mid g_j^{g_i} = w_{ij}, g_j^{g_i^{-1}} = v_{ij} \text{ for } 1 \leq i < j \leq n, g_k^{r_k} = u_k \text{ for } k \in I \rangle,$$

where  $w_{ij}$ ,  $v_{ij}$ , and  $u_i$  are words in  $g_{i+1}, \dots, g_n$ . This presentation is called a *polycyclic presentation*. For more details see [7, Chapter 8].

It is straightforward to find a polycyclic presentation for the group  $G_F = U_F \rtimes \mathcal{O}_F$ . It has generators  $g_1, \dots, g_m, g_{m+1}, \dots, g_{m+n}$ , where  $g_1, \dots, g_m$  correspond to the pairs  $(U_1, O), \dots, (U_m, O) \in U_F \rtimes \mathcal{O}_F$  ( $O$  is the zero matrix), and  $g_{m+1}, \dots, g_{m+n}$  correspond to the pairs  $(E, O_1), \dots, (E, O_n) \in U_F \rtimes \mathcal{O}_F$ . The set of relations for  $G$  is formed as follows.

- $g_{m+j}^{g_i} = g_{m+1}^{a_{ij1}} \dots g_{m+n}^{a_{ijn}}$ ,  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ , and  $a_{ij1}, \dots, a_{ijn}$  are the coefficients in the expression  $O_j U_i = a_{ij1} O_1 + \dots + a_{ijn} O_n$ ,
- $g_{m+j}^{g_i^{-1}} = g_{m+1}^{b_{ij1}} \dots g_{m+n}^{b_{ijn}}$ ,  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ , and  $a_{ij1}, \dots, b_{ijn}$  are the coefficients in the expression  $O_j U_i^{-1} = b_{ij1} O_1 + \dots + b_{ijn} O_n$ ,
- $g_1^k = e$ ,
- $[g_i, g_j] = e$ ,  $1 \leq i < j \leq m$ ,
- $[g_i, g_j] = e$ ,  $m+1 \leq i < j \leq m+n$ .

### 3 Attack on semidirect product

In this section we assume that the group  $G_F$  is given as a semidirect product and the field  $F$  is described using matrices as in (2). The general idea behind the attack is to extend the group  $G_F$  and work in  $G_F^* = F^* \rtimes F$ . The group  $G_F^*$  is, in general, not finitely generated and hence is not polycyclic. Nevertheless, the elements of  $G^*$  can be effectively represented by pairs of matrices as described in Section 2.1.

Consider a system of conjugacy equations related to Alice's private key:

$$\begin{cases} X^{-1} b_1 X = b'_1, \\ \vdots \\ X^{-1} b_{N_2} X = b'_{N_2}, \end{cases} \quad (4)$$

with unknown  $X \in U_F \rtimes \mathcal{O}_F$ . We treat the system as a system over  $F^* \rtimes F$ , and hence

$$X = (C, S), \quad b_i = (B_i, T_i), \quad b'_i = (B'_i, T'_i) \text{ in } F^* \rtimes F.$$

Using (3), we get the following system of  $N_2$  linear equations over the field  $F$  with two unknowns  $C$  and  $S$ :

$$\begin{cases} S(E - B_1) + T_1 C = T'_1, \\ \vdots \\ S(E - B_{N_2}) + T_{N_2} C = T'_{N_2}. \end{cases}$$

It has a unique solution when the coefficient matrix of the system has rank 2 over the field  $F$ , in which case the obtained solution  $A'$  is the same as the original private key of Alice. We call the described approach “field based attack” or simply *FBA*.

The described attack was implemented in GAP [4]. Its implementation can be found in [8]. Table 1 compares success rate and time efficiency of our attack and the attack in [5]. Our tests were run on an Intel Core i5 1.80GHz computer with 4GB of RAM, Ubuntu 12.04, GAP 4.7.

The first four columns of Table 1 are taken from [5]. For our tests we used the same parameter values:  $N_1 = N_2 = 20$ , and the same number of tests: 100.

| Polynomial         | $h(G)$ | LBA w/ dynamic set, $L = 5$ |              | FBA, $L = 5$ |              | FBA, $L = 100$ |              |
|--------------------|--------|-----------------------------|--------------|--------------|--------------|----------------|--------------|
|                    |        | Time                        | Success rate | Time         | Success rate | Time           | Success rate |
| $x^2 - x - 1$      | 3      | 0.20 h                      | 100%         | 2.4 s        | 100%         | 2.8 s          | 100%         |
| $x^5 - x^3 - 1$    | 7      | 76.87 h                     | 35%          | 3.4 s        | 100%         | 5.3 s          | 100%         |
| $x^7 - x^3 - 1$    | 10     | 94.43 h                     | 8%           | 5.2 s        | 100%         | 9.7 s          | 100%         |
| $x^9 - 7x^3 - 1$   | 14     | 95.18 h                     | 5%           | 23.1 s       | 100%         | 57.7 s         | 100%         |
| $x^{11} - x^3 - 1$ | 16     | 95.05 h                     | 5%           | 15.3 s       | 100%         | 29.5 s         | 100%         |
| $x^{15} - x - 2$   | 22     | —                           | —            | 694.8 s      | 100%         | 607.4 s        | 100%         |
| $x^{20} - x - 1$   | 30     | —                           | —            | 208.5 s      | 100%         | 192.8 s        | 100%         |

Table 1. LBA and FBA efficacy comparison.

## 4 Attack on polycyclic presentation

In this section we assume that  $G_F$  is given by a polycyclic presentation described in Section 2.2. First we show that the group  $G_F$  can be presented as a semidirect product of an abelian matrix group and  $\mathbb{Z}^n$ . Then we present the attack on the obtained presentation.

### 4.1 Deduced semidirect product for $G_F$

Given a polycyclic presentation for  $G_F$  constructed in Section 2.2 it is straightforward to find the numbers  $m$  and  $n$ . For the relations

$$g_{m+j}^{g_i} = g_{m+1}^{a_{ij1}} \cdots g_{m+n}^{a_{ijn}}$$

we can define matrices  $C_1, \dots, C_m$ :

$$C_i = (a_{ijk})_{j=1, \dots, n}^{k=1, \dots, n}.$$

Next we form a semidirect product  $G$  of  $\langle C_1, \dots, C_m \rangle$  and  $\mathbb{Z}^n$  which is a set of pairs:

$$\{(C, \bar{s}) \mid C \in \langle C_1, \dots, C_m \rangle, \bar{s} \in \mathbb{Z}^n\}$$

equipped with the multiplication given by

$$(C, \bar{s}) \cdot (D, \bar{t}) = (CD, \bar{s}D + \bar{t}).$$

Let  $\{\bar{e}_1, \dots, \bar{e}_n\}$  be the standard basis for  $\mathbb{Z}^n$ . It is easy to check that the map

$$\tau: \{g_1, \dots, g_{m+n}\} \rightarrow G, \quad \tau(g_i) = \begin{cases} (C_i, \bar{0}) & \text{if } i \leq m, \\ (E, \bar{e}_j) & \text{if } i = m + j, 1 \leq j \leq n \end{cases}$$

defines an isomorphism between  $G_F$  and the constructed group. Furthermore, given an element  $g = g_1^{e_1} \cdots g_n^{e_n}$  it requires polynomial time to find its  $\tau$ -image.

We also claim that given a pair  $(C, \bar{v})$  it requires polynomial time to find a word  $g$  such that  $\tau(g) = (C, \bar{v})$ . To convert  $(C, \bar{v})$  into a word in the generators  $g_1, \dots, g_{m+n}$  one can express  $(C, \bar{v})$  as a product:

$$(C, \bar{v}) = (C_1, \bar{0})^{a_1} \cdots (C_m, \bar{0})^{a_m} (E, \bar{e}_1)^{a_{m+1}} \cdots (E, \bar{e}_n)^{a_{m+n}},$$

for some  $a_1, \dots, a_{m+n} \in \mathbb{Z}$ , in which case  $g = g_1^{a_1} \cdots g_n^{a_n} g_{m+1}^{a_{m+1}} \cdots g_{m+n}^{a_{m+n}}$ . Clearly  $(C, \bar{v}) = (C, \bar{0})(E, \bar{v})$ . Therefore we have to solve two tasks. First, we need to find  $a_1, \dots, a_m$  such that  $C = C_1^{a_1} \cdots C_m^{a_m}$  which can be done in polynomial time [2]. Second, we need to find  $a_{m+1}, \dots, a_{m+n}$  such that  $\bar{v} = a_{m+1}\bar{e}_1 + \cdots + a_{m+n}\bar{e}_n$  which is obvious.

It follows from the discussion above that computational problems for  $G_F$  given by polycyclic presentation and by the deduced semidirect product are polynomial-time equivalent. Another important property of the computed presentation is that the ring

$$K = \mathbb{Q}[C_1, \dots, C_m]$$

| Polynomial         | $h(G)$ | FBA2, $L = 5$ |              | FBA2, $L = 100$ |              |
|--------------------|--------|---------------|--------------|-----------------|--------------|
|                    |        | Time          | Success rate | Time            | Success rate |
| $x^2 - x - 1$      | 3      | 4.3 s         | 100%         | 3.9 s           | 100%         |
| $x^5 - x^3 - 1$    | 7      | 4.9 s         | 100%         | 6.8 s           | 100%         |
| $x^7 - x^3 - 1$    | 10     | 8.1 s         | 100%         | 10.1 s          | 100%         |
| $x^9 - 7x^3 - 1$   | 14     | 34.0 s        | 100%         | 47.7 s          | 100%         |
| $x^{11} - x^3 - 1$ | 16     | 20.9 s        | 100%         | 26.4 s          | 100%         |
| $x^{15} - x - 2$   | 22     | 528.2 s       | 100%         | 761.3 s         | 100%         |
| $x^{20} - x - 1$   | 30     | 164.6 s       | 100%         | 208.2 s         | 100%         |

Table 2. FBA2 efficacy.

generated by matrices  $C_1, \dots, C_m$  is actually a field isomorphic to a subfield of  $F$  (because  $C_i$ 's define the same action as  $U_i$ 's, but in a basis  $O_1, \dots, O_n$ ).

## 4.2 The attack

In the deduced presentation of  $G_F$  the system of conjugacy equations (4) is equivalent to the following system of equations with unknown  $C \in K^*$  and  $\bar{v} \in \mathbb{Z}^n$ :

$$\begin{cases} \bar{v}(E - B_1) + \bar{t}_1 C = \bar{t}'_1, \\ \vdots \\ \bar{v}(E - B_{N_2}) + \bar{t}_{N_2} C = \bar{t}'_{N_2}, \end{cases} \quad (5)$$

where  $(C, \bar{v})$  represents  $X$ ,  $(B, \bar{t}_i)$  represents  $b_i$ ,  $(B, \bar{t}'_i)$  represents  $b'_i$  for  $i = 1, \dots, N_2$ .

To solve system (5) we compute a basis  $H_1, \dots, H_l$  of the field  $K$  as a vector space over  $\mathbb{Q}$ . Hence

$$C = c_1 H_1 + \dots + c_l H_l$$

for some  $c_1, \dots, c_l \in \mathbb{Q}$ , and (5) can be rewritten as

$$\begin{cases} \bar{v}(E - B_1) + c_1 \bar{t}_1 H_1 + \dots + c_l \bar{t}_1 H_l = \bar{t}'_1, \\ \vdots \\ \bar{v}(E - B_{N_2}) + c_1 \bar{t}_{N_2} H_1 + \dots + c_l \bar{t}_{N_2} H_l = \bar{t}'_{N_2}, \end{cases}$$

which is a system of linear equations over field  $\mathbb{Q}$  with unknown  $\bar{v} = (v_1, \dots, v_n)$  and  $c_1, \dots, c_l \in \mathbb{Q}$ . The solution of this system provides us with the key  $A'$ .

We call this procedure *FBA2*. The attack was also implemented in GAP and tested on the same machine. Table 2 contains the results of our tests.

## 5 Conclusion

Our arguments show the following.

- The groups of the form  $U_F \times \mathcal{O}_F$  can not be used as platform groups in the commutator key-establishment protocol.
- It is difficult to devise a successful length-based attack, and a low success rate does not mean much in terms of security.

Finally, we want to point out that our attack does not eliminate all polycyclic groups from consideration.

**Funding:** The second author has been partially supported by NSA Mathematical Sciences Program grant number H98230-14-1-0128.

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Received March 9, 2015; revised August 28, 2015; accepted September 7, 2015.