

## Research Article

Congrong Wang, Chaoyang Zhang, Qiduan Chen, Hui Lin, Xinting Sun, Jiahao Li, and Mingder Jean\*

# Heat management of LED-based $\text{Cu}_2\text{O}$ deposits on the optimal structure of heat sink

<https://doi.org/10.1515/htmp-2022-0277>

received February 08, 2023; accepted May 10, 2023

**Abstract:** This report investigates the thermal performance of light-emitting diodes (LEDs) using a heat sink structure based on an optimized design and a sprayed cuprous oxide ( $\text{Cu}_2\text{O}$ ) coating. An orthogonal array of 18 aluminum plates with various heat-dissipated structures was created. The optimal junction temperature of the LED package is determined by using the signal-to-noise ratio (S/N) of the heat-dissipated structure based on Taguchi's method as well as the heat dissipation coating. According to the analysis of variance, the most important factors that influence the junction temperature can be obtained as the depth of groove, the layout of holes, the layout of LEDs, and the number of Cu block. These significant factors constituted approximately 91.06% of the variation in the experiment. The results show that by optimizing the structure of the LED heat sink based on the heat-dissipated coating, the efficiency of the junction temperature is increased by 23.88%. Also, a gain of 1.30 dB corresponds to a 9.67% reduction in variance, which indicates the improvement through the optimal setting by 1.162 times of variance, showing good reproducibility. Overall, the coating is based on the optimized design of the structure of the heat sink that has good heat transfer capability, which can provide a good solution to the heat-dissipated problem of LED and further give guidance to the future development of LED.

**Keywords:** LED assembly,  $\text{Cu}_2\text{O}$ , junction temperature, heat-dissipated structure, electrostatic spraying

## 1 Introduction

Recently, a lot of attention has been paid to energy conservation and improvement of the environment due to the depletion of oil resources as well as high oil prices and the warming of the earth's population [1–3]. A large number of countries are looking at green energy like light-emitting diode (LED) lighting as an efficient solution toward energy saving and carbon emission mitigation [4,5]. Right now, high-power LEDs in the global lighting market are handicapped by higher prices and a lack of industry standards, as well as problems with quality and skill, such as dimness, light color, durability, and flexibility, most of which are linked to thermal problems of the parts [6]. LEDs are a kind of semiconductor that serves as a light-emitting component. In contrast to traditional sources of light, such as gaseous emission lamps, vacuum lamps, and white lights, they possess a much longer working life. The light source made by LED does not have such environmental pollutants as mercury, lead, etc., which do not pollute the environment. Therefore, LED is known as the fourth-generation lighting source with no pre-heat time, high-speed response, smaller size, less power consumption, lower energy consumption, less environmental impact, and more reliability. Furthermore, it can be further made into minimal or series devices for various applications, such as automobiles, computers, traffic lights, telecommunication industry, backlighting of liquid-crystal display (LCD) panels, LED displays, etc. [7]. However, LED lights can also raise huge heat problems such as high temperatures and lack of heat dissipation that can lead to serious lighting failures and a shortened lifetime. Yet, LEDs use only approximately 20% of the energy, while about 80% of the energy is produced at the junctions of the LED modules with high heat [8]. Namely, most of the energy is converted into heat, not light. As a result, the heat dissipation of LEDs is insufficient, which results in reduced luminous efficiency and lifetime of LED lights. Thus, it is significant to improve the LED heat sink temperature of the substrate. In addition, thermal control of LED modules, which makes sure that the heat created in the LED chip is rapidly removed by the heat sink, has aroused the attention of many researchers. Many solutions

\* Corresponding author: Mingder Jean, College of Arts and Design, Jimei University, 185 Ynjiang Rd., Jimei District, Xiamen 361021, China, e-mail: 202261000183@jmu.edu.cn

Congrong Wang, Chaoyang Zhang, Qiduan Chen, Hui Lin, Xinting Sun, Jiahao Li: College of Arts and Design, Jimei University, 185 Ynjiang Rd., Jimei District, Xiamen 361021, China

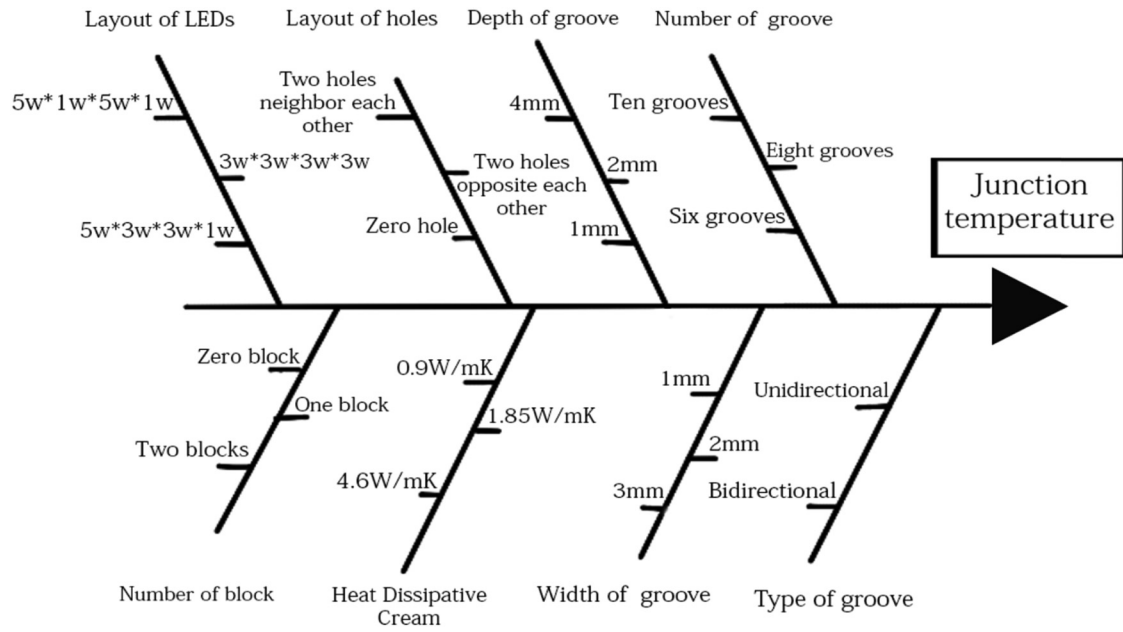
have been proposed. Bera *et al.* [9] have studied the relationship as observed between LED luminous efficiency and junction temperature. It was shown that the thermal resistance impedance increases with the increase in the supplied power and the heat accumulated on the junction surface increases. Shatalov *et al.* [10] conducted a thermal analysis using a chip-packaged 280 nm nitrogen-based UV LED in an attempt to find an effective solution to the thermal problem of this LED. The experimental results show that the bottleneck of heat dissipation lies in the bonding area of the LED. LEDs with a small bonding area have high heat accumulation on the bonding surface, and the LED package produces lower thermal efficiency. Arik *et al.* [11,12] used SiC and sapphire wafers in two devices that were compared using thermal simulations with finite elements from ANSYS. It was found that the SiC packages exhibited much more homogeneous temperature distribution and lower joint temperature, which is related to the high heat transfer coefficient of SiC. Ngo *et al.* [13] studied the thermal performance of surface mounted device-LED chips under the influence of wire patterns and LED arrangements in an experiment. The results show that the printed circuit board of the wire pattern not only induces LED temperature but also impacts the difference in temperature between LEDs, as well as non-uniform distribution of temperature. Sui *et al.* [14] use integrated thermocouple cooling device to improve LED performance. This study offers a solution for LED heat dissipation as well as examines the influence of thermoelectric cooler (TEC) temperature on the optical performance of LEDs. It provides a basis for tuning the optical performance of LEDs by TEC. As mentioned in the literature earlier, the problem of high heat generated by LED lamp junctions is a serious challenge that must be overcome [15–22]. In order to solve the thermal problems of a considerable number of manufacturers, the creative solution of introducing novel ideas is warranted by the heat dissipation technology [23–25]. However, many of the different structures of the heat sink have been utilized, but there are few studies on the use of structural models of heat sink as well as a Cu<sub>2</sub>O coating by electrostatic spraying [26–28]. As a result, the integration of highly conductive materials and the optimal heat dissipation structures as an alternative for solving the high heat problem of LEDs is an attractive solution [14,29–34]. Taguchi design, which is known as an orthogonal array, is a technique for the design of experiments using only a few factors overall [35,36]. The method enables to obtain the optimal experimental results. Taguchi's method is a simple, high-efficient, and systematic approach to assess the quality of characteristics with less time and cost. This method implements an orthogonal array where each factor is systematically evaluated, as well as provides reasonable predictions of the response. In this study, heat sink structures and Cu<sub>2</sub>O

coatings were utilized based on Taguchi design, and then, the parameters of the heat sink system were optimized, and the junction temperature was subsequently improved. In a further study, the pattern of heat dissipation structures, the morphology of Cu<sub>2</sub>O films, and the heat behavior were examined [37–45]. Optimization of the heat dissipation structure with Cu<sub>2</sub>O coating can be accomplished, and satisfactory results are expected compared to experimental results.

## 2 Experimental design and layout

### 2.1 Materials and measurement

In this experiment, Cu<sub>2</sub>O showed good thermal conductivity, photocatalysis, and corrosion resistance which became popular for degrading materials, but was not used for heat dissipation coating. Therefore, an attempt was made in this experiment to make Cu<sub>2</sub>O available for heat sink coating of LEDs. The Cu<sub>2</sub>O powder has a diameter of about 50 μm with a uniform surface distribution, which is helpful for gaining a high-quality deposit. In addition, the heat transfer surface between the LED heat sink and the copper dioxide-coated aluminum substrate using different coefficients of thermal paste (0.9, 1.85, 4.6 W·m<sup>-1</sup>·K<sup>-1</sup>) was analyzed experimentally. The Cu<sub>2</sub>O coating is deposited on the heat sink using an electrostatic spray system. The parameters and levels of electrostatic spraying are as follows: the baking temperature of 150°C, the spraying time of 25 s, the spraying speed of 55 mm·s<sup>-1</sup>, the flowrate of Cu<sub>2</sub>O powders of 3 cc·s<sup>-1</sup>, and the ratio of Cu<sub>2</sub>O/Resin of 3:1 and the baking time of 30 min. In this study, the junction temperature was used to assess the thermal performance of the LED package. The case temperatures at different locations were measured using a thermal imager (IRI-4010) and thermocouple sensors. A thermal imager is a device that converts the invisible infrared energy emitted by an object into a visible thermal image. In addition, the thermocouple is the most widely used contact temperature measurement device in the industry. A three-dimensional optical profiler (SJ-301) is used to measure surface roughness dimensions (Sa). The microstructural evolution was analyzed using a field emission scanning electron microscope (JEOL JSM-6700F). As shown in Figure 1, a fish-bone diagram of the junction temperature of the LED module in the heat sink structure is achieved by using the technical route of the heat sink structure of the LED module as well as Cu<sub>2</sub>O coated with Al substrate by electrostatic. The structures of the heat sink as well as Cu<sub>2</sub>O powders in the experiment are shown in Figure 2, including the back side of the Al plate

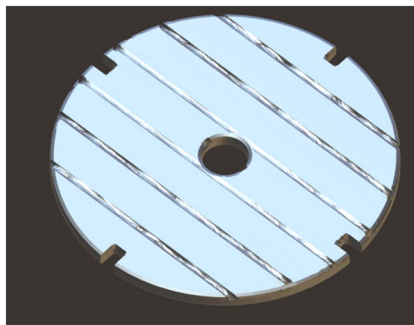


**Figure 1:** A fishbone diagram of the junction temperature of LED modules during the structure of the heat sink.

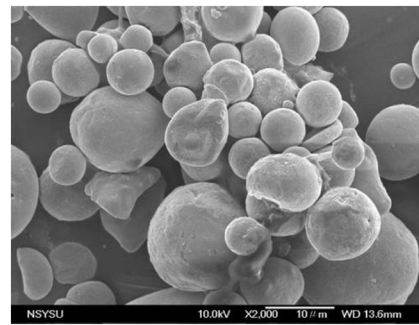
with a parallel type (Figure 2a),  $\text{Cu}_2\text{O}$  powder with a size of 25–60  $\mu\text{m}$  (Figure 2b), the Al plate with  $\text{Cu}_2\text{O}$  coatings (Figure 2c), and the LED chip being mounted on the  $\text{Cu}_2\text{O}$  surface of the aluminum substrate (Figure 2d).

## 2.2 Experimental layout and factors

The factors affecting the LED junction temperature in this experiment are shown in the fishbone graph in Figure 1.



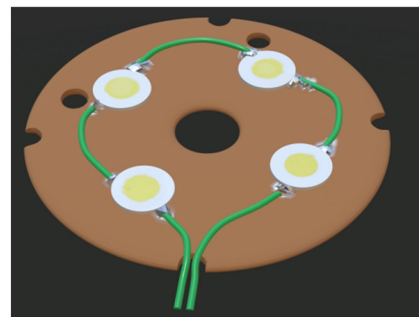
(a)



(b)



(c)



(d)

**Figure 2:** (a) Parallel groove, (b) powders of  $\text{Cu}_2\text{O}$ , (c) an  $\text{Cu}_2\text{O}$ -coated Al substrate, and (d) the LED cores are mounted on a  $\text{Cu}_2\text{O}$ -coated layer of Al substrate.

**Table 1:** Controllable factors and their levels based on the Taguchi method

Symbol	Controllable factors	Level 1	Level 2	Level 3
A	Type of groove	Parallel	Vertical	
B	No. of groove	6	8	10
C	Width of groove (mm)	1	2	3
D	Depth of groove (mm)	1	2	4
E	Layout of Hole	0	Neighbor	Opposite
F	Types of Heat dissipating paste ( $W \cdot m^{-1} \cdot K^{-1}$ )	0.9	1.85	4.6
G	Layout of LED	$5 \times 5 \times 1 \times 1$	$3 \times 3 \times 3 \times 3$	$5 \times 3 \times 3 \times 1$
H	No. of Cu block	0	1	2

There are a large number of factors that affect the thermal characteristics during the design of a heat sink, of which eight are the key control parameters and the rest are preset conditions. These eight control factors are type of groove (A), number of grooves (B), width of groove (C), depth of groove (D), layout of holes (E), types of heat dissipating paste (F), layout of LEDs (G), and the number of Cu block (H) as shown in Table 1. An orthogonal array suggests that the layout is balanced, so the weights are uniform at all levels of the factors. In this experiment, an orthogonal array with eight factors A through H was used. Factor A is a two-level factor, and seven factors B to H are three-level factors, as shown in Table 1. All of the factor combinations in all tests were experimentally implemented, and a total of 4,374 experiments were required, which is very time-consuming to run but only need to conduct 18 sets of experiments in the Taguchi method, as shown in Table 3.

## 2.3 Thermal resistance

The thermal performance of LEDs is estimated by the thermal resistance ( $R_{ja}$ ), which is determined by the difference in temperature between the junction and the environment, and the power generated by the LED under various operating conditions. The following equation is typically utilized to calculate heat resistance, as shown in equation (1).

$$R_{ja} = \frac{T_j - T_a}{W}, \quad (1)$$

where  $R_{ja}$  is the thermal resistance of the chip node to the ambient,  $T_j$  is the chip node temperature at steady-state conditions,  $T_a$  is the ambient temperature, and  $W$  is the electrical power of the initiator in the chip. Figure 3 shows a schematic diagram of an LED lamp module that includes a lens, an LED chip, a cooling block base, a

**Table 2:** Experimental layout of various tests and variables for heat-dissipated structures

No. of tests	Control factors								Junction temperature ( $^{\circ}C$ )			Signal/Noise (dB)	
	A	B	C	D	E	F	G	H	$T_1$	$T_2$	Mean	St.dev	dB
1	1	1	1	1	1	1	1	1	58.0	61.1	59.55	2.19	-35.50
2	1	1	2	2	2	2	2	2	73.1	75.9	74.5	1.98	-37.44
3	1	1	3	3	3	3	3	3	66.2	68.2	67.2	1.41	-36.55
4	1	2	1	1	2	2	3	3	79.2	67.1	73.15	8.56	-37.31
5	1	2	2	2	3	3	1	1	56.9	62.3	59.6	3.82	-35.51
6	1	2	3	3	1	1	2	2	66.7	69.9	68.3	2.26	-36.69
7	1	3	1	2	1	3	2	3	67.2	69.7	68.45	1.77	-36.71
8	1	3	2	3	2	1	3	1	67.1	62.0	64.55	3.61	-36.20
9	1	3	3	1	3	2	1	2	65.2	63.8	64.5	0.99	-36.19
10	2	1	1	3	3	2	2	1	67.2	68.1	67.65	0.64	-36.61
11	2	1	2	1	1	3	3	2	71.6	70.7	76.65	8.41	-37.72
12	2	1	3	2	2	1	1	3	55.6	59.8	57.7	2.97	-35.23
13	2	2	1	2	3	1	3	2	89.8	82.2	86.0	5.37	-38.70
14	2	2	2	3	1	2	1	3	56.8	56.2	56.5	0.42	-35.04
15	2	2	3	1	2	3	2	1	67.3	63.6	65.45	2.62	-36.32
16	2	3	1	3	2	3	1	2	52.9	55.1	54.0	1.56	-34.65
17	2	3	2	1	3	1	2	3	72.1	73.2	72.65	0.78	-37.22
18	2	3	3	2	1	2	3	1	67.0	70.7	68.85	2.62	-36.76

**Table 3:** Response table of SN on the junction temperature with various control factors and their levels

SN	A	B	C	D	E	F	G	H
Level 1	-36.46	-36.40	-36.58	-36.60	-36.29	-36.59	-35.35	-36.15
Level 2	-36.40	-36.60	-36.41	-36.73	-36.19	-36.56	-36.83	-36.79
Level 3	0.00	-36.29	-36.29	-35.96	-36.80	-36.13	-37.10	-36.34
Effect	0.06	0.31	0.29	0.77	0.60	0.46	1.74	0.64
Rank	8	6	7	2	4	5	1	3

dissipated layer (Cu<sub>2</sub>O), a substrate, and a block of heat sink. In this experiment, the four LED modules with three kinds as 5W-5W-1W-1W, 3W-3W-3W-3W, and 3W-1W-1W-5W yield a 12W power output.

## 2.4 Analysis of variance (ANOVA)

To determine how control factors influence junction temperature, an ANOVA was carried out to assess the effects of different variables on the response. Based on ANOVA, the factors that have the strongest influence on the junction temperature were decided. Further experiments were conducted to highlight these factors of heat dissipation. The ANOVA table includes sum of squares, random error, degrees of freedom, mean square, *F*-value, and the percent contribution. The percent contribution is used to calculate the relative importance of the comparison in terms of the contributions of the control factors. In the experiment, greater contributing factors were ranked higher in importance. That is, they provide a significant impact on the quality characteristics, which can be further used to explain the overall performance.

## 3 Experimental results and discussion

### 3.1 Evaluation using the signal-to-noise ratio (S/N) based on the Taguchi method

According to the Taguchi design, quality characteristics are measured by their S/N, which originally came from the field of communication and is used to assess the quality of sound or graphics. A decibel value, together with the mean and standard deviation of the experimental data, is obtained. In the case of smaller and better characteristics, such as the junction temperature of LEDs. A logarithmic function is employed. The S/N based on the loss function,  $Z_j$ , denoting the S/N ratio, is formulated as

$$Z_j = -10 \log \left[ \frac{1}{n} \left( \sum_{i=1}^n y_i^2 \right) \right], \quad (2)$$

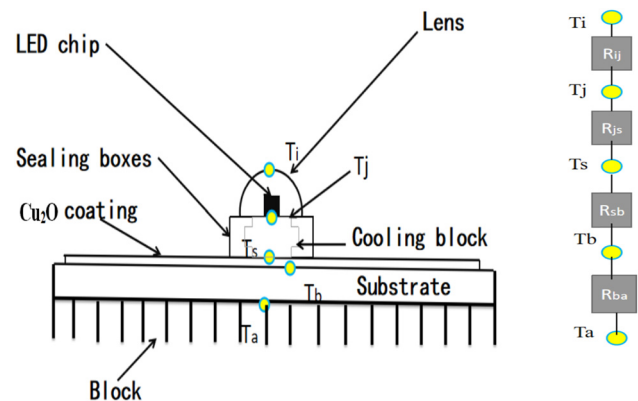
where  $y_i$  is the junction temperature value obtained in the  $i$ th test.  $n$  is the total number of tests. For more details,  $Z_j$  is also denoted as

$$Z_j = -10 \log \left[ \sum_{i=1}^n (\bar{Y}_i^2 + S_i^2) \right], \quad (3)$$

where  $Y_i$  represents the mean and  $S_i$  represents the standard deviation of the junction temperature values of the repeated trials for each experimental test. The Taguchi method basically is aimed at maximizing the S/N ratio, which is the key to the standard of performance. The higher the S/N, the better the quality characteristics. In this study, each test was repeated twice to obtain its S/N in order to reduce cost and time.

### 3.2 The factorial effects and optimization based on the orthogonal array

The response tables are estimated by combining the control factors in the orthogonal array experiments. The effects of the



**Figure 3:** Schematic diagram of an LED lamp module including a lens, an LED chip, a cooling block base, a dissipated layer (Cu<sub>2</sub>O), a substrate, and a block of heat sink.



mean values can reflect the importance of the relative performance between each of the control factorial levels. The level of each factor is calculated by equation (4). Examples of factor B in the L18 array are B1, B2, and B3, respectively.

$$\begin{aligned} S/N_{B1} &= \frac{1}{6}(S/N_{1,2,3} + S/N_{10,11,12}), \\ S/N_{B2} &= \frac{1}{6}(S/N_{4,5,6} + S/N_{13,14,15}), \\ S/N_{B3} &= \frac{1}{6}(S/N_{7,8,9} + S/N_{16,17,18}), \end{aligned} \quad (4)$$

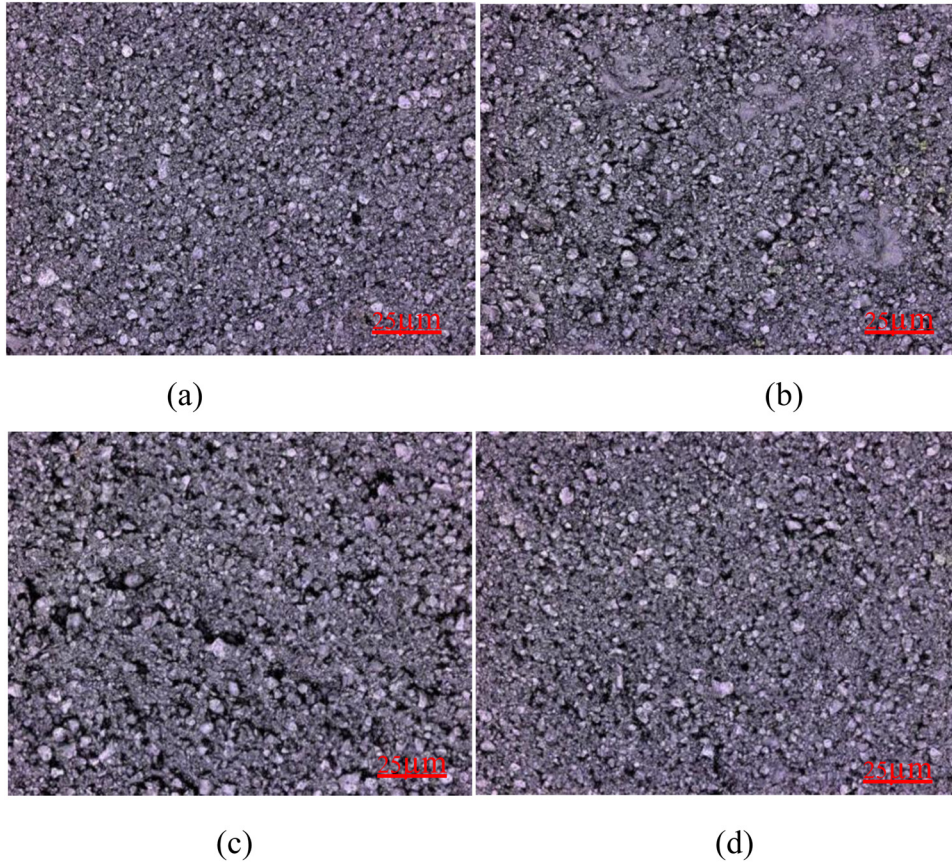
where  $S/N_{B1}$  is the average value at the first level of factor B.  $S/N_{1,2,3}$  are the average values at the first level of the three tests for factor B. The optimum value of factor B is the maximum of the signal–noise ratio at the third level. The  $S/N$  was applied according to equation (4) in Table 3, and the average response and ranking of effects in the experimental results were evaluated. Table 3 shows the average SN and the result of the ranking of the response table. The maximum value is the highest SN for each level in which the factor is used. Each of the factors is prioritized based on the distance between the maxima and minima. The larger the linear distance, the stronger the response that corresponds to the control factor, i.e., the greater the temperature impact on the junction. The maximal SN for each level of the factors can be determined in the response table. The optimal value for each factor is obtained based on the maximal value of the SN for every factorial level. The optimal setting for the factorial levels is A2B3C3D3E2F3G1H1. That is, the optimal conditions of the factors are as follows: the type of groove is the parallel array; the number of grooves is 10; the width of the grooves is 3 mm; the depth of groove is 4 mm; layout of hole is neighbor in each other; the type of thermal dissipating paste is  $4.6 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ ; layout of LED is 5W-5W-1W-1W, and number of Cu block is 0. According to Table 3, the influence of each factor on the junction temperature can be ranked as G, D, H, E, F, B, C, and A. However, there is no way to determine the degree of influence of each factor on the quality. Therefore, it needs to be analyzed further.

## 4 Experimental results and discussion

### 4.1 The heat dissipation properties of $\text{Cu}_2\text{O}$ cladding based on the heat sink

The results of the orthogonal array experiments are given in Table 3, which shows that most of the tests display much

lower junction temperatures than the non-structural tests, which indicates excellent thermal management. For Table 3, in all the tests, the junction temperature measured with an infrared thermometer was computed, where the distribution of the junction temperature varied from 54 to 86°C. In comparison, the average value of junction temperature is 67 and 70°C, respectively, between parallel and vertical types. This shows that the two types do not differ much but the vertical type yields a larger change in the junction temperature. Overall, there was no significant difference in the average value of junction temperature between the parallel and vertical types throughout the 18 experiments. As shown in Table 3, Test 1 exhibits the lowest junction temperature  $T_j$  value of 59.55°C. The microstructure contains few micro-mounds, fewer voids, and valleys, which corresponds to a surface roughness of  $1.25 \mu\text{m}$  and its  $S/N$  of  $-35.50 \text{ dB}$ . While Test 2 with a surface roughness of  $2.81 \mu\text{m}$  had high  $T_j$  values of 74.50°C where microstructures included high mounds, large voids, and contamination. The signal/noise ratio was  $-37.44 \text{ dB}$ . As shown in Table 3, Test 16 showed the lowest value of junction temperature at  $1.01 \mu\text{m}$  surface roughness, where the small grains generated in the microstructure were uniformly distributed with microporosity in this region. In contrast, test 13 showed a higher value of junction temperatures at  $3.01 \mu\text{m}$  surface roughness where the coarser grains in the microstructure were unfairly dispersed with a considerable number of large pores. Nevertheless, there exists a pattern in the discussion above that the temperature of the junction under the LED lamp is closely related to the surface roughness value of the coating. This is mainly attributed to the small surface roughness value, namely the large surface contact area, which implies that heat can be carried away very quickly. As shown in Figure 4, the scanning electron microscopic (SEM) pattern is the graph measured by the roughness instrument. The maximum diameter of  $\text{Cu}_2\text{O}$  porosity in test 1 is  $3 \mu\text{m}$ , which is smaller than  $5 \mu\text{m}$  in test 2. In addition, for the vertical type, the maximum diameter of  $\text{Cu}_2\text{O}$  pores of test 13 is  $6 \mu\text{m}$ , which is larger than that of test 16, which is  $2.5 \mu\text{m}$ . So the contact resistance is reduced, which leads to a better thermal junction. The above result is confirmed by the junction temperature; i.e., low surface roughness is accompanied by low junction temperature. Overall, the structure on the coating surface affects the heat flux along with heat resistance and thus is critically important for the junction temperature distribution of the LEDs. Obviously, some defects, such as microscopic hills, voids, valleys, and poor surface flatness at the solid–air interface, can easily cause the generation of heat transfer barriers. In short, the junction temperature is influenced very closely by the roughness of the coating surface. As shown in Figure 4, the maximal and



**Figure 4:** OM Patterns with a 400× of  $\text{Cu}_2\text{O}$ -coated Al substrate on the surface roughness (Sa) of LED heat sink: (a) trial 10f of Sa:1.362  $\mu\text{m}$ , (b) trial 2 of Sa:1.889  $\mu\text{m}$ , (c) trial 13 of Sa:2.807  $\mu\text{m}$ , and (d) trial 16 of Sa:1.248  $\mu\text{m}$  using an SJ-301 instrument.

minimal trial values for the parallel and perpendicular types in the 18 orthogonal arrays were selected. The surface pattern of the captured  $\text{Cu}_2\text{O}$  coatings, such as roughness, was checked as they were part of all the obtained trials. The surface texture of the sprayed  $\text{Cu}_2\text{O}$  coating was examined with an optical microscopy, as shown in Figure 4 and was also examined by SEM. Compared to the full results in the L18 array, it is notable that the surface roughness of the coating is better than most results with finer texture types under optimal conditions. Therefore, the surface roughness of the coating under optimal conditions is better than most results with finer texture types based on orthogonal arrays.

## 4.2 ANOVA on the basis of Taguchi design

An ANOVA was carried out on the experimental results with respect to the sources of variation in the junction temperature of the LED heat sink structure. The ANOVA allows you to determine which factors are most important in terms of junction temperature characteristics. Therefore, these important factors can be very carefully monitored during

the measurement of temperature to obtain consistent results. Table 4 illustrates that the important control factors are the depth of groove (D), the layout of holes (E), the layout of LEDs (G), and number of Cu block (H). And yet the minor control factors are (A) the type of grooves, (B) the number of grooves, (C) the width of the grooves, and (F) the type of thermal paste cream, which give weak effects on the variance. Clearly, the contributions of important factors in terms of the junction temperature characteristics are depth of the groove (D, 12.28%), layout of the hole (E, 7.57%), layout of LED (G, 63.56%), and number of Cu block (H, 7.65%). In addition, the remaining less important factors, such as (A) the type of grooves, (B) the number of grooves, (C) the width of the grooves, and (F) the type of thermal paste, were also obtained. These four important factors accounted for approximately 91.06% of the variation in the experiment. Hence, these four parameters are representative of all the parameters that can be used for further in-depth analysis of heat dissipation. The observed results are in good agreement with those reflected in Table 3. Furthermore, the contribution of the error to the total variance is about 0.8%, indicating that the experiment is quite successful and robust results.

**Table 4:** An ANOVA for the junction temperature based on the Taguchi design

Control factors	Sum of squares	Degree of freedom	Variance	F-Value	Contribution percent
A	0.016	1.0	0.016	0.246	0.10
B	0.291	2.0	0.145	2.195	1.75
C	0.253	2.0	0.126	1.906	1.52
D	2.042	2.0	1.021	15.405	12.28
E	1.259	2.0	0.629	9.495	7.57
F	0.794	2.0	0.397	5.986	4.77
G	10.569	2.0	5.284	79.729	63.56
H	1.273	2.0	0.636	9.600	7.65
Error	0.133	2.0	0.066	1.000	0.80
Total	16.628	17.0	0.978	—	100.00

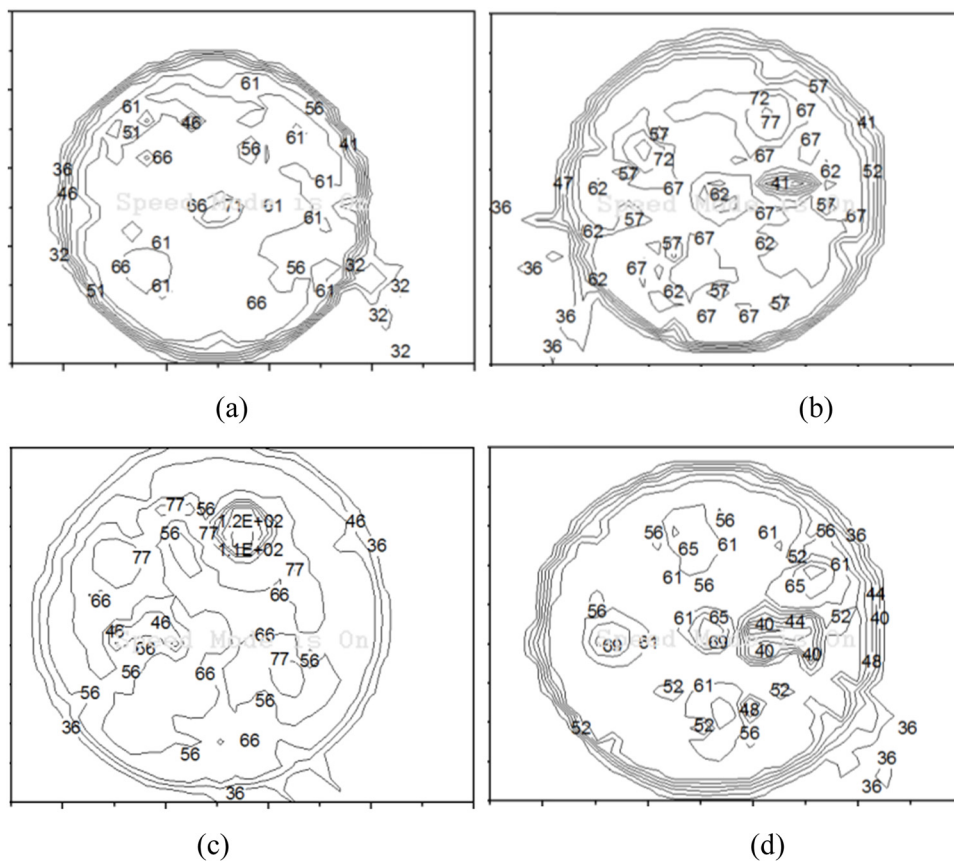
### 4.3 Prediction of the optimal structure of the heat sink

The prediction of Taguchi's method demands a verification run to verify the validity of the experiment and the reproducibility of the experimental results. Before running the validation, it is critical to predict the expected performance under optimal conditions. The forecast of the S/N based on

the ANOVA under the optimum conditions can be computed by the additive method as follows:

$$S/N_{op} = \overline{S/N} + \sum_{i=1}^n (S/N_i - \overline{S/N}), \quad (5)$$

where  $S/N_{op}$  is the estimated S/N of the optimal setting. Also,  $S/N_i$  is the highest level S/N of the  $i$ th factor,  $\overline{S/N}$  is the overall average of S/N, and  $n$  is the number of factors.



**Figure 5:** An Isotherm plot of the junction temperature for the LED module at 700 mA when the cooling time of the LED module reaches 60 min for various factors and levels: (a) trial 1, (b) trial 2, (c) trial 13, and (d) trial 16.



Using the predicted optimal setting to perform the confirmation run, we use Test 1 as the initial test. The  $\overline{S/N}$  is obtained as  $-36.46$  dB according to Table 2. Based on equation (5), the predicted S/N for the optimal settings based on the Taguchi method is  $-34.43$  dB, which is higher than each of the S/N obtained from the 18 experiments. The value of the S/N can also be evaluated under the optimal setting. The measured average junction temperature for the optimal factorial condition is  $51.26^\circ\text{C}$ , and its corresponding S/N value is  $-34.20$  dB, which is much lower than the initial test. In addition, this also indicates that the predicted S/N values generated by the additive method are closer to the S/N of the experimental data. Unquestionably, Taguchi's method provides a robust prediction method because it yields a higher S/N ratio, which implies a lower temperature and thereby a higher quality.

#### 4.4 Heat dissipation properties of thermal heat sink with $\text{Cu}_2\text{O}$ coating

In this experiment, the structure of the Al substrate that is coated with  $\text{Cu}_2\text{O}$  material was used as a heat sink, which was attached to a parallel circuit board to measure the junction temperature on the device's 12W LED lamp. The observed cooling curves were recorded, but not shown in the article, where the distribution curves for cooling to room temperature were observed at 80 min. The increase of junction temperature with time at 60 min intervals is noticed in Figure 5a and b. The average junction temperature of test 1 was  $59.55^\circ\text{C}$ , with an increase from 32 to  $66^\circ\text{C}$ ,

and that of test 2 was  $74.50^\circ\text{C}$ , with an increase from 41 to  $77^\circ\text{C}$ , respectively. In test 1, the highest  $T_j$  value of  $59.55^\circ\text{C}$  was observed in the center as well as in the region of the LED lamp. In addition, trial 2 with high-power LED chips had high values of  $77^\circ\text{C}$  around the LEDs, but not in the central area. As shown in Figure 5c and d, at the 60-minute interval, the average junction temperature of test 13 was  $82^\circ\text{C}$ , while that of test 16 was  $54^\circ\text{C}$ . Trial 13 with high-power LED chips had high values of  $89.80^\circ\text{C}$  around the LEDs, but not in the central area. In addition, for test 16, the highest  $T_j$  value of  $54.00^\circ\text{C}$  was observed in the center as well as around the LED lamp. This may be attributed to the high heat generated by high-power LED chips concentrated in the lamp holder area, resulting in increased thermal resistance, which affects the efficiency of heat dissipation. However, the rise and cooling curves are similar to those shown in Figure 5c and d as shown in Figure 5a and b. This figure gives another way to plot the  $T_j$  surface contour that allows us to understand the heat distribution of a heat sink structure on an Al substrate coated with  $\text{Cu}_2\text{O}$ . It was found that most of the LED modules in the central part of the heat sink structure showed the highest  $T_j$  values for the junction temperature on the heat sink module of the LED lamp. This may be due to the solid–air interface defect in the crystal structure, which increases the air contact area, resulting in a lower heat transfer coefficient and thus affecting the heat transfer. Additionally, following equation (1), the Ave.  $R_{ja}$  values tested above were 2.88 and  $4.13^\circ\text{C}\cdot\text{W}^{-1}$  for the parallel grooves, and 5.08 and  $2.41^\circ\text{C}\cdot\text{W}^{-1}$  for the vertical grooves. The results show that the junction temperature of the LED package increases significantly with the increase in the value of  $R_{ja}$  at 700 mA drive current. There is no significant

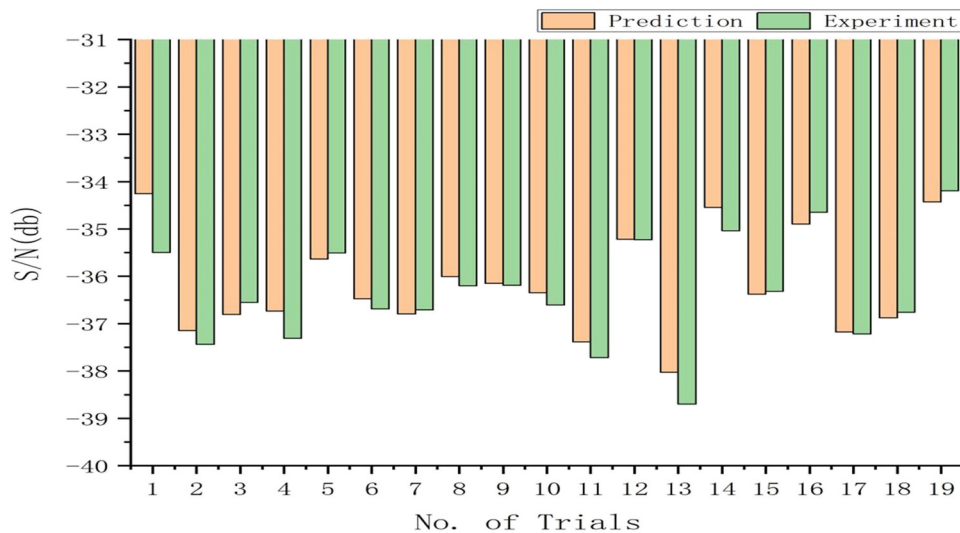


Figure 6: Comparison of predicted and actual values based on additive method.

difference in the thermal resistance values of the heat sink structures of Cu<sub>2</sub>O-coated aluminum substrates, regardless of whether they are parallel or vertical, which is only related to the roughness values.

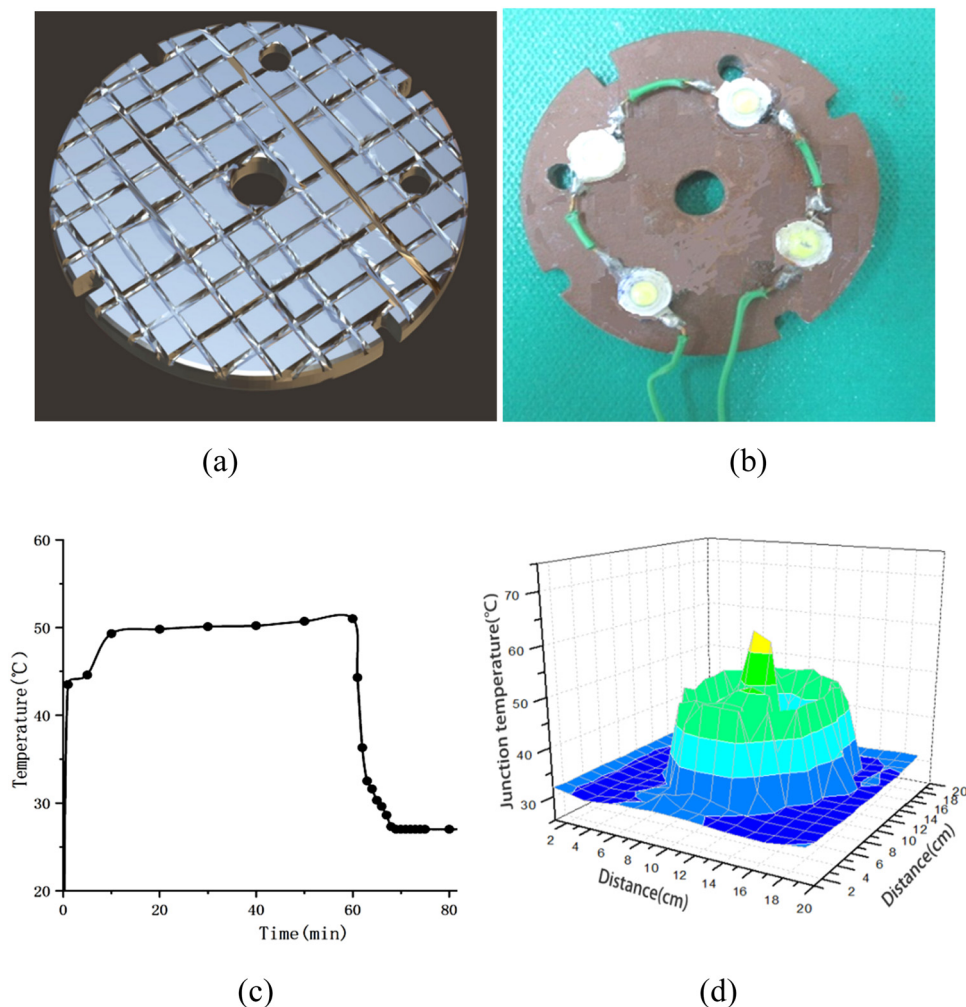
#### 4.5 Verification experiments

The confirmation experiments on the optimal factor settings are typically required to verify that the obtained outcomes are consistent with the analytical results according to equation (5). Specifically, it verifies that the results of the experiments that are obtained with the best parameters actually provide the expected findings. In this study, a set of confirmation tests were conducted and the thermal dissipation structure module was successfully constructed

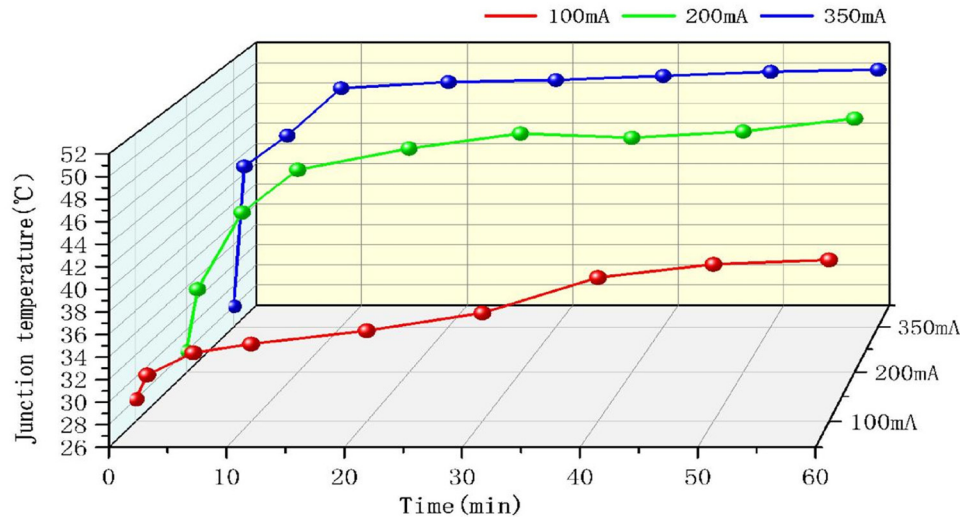
and a series of tests were made. In addition, the improved % variation,  $\hat{\omega}$ , can be measured by equation (6).

$$\hat{\omega} = \left[ \frac{1}{2} \right]^{(\text{gain}/6)} \omega_{\text{initial}}. \quad (6)$$

That is, by increasing the SN ratio by 6 dB, the variance is reduced to half of the original. Thus, a gain of 1.30 dB corresponds to a 9.67% reduction in variance, which indicates the improvement through the optimal setting by 1.162 times variance. Also, the histogram of the S/N ratio in Figure 6 shows the predicted values and the comparison with the actual values, which suggests that the predicted and actual values are reasonably similar using the additive method. As seen earlier, the predicted 0.23 dB is very close to the actual gain of 1.30 dB. This indicates that the optimal setting provides a strong enough resistance to the effects of noise and leads to high reproducibility.



**Figure 7:** The confirm run of the optimal control factors and levels (a) 10 vertical grooves, (b) a 5W-5W-1W-1W module mounted on Cu<sub>2</sub>O-coated heat sink structure, (c) the temperature distribution of linear graphs, and (d) the temperature distribution of 3D graphs.



**Figure 8:** The junction temperature curves of the LEDs over 60 min at currents of 100, 200, and 350 mA by optimizing the heat sink of the aluminum substrate.

In order to confirm the validity of the resulting values obtained by optimizing the control factors and to provide a good understanding of the performance of the junction temperature of the  $\text{Cu}_2\text{O}$  coating mounted on the heat sink, a visual representation of the optimized heat sink structure is shown in Figure 7. The optimal factorial-level results of the junction temperature of the structure of the LED module based on Taguchi design are a vertical groove (A2), the number of groove is 10 (B3), the width of the groove is 3 mm (C3), the depth of the groove is 3 mm (D3), two heat dissipation holes are drilled neighbor to each other (E2), the heat dissipation cream coefficient is  $4.6 \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$  (F3), the LED is a combination of  $5\text{W} \times 5\text{W} \times 1\text{W} \times 1\text{W}$  (G1), and there is no bump (H1) as shown in Figure 7a and b, which is the best factors based on the average analysis of response and an ANOVA as shown in Tables 3 and 4. Notably, the optimal factor of Test 19 in Figure 7 still yields the best S/N ratio of  $-34.20 \text{ dB}$  when compared with Test 13 in Table 3, which has the highest S/N ratio of  $-34.65 \text{ dB}$ . That is, the average temperature of  $51.26^\circ\text{C}$  in Figure 7c and d is more favorable than that of  $54.0^\circ\text{C}$  in Test 13 in Table 3. This shows that the quality characteristics obtained through the optimal setting of factors can be obtained robustly and result in well reproducible.

Furthermore, for Figure 8, the temperature curves were plotted over a period of 60 min by optimizing the heat sink with  $\text{Cu}_2\text{O}$  coating on the aluminum substrate at drive currents of 100, 200, and 350 mA. A comparison of the temperature curves for different currents reveals that as the time increased from 0 to 60 min at 100, 200, and 350 mA, the temperatures remained around 50, 51, and  $41^\circ\text{C}$ , respectively. For further comparison, the junction temperature for

the two different current values of 200 and 350 mA at time around 60 min, the temperature was close to around  $50^\circ\text{C}$  and did not drastically vary with the increase of time. It is clear that in this experiment, when the current level is below 200 mA, the temperature curve increases with the increase of current. However, between 200 and 350 mA, the temperature profile tends to be stable. It can be predicted from the graph that the temperature curve at 350 mA is not sensitive to time. In total, it is evident that the temperature of the LED module is markedly reduced by the optimization of the heat sink of the Al substrate that is coated with  $\text{Cu}_2\text{O}$ . It was found that the optimal heat sink structure with  $\text{Cu}_2\text{O}$ -coated Al substrate could yield lower junction temperature values and further improve the thermal performance of the LEDs. Overall, validation tests were improved after optimization, and a satisfactory result was obtained.

## 5 Conclusions

In this study, the thermal performance of 12W LEDs was investigated by electrostatic  $\text{Cu}_2\text{O}$  coatings based on Al substrate heat sink structures according to Taguchi experiments. The influence of the control factors on the junction temperature of the LED module was further discussed. The Ave.  $R_{ja}$  values are  $3.5$  and  $3.75^\circ\text{C}\cdot\text{W}^{-1}$ , respectively. It can be seen that the  $R_{ja}$  values of parallel substrates are not significant compared to those of vertical substrates. This may be due to the solid–air interface defect in the crystal structure, which increases the air contact area, resulting in a lower heat transfer coefficient and thus affecting the heat

transfer. Using Taguchi's method, the most important factors which influence the junction temperature can be obtained. These significant factors constituted approximately 91.06% of the variation in the experiment. Meanwhile, the error of the significant factors contributed about 0.8% to the total variance, which demonstrated that the experiment was considerably effective and the findings were reliable. Through the optimization of significant control factors according to an ANOVA, the junction temperature in the optimal structure of Cu<sub>2</sub>O-coated heat sink can be optimized. Microstructural examination of Cu<sub>2</sub>O coating by electrostatic spraying showed few micro mounds, less voids and valleys, and little surface roughness. In addition, the efficiency of the measured junction temperature of the 12W LED module is improved by 23.88% through optimization when compared to the average value of the overall experiments. Also, a gain of 1.30 dB corresponds to a 9.67% reduction in variance, which indicates the improvement through the optimal setting by 1.162 times of variance. Evidently, the heat dissipation results after the optimization of the heat sink module proved to be greatly improved, and these findings were verified in terms of junction temperature. Overall, it shows that the experiment was fairly successful results, demonstrating an excellent reproducibility.

**Acknowledgments:** The authors gratefully acknowledge financial support from the Project of Science and Technology Department of Fujian Province(No. KL5022006), Project of Educational Teaching Reform of Fujian Province(No. C150825), Undergraduate Education and Teaching Reform Research Project of Fujian Province (No. FBJG20220194).

**Funding information:** This work was supported by the Project of Science and Technology Department of Fujian Province(KL5022006), Project of Educational Teaching Reform of Fujian Province(C150825), Undergraduate Education and Teaching Reform Research Project of Fujian Province FBJG20220194).

**Author contributions:** Congrong Wang: Research, revision, funding; Mingder Jean: Writing and graphics; Chaoyang Zhang: Experiment and data; Hui Lin: Conception of the study and manuscript preparation; Xinting Sun: Manuscript preparation; Jiahao Li: Calculation and data; Qiduan Chen: Revision.

**Conflict of interest:** Authors state no conflict of interest.

**Data availability statement:** All authors can confirm that all data used in this article can be published the Journal "High Temperature Materials and Processes".

## References

- [1] Wang, J., M. Ijaz Khan, W. A. Khan, S. Z. Abbas, and M. Imran Khan. Heat transfer performance of a novel tubular oscillating heat pipe with sintered copper particles inside flat-plate evaporator and high-power LED heat sink application. *Energy Conversion and Management*, Vol. 189, 2019, pp. 215–222.
- [2] Tang, Y., Y. Luo, P. Du, H. Wang, H. Ma, Y. Qin, et al. Experimental investigation on active heat sink with heat pipe assistance for high-power automotive LED headlights. *Case Studies in Thermal Engineering*, Vol. 28, 2021, id. 101503.
- [3] Yang, C., X. Hou, and S. Chang. A synchronous placement and size-based multi-objective optimization method for heat dissipation design on antenna module of space solar power satellite. *Sustainable Energy Technologies and Assessments*, Vol. 45, 2021, id. 101183.
- [4] Hamidnia, M., Y. Luo, and X. D. Wang. Application of micro/nano technology for thermal management of high power LED packaging—A review. *Applied Thermal Engineering*, Vol. 145, 2018, pp. 637–651.
- [5] Paniagua-Guerra, L. E., S. Sehgal, C. U. Gonzalez-Valle, and B. Ramos-Alvarado. Fractal channel manifolds for microjet liquid-cooled heat sinks. *International Journal of Heat and Mass Transfer*, Vol. 138, 2019, pp. 257–266.
- [6] Liang, S., R. Hao, D. Fan, B. Li, J. Huang, Y. Zhang, et al. Structural optimization and numerical thermal analysis of ultraviolet light-emitting diodes with high-power multi-chip arrays. *Optik*, Vol. 222, 2020, id. 165333.
- [7] Şevik, S., M. Abuşka, and Ö. Özdilli. Thermal performance analysis of a novel linear LED housing with inner and outer fins. *International Communications in Heat and Mass Transfer*, Vol. 119, 2020, id. 104970.
- [8] Yu, Y., L. L. Ma, W. Y. Huang, J. L. Li, P. K. Wong, and J. C. Yu. Coating MWNTs with Cu<sub>2</sub>O of different morphology by a polyol process. *Journal of Solid State Chemistry*, Vol. 178, No. 5, 2005, pp. 1488–1494.
- [9] Bera, S. C., R. V. Singh, and V. K. Garg. Temperature behavior and compensation of light-emitting diode. *IEEE Photonics Technology Letters*, Vol. 17, No. 11, 2005, pp. 2286–2288.
- [10] Shatalov, M., A. Chitnis, P. Yadav, M. F. Hasan, J. Khan, V. Adivarahan, et al. Thermal analysis of flip-chip packaged 280 nm nitride-based deep ultraviolet light-emitting diodes. *Applied Physics Letters*, Vol. 86, No. 20, 2005, id. 201109.
- [11] Arik, M., C. A. Becker, S. E. Weaver, and J. Petroski. Thermal management of LEDs: package to system. *Third International Conference on Solid State Lighting*, Vol. 5187, SPIE, 2004, pp. 64–75.
- [12] Arik, M., and S. Weaver. Chip-scale thermal management of high-brightness LED packages. *Fourth International Conference on Solid State Lighting*, Vol. 5530, SPIE, 2004, pp. 214–223.
- [13] Ngo, I. L., H. Jang, C. Byon, and B. J. Lee. Experimental study on thermal performance of SMD-LED chips under the effects of electric wire pattern and LED arrangement. *International Journal of Heat and Mass Transfer*, Vol. 127, 2018, pp. 746–757.
- [14] Sui, G., J. Chen, H. Ni, Y. Ma, X. Gao, and N. Wang. Improvement of LED performance with an integrated thermoelectric cooling package. *IEEE Access*, Vol. 8, 2020, pp. 116535–116543.
- [15] Ghrib, T., N. K. AL-Saleem, A. Al-Naghmaish, A. A. Elshekhipy, S. Brini, K. Briki, et al. Annealing effect on the microstructural, optical, electrical, and thermal properties of Cu<sub>2</sub>O/TiO<sub>2</sub>/Cu<sub>2</sub>O/TiO<sub>2</sub>/Si



- heterojunction prepared by sol-gel technique. *Micro and Nanostructures*, Vol. 164, 2022, id. 107119.
- [16] Wei, X., H. Zhu, T. Kong, and L. Wang. Synthesis and thermal conductivity of Cu<sub>2</sub>O nanofluids. *International Journal of Heat and Mass Transfer*, Vol. 52, No. 19–20, 2009, pp. 4371–4374.
- [17] Borgohain, K., N. Murase, and S. Mahamuni. Synthesis and properties of Cu<sub>2</sub>O quantum particles. *Journal of Applied Physics*, Vol. 92, No. 3, 2002, pp. 1292–1297.
- [18] Zhang, L. and H. Wang. Cuprous oxide nanoshells with geometrically tunable optical properties. *ACS Nano*, Vol. 5, No. 4, 2011, pp. 3257–3267.
- [19] Xu, L., L. P. Jiang, and J. J. Zhu. Sonochemical synthesis and photocatalysis of porous Cu<sub>2</sub>O nanospheres with controllable structures. *Nanotechnology*, Vol. 20, No. 4, 2008, id. 045605.
- [20] Wu, W., W. Zhao, Y. Wu, C. Zhou, L. Li, Z. Liu, et al. Antibacterial behaviors of Cu<sub>2</sub>O particles with controllable morphologies in acrylic coatings. *Applied Surface Science*, Vol. 465, 2019, pp. 279–287.
- [21] Park, J., M. Shin, and C. C. Lee. Measurement of temperature profiles on visible light-emitting diodes by use of a nematic liquid crystal and an infrared laser. *Optics letters*, Vol. 29, No. 22, 2004, pp. 2656–2658.
- [22] Petroski, J. Spacing of high-brightness LEDs on metal substrate PCB's for proper thermal performance. *The Ninth Intersociety Conference on Thermal and Thermomechanical Phenomena In Electronic Systems (IEEE Cat. No. 04CH37543)*, Vol. 2, IEEE, 2004, pp. 507–514.
- [23] Yin, L., L. Yang, W. Yang, Y. Guo, K. Ma, S. Li, et al. Thermal design and analysis of multi-chip LED module with ceramic substrate. *Solid-State Electronics*, Vol. 54, No. 12, 2010, pp. 1520–1524.
- [24] Anithambigai, P., K. Dinash, D. Mutharasu, S. Shanmugan, and C. K. Lim. Thermal analysis of power LED employing dual interface method and water flow as a cooling system. *Thermochimica Acta*, Vol. 523, No. 1–2, 2011, pp. 237–244.
- [25] Parbrook, P. J., B. Corbett, J. Han, T. Y. Seong, and H. Amano. Micro-light emitting diode: from chips to applications. *Laser & Photonics Reviews*, Vol. 15, No. 5, 2021, id. 2000133.
- [26] Rammohan, A. and V. P. Chandramohan. Experimental analysis on estimating junction temperature and service life of high power LED array. *Microelectronics Reliability*, Vol. 120, 2021, id. 114121.
- [27] Liu, C. C., M. T. Sheen, and S. F. Wang. Optimal design of heat dissipation modules for high-power LED based on the Taguchi method. *Thermal Science*, Vol. 27(1A), 2023, pp. 219–231.
- [28] Feng, S., Z. Liu, B. Cheng, S. Sun, T. J. Lu, and F. Xu. Design of a novel LED bulb with entire surface thermally activated for passive cooling. *Applied Thermal Engineering*, Vol. 198, 2021, id. 117466.
- [29] Gatapova, E. Y., G. Sahu, S. Khandekar, and R. Hu. Thermal management of high-power LED module with single-phase liquid jet array. *Applied Thermal Engineering*, Vol. 184, 2021, id. 116270.
- [30] Khandekar, S., G. Sahu, K. Muralidhar, E. Y. Gatapova, O. A. Kabov, R. Hu, et al. Cooling of high-power LEDs by liquid sprays: Challenges and prospects. *Applied Thermal Engineering*, Vol. 184, 2021, id. 115640.
- [31] Wang, J. B., X. H. Li, J. Wang, T. Zhu, and Y. C. Bao. Thermal performance evaluation of a thermoelectric cooler coupled with corona wind. *Applied Thermal Engineering*, Vol. 179, 2020, id. 115753.
- [32] Peng, X., S. Li, Z. Liu, B. Zhang, Y. Peng, D. Yu, et al. Highly thermal conductive red-emitting AlN-CaAlSiN<sub>3</sub>: Eu<sup>2+</sup> + composite phosphor ceramics for high-power laser-driven lighting. *Journal of the European Ceramic Society*, Vol. 41, No. 11, 2021, pp. 5650–5657.
- [33] Zou, C., Y. Liu, D. S. Ginger, and L. Y. Lin. Suppressing efficiency roll-off at high current densities for ultra-bright green perovskite light-emitting diodes. *ACS Nano*, Vol. 14, No. 5, 2020, pp. 6076–6086.
- [34] Kumar, P., G. Sahu, D. Chatterjee, and S. Khandekar. Copper wick based loop heat pipe for thermal management of a high-power LED module. *Applied Thermal Engineering*, Vol. 211, 2022, id. 118459.
- [35] Ross, P. J. *Taguchi techniques for quality engineering*, 2nd edn, McGraw-Hill, New York, 1995.
- [36] Myers, R. H., D. C. Montgomery, and C. M. Anderson-Cook. *Response surface methodology: Process and product optimization using designed experiments*, 3rd edn, John Wiley & Sons, Inc, Hoboken, New Jersey, 2009.
- [37] Zheng, Z., J. Dai, Y. Zhang, H. Wang, A. Wang, M. Shan, et al. Enhanced heat dissipation of phosphor film in WLEDs by AlN-coated sapphire plate. *IEEE Transactions on Electron Devices*, Vol. 67, No. 8, 2020, pp. 3180–3185.
- [38] Mou, Y., Z. Yu, Z. Lei, M. Chen, and Y. Peng. Enhancing opto-thermal performances of white laser lighting by high reflective phosphor converter. *Journal of Alloys and Compounds*, Vol. 918, 2022, id. 165637.
- [39] Zhou, F., G. Zhou, J. Zhou, X. Huai, Y. Jiang, and Q. Huang. Thermal performance evaluation of a novel ultra-thin vapor chamber with Laval-like nozzle composite wick under different air cooling conditions. *Case Studies in Thermal Engineering*, Vol. 31, 2022, id. 101845.
- [40] Mou, Y., H. Wang, Y. Peng, H. Cheng, Q. Sun, and M. Chen. Enhanced heat dissipation of high-power light-emitting diodes by Cu nanoparticle paste. *IEEE Electron Device Letters*, Vol. 40, No. 6, 2019, pp. 949–952.
- [41] Xu, Z. Thermal performance and multi-objective optimization of thermosyphon heat sinks with rectangular radial fins for high power LED lamps cooling. *Case Studies in Thermal Engineering*, Vol. 30, 2022, id. 101778.
- [42] Huang, J., W. Zhou, J. Xiang, C. Liu, Y. Gao, S. Li, et al. Development of novel flexible heat pipe with multistage design inspired by structure of human spine. *Applied Thermal Engineering*, Vol. 175, 2020, id. 115392.
- [43] Huang, J., J. Xiang, X. Chu, W. Sun, R. Liu, W. Ling, et al. Thermal performance of flexible branch heat pipe. *Applied Thermal Engineering*, Vol. 186, 2021, id. 116532.
- [44] Peng, Y., Z. Yu, J. Zhao, Q. Wang, J. Liu, B. Sun, et al. Unique sandwich design of high-efficiency heat-conducting phosphor-in-glass film for high-quality laser-driven white lighting. *Journal of Advanced Ceramics*, Vol. 11, No. 12, 2022, pp. 1889–1900.
- [45] Li, Y. H., Y. K. Zhao, and H. Q. Chen. Prediction model of interfacial heat transfer coefficient changing with time and ingot diameter. *High Temperature Materials and Processes*, Vol. 41, 2022, pp. 238–246.