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#### **Wood Physics/Mechanical Properties**

Yuka Miyoshi\*, Hisashi Abe, Hiroaki Horiyama, Keisuke Kojiro and Yuzo Furuta

# Influence of habitat, density, lignin structure, and extraction treatment on thermal-softening properties of water-swollen wood: a study of 87 wood specimens

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Abstract: This study aims to reveal the diversity of thermalsoftening temperatures and identify the factors that determine this temperature. To achieve this, the thermalsoftening properties of the radial direction of wood were measured under water-saturated conditions for 15 softwood and 72 hardwood specimens. Wood samples were obtained from the xylarium of the Forestry and Forest Products Research Institute, Japan. A dynamic viscoelastic measurement was performed on samples with uniform heating and cooling history because the difference in cooling rate can alter in the mechanical properties of wood. The storage and loss elastic moduli increased linearly as wood density increased, regardless of the wood species. However, the thermal-softening temperature (defined in this study as the peak temperature of loss tangent) was unrelated to the density, anatomical features, species, latitude, and annual rainfall in the habitat. When the relationship between thermal-softening temperature and lignin structure was investigated, a negative correlation was observed between the thermal-softening temperature and the syringyl ratio (syringyl/(syringyl+guaiacyl)) of lignin aromatics. This indicates that the thermal-softening temperature is higher for wood species with denser lignin structures, supporting

the prior research showed correlation between thermal-softening temperature and methoxyl group content of wood.

**Keywords:** viscoelasticity; lignin structure; density; extraction; habitat

#### 1 Introduction

Many studies have been conducted on the thermalsoftening properties of wood in the lateral direction. One study found that the peak temperature of the logarithmic decrement, measured by the torsional dynamic viscoelasticity of the radial sample, becomes lower at a higher moisture content and reaches around 80 °C when the moisture content is 20 % or more (Becker and Noack 1968). Although the thermal-softening temperature varies with the frequency of measurement and the loading direction of the sample, it is recognized that the softening behavior observed around 80 °C for the water-saturated wood is based on the glass transition of lignin (Salmén 1984). It is known that the thermal-softening temperature of watersaturated wood is higher in softwood than in hardwood (Placet et al. 2007). The lignin structure differs between softwood and hardwood because softwood contains only the guaiacyl nucleus and hardwood contains both guaiacyl and syringyl nuclei. To investigate the effect of lignin on the thermal-softening properties of various species of wood in water-saturated condition, Furuta et al. (2001, 2008a, b) measured the peak temperatures of the loss tangent (tan  $\delta$ ), as the thermal-softening temperature, by dynamic mechanical analysis, and compared this temperature for water-saturated wood samples (10 softwood and 12 hardwood) in the radial direction. The results showed that the thermal-softening temperature is higher for softwood species, with a difference of up to 30 °C. The authors proposed that the difference in thermal-softening temperature between tropical hardwood and Japanese hardwood is caused by a variation in the lignin structure owing to the aromatic nuclei of lignin; however, it

**Hisashi Abe**, Department of Wood Properties and Processing, Forestry and Forest Products Research Institute, Matsunosato 1, Tsukuba, Ibaraki 305-8687, Japan, E-mail: abeq@ffpri.affrc.go.jp

Hiroaki Horiyama, Keisuke Kojiro and Yuzo Furuta, Division of Environmental Sciences, Graduate School of Life and Environmental Sciences, Kyoto Prefectural University, Hangi-cho, Shimogamo, Sakyo-ku, Kyoto 606-8522, Japan, E-mail: s821732002@kpu.ac.jp (H. Horiyama), kojiro@kpu.ac.jp (K. Kojiro), furuta@kpu.ac.jp (Y. Furuta)

<sup>\*</sup>Corresponding author: Yuka Miyoshi, Department of Wood Properties and Processing, Forestry and Forest Products Research Institute, Matsunosato 1, Tsukuba, Ibaraki 305-8687, Japan, E-mail: ymiyoshi@ffpri.affrc.qo.jp

did not provide any basis for this in their results. Olsson and Salmén (1997) investigated the relationship between the thermal-softening temperature of wood and the lignin content or methoxyl group content, which was calculated as a molar percentage of the methoxyl groups per phenylpropane unit of lignin. They showed a correlation between thermalsoftening temperature and the methoxyl group content. Furuta et al. (2010) measured the thermal-softening properties of delignified wood. They clarified that the thermalsoftening temperature of water-saturated wood in the lateral direction varied widely under the influence of a slight change in the lignin structure, rather than a reduction in the amount of lignin. The above researches indicate that there is a main relation between the diversity in thermal-softening properties and lignin structure. However, the reasonable grounds for wood why the diverse thermal-softening properties are expressed cannot be discussed.

Wu et al. (1992) investigated the lignin structure of hardwood using ultraviolet and visible microspectrophotometry, and clarified that the distributions of the syringyl and guaiacyl units of lignin in the vessel and fiber differed according to the habitat and porosity of the wood. According to the classification by Wu et al. (1992), the wood species in sub-frigid zones are rich in syringyl units, while those in tropical zones are rich in guaiacyl units. Therefore, the diversity of thermal-softening temperatures may be related to the lignin structure classified according to the climatic zone of the trees. If such a relationship exists between the physical properties and chemical structure of wood and its growing environment, an understanding of tree evolution and growth strategies can be developed.

In addition, the thermal-softening properties of waterswollen wood are affected by the drying history and cooling rate before measurement, even in the same sample (Furuta et al. 2001, 2008a, b; Kojiro et al. 2008). Moreover, the thermalsoftening properties of samples collected from a certain part of a tree with large amounts of extractives, such as the base of the branch can be altered by removing the extractives (Furuta et al. 2014). Therefore, to comprehensively discuss the factors affecting the thermal-softening temperatures of various wood species, it is necessary to conduct experiments that consider the thermal history and extraction treatment of the samples before measurement.

In this study, the thermal-softening properties of various wood specimens, registered in the xylarium of the Forestry and Forest Products Research Institute (TWTw), were measured to reveal the diversity of thermal-softening properties and identify the factors that determine the thermalsoftening temperature. Dynamic viscoelastic analysis (DMA) was conducted on specimens subjected to the same heatingcooling history, both with and without extraction treatment,

to obtain the precise thermal-softening properties in watersaturated conditions. Since the thermal-softening properties in lateral direction are affected by the density and anatomic features of the wood, the correlation between the thermalsoftening property and the density and vessel arrangement type of the wood was investigated. In addition, to clarify the factors that determine the diversity in thermal-softening temperature, the syringyl ratio of lignin (syringyl/(syringyl+guaiacyl)) was measured in the samples used for DMA measurement, and the relationship between thermalsoftening temperature and lignin structure was investigated. Elucidating the factors contributing to the diversity of the thermal-softening properties of wood is valuable for basic research and for facilitating advanced discussions on the mechanisms of thermal-softening properties.

#### 2 Materials and methods

#### 2.1 Pretreatment of samples

A total of 15 softwood and 72 hardwood specimens were obtained from the xylarium of the Forestry and Forest Products Research Institute (TWTw). The annual rainfall data were obtained from the Japan Meteorological Agency (https://www.data.jma.go.jp/gmd/risk/obsdl/) and the World Weather Online (https://www.worldweatheronline.com/), based on the registered latitude and longitude information. For specimens without latitude information, the latitude data was estimated from the name of the registered region or representative point in the country (Table 1).

For DMA measurement, each wood sample was cut in the longitudinal direction into an end-grain plate (1 mm thickness). Subsequently, the strip-shaped DMA samples (3.2 mm (tangential) × 30 mm (radial)) and square-shaped weight measurement samples (15 mm (tangential) × 15 mm (radial)) were cut from the plate (Figure 1). Half of the DMA samples were extracted for 6 h with ethanol:benzene (1:2, v/v) in a Soxhlet extractor to remove the resin, fat, wax, and soluble tannin. The extracted DMA samples were air-dried at room temperature and freeze-dried for 6 h to completely volatilize the liquid. The weight measurement samples were first treated in the same manner as the extracted DMA samples. Next, they were boiled for 10 min and cooled naturally to room temperature in water. This process was performed two times according to the temperature rise and fall program of the DMA samples. The weight loss rate due to the extraction treatment was calculated by weighing the oven-dried (105 °C) samples (Figure 2). The density of all the samples was obtained from the dimensions and weight of the oven-dried weight measurement samples before extraction. The weight loss due to extraction and density were calculated from the average value measured from two or three weight measurement samples that could be taken from each specimen.

#### 2.2 Dynamic viscoelasticity measurement

A forced-vibration dynamic viscoelastometer (DMA 42E-SF 28 Artemis. NETZSCH Japan K.K., Kanagawa, Japan) was used to measure the

Table 1: List of examined wood species. The syringyl ratio and peak temperature of tan  $\delta$  were measured for water-saturated, untreated specimens in radial direction. Codes in Köppen climate classification (Kottek et al. 2006); tropical rainforest climate (Af); tropical savanna, wet (Aw); humid subtropical climate (Cfa); hot-summer humid continental climate (Dfa); and warm-summer humid continental climate (Dfb). The specimen with TWTw ID 12075 was classified as Af because it is a tropical wood species lacking provenance.

Scientific	WTW	TWTw Vessel	Provenance	Köppen	Latitude	Annual	Density	Total	Syringyl	Untreate	Untreated sample	Extracto	Extracted sample
name	sample ID	arrangement type		climate classification	<b>(</b>	rainfall (mm)	(kg/m³)	extraction (%)	ratio	Peak temperature of tan $\delta$ (°C)	tan δ value of peak temperature (°C)	Peak temperature of tan $\delta$ (°C)	tan δ value of peak temperature (°C)
Quercus gilva	21463	Radial-porous	Taiwan	Cfa	23.9	2323	890	4.2	0.56	77	0.15	78	0.15
Quercus gilva	1017	Radial-porous	Taiwan	Cfa	23.9	2323	920	7.2	0.65	70	0.17	70	0.17
Quercus gilva	1031	Radial-porous	Taiwan	Cfa	23.9	2323	930	4.0	0.77	78	0.12		
Quercus gilva	14447	Radial-porous	Chiba, Japan	Cfa	35.2	2047	006	3.1	0.56	80	0.14	80	
Quercus gilva	9317	Radial-porous	Miyazaki,	Cfa	31.9	2626	800	6.1	0.59	78	0.15	80	
	, , ,		Japan	ý	Ċ	1	ŗ		Ċ	ŗ	0		
Quercus giiva	06//1	Radial-porous	ıvııyazakı, lapan	Cla	32.0	4//7	09/	ý. V	0.00	<b>C</b> /	0.13	0/	0.14
Quercus morii	1055	Radial-porous	Taiwan	Cfa	23.9	2323	910	4.5	99.0	71	0.14	71	0.14
Quercus morii	1018	Radial-porous	Taiwan	Cfa	23.6	2323	910	6.9	0.57	72	0.16	73	
Quercus morii	21465		Taiwan	Cfa	23.9	2323	920	7.2	0.63	72	0.15		0.15
Quercus	21464	Radial-porous	Taiwan	Cfa	23.9	2323	1020	5.3	0.59	9/	0.16	77	
longinux													
Quercus	8194	Radial-porous	Indonesia	Af	-7.7	3420	830	10.7	0.53	72	0.15	72	0.14
pseudomolucea													
Quercus	10018	Radial-porous	Malaysia	Af	5.9	3296	870	3.0	0.70	78	0.11	77	0.12
gamelliflora													
Quercus acuta	23641	Radial-porous	Gifu, Japan	Cfa	35.2	1964	910	7.5	0.74	77	0.14	74	0.13
Quercus glaber	18790	Radial-porous	Miyazaki,	Cfa	31.9	2774	880	11.3	0.58	75	0.12	72	0.13
			Japan										
Quercus crispula	23715	Ring-porous	Mie, Japan	Cfa	34.2	2158	820	3.3	0.73	71	0.12	72	0.12
Quercus crispula	16810	Ring-porous	Russia	Dfa	46.8	884	780	5.2	0.65	74	0.11	74	
Quercus crispula	27253	Ring-porous	Oita, Japan	Cfa	32.8	2405	720	7.5	99.0	75	0.11	75	0.12
Quercus crispula	25002	Ring-porous	Hokkaido,	Dfa	42.0	1075	700	6.8	0.69	74	0.11	75	
			Japan										
Quercus crispula	21861	Ring-porous	Hokkaido,	Dfa	43.1	1146	099	7.6	0.72	73	0.12	73	0.12
	6		Japan	ý	Ç	77	9	L	ć	F	7	,	
duer cus crispuid	0/6	sno lod-filly	lapan	פֿם	- <del>.</del> .	5	400		0.0	2			.0
Lithocarpus	5056	Radial-porous	Philippines	Af	14.6	2326	006	6.4	0.51	79	0.14	79	0.15
soleriana													
Lithocarpus	19332	Radial-porous	Okinawa,	Cfa	26.8	2594	730	4.2	0.55	74	0.14	73	0.15
edulis			Japan										

Table 1: (continued)

Scientific	TWTw		Provenance	Köppen	Latitude	Annual	Density	Total	Syringyl	Untreat	Untreated sample	Extracte	Extracted sample	
name	sample ID	arrangement type		climate classification	<b>©</b>	rainfall (mm)	(kg/m³)	extraction (%)	ratio	Peak temperature of tan $\delta$ (°C)	tan $\delta$ value of peak temperature (°C)	Peak temperature of tan δ (°C)	tan <i>ô</i> value of peak temperature (°C)	e of
Lithocarpus	861	Radial-porous	Kagoshima,	Cfa	31.4	2686	830	4.6	0.37	78	0.12	92		0.13
edulis Lithocarpus	26568	Radial-porous	Japan Ibaraki, Japan	Cfa	36	1326	770	5.6	9.0	79	0.14	80		0.14
edulis Lithocarpus	10025	Radial-porous	Malaysia	Af	5.9	3296	910	4.5	0.62	81	0.12	80		0.13
clementiana Lithocarpus	25560	Radial-porous	Kumamoto,	Cfa	32.3	2535	620	5.5	0.57	81	0.12	80		0.13
	23668	Diffuse-porous	Japan Gifu, Japan	Cfa	35.3	1964	670	4:	0.16	84	0.11	98		0.11
carpinifolium Acer	18574	Diffuse-porous	Yamanashi,	Cfa	35.8	1213	620	2.7	0.47	79	0.12	80		0.12
carpinifolium Acer	24219	Diffuse-porous	Japan Nagano,	Cfa	35.8	2110	099	2.0	0.09	82	0.11	85		0.11
carpinifolium Acer mono	975	Diffuse-porous	Japan Hokkaido,	Dfa	43.4	1146	710	5.5	0.7	72	0.13	75		0.14
Acer mono	14440	Diffuse-porous	Japan Tokyo, lapan	Cfa	35.6	1643	740	3.2	0.64	73	0.12	92		0.12
Acer mono	7418		China	Dfa Cf3	39.9	531	680	6.1	0.64	73	0.12			0.12
jasminoides			Japan	5	3			?		8	5			<u>.</u>
Gardenia iasminoides	17459	Diffuse-porous	Okinawa, Iapan	Cfa	24.3	2025	820	10.5	0.65	79	0.11	81		0.12
Gardenia	19484	Diffuse-porous	Kagoshima,	Cfa	28.2	2375	830	9.4	0.65	80	0.11	80		0.12
jasminoides Distylium	27840	Diffuse-porous	Japan Fukuoka,	Cfa	33.8	1665	930	5.8	0.53	79	0.11	80		0.12
racemosum Distylium	25950	Diffuse-porous	Japan Tokyo, Japan	Cfa	35.7	1598	740	2.5	0.71	78	0.10	78		0.10
racemosum Distylium	19673		Nagasaki,	Cfa	34.5	1435	920	4.6	0.51	76	0.12	79		0.12
racemosum Cercidiphyllum	4339		Japan Saitama,	Cfa	35.9	1375	570	4.5	0.55	77	0.13	77		0.13
japonicum Cercidiphyllum	9328	Diffuse-porous	Japan Hokkaido,	Dfa	42.9	1061	440	4.8	0.5	78	0.13	80		0.13
japonicum Cercidiphyllum japonicum	28908	28908 Diffuse-porous	Japan Ibaraki, Japan Cfa	Cfa	36.0	1326	250	4.9	0.46	78	0.11	79		0.11

Table 1: (continued)

Scientific	TWTw		Provenance	Köppen	Latitude	Annual	Density	Total	Syringyl	Untreate	Untreated sample	Extracte	Extracted sample	
пате	sample ID	arrangement type		climate classification	©	rainfall (mm)	(kg/m²)	extraction (%)	ratio	Peak temperature of tan $\delta$ (°C)	tan $\delta$ value of peak temperature (°C)	Peak temperature of tan $\delta$ (°C)	tan δ value of peak temperature (°C)	ue of ture
Populus	12370	12370 Diffuse-porous	Kyoto, Japan	Cfa	35.3	1808	370	4.9	0.58	78	0.13	78		0.12
maximowiczii	0		10.11	5	Ç	Ċ	Ċ	,	, ,	ć	0			,
Populus maximowiczii	1676	Ullinuse-porous	HOKKAIGO, lanan	OTO	43.	920	380	4. 5.	0.45	08	0.13	08		0.13
Populus	13681	Diffuse-porous	Hokkaido,	Dfb	42.6	1239	420	5.0	0.54	78	0.14	79		0.13
тахітомісгії			Japan											
	1		New Zealand	Cfa	-42.4	710	640	2.7	0.74	73	0.13			0.12
Ceiba pentandra	7169		Mexico	Cfa	19.4	1003	420	8.7	0.59	77	0.11	9/		0.11
Ceiba pentandra	5504		Mexico	Cfa	19.4	1003	190	18.8	0.69	9/	0.11	74		0.11
Ceiba pentandra	5612		Nigeria	Cfa	9.6	1216	350	13.6	0.67	71	0.12	74		0.12
Falcataria	12075	Diffuse-porous	ı			ı	240	3.3	0.63	78	0.10	80		0.00
moluccana														
Falcataria	11400	Diffuse-porous	New Britain,	Af	-9.4	1183	490	3.3	0.58	9/	0.13	9/		0.13
moluccana			Papua New											
			Guinea											
Falcataria	11509	Diffuse-porous	Indonesia	Af	-6.1	1907	410	3.8	0.63	80	0.13	79		0.13
moluccana														
Shorea	12929	Diffuse-porous	Malaysia	Af	3.1	2842	510	5.4	0.42	87	0.14	98		0.14
parvistipulata														
Shorea	25109	Diffuse-porous	Borneo,	Af	-1.0	3151	730	3.1	0.28	88	0.12	88		0.12
leprosula			Indonesia											
Shorea	19978	Diffuse-porous	Malaysia	Af	3.1	2842	280	3.8	0.34	91	0.14	92		0.14
pauciflora														
Eusideroxylon	3150	Diffuse-porous	Indonesia	Af	-6.1	1907	1040	4.7	0.1	88	0.18	91		0.17
zwageri				:	,	i		(		•				
Eusideroxylon	13083	Diffuse-porous	Borneo,	At	-1.0	3151	1040	3.5	0.04	06	0.25	93		0.75
zwageri			Indonesia											
Eusideroxylon	12070	Diffuse-porous	Borneo,	Af	-1.0	3151	1090	4.9	-0.14	82	0.25	88		0.25
zwageri			Indonesia											
Fraxinus	9344	Ring-porous	Hokkaido,	Dfb	42.9	1061	099	4.2	0.59	75	0.12	75		0.12
mandshurica			Japan											
Fraxinus	21867	Ring-porous	Hokkaido,	Dfb	43.1	1146	089	3.3	0.62	77	0.10	77		0.11
mandshurica			Japan											
Fraxinus	2773	Ring-porous	China	Dfb	39.9	531	620	3.5	9.0	72	0.11	9/		0.11
mandshurica	000		: 1	ý	Ċ	2001	Ċ	, ,		F		,,		,
zeikova serrata	18557	king-porous	ıbarakı, Japan		36.0	1320	סאט		0.67	11	0.12			0.12

Table 1: (continued)

name sample a  ID ty  Zelkova serrata 13676 R Zelkova serrata 13657 N Sinense Tetracentron 13657 N Sinense Tetracentron 7808 N Sinense Trochodendron 2842 N aralioides Trochodendron 855 N aralioides Trochodendron 14727 N aralioides Gnetum 6580 D gnemon Gnetum 13738 D gnemon Gnetum 13738 D gnemon Gnetum 52089 D gnemon Gnetum 13738 D	type  Ring-porous  Ring-porous  Non-porous		climate classification	ေ	rainfall (mm)	(kg/m³)	extraction	ratio			Dask	tan $\delta$ value of	٠ :
serrata 13676 tron 13657 tron 13657 tron 7486 tron 7808 endron 2842 s endron 855 s f 6580 s ilioba 28959 iiloba 79	ling-porous ling-porous lon-porous						(%)		Peak temperature of tan $\delta$ (°C)	tan o value or peak temperature (°C)	reak temperature of tan $\delta$ (°C)	peak temperature (°C)	re or
tron 13657 tron 7486 tron 7808 sendron 2842 s sendron 855 s 6580 22089 illoba 28959 iiloba 79	Von-porous	Tokyo, Japan Gunma,	Cfa Cfa	35.7	1643 1250	740	12.2	0.57	74	0.13	73		0.13
tron 7486 tron 7808 endron 2842 s andron 855 s 6580 s 6580 13738 iiloba 28959	Jon-norous	Japan China	Aw	39.9	531	380	3.5	0.57	74	0.16	74		0.15
tron 7808 endron 2842 s endron 855 s endron 14727 s 6580 13738 iiloba 28959		China	Aw	39.9	531	410	4.0	0.58	75	0.17	72		0.17
ss endron 2842 ss endron 14727 ss endron 14727 ss e580 22089 siloba 28959 siloba 79	Non-porous	British	Aw	51.5	633	450	6.1	0.57	73	0.15	74		0.13
n 14727 n 14727 6580 22089 13738 28959 79	Non-porous	Kyoto, Japan	Cfa	35.1	1523	540	2.5	0.7	72	0.15	71		0.14
6580 22089 13738 28959 79	Non-porous	Kumamoto,	Cfa	32.3	2497	029	4.3	0.64	71	0.17	70		0.16
6580 22089 13738 28959 79	Non-porous	Japan Tokyo, Japan	Cfa	35.7	1643	089	1.6	0.65	71	0.16	71		0.16
22089 13738 28959 79	Diffuse-porous	Philippines	Af	14.6	2326	029	4.5	0.64	76	0.15	72		0.15
13738 28959	Diffuse-porous	Java,	Af	7.7	3420	720	3.1	0.57	84	0.10	83		0.10
28959	Diffuse-porous	Indonesia Malaysia	Af	3.1	2842	700	2.7	99.0	79	0.12	9/		0.11
79	Softwood	Ibaraki, Japan	Cfa	36.0	1326	470	2.1	-0.04	93	0.12	94		0.13
21789	Softwood	Tokyo, Japan Hokkaido,	Cfa Dfa	35.7	1643 1146	540 570	7.3	0.01	88	0.15	94		0.14
ria 9290	Softwood	Japan Akita, Japan	Cfa	39.7	2053	340	4.2	0.04	93	0.13	95+		0.14+
ria 6427	Softwood	Tokyo, Japan	Cfa	35.7	1643	370	4.5	-0.12	95+	0.13+	+96		0.13+
Japonica Cryptomeria 12854 Si janonica	Softwood	Okinawa,	Cfa	26.8	2594	640	4.7	-0.05	91	0.15	95+		0.14+
yparis 28890	Softwood	i, Japan	Cfa	36.1	1326	410	89.	-0.03	91	0.12	- 95+		0.13+
ecyparis 9293	Softwood	Nagano,	Cfa	35.8	1927	390	5.7	0.03	91	0.15	+56		0.15+
Obtusa Chamaecyparis 14666 Softwood obtusa	oftwood	Japan Tokyo, Japan	Cfa	35.7	1643	380	9.9	-0.12	92	0.14	95+		0.16+

Table 1: (continued)

Scientific	TWTw	TWTw Vessel	Provenance Köppen	Köppen	Latitude Annual Density	Annual			Total Syringyl	Untreated sample	sample	Extracte	Extracted sample
name	sample ID	sample arrangement ID type		climate classification	<b>(</b>		rainfall (kg/m³) (mm)	extraction (%)	ratio .	Peak tan 5 value of temperature peak of tan 5 (°C) temperature (°C)	Peak tan $\delta$ value of ature peak $\delta$ (°C) temperature (°C)	Peak temperature of tan $\delta$ (°C)	tan δ value of peak temperature (°C)
arix kaempferi	16334	16334 Softwood	Ibaraki, Japan Cfa	Cfa	36.3	1368	790	14.8	-0.06	- 63	0.14	93	
Larix kaempferi	9279	9279 Softwood	Nagano,	Cfa	36.2	964	520	12.4	-0.05	95+	0.15+	+56	0.16+
			Japan										
Larix kaempferi	8887	8887 Softwood	Netherlands	Dfb	52.1	853	610	15.8	0.03	91	0.14	94	0.14
Agathis	17742	7742 Softwood	Malaysia	Af	3.1	2842	480	2.3	-0.08	93	0.17	92	
borneensis													
Agathis	20089	Softwood	Malaysia	Af	3.1	2842	470	1.3	0.07	06	0.15	89	0.14
borneensis													
Agathis	3103	3103 Softwood	Indonesia	Af	-6.1	1907	450	7.2	90.0	91	0.15	93	0.15
borneensis													

Thickness: 1 mm(L) **DMA** sample Weight measurement 30 mm(R) 15 mm(R) 10 mm 15 mm(T) 3.2 mm(T)

Figure 1: Sample dimensions used for measurements.

temperature-dependent, dynamic viscoelastic properties of the unextracted and extracted water-swollen samples. The dynamic viscoelastic properties of wood changed due to the drying history or cooling rate, even for the same samples (Furuta et al. 2001, 2008a, b; Kojiro et al. 2008). Therefore, to unify the heating history immediately before viscoelastic measurement for all the specimens, this study recorded the data in the second temperature rise after cooling them from 95 °C to 5 °C at 1 °C/min (Figure 2). The data for temperatures up to 98 °C were obtained originally, which is very close to the boiling point of water. Since some samples contained noise in the temperature range higher than 95 °C, DMA data up to 95 °C, which could be stably measured, was analyzed to compare the results. During the viscoelastic measurement, the span was 10 mm in radial direction (Figure 1) and a 0.1 Hz sine wave with a tension displacement amplitude of 5 µm was applied to the sample. In the preliminary experiments conducted on several wood samples, the offset loads in the measured temperature range, when the displacement amplitude was controlled at 5 µm, were within the linear region of the stress-strain line. DMA measurement was performed one time for each sample because the preliminary experiments confirmed that the same temperature program would produce nearly identical measurement results. As an example of the measured DMA data, the results of the temperature dependence of tan  $\delta$  before and after extraction for softwood and hardwood samples are shown in Figure 3. The peak top of tan  $\delta$  was read as the peak temperature.

#### 2.3 Estimation of the syringyl ratio

The extracted DMA samples, which were used for the dynamic viscoelastic measurements, were analyzed using an IR spectrometer (FT/ IR-4700, JASCO Corporation, Tokyo, Japan). Fine wood meal was prepared from the middle length portion of dried DMA samples, using a glass file, mixed at 1% with KBr and pressed into a disc. Each spectrum was based on 64 scans with a wavenumber range from 4000 to 500 cm<sup>-1</sup> Following Huang et al. (2012), the areas under the IR peak at 1595 cm<sup>-7</sup> (range:  $1605-1574 \text{ cm}^{-1}$ ,  $A_{1595}$ ) and  $1509 \text{ cm}^{-1}$  (range:  $1535-1492 \text{ cm}^{-1}$ ,  $A_{1509}$ ) were measured. Huang et al. (2012) presented a linear regression equation with a correlation coefficient of 0.98 to describe the relationship between the syringyl ratio (syringyl/(syringyl+guaiacyl)) of lignin aromatics, measured by alkaline nitrobenzene oxidation analysis, and log (peak area ratio of  $A_{1595}/A_{1509}$ ). In the present study, the same regression equation was used to determine the syringyl ratio of all the

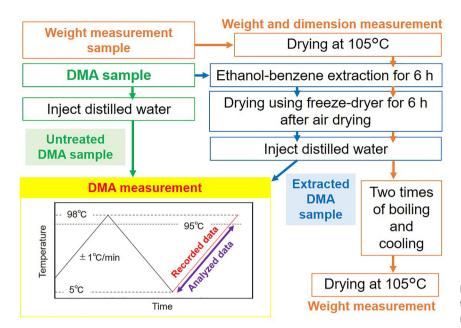
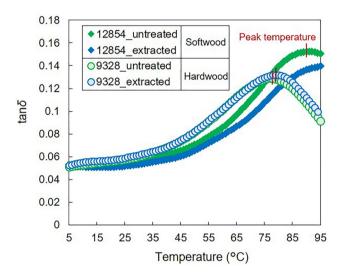


Figure 2: Sample preparation procedures and temperature program for dynamic viscoelastic measurement.



**Figure 3:** Examples of DMA data for untreated and extracted samples.

specimens from their IR spectra. The peak areas were measured three times for each sample, and after confirming that there were no outliers, area ratios were calculated from the data that showed the clearest spectrum with the least noise.

#### 3 Results and discussion

# 3.1 Relationship between thermal-softening temperature and the growing environment of the wood

In this study, the peak temperature of tan  $\delta$  is defined as the thermal-softening temperature of the sample. In this paper,

the peak temperatures of tan  $\delta$  were read for samples for which the peak was observed in the range of 95 °C. Samples for which no peak was observed at 95 °C are indicated as 95+ in Table 1, and the legend is marked in red in the figure. The tan  $\delta$  values for the 95+ samples were read at 95 °C and indicated in Table 1. Figure 4 shows the relationship between the peak temperature of and the value of tan  $\delta$ . The peak temperatures of tan  $\delta$  were slightly different between the extracted and unextracted samples (Figure 4a and b, respectively). Six softwood samples were identified in which the extraction process changed the peak temperature to 95° C or higher. Table 1 shows that the peak temperature of tan  $\delta$ in softwoods changed to higher temperatures after extraction. However, some hardwood samples showed a decrease in the peak temperature of tan  $\delta$  due to extraction. Although the thermal-softening temperatures changed by a maximum of 6 °C due to extraction, the temperature range and the direction of increase or decrease varied among individual samples. No uniform trend was observed among the wood species and no correlation was observed between the peak temperature and the value of tan  $\delta$  in Figure 4. The peak temperature of tan  $\delta$  is generally lower for hardwood and higher for softwood, as reported in previous studies (Furuta et al. 2001, 2008a, b). In hardwood species, the peak temperature of tan  $\delta$  in the radial-, ring-, and non-porous samples was in a temperature range from 70 to 80 °C; however, the temperature range was wider for diffuse-porous samples. The two diffuse-porous samples (both were Ulin (Eusideroxylon zwageri), which is a tropical hardwood) showed higher tan  $\delta$  values than the others. Table 1 lists the peak temperature of tan  $\delta$  for unextracted specimens, showing some variation within the same wood species.

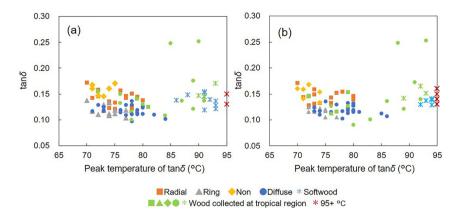


Figure 4: Relationship between loss tangent (tan  $\delta$ ) and peak temperature of tan  $\delta$  in watersaturated radial samples measured at 0.1 Hz. (a) Untreated samples and (b) extracted samples. The symbol for hardwood species indicates the vessel arrangement type. "Non" = vesselless. Samples collected from tropical climates are presented in green. Samples for which the peak temperature of tan  $\delta$  could not be observed by 95 °C are presented in red.

Previous studies have reported that among hardwood species, tropical species show higher thermal-softening temperatures (Furuta et al. 2010) and are rich in guaiacyl units (Wu et al. 1992). If both the physical properties and chemical structures observed in tropical wood species are determined by their habitat, the regional climate may exert an influence on the thermal-softening temperature. To confirm this possibility, the relationship between the peak temperature of tan  $\delta$  in untreated specimens and the latitude and annual rainfall at the collection site is plotted in Figure 5.

The softwood specimens showed high peak temperatures of tan  $\delta$ , regardless of latitude. Among the hardwood specimens, the radial-porous and diffuse-porous wood cover a wide latitude range. Certain diffuse-porous specimens collected from near the equator exhibited high peak temperatures compared to softwood. This distribution of the peak temperature of tan  $\delta$  was similar to the high peak temperatures of tan  $\delta$  previously observed in tropical hardwood species (Furuta et al. 2001, 2008a, b). However, this study suggests that the latitude does not necessarily correspond to the thermal-softening temperature among various wood species.

Specifically, in softwood and hardwood except diffuseporous wood, no relationship was observed between the

annual rainfall and peak temperature of tan  $\delta$  (Figure 5b). Among the diffuse-porous specimens, there was only a weak positive correlation between the two parameters. However, this correlation could be enhanced in certain tropical diffuseporous wood, because many areas with high rainfall are located near the equator (see the clustering of diffuse-porous specimens near 0° latitude in Figure 5a). Overall, neither the latitude nor annual precipitation were related to the thermalsoftening temperatures of wood in this study.

#### 3.2 Relationship between thermal-softening property and density

In this section, the thermal-softening properties of extracted samples are presented to discuss the relationship between the wood cell wall constituents and the thermal-softening properties. Figure 6a-c plot the storage elastic modulus (E') at 30 °C, loss elastic modulus (E") at 30 °C, and peak temperatures of tan  $\delta$  versus the wood density, respectively. A positive correlation was observed between E' and density in softwood, ring-porous hardwood, non-porous hardwood, and diffuse-porous hardwood, all following the same linear relationship. However, radial-porous wood was distributed

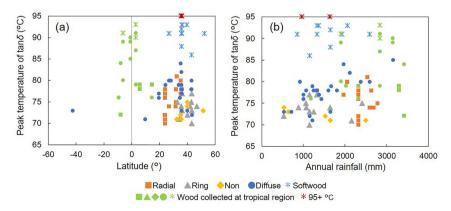
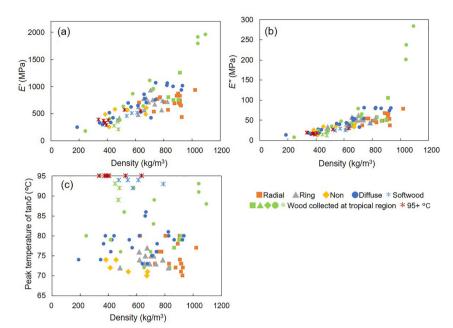


Figure 5: Relationship between habitat and peak temperature of tan  $\delta$  of water-saturated, untreated radial specimens measured at 0.1 Hz. The symbol for hardwood species indicates the vessel arrangement type. "Non" = vesselless. Samples collected from tropical climates are presented in green. Samples for which the peak temperature of tan  $\delta$  could not be observed by 95 °C are presented in red.



**Figure 6:** Relationship between data from dynamic viscoelastic measurements at 0.1 Hz and the density of extracted radial specimens in water-saturated conditions. (a) Storage elastic modulus (E') at 30 °C, (b) loss elastic modulus (E'') at 30 °C, and (c) peak temperatures of tan  $\delta$ . The symbol for hardwood species indicates the vessel arrangement type. "Non" = vesselless. Samples collected from tropical climates are presented in green. Samples for which the peak temperature of tan  $\delta$  could not be observed by 95 °C are presented in red.

at slightly lower locations and did not display a clear positive correlation with density. The relationship between E'' and density showed a positive correlation when the density was  $1000~{\rm kg/m^3}$  or less. The distribution patterns of E'' and E'' were nearly the same. Figure 6a and b presents three Ulin specimens with the highest densities ( $1000~{\rm kg/m^3}$  or more). Their E' and E'' values deviate from the linear distribution of the other specimens. While E' and E'' show a positive correlation with wood density, the peak temperature of tan  $\delta$  shows no such relationship (Figure 6c). Thus, the wood species exhibited a wide range of thermal-softening temperatures, regardless of their density.

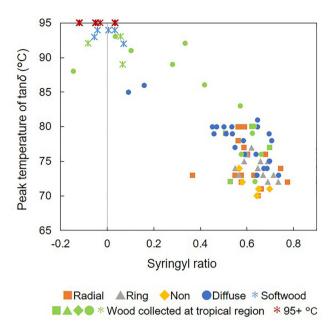
## 3.3 Relationship between thermal-softening property and lignin structure

To investigate the relationship between thermal-softening temperature and lignin structure, the syringyl ratio of lignin aromatics was estimated from the peak area ratio of the IR spectrum. Since the sample used for the IR measurement had undergone a heating history during DMA measurement, there was a possibility that the syringyl ratio would be slightly different from that of the untreated sample. However, this experiment prioritized the comparison of the results with the same sample.

Understanding the partial structure of lignin is useful for inferring its structural characteristics. The  $\beta$ -O-4 and phenyl-coumarin structures are the specific partial structures responsible for lignin's linear chain, while the biphenyl (5-5 bond) and diaryl ether structures (4-O-5 structure) are

responsible for its branch chain. The composition ratio of the partial structures changes according to the aromatic nucleus during lignin production. The main reason for this is that the aromatic nuclei of guaiacyl can be coupled at the 5-position to form fused structures, such as  $\beta$ -5, 5-5, and 4-0-5, whereas the aromatic nuclei of syringyl cannot be coupled alone at the 5-position due to the methoxy group, which acts as the substitute (Stewart et al. 2009). Akiyama et al. (2005) investigated the relationship between the partial structure of lignin and the syringyl ratio of lignin aromatics in various wood species and found that the biphenyl structure content decreased quadratically with an increase in the syringyl ratio. Based on previous research and this study's results, it can be concluded that wood species with a low syringyl ratio have a dense lignin structure, with several condensed structures derived from the guaiacyl unit, whereas wood species with a high syringyl ratio have a sparse lignin structure, with several linear partial structures.

In Figure 7, the syringyl ratio is distributed in a wide range from 0 to 0.8 (approximately), and almost 0 in softwood and tropical hardwood species. Since softwood has no syringyl aromatic nuclei, the syringyl ratio should be exactly 0. However, the syringyl ratio was estimated using a calibration curve with an error of about  $\pm 0.2$ . Tropical hardwood samples showed a wide range of syringyl ratios, indicating that these samples are not necessarily rich in the guaiacyl unit. Nevertheless, several samples showed particularly low syringyl ratios. Hardwoods with syringyl ratios near 0 possessed a high peak temperature of  $\tan \delta$  compared to softwoods. Thus, the syringyl ratio and peak temperature of  $\tan \delta$  display a negative correlation. Based



**Figure 7:** Relationship between peak temperature of tan  $\delta$  measured at 0.1 Hz and syringyl ratio of extracted radial specimens. The symbol for hardwood species indicates the vessel arrangement type. "Non" = vesselless. Samples collected from tropical climates are presented in green. Samples for which the peak temperature of  $\tan\delta$  could not be observed by 95 °C are presented in red.

on the interpretation of the partial structure of lignin, the peak temperature of tan  $\delta$  is considered to be higher for wood species with denser lignin structures and lower for those with sparser lignin structures. It is known that in polymers, the glass transition temperature  $(T_g)$  shifts to higher values as the crosslink density increases (Nielsen 1962). In wood, the peak temperature of tan  $\delta$ , derived from lignin, could similarly depend on the lignin's condensation structure in each wood species. Although this study's results were obtained by using the calibration curves of IR spectra, they supported the correlation shown by Olson and Salmén (1997) between thermal-softening temperature and methoxyl group content.

#### 4 Conclusions

To elucidate the diversity of the thermal-softening properties of wood, the peak temperature of tan  $\delta$  was measured for 87 wood specimens from the global collection of the xylarium of Forestry and Forest Products Research Institute. The peak temperature of tan  $\delta$  was found to be higher for softwood and tropical hardwood species as compared to other hardwood species, regardless of the extraction treatment. There was no clear relationship between the peak temperature of tan  $\delta$  and the latitude and annual rainfall of the habitat. Furthermore, the peak temperature of tan  $\delta$  was not uniquely determined by anatomical features and wood species. E' and E'' showed strong correlations with density, regardless of species; however, there was no relationship between the peak temperature of tan  $\delta$  and density. Furthermore, a positive correlation was observed between the peak temperature of tan  $\delta$  and the syringyl ratio, which depends on the amounts of different partial structures in lignin. This result indicates that the thermal-softening temperature is higher for wood species with a denser lignin structure (low syringyl ratio) and lower for species with a sparse lignin structure (high syringyl ratio).

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**Research ethics:** Not applicable.

Author contributions: YM conducted the experiments and wrote the manuscript, HA selected and provided the wood specimens from the wood library of the Forestry and Forest Products Research Institute, HH and KK participated in result discussions, and YF supervised the work. All the authors approved the final version of the manuscript.

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Data availability: The raw data can be obtained on request from the corresponding author.

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