

Research Article

Hussain Al-Qassem, Leslie Cheng and Yibiao Pan*

Uniform boundedness of oscillatory singular integrals with rational phases

<https://doi.org/10.1515/forum-2024-0077>

Received February 10, 2024; revised November 4, 2024

Abstract: We prove the uniform boundedness of oscillatory singular integrals with singular kernels $|x|^{-n}\Omega(\frac{x}{|x|})$ and rational phases of the form $P(x) + \frac{1}{Q(x)}$ for arbitrary real-valued polynomials P and Q . Our main result shows that the condition $Q(0) = 0$ imposed in [M. Folch-Gabayet and J. Wright, An estimation for a family of oscillatory integrals, *Studia Math.* **154** (2003), no. 1, 89–97] is superfluous, which answers a question left open in that paper. As a secondary improvement of existing results, we also extend the space for $\Omega(\cdot)$ from $L \log L(\mathbb{S}^{n-1})$ to the strictly larger space $H^1(\mathbb{S}^{n-1})$.

Keywords: Oscillatory integrals, singular integrals, Calderón–Zygmund kernels, Hardy spaces

MSC 2020: Primary 42B20; secondary 42B30, 42B35

Communicated by: Christopher D. Sogge

1 Introduction

The investigation of oscillatory singular integrals has a rich and enduring history ([1, 4, 6–8, 10, 11]). For oscillatory singular integrals in dimensions higher than 1, boundedness does not hold for general rational phases. In [5], the authors obtained some very interesting estimates for oscillatory singular integrals with phase functions of the form $P(x) + \frac{1}{Q(x)}$, where $P(x)$ and $Q(x)$ are real-valued polynomials in n variables. To describe their results, we let $n \geq 2$, $K(x)$ be a Calderón–Zygmund kernel given by

$$K(x) = \frac{\Omega(\frac{x}{|x|})}{|x|^n}, \quad (1.1)$$

where $\Omega : \mathbb{S}^{n-1} \rightarrow \mathbb{C}$ is integrable over the unit sphere \mathbb{S}^{n-1} with respect to the induced Lebesgue measure σ and satisfies

$$\int_{\mathbb{S}^{n-1}} \Omega(x) d\sigma(x) = 0. \quad (1.2)$$

Let $d \in \mathbb{N} \cup \{0\}$, and let $\mathcal{P}_{n,d}$ denote the space of polynomials in n variables whose coefficients are real and whose degrees do not exceed d . The following is a result from [5].

Theorem 1.1 (Folch-Gabayet and Wright [5]). *Let $K(x)$ be a Calderón–Zygmund kernel given by (1.1)–(1.2). Let $P(x), Q(x) \in \mathcal{P}_{n,d}$ such that $Q(0) = 0$ and $\Omega \in L \log L(\mathbb{S}^{n-1})$. Then*

$$\left| \text{p.v.} \int_{\mathbb{R}^n} e^{i(P(x) + \frac{1}{Q(x)})} K(x) dx \right| \leq B, \quad (1.3)$$

where B may depend on $\|\Omega\|_{L \log L(\mathbb{S}^{n-1})}$, n and d but not otherwise on the coefficients of P and Q .

*Corresponding author: Yibiao Pan, Department of Mathematics, University of Pittsburgh, Pittsburgh, PA 15260, USA, e-mail: yibiao@pitt.edu. <https://orcid.org/0000-0002-2492-1934>

Hussain Al-Qassem, Department of Mathematics, Statistics and Physics, College of Arts and Sciences, Qatar University, 2713 Doha, Qatar, e-mail: husseink@qu.edu.qa. <https://orcid.org/0000-0003-0188-682X>

Leslie Cheng, Department of Mathematics, Bryn Mawr College, Bryn Mawr, PA 19010, USA, e-mail: lcheng@brynmawr.edu. <https://orcid.org/0000-0003-2698-2009>

This naturally led to the following question:

Question. Would the conclusion of Theorem 1.1 hold if the condition $Q(0) = 0$ is removed?

For the case $\deg(Q) = 1$, the authors of [5] answered the above question in the affirmative:

Theorem 1.2 ([5]). *Let $K(x)$ be a Calderón–Zygmund kernel given by (1.1)–(1.2). Let $P(x) \in \mathcal{P}_{n,d}$ and $Q(x) = a + v \cdot x$, where $a \in \mathbb{R}$ and $v \in \mathbb{R}^n$. Suppose that $\Omega \in L \log L(\mathbb{S}^{n-1})$. Then*

$$\left| \text{p.v.} \int_{\mathbb{R}^n} e^{i(P(x) + \frac{1}{Q(x)})} K(x) dx \right| \leq B, \quad (1.4)$$

where B may depend on $\|\Omega\|_{L \log L(\mathbb{S}^{n-1})}$, n and d but not otherwise on a , v and the coefficients of P .

The main purpose of this paper is to give a complete answer to the question stated above by showing that the condition $Q(0) = 0$ in Theorem 1.1 can be dropped irrespective of the degree of $Q(x)$.

In addition to the improvement of Theorem 1.1 by lifting the vanishing condition on $Q(0)$, we shall also expand the class of $K(x)$ in Theorem 1.1 by allowing $\Omega(\cdot)$ to be in $H^1(\mathbb{S}^{n-1})$, the Hardy space over the unit sphere. It is well known that the space $L \log L(\mathbb{S}^{n-1})$ is a proper subspace of $H^1(\mathbb{S}^{n-1})$. We state our result as follows.

Theorem 1.3. *Let $K(x)$ be a Calderón–Zygmund kernel given by (1.1)–(1.2). Let $P(x), Q(x) \in \mathcal{P}_{n,d}$ and $\Omega \in H^1(\mathbb{S}^{n-1})$. Then*

$$\left| \text{p.v.} \int_{\mathbb{R}^n} e^{i(P(x) + \frac{1}{Q(x)})} K(x) dx \right| \leq B \|\Omega\|_{H^1(\mathbb{S}^{n-1})}, \quad (1.5)$$

where B may depend on n and d but not otherwise on the coefficients of P and Q .

The proof of Theorem 1.3 will appear in Section 3.

Boundedness results such as (1.5) can be used together with Plancherel's Theorem to obtain the L^2 boundedness of corresponding singular integral operators defined by polynomial mappings. We refer the readers to [5] for more details.

In the rest of the paper we shall use $A \lesssim B$ ($A \gtrsim B$) to mean that $A \leq cB$ ($A \geq cB$) for a certain constant c whose actual value is not essential for the relevant arguments to work. We shall also use $A \approx B$ to mean “ $A \lesssim B$ and $B \lesssim A$ ”.

2 A few lemmas

In order to prove Theorem 1.3, one of the tools we shall need is the following lemma:

Lemma 2.1. *Let $A > 1$, $d \in \mathbb{N}$ and*

$$q(t) = \sum_{j=1}^d q_j t^j,$$

where $q_1, \dots, q_d \in \mathbb{R}$ and $q_d \neq 0$. Then there are m ($m \leq d$) disjoint subintervals $G_1 = (L_1, R_1), \dots, G_m = (L_m, R_m)$ of $(0, \infty)$ such that

- (i) $0 = L_1 < R_1 < L_2 < R_2 < \dots < L_m < R_m = \infty$,
- (ii) for each $l \in \{1, \dots, m\}$, there exists a $k_l \in \{1, \dots, d\}$ such that

$$|q_{k_l} t^{k_l}| > A \cdot \max\{|q_k t^k| : k \in \{1, \dots, d\} \setminus \{k_l\}\}$$

for all $t \in G_l$,

- (iii) for every $\xi \in \{L_2, \dots, L_m, R_1, \dots, R_{m-1}\}$, there exists a pair of $j, k \in \{1, \dots, d\}$ such that $j \neq k$ and $\xi \approx |\frac{q_k}{q_j}|^{\frac{1}{j-k}}$,
- (iv) for $1 \leq l \leq m-1$,

$$\frac{L_{l+1}}{R_l} \leq A^{\frac{d(d-1)}{2}}.$$

The above lemma can be viewed as a “strengthening” of [5, Lemma 2.1]. For the proof, instead of employing the method of induction as done in [5], we shall use a more direct approach.

Proof. Let $\Lambda = \{j : 1 \leq j \leq d \text{ and } q_j \neq 0\}$. Since (i)–(iv) hold trivially when $|\Lambda| = 1$, we may assume that $|\Lambda| \geq 2$. For every $j \in \Lambda$, let

$$S_j = \{t \in (0, \infty) : |q_j t^j| > A \cdot \max\{|q_k t^k| : k \in \Lambda \setminus \{j\}\}.$$

Then either $S_j = \emptyset$ or $S_j = (a_j, b_j)$ where

$$a_j = \max\left(\left\{\left(A \left|\frac{q_k}{q_j}\right|\right)^{\frac{1}{j-k}} : k \in \Lambda \text{ and } k < j\right\} \cup \{0\}\right),$$

$$b_j = \min\left(\left\{\left(A \left|\frac{q_k}{q_j}\right|\right)^{\frac{1}{j-k}} : k \in \Lambda \text{ and } k > j\right\} \cup \{\infty\}\right),$$

and $a_j < b_j$. By $A > 1$,

$$S_j \cap S_{j'} = \emptyset$$

for any $j, j' \in \Lambda$ satisfying $j \neq j'$. Let G_1, \dots, G_m denote all the nonempty S_j 's, arranged from left to right and let $G_l = (L_l, R_l)$ for $1 \leq l \leq m$. Clearly, (i)–(iii) are satisfied.

Let $l \in \{1, \dots, m-1\}$. Then there exist an integer s satisfying $1 \leq s \leq \frac{d(d-1)}{2}$ and a partition

$$R_l = \zeta_0 < \zeta_1 < \dots < \zeta_s = L_{l+1},$$

such that

$$|q_j t^j| \neq |q_k t^k|$$

for all distinct j, k in Λ and $t \in [R_l, L_{l+1}] \setminus \{\zeta_0, \zeta_1, \dots, \zeta_s\}$. For each $v \in \{1, \dots, s\}$, there are $j_v, k_v \in \Lambda$ such that $j_v \neq k_v$ and

$$\max\{|q_k t^k| : k \in \Lambda \setminus \{j_v\}\} = |q_{k_v} t^{k_v}| < |q_{j_v} t^{j_v}| \quad (2.1)$$

for all $t \in (\zeta_{v-1}, \zeta_v)$. Since

$$(\zeta_{v-1}, \zeta_v) \subseteq (R_l, L_{l+1}) \subseteq (0, \infty) \setminus \bigcup_{j \in \Lambda} S_j,$$

we have

$$|q_{j_v} t^{j_v}| \leq A \cdot \max\{|q_k t^k| : k \in \Lambda \setminus \{j_v\}\} = A |q_{k_v} t^{k_v}| \quad (2.2)$$

for all $t \in (\zeta_{v-1}, \zeta_v)$. It follows from (2.1) and (2.2) that, for $v = 1, \dots, s$,

$$\frac{\zeta_v}{\zeta_{v-1}} \leq A^{\frac{1}{|j_v - k_v|}} \leq A,$$

which implies (iv). \square

Next we recall the classical van der Corput's lemma.

Lemma 2.2. (i) *Let ϕ be a real-valued C^k function on $[a, b]$ satisfying $|\phi^{(k)}(x)| \geq 1$ for every $x \in [a, b]$. Suppose that $k \geq 2$, or that $k = 1$ and ϕ' is monotone on $[a, b]$. Then there exists a positive constant c_k such that*

$$\left| \int_a^b e^{i\lambda\phi(x)} dx \right| \leq c_k |\lambda|^{-\frac{1}{k}}$$

for all $\lambda \in \mathbb{R}$. The constant c_k is independent of λ, a, b and ϕ .

(ii) *Let ϕ and c_k be the same as in (i). If $\psi \in C^1([a, b])$, then*

$$\left| \int_a^b e^{i\lambda\phi(x)} \psi(x) dx \right| \leq c_k |\lambda|^{-\frac{1}{k}} (\|\psi\|_{L^\infty([a, b])} + \|\psi'\|_{L^1([a, b])})$$

holds for all $\lambda \in \mathbb{R}$.

Below is an easy consequence of van der Corput's lemma which will be needed in our proof of Theorem 1.3.

Lemma 2.3. *Let $\Phi(t, u)$ be a real-valued C^∞ function on $[a, b] \times U$, where U is an open set in \mathbb{R}^m . Suppose that $d \in \mathbb{N} \cup \{0\}$ and for every $(t, u) \in [a, b] \times U$, there exists an integer $k = k(t, u) > d$ such that*

$$\frac{\partial^k \Phi(t, u)}{\partial t^k} \neq 0.$$

Then, for every compact subset W of U , there exist two positive constants $\rho = \rho(d, m, a, b, \Phi, W)$ and $C = C(d, m, a, b, \Phi, W)$ such that

$$\left| \int_J e^{i[R(t) + \lambda \Phi(t, u)]} \psi(t) dt \right| \leq C |\lambda|^{-\rho} (\|\psi\|_{L^\infty(J)} + \|\psi'\|_{L^1(J)})$$

holds for all subintervals J of $[a, b]$, $\psi \in C^1(J)$, $\lambda \in \mathbb{R}$, $u \in W$ and $R(\cdot) \in \mathcal{P}_{1,d}$.

To prove the above lemma, one first uses Lemma 2.2 (or a direct integration by parts when $d = 0$ and $k = 1$) locally and then finish with a compactness argument. Details are omitted.

Another result we shall need is the following lemma from Stein [9, p. 331].

Lemma 2.4. *Let $q(x)$ be a homogeneous polynomial of degree d on \mathbb{R}^n . Write*

$$m_q = \int_{\mathbb{S}^{n-1}} |q(\omega)| d\sigma(\omega).$$

Then

$$\int_{\mathbb{S}^{n-1}} \left| \ln \left(\left| \frac{q(\omega)}{m_q} \right| \right) \right| d\sigma(\omega) \leq B_d,$$

where B_d is independent of $q(\cdot)$.

3 Proof of Theorem 1.3

We are now ready to present the proof of Theorem 1.3. Initially we will assume that $P, Q \in \mathcal{P}_{n,d}$, $\Omega \in L^\infty(\mathbb{S}^{n-1})$ and satisfies the vanishing mean value condition (1.2). We will prove that there exists an $B_{n,d} > 0$ independent of Ω and the coefficients of the polynomials P and Q such that

$$\left| \text{p.v.} \int_{\mathbb{R}^n} e^{i(P(x) + \frac{1}{Q(x)})} \frac{\Omega(x)}{|x|^n} dx \right| \leq B_{n,d} \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})}. \quad (3.1)$$

Since the case $Q(0) = 0$ is already covered by the result of Folch-Gabayet and Wright (see Theorem 1.1), we shall assume that

$$Q(x) = \eta \left(1 + \sum_{1 \leq |\alpha| \leq d} a_\alpha x^\alpha \right), \quad (3.2)$$

where $\eta = Q(0) \neq 0$. For $1 \leq k \leq d$, let

$$q_k(x) = \sum_{|\alpha|=k} a_\alpha x^\alpha.$$

Then, for each $k \in \{1, \dots, d\}$, either $q_k(\cdot) \equiv 0$ or $q_k(\omega) \neq 0$ for a.e. $\omega \in \mathbb{S}^{n-1}$.

Let

$$\Lambda = \Lambda_Q = \{k : 1 \leq k \leq d \text{ and } q_k(\cdot) \neq 0\}.$$

Then, for all $\omega \in \mathbb{S}^{n-1}$ and $t > 0$,

$$Q(t\omega) = \eta \left(1 + \sum_{k \in \Lambda} q_k(\omega) t^k \right). \quad (3.3)$$

We will present our argument for the more general case of $|\Lambda| > 1$, while omitting the discussion of the case when $|\Lambda| = 1$. However, it can be treated in a similar but simpler manner.

Let $A = 2d^2$. For each $j \in \Lambda$ and a.e. $\omega \in \mathbb{S}^{n-1}$, let

$$G_j(\omega) = \{t \in (0, \infty) : |q_j(\omega)|t^j > A|q_k(\omega)|t^k \text{ for all } k \in \Lambda \setminus \{j\}\}.$$

Thus,

$$G_j(\omega) = \left(\bigcap_{k \in \Lambda_j^+} \left(0, \left(\frac{A|q_k(\omega)|}{|q_j(\omega)|} \right)^{\frac{1}{j-k}} \right) \right) \cap \left(\bigcap_{k \in \Lambda_j^-} \left(\left(\frac{A|q_k(\omega)|}{|q_j(\omega)|} \right)^{\frac{1}{j-k}}, \infty \right) \right),$$

where we used

$$\Lambda_j^+ = \{k \in \Lambda : k > j\}, \quad \Lambda_j^- = \{k \in \Lambda : k < j\}$$

and the convention that

$$\bigcap_{S \in \emptyset} S = (0, \infty).$$

For each $j \in \Lambda$ and a.e. $\omega \in \mathbb{S}^{n-1}$, let

$$\begin{aligned} G_j^{(1)}(\omega) &= G_j(\omega) \cap (0, 4^{-\frac{d}{j}}|q_j(\omega)|^{-\frac{1}{j}}), \\ G_j^{(2)}(\omega) &= G_j(\omega) \cap [4^{-\frac{d}{j}}|q_j(\omega)|^{-\frac{1}{j}}, 4^{\frac{d}{j}}|q_j(\omega)|^{-\frac{1}{j}}], \\ G_j^{(3)}(\omega) &= G_j(\omega) \cap (4^{\frac{d}{j}}|q_j(\omega)|^{-\frac{1}{j}}, \infty). \end{aligned}$$

For $t \in G_j^{(3)}(\omega)$, we have

$$Q(t\omega) \approx q_j(\omega)t^j \quad (3.4)$$

and

$$\frac{d}{dt}(Q(t\omega)) \approx \frac{d}{dt}(q_j(\omega)t^j). \quad (3.5)$$

It follows from the arguments in the proof of [5, Theorem 1.1] and (3.4)–(3.5) that

$$\left| \int_{\mathbb{S}^{n-1}} \Omega(\omega) \left(\sum_{j \in \Lambda} \int_{G_j^{(3)}(\omega)} e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} \frac{dt}{t} \right) d\sigma(\omega) \right| \lesssim \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})}. \quad (3.6)$$

Trivially,

$$\left| \int_{\mathbb{S}^{n-1}} \Omega(\omega) \left(\sum_{j \in \Lambda} \int_{G_j^{(2)}(\omega)} e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} \frac{dt}{t} \right) d\sigma(\omega) \right| \lesssim \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})}. \quad (3.7)$$

Therefore, in order to prove (3.1), it suffices to prove that

$$\left| \int_{\mathbb{S}^{n-1}} \Omega(\omega) \left(\sum_{j \in \Lambda} \int_{G_j^{(1)}(\omega)} e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} \frac{dt}{t} \right) d\sigma(\omega) \right| \lesssim \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})} \quad (3.8)$$

and

$$\left| \int_{\mathbb{S}^{n-1}} \Omega(\omega) \left(\sum_{j \in \Lambda} \int_{(0, \infty) \setminus \bigcup_{j \in \Lambda} G_j(\omega)} e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} \frac{dt}{t} \right) d\sigma(\omega) \right| \lesssim \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})}. \quad (3.9)$$

Since (3.9) follows from Lemma 2.1(iv) easily, we will focus our attention on the proof of (3.8). By applying Lemma 2.3 with $[a, b] = [0, \frac{1}{4}]$, $u = (u_1, \dots, u_d)$,

$$U = \left\{ u \in \mathbb{R}^d : \frac{4}{5} < \max_{1 \leq j \leq d} |u_j| < \frac{6}{5} \right\}, \quad W = \left\{ u \in \mathbb{R}^d : \max_{1 \leq j \leq d} |u_j| = 1 \right\},$$

and

$$\Phi(t, u) = \left(1 + \sum_{k=1}^d u_k t^k \right)^{-1}, \quad (3.10)$$

there exist two positive constants $\rho = \rho(d)$ and C_d such that

$$\left| \int_J e^{i[R(t) + \lambda \Phi(t, u)]} \psi(t) dt \right| \leq C_d |\lambda|^{-\rho} (\|\psi\|_{L^\infty(J)} + \|\psi'\|_{L^1(J)}) \quad (3.11)$$

holds for all subintervals J of $[0, \frac{1}{4}]$, $\psi \in C^1(J)$, $\lambda \in \mathbb{R}$, $u \in W$ and $R(\cdot) \in \mathcal{P}_{1,d}$.

Let $N = [\rho^{-1}] + 1$, $\delta = \min\{|\eta|^{\frac{1}{N}}, 1\}$. For each $j \in \Lambda$ and a.e. $\omega \in \mathbb{S}^{n-1}$, let

$$\begin{aligned} Y_j(\omega, \delta) &= G_j^{(1)}(\omega) \cap (|q_j(\omega)|^{-\frac{1}{j}} \delta^{\frac{1}{j}}, \infty), \\ H_j(\omega, \delta) &= G_j^{(1)}(\omega) \cap \left[\frac{1}{6} |q_j(\omega)|^{-\frac{1}{j}} \delta^{\frac{1}{j}}, |q_j(\omega)|^{-\frac{1}{j}} \delta^{\frac{1}{j}} \right], \\ Z_j(\omega, \delta) &= G_j^{(1)}(\omega) \cap \left(0, \frac{1}{6} |q_j(\omega)|^{-\frac{1}{j}} \delta^{\frac{1}{j}} \right). \end{aligned}$$

We also let $v_\omega \in \Lambda$ such that

$$|q_{v_\omega}(\omega)|^{\frac{1}{v_\omega}} = \max\{|q_k(\omega)|^{\frac{1}{k}} : k \in \Lambda\}.$$

For each $j \in \Lambda$ and a.e. $\omega \in \mathbb{S}^{n-1}$, if $Y_j(\omega, \delta) \neq \emptyset$ and $t \in Y_j(\omega, \delta)$, then

$$\delta^{\frac{1}{j}} < |q_j(\omega)|^{\frac{1}{j}} t \leq |q_{v_\omega}(\omega)|^{\frac{1}{v_\omega}} t = (|q_{v_\omega}(\omega)| t^{v_\omega})^{\frac{1}{v_\omega}} \leq (|q_j(\omega)| t^j)^{\frac{1}{v_\omega}} < 4^{-\frac{d}{v_\omega}} \leq \frac{1}{4}.$$

It is thus clear that, in this case, $\delta = |\eta|^{\frac{1}{N}} < 1$ and the set

$$|q_{v_\omega}(\omega)|^{\frac{1}{v_\omega}} Y_j(\omega, \delta) = \{|q_{v_\omega}(\omega)|^{\frac{1}{v_\omega}} t : t \in Y_j(\omega, \delta)\}$$

is a subinterval of $(\delta^{\frac{1}{j}}, \frac{1}{4})$. Let

$$\tilde{P}_\omega(t) = P(|q_{v_\omega}(\omega)|^{-\frac{1}{v_\omega}} t \omega),$$

$u = (u_1, \dots, u_d)$, where

$$u_k = \begin{cases} q_k(\omega) |q_{v_\omega}(\omega)|^{-\frac{k}{v_\omega}} & \text{if } k \in \Lambda, \\ 0 & \text{if } k \notin \Lambda \end{cases}$$

and let $\Phi(\cdot, \cdot)$ be given as in (3.10). By (3.11),

$$\begin{aligned} \left| \int_{Y_j(\omega, \delta)} e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} \frac{dt}{t} \right| &= \left| \int_{|q_{v_\omega}(\omega)|^{\frac{1}{v_\omega}} Y_j(\omega, \delta)} e^{i(\tilde{P}_\omega(t) + \eta^{-1}\Phi(t, u))} \frac{dt}{t} \right| \\ &\leq C_d |\eta|^\rho \left(\frac{1}{\delta^{\frac{1}{j}}} + \int_{\delta^{\frac{1}{j}}}^{\infty} \frac{dt}{t^2} \right) = 2C_d |\eta|^{\rho - \frac{1}{N}} \lesssim 1, \end{aligned}$$

which implies that

$$\left| \int_{\mathbb{S}^{n-1}} \Omega(\omega) \left(\sum_{j \in \Lambda} \int_{Y_j(\omega, \delta)} e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} \frac{dt}{t} \right) d\sigma(\omega) \right| \lesssim \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})}. \quad (3.12)$$

Trivially we have

$$\left| \int_{\mathbb{S}^{n-1}} \Omega(\omega) \left(\sum_{j \in \Lambda} \int_{H_j(\omega, \delta)} e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} \frac{dt}{t} \right) d\sigma(\omega) \right| \lesssim \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})}. \quad (3.13)$$

Next we shall prove that

$$\left| \int_{\mathbb{S}^{n-1}} \Omega(\omega) \left(\sum_{j \in \Lambda} \int_{Z_j(\omega, \delta)} e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} \frac{dt}{t} \right) d\sigma(\omega) \right| \lesssim \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})}, \quad (3.14)$$

which, together with (3.12)–(3.13), would give us (3.8).

For each $j \in \Lambda$ and a.e. $\omega \in \mathbb{S}^{n-1}$, if $t \in Z_j(\omega, \delta)$, then

$$\left| \sum_{k \in \Lambda} q_k(\omega) t^k \right| \leq (1 + (d-1)A^{-1}) |q_j(\omega)| t^j \leq 6^{-j} (1 + (d-1)A^{-1}) \delta < \frac{\delta}{4} \leq \frac{1}{4}.$$

Let

$$P_{N, \eta}(x) = P(x) + \eta^{-1} \sum_{s=0}^{N-1} \left(- \sum_{k \in \Lambda} q_k(x) \right)^s.$$

Then $P_{N,\eta}(x)$ is a polynomial whose degree does not exceed Nd and, for each $j \in \Lambda$ and a.e. $\omega \in \mathbb{S}^{n-1}$,

$$\begin{aligned} \int_{Z_j(\omega, \delta)} \left| e^{i(P(t\omega) + \frac{1}{Q(t\omega)})} - e^{iP_{N,\eta}(t\omega)} \right| \frac{dt}{t} &\leq \frac{4}{3} |\eta|^{-1} \int_{Z_j(\omega, \delta)} \left| \sum_{k \in \Lambda} q_k(\omega) t^k \right|^N \frac{dt}{t} \\ &\leq \frac{4}{3} d^N |\eta|^{-1} \max\{(|q_j(\omega)| t^j)^N : t \in Z_j(\omega, \delta)\} \\ &\leq \frac{4}{3} d^N |\eta|^{-1} \delta^N \lesssim 1. \end{aligned} \quad (3.15)$$

Recall that Stein established in [9] that, for $K(\cdot)$ given as in (1.1) with an $\Omega \in L^\infty(\mathbb{S}^{n-1})$ and $\{\gamma_\alpha : |\alpha| \leq M\} \subset \mathbb{R}$,

$$\left| \int_{\varepsilon_1 \leq |x| \leq \varepsilon_2} e^{i(\sum_{|\alpha| \leq M} \gamma_\alpha x^\alpha)} K(x) dx \right| \leq A_M,$$

where A_M is independent of $\varepsilon_1, \varepsilon_2$ and γ_α (see [9, pp. 334–335]). It follows that, for all $h > 0$,

$$\left| \text{p.v.} \int_{|x| \leq h} e^{iP_{N,\eta}(x)} K(x) dx \right| \leq B_d \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})} \quad (3.16)$$

holds with a B_d independent of h and the coefficients of $P_{N,\eta}$. Observe that each $Z_j(\omega, \delta)$ is the intersection of no more than $(d+1)$ intervals in the form of either $(0, (|q(\omega)/\tilde{q}(\omega)|)^\gamma)$ or $((|q(\omega)/\tilde{q}(\omega)|)^\gamma, \infty)$, where $q(\cdot), \tilde{q}(\cdot)$ are homogeneous polynomials of degrees not exceeding d , and $|\gamma| \leq 1$. Thus, one may use (3.16) and Lemma 2.4 to get

$$\left| \int_{\mathbb{S}^{n-1}} \Omega(\omega) \left(\sum_{j \in \Lambda} \int_{Z_j(\omega, \delta)} e^{iP_{N,\eta}(t\omega)} \frac{dt}{t} \right) d\sigma(\omega) \right| \lesssim \|\Omega\|_{L^\infty(\mathbb{S}^{n-1})}. \quad (3.17)$$

By combining (3.17) and (3.15), we obtain (3.14). Then (3.8) follows, as does (3.1).

We will end our proof of Theorem 1.3 by providing a brief explanation of how one can derive (1.5) from (3.1).

Let $\beta : \mathbb{S}^{n-1} \rightarrow \mathbb{C}$ be an arbitrary regular H^1 atom on \mathbb{S}^{n-1} , i.e. $\beta(\cdot)$ is supported in $\mathbb{S}^{n-1} \cap B(p, h)$ for some $p \in \mathbb{S}^{n-1}$ and $h > 0$, has mean-value zero over \mathbb{S}^{n-1} , and satisfies $\|\beta\|_\infty \leq h^{1-n}$.

Suppose that $h < \frac{1}{4}$. Let M be an orthogonal matrix which has p as its first row vector. Define the linear transformation L on \mathbb{R}^n by

$$L(x_1, x_2, \dots, x_n) = (x_1, hx_2, \dots, hx_n)M,$$

and let

$$\tilde{\Omega}(x) = \frac{\det(L)|x|^n \beta(\frac{Lx}{|Lx|})}{|Lx|^n}$$

for $x \in \mathbb{R}^n \setminus \{0\}$. Then $\tilde{\Omega}$ is homogeneous of degree 0, has mean-value zero over \mathbb{S}^{n-1} and satisfies $\|\tilde{\Omega}\|_\infty \lesssim 1$. By the uniform nature of (3.1) which allows it to be applied with $P(x)$ and $Q(x)$ replaced by $P(Lx)$ and $Q(Lx)$, we get

$$\left| \text{p.v.} \int_{\mathbb{R}^n} e^{i(P(x) + \frac{1}{Q(x)})} \frac{\beta(\frac{x}{|x|})}{|x|^n} dx \right| = \left| \text{p.v.} \int_{\mathbb{R}^n} e^{i(P(Lx) + \frac{1}{Q(Lx)})} \frac{\tilde{\Omega}(x)}{|x|^n} dx \right| \leq B_{n,d} \|\tilde{\Omega}\|_{L^\infty(\mathbb{S}^{n-1})} \lesssim 1 \quad (3.18)$$

for all $h \in (0, \frac{1}{4})$. The above inequality also holds when $h \geq \frac{1}{4}$ as it follows directly from (3.1) and $\|\beta\|_\infty \leq h^{1-n} \lesssim 1$. Finally, by the atomic decomposition of $H^1(\mathbb{S}^{n-1})$ (see [2, 3]) and (3.18), one obtains (1.5). The proof of Theorem 1.3 is now complete.

References

- [1] L. Carleson, On convergence and growth of partial sums of Fourier series, *Acta Math.* **116** (1966), 135–157.
- [2] R. R. Coifman and G. Weiss, Extensions of Hardy spaces and their use in analysis, *Bull. Amer. Math. Soc.* **83** (1977), no. 4, 569–645.
- [3] L. Colzani, *Hardy spaces on spheres*, Ph.D. Thesis, Washington University, St. Louis, 1982.

- [4] C. Fefferman, Inequalities for strongly singular convolution operators, *Acta Math.* **124** (1970), 9–36.
- [5] M. Folch-Gabayet and J. Wright, An estimation for a family of oscillatory integrals, *Studia Math.* **154** (2003), no. 1, 89–97.
- [6] L. Grafakos, *Classical and Modern Fourier Analysis*, Pearson Education, Upper Saddle River, 2004.
- [7] D. H. Phong and E. M. Stein, Hilbert integrals, singular integrals, and Radon transforms I, *Acta Math.* **157** (1986), no. 1–2, 99–157.
- [8] F. Ricci and E. M. Stein, Harmonic analysis on nilpotent groups and singular integrals I. Oscillatory integrals, *J. Funct. Anal.* **73** (1987), no. 1, 179–194.
- [9] E. M. Stein, *Beijing Lectures in Harmonic Analysis*, Ann. of Math. Stud. 112, Princeton University, Princeton, 1986.
- [10] E. M. Stein, *Harmonic Analysis: Real-Variable Methods, Orthogonality, and Oscillatory Integrals*, Princeton Math. Ser. 43, Princeton University, Princeton, 1993.
- [11] E. M. Stein and S. Wainger, Problems in harmonic analysis related to curvature, *Bull. Amer. Math. Soc.* **84** (1978), no. 6, 1239–1295.