

Jing Mao, Shaodong Qin

ON PINCHING THEOREMS FOR COMPACT PSEUDO-UMBILICAL SUBMANIFOLD

Abstract. Consider submanifolds in the nested space. For a compact pseudo-umbilical submanifold with parallel mean curvature vector of a Riemannian submanifold with constant curvature immersed in a quasi-constant curvature Riemannian manifold, two sufficient conditions are given to let the pseudo-umbilical submanifold become a totally umbilical submanifold.

1. Introduction

Suppose M_2^{n+p+q} is an $(n+p+q)$ -dimensional quasi-constant curvature Riemannian manifold, $M_1^{n+p}(c)$ is an $(n+p)$ -dimensional submanifold with constant curvature c in M_2^{n+p+q} , and M^n is a compact pseudo-umbilical submanifold with parallel mean curvature vector in $M_1^{n+p}(c)$. Then we know that M^n is a submanifold in M_2^{n+p+q} . Now, we use σ and σ' to denote the norm of second fundamental form of M^n in M_2^{n+p+q} and $M_1^{n+p}(c)$ respectively. According to our discussion in the sequel, we can get two sufficient conditions to make the compact pseudo-umbilical submanifold M^n be a totally umbilical submanifold in $M_1^{n+p}(c)$ as follows.

THEOREM 1. *Suppose $M_1^{n+p}(c)$ is a submanifold with constant curvature c in a quasi-constant curvature space M_2^{n+p+q} and M^n is a compact pseudo-umbilical submanifold with parallel mean curvature vector in $M_1^{n+p}(c)$, where $n, p \geq 2$, if the infimum Q of Ricci curvature R_{ii} on M^n satisfies*

$$Q \geq \frac{2n(p-2)(a+H^2) + (p-2)(n+1)(b+|b|)}{2[2+n(p-1)]} + (n-2)(c+H^2) - \frac{n-2}{n(n-1)}\tau',$$

then M^n is a totally umbilical submanifold in $M_1^{n+p}(c)$.

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THEOREM 2. Suppose $M_1^{n+p}(c)$ is a submanifold with constant curvature c in a quasi-constant curvature space M_2^{n+p+q} and M^n is a compact pseudo-umbilical submanifold with parallel mean curvature vector in $M_1^{n+p}(c)$, where $n, p \geq 2$, if the infimum Q of Ricci curvature R_{ii} on M^n satisfies one of the following conditions

- (i) $Q \geq (n-2)(c+H^2) - \frac{n-2}{n(n-1)}\tau' + \mu(\sigma - nH^2),$
(ii) $Q \geq (n-2)(c+H^2) - \frac{n-2}{n(n-1)}\tau' + \mu(\sigma' - nH^2),$

where $\mu = \min\{\frac{1}{2}, \frac{p-2}{n(p-1)}\}$, then M^n is a totally umbilical submanifold in $M_1^{n+p}(c)$.

2. Local formulas

We choose a local orthogonal frame field $\{e_1, \dots, e_n, e_{n+1}, \dots, e_{n+p}, e_{n+p+1}, \dots, e_{n+p+q}\}$ on M_2^{n+p+q} , such that $\{e_1, \dots, e_n\}$ is a tangent frame field and $\{e_{n+1}, \dots, e_{n+p}\}$ is a normal frame field on M^n when $M_1^{n+p}(c)$ restricts to M^n . While M_2^{n+p+q} restricts to M^n , $\{e_1, \dots, e_n\}$ is a tangent frame field and $\{e_{n+1}, \dots, e_{n+p}, e_{n+p+1}, \dots, e_{n+p+q}\}$ is a normal frame field on M^n . We fix the range of indices as follows

$$1 \leq i, j, k, \dots, \leq n; \quad 1 \leq A, B, \dots, \leq n+p+q, \\ n+1 \leq \alpha_1, \beta_1, \dots, \leq n+p; \quad n+1 \leq \alpha_2, \beta_2, \dots, \leq n+p+q.$$

Since M_2^{n+p+q} is a quasi-constant curvature Riemannian manifold, its curvature tensor field satisfies

$$(1) \quad K_{ABCD}^{M_2} = a(\delta_{AC}\delta_{BD} - \delta_{AD}\delta_{BC}) + b(\delta_{AC}\lambda_B\lambda_D \\ + \delta_{BD}\lambda_A\lambda_C - \delta_{AD}\lambda_B\lambda_C - \delta_{BC}\lambda_A\lambda_D),$$

where $\sum_A \lambda_A^2 = 1$ and a, b, λ_A are smooth functions on M_2^{n+p+q} .

Meanwhile, we can get the following conclusions from [1]

$$(2) \quad \sigma = \sum_{\alpha_2=n+1}^{n+p+q} \text{tr} H_{\alpha_2}^2, \quad \sigma' = \sum_{\alpha_1=n+1}^{n+p} \text{tr} H_{\alpha_1}^2, \quad \sigma \geq \sigma'.$$

Let

$$(3) \quad \tau = \sigma - \text{tr} H_{n+1}^2 = \sum_{\alpha_2=n+2}^{n+p+q} \text{tr} H_{\alpha_2}^2, \quad \tau' = \sigma' - \text{tr} H_{n+1}^2 = \sum_{\alpha_1=n+2}^{n+p} \text{tr} H_{\alpha_1}^2,$$

then by properly adjusting the normal frames so that the mean curvature vector of M^n is in the direction of e_{n+1} , this adjustment can be assured by

a degenerated transformation, we can get the following relations

$$(4) \quad \tau = \sigma - nH^2, \quad \tau' = \sigma' - nH^2, \quad \tau \geq \tau',$$

and for any $A \in R$ the equality

$$(5) \quad \begin{aligned} & \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} \\ &= (1+A) \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j,k,m} h_{ij}^{\alpha_1} (h_{km}^{\alpha_1} R_{mijk} + h_{mi}^{\alpha_1} R_{mkjk}) \\ & \quad - An(a+H^2)\tau' - Ab \left(\sum_k \lambda_k^2 \right) \tau' - Anb \sum_{\alpha_1=n+2}^{n+p} \sum_i \left(\sum_m \lambda_m h_{im}^{\alpha_1} \right)^2 \\ & \quad + A \sum_{\alpha_1=n+2}^{n+p} \sum_{\alpha_2=n+2}^{n+p+q} [\text{tr}(H_{\alpha_1} H_{\alpha_2})]^2 \\ & \quad + A \sum_{\alpha_1=n+2}^{n+p} \sum_{\alpha_2=n+2}^{n+p+q} [\text{tr}(H_{\alpha_1}^2 H_{\alpha_2}^2) - \text{tr}(H_{\alpha_1} H_{\alpha_2})^2] \\ & \quad - A \sum_{\alpha_1=n+2}^{n+p} \sum_{\beta_1=n+2}^{n+p} [\text{tr}(H_{\alpha_1}^2 H_{\beta_1}^2) - \text{tr}(H_{\alpha_1} H_{\beta_1})^2], \end{aligned}$$

always holds.

Since $0 \leq \sum_k \lambda_k^2 \leq 1$, by Schwarz inequality, we can obtain

$$(6) \quad \begin{aligned} 0 &\leq \sum_{\alpha_1=n+2}^{n+p} \sum_i \left(\sum_m \lambda_m h_{im}^{\alpha_1} \right)^2 \\ &\leq \sum_{\alpha_1=n+2}^{n+p} \sum_i \left(\sum_m \lambda_m^2 \right) \sum_m (h_{im}^{\alpha_1})^2 \leq \sum_{\alpha_1=n+2}^{n+p} \sum_{i,m} (h_{im}^{\alpha_1})^2 = \tau'. \end{aligned}$$

LEMMA 1. (see [2]) Suppose $M_1^{n+p}(c)$ is a submanifold of M_2^{n+p+q} , M^n is a submanifold of $M_1^{n+p}(c)$, τ and τ' are given by (3), then

$$\tau\tau' \geq \sum_{\alpha_1=n+2}^{n+p} \sum_{\alpha_2=n+2}^{n+p+q} [\text{tr}(H_{\alpha_1} H_{\alpha_2})]^2 \geq \frac{1}{p-1} (\tau')^2.$$

LEMMA 2. (see [2]) Suppose $M_1^{n+p}(c)$ is a submanifold of M_2^{n+p+q} , M^n is a submanifold of $M_1^{n+p}(c)$, τ and τ' are given by (3), then

$$\begin{aligned}
\text{(i)} \quad 0 &\leq \sum_{\alpha_1=n+2}^{n+p} \sum_{\beta_1=n+2}^{n+p} [\text{tr}(H_{\alpha_1}^2 H_{\beta_1}^2) - \text{tr}(H_{\alpha_1} H_{\beta_1})^2] \leq \frac{p-2}{p-1}(\tau')^2, \\
\text{(ii)} \quad 0 &\leq \sum_{\alpha_1=n+2}^{n+p} \sum_{\beta_1=n+2}^{n+p} [\text{tr}(H_{\alpha_1}^2 H_{\beta_1}^2) - \text{tr}(H_{\alpha_1} H_{\beta_1})^2] \\
&\leq \frac{n}{2} \sum_{\alpha_1=n+2}^{n+p} \sum_{\beta_1=n+2}^{n+p} [\text{tr}(H_{\alpha_1} H_{\beta_1})]^2 \leq \frac{n}{2}(\tau')^2, \\
\text{(iii)} \quad 0 &\leq \sum_{\alpha_1=n+2}^{n+p} \sum_{\alpha_2=n+2}^{n+p+q} [\text{tr}(H_{\alpha_1}^2 H_{\alpha_2}^2) - \text{tr}(H_{\alpha_1} H_{\alpha_2})^2] \\
&\leq \tau\tau' - \sum_{\alpha_1=n+2}^{n+p} (\text{tr} H_{\alpha_1}^2)^2 \leq \tau\tau' - \frac{1}{p-1}\tau'^2 \leq \tau\tau'.
\end{aligned}$$

LEMMA 3. (see [2]) Suppose M^n is a submanifold of $M_1^{n+p}(c)$, K is the infimum of sectional curvatures on M^n , then

$$\sum_{\alpha_1=n+2}^{n+p} \sum_{i,j,k,m} h_{ij}^{\alpha_1} (h_{km}^{\alpha_1} R_{mijk} + h_{mi}^{\alpha_1} R_{mkjk}) \geq n\tau'K.$$

LEMMA 4. Suppose M^n is a pseudo-umbilical submanifold with parallel mean curvature vector in a Riemannian manifold $M_1^{n+p}(c)$ with constant curvature ($n \geq 2$), K is the infimum of sectional curvatures R_{ijij} , and Q is the infimum of Ricci curvatures R_{ii} , then

$$K \geq Q - (n-2)(c + H^2) + \frac{n-2}{n(n-1)}\tau'.$$

Proof. By Gauss equation, we can get the following equality

$$(7) \quad R_{ijij} = c + \sum_{\alpha_1} [h_{ii}^{\alpha_1} h_{jj}^{\alpha_1} - (h_{ij}^{\alpha_1})^2],$$

holds when $i \neq j$. Meanwhile, by the definition of Ricci curvature we can obtain

$$(8) \quad R_{ii} = \sum_{k \neq i} R_{ikik} = (n-1)c + \sum_{\alpha_1} h_{ii}^{\alpha_1} \sum_{k \neq i} h_{kk}^{\alpha_1} - \sum_{\alpha_1} \sum_{k \neq i} (h_{ki}^{\alpha_1})^2,$$

$$(9) \quad R_{jj} = \sum_{k \neq j} R_{jkjk} = (n-1)c + \sum_{\alpha_1} h_{jj}^{\alpha_1} \sum_{k \neq j} h_{kk}^{\alpha_1} - \sum_{\alpha_1} \sum_{k \neq j} (h_{kj}^{\alpha_1})^2.$$

Then by the identities (7), (8) and (9), we get

$$\begin{aligned}
& 2R_{ijij} - R_{ii} - R_{jj} + 2(n-2)(c + H^2) \\
&= 2c + 2 \sum_{\alpha_1} h_{ii}^{\alpha_1} h_{jj}^{\alpha_1} - \sum_{\alpha_1} 2(h_{ij}^{\alpha_1})^2 - 2c + 2(n-2)H^2 \\
&\quad - \sum_{\alpha_1} h_{ii}^{\alpha_1} \sum_{k \neq i} h_{kk}^{\alpha_1} + \sum_{\alpha_1} \sum_{k \neq i} (h_{ki}^{\alpha_1})^2 - \sum_{\alpha_1} h_{jj}^{\alpha_1} \sum_{k \neq j} h_{kk}^{\alpha_1} + \sum_{\alpha_1} \sum_{k \neq j} (h_{kj}^{\alpha_1})^2 \\
&= \sum_{\alpha_1 \neq n+1} \left[\sum_{k \neq i} (h_{ki}^{\alpha_1})^2 + \sum_{k \neq j} (h_{kj}^{\alpha_1})^2 + 2h_{ii}^{\alpha_1} h_{jj}^{\alpha_1} - 2(h_{ij}^{\alpha_1})^2 \right. \\
&\quad \left. - h_{ii}^{\alpha_1} \sum_{k \neq i} h_{kk}^{\alpha_1} - h_{jj}^{\alpha_1} \sum_{k \neq j} h_{kk}^{\alpha_1} \right] \\
&\quad + 2(n-2)H^2 + \sum_{k \neq i} (h_{ki}^{n+1})^2 + \sum_{k \neq j} (h_{kj}^{n+1})^2 + 2h_{ii}^{n+1} h_{jj}^{n+1} - 2(h_{ij}^{n+1})^2 \\
&\quad - h_{ii}^{n+1} \sum_{k \neq i} h_{kk}^{n+1} - h_{jj}^{n+1} \sum_{k \neq j} h_{kk}^{n+1}.
\end{aligned}$$

Furthermore, since M^n is a pseudo-umbilical submanifold ($h_{ij}^{n+p} = H\delta_{ij}$), (3) and (4), we have

$$\begin{aligned}
& 2R_{ijij} - R_{ii} - R_{jj} + 2(n-2)(c + H^2) \\
&= \sum_{\alpha_1 \neq n+1} \left[\sum_{k \neq i} (h_{ki}^{\alpha_1})^2 + \sum_{k \neq j} (h_{kj}^{\alpha_1})^2 + 2h_{ii}^{\alpha_1} h_{jj}^{\alpha_1} - 2(h_{ij}^{\alpha_1})^2 \right. \\
&\quad \left. - h_{ii}^{\alpha_1} \sum_{k \neq i} h_{kk}^{\alpha_1} - h_{jj}^{\alpha_1} \sum_{k \neq j} h_{kk}^{\alpha_1} \right] \\
&= \sum_{\alpha_1 \neq n+1} \left[\sum_{k \neq i} (h_{ki}^{\alpha_1})^2 + \sum_{k \neq j} (h_{kj}^{\alpha_1})^2 + 2h_{ii}^{\alpha_1} h_{jj}^{\alpha_1} - 2(h_{ij}^{\alpha_1})^2 + (h_{ii}^{\alpha_1})^2 + (h_{jj}^{\alpha_1})^2 \right] \\
&= \sum_{\alpha_1 \neq n+1} \left[\sum_k (h_{ki}^{\alpha_1})^2 + \sum_k (h_{kj}^{\alpha_1})^2 + 2h_{ii}^{\alpha_1} h_{jj}^{\alpha_1} - 2(h_{ij}^{\alpha_1})^2 \right].
\end{aligned}$$

That is

$$\begin{aligned}
& 2R_{ijij} - R_{ii} - R_{jj} + 2(n-2)(c + H^2) \\
&= \sum_{\alpha_1 \neq n+1} \left[\sum_k (h_{ki}^{\alpha_1})^2 + \sum_k (h_{kj}^{\alpha_1})^2 + 2h_{ii}^{\alpha_1} h_{jj}^{\alpha_1} - 2(h_{ij}^{\alpha_1})^2 \right].
\end{aligned}$$

Summing from 1 to n with respect to index i ($i \neq j$), and using the identity (3), we can obtain

$$\begin{aligned}
& \sum_{i \neq j} 2R_{ijij} - \sum_{i \neq j} R_{ii} - (n-1)R_{jj} + 2(n-1)(n-2)(c + H^2) \\
&= \sum_{\alpha_1 \neq n+1} \left[\sum_{i,k} (h_{ki}^{\alpha_1})^2 - \sum_k (h_{kj}^{\alpha_1})^2 + (n-1) \sum_k (h_{kj}^{\alpha_1})^2 \right. \\
&\quad \left. + \sum_{i \neq j} 2h_{ii}^{\alpha_1} h_{jj}^{\alpha_1} - 2 \sum_{i \neq j} (h_{ij}^{\alpha_1})^2 \right] \\
&= \sum_{\alpha_1 \neq n+1} \sum_{i,k} (h_{ki}^{\alpha_1})^2 + (n-2) \sum_{\alpha_1 \neq n+1} \sum_k (h_{kj}^{\alpha_1})^2 + 2 \sum_{\alpha_1 \neq n+1} \left(\sum_i h_{ii}^{\alpha_1} - h_{jj}^{\alpha_1} \right) h_{jj}^{\alpha_1} \\
&\quad - 2 \sum_{\alpha_1 \neq n+1} \left[\sum_i (h_{ij}^{\alpha_1})^2 - (h_{jj}^{\alpha_1})^2 \right] \\
&= \tau' + (n-4) \sum_{\alpha_1 \neq n+1} \sum_k (h_{kj}^{\alpha_1})^2,
\end{aligned}$$

which implies

$$\begin{aligned}
\sum_{i \neq j} 2R_{ijij} &= \sum_{i \neq j} R_{ii} + (n-1)R_{jj} - 2(n-1)(n-2)(c + H^2) \\
&\quad + \tau' + (n-4) \sum_{\alpha_1 \neq n+1} \sum_k (h_{kj}^{\alpha_1})^2.
\end{aligned}$$

Since $R_{ii} \geq Q$, $R_{jj} \geq Q$, we get

$$\begin{aligned}
\sum_{i \neq j} 2R_{ijij} &\geq 2(n-1)Q - 2(n-1)(n-2)(c + H^2) \\
&\quad + \tau' + (n-4) \sum_{\alpha_1 \neq n+1} \sum_k (h_{kj}^{\alpha_1})^2.
\end{aligned}$$

Because the choice of index j is arbitrary, we can sum over the index j from 1 to n and get

$$\sum_{i \neq j} \left(\sum_j 2R_{ijij} \right) \geq 2n(n-1)Q - 2n(n-1)(n-2)(c + H^2) + 2(n-2)\tau'.$$

Since K is the infimum of sectional curvatures, we have

$$K \geq Q - (n-2)(c + H^2) + \frac{n-2}{n(n-1)}\tau',$$

which completes the proof of the Lemma. ■

3. Proofs of theorems

Proof of Theorem 1. Let $A \geq 0$, we can derive the following results from identities (4), (5), (6), Lemma 1, Lemma 3 and Lemma 2(ii).

(i) When $b \geq 0$

$$\begin{aligned} & \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} \\ & \geq (1+A)n\tau'K - An(a+H^2)\tau' - Ab\tau' - Anb\tau' + A\frac{1}{p-1}(\tau')^2 - \frac{n}{2}(\tau')^2 \\ & = \tau' \left\{ (1+A)nK - An(a+H^2) - A(n+1)b + \frac{A}{p-1}\tau' - \frac{n}{2}\tau' \right\}. \end{aligned}$$

Let $A = \frac{n(p-1)}{2}$, then we have

$$\begin{aligned} (10) \quad & \frac{1}{2}\Delta\tau' = \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j,k} (h_{ijk}^{\alpha_1})^2 + \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} \\ & \geq \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j,k} (h_{ijk}^{\alpha_1})^2 \\ & \quad + \frac{n\tau'}{2} \{ [2 + (p-1)n]K - (p-1)n(a+H^2) - (p-1)(n+1)b \} \\ & \geq \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j,k} (h_{ijk}^{\alpha_1})^2 + \frac{n\tau'}{2} \left\{ [2 + (p-1)n] \left[Q - (n-2)(c+H^2) + \frac{n-2}{n(n-1)}\tau' \right] \right. \\ & \quad \left. - (p-1)n(a+H^2) - (p-1)(n+1)b \right\}, \end{aligned}$$

(ii) When $b < 0$

$$\begin{aligned} \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} & \geq (1+A)n\tau'K - An(a+H^2)\tau' + A\frac{1}{p-1}(\tau')^2 - \frac{n}{2}(\tau')^2 \\ & = \tau' \left\{ (1+A)nK - An(a+H^2) + \left[\frac{A}{p-1} - \frac{n}{2} \right] \tau' \right\}. \end{aligned}$$

Let $A = \frac{n(p-1)}{2}$, then we have

$$\begin{aligned} (11) \quad & \frac{1}{2}\Delta\tau' = \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j,k} (h_{ijk}^{\alpha_1})^2 + \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} \\ & \geq \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j,k} (h_{ijk}^{\alpha_1})^2 + \frac{n\tau'}{2} \left\{ [2 + (p-1)n] \left[Q - (n-2)(c+H^2) \right. \right. \\ & \quad \left. \left. + \frac{n-2}{n(n-1)}\tau' \right] - (p-1)n(a+H^2) \right\}. \end{aligned}$$

Obviously, the right hand side of inequalities (10) and (11) are nonnegative. By Hopf maximum principle, we know that τ' is a constant, then $\Delta\tau' = 0$, so

$$(12) \quad \frac{n\tau'}{2} \left\{ [2 + (p-1)n] \left[Q - (n-2)(c + H^2) + \frac{n-2}{n(n-1)}\tau' \right] - (p-1)n(a + H^2) - (p-1)(n+1)b \right\} = 0, \quad b \geq 0,$$

and

$$(13) \quad \frac{n\tau'}{2} \left\{ [2 + (p-1)n] \left[Q - (n-2)(c + H^2) + \frac{n-2}{n(n-1)}\tau' \right] - (p-1)n(a + H^2) \right\} = 0, \quad b < 0.$$

When

$$Q > \frac{2n(p-2)(a + H^2) + (p-2)(n+1)(b + |b|)}{2[2 + n(p-1)]} + (n-2)(c + H^2) - \frac{n-2}{n(n-1)}\tau'.$$

Using the identities (12) and (13), we can get

$$\tau' = 0.$$

When

$$Q = \frac{2n(p-2)(a + H^2) + (p-2)(n+1)(b + |b|)}{2[2 + n(p-1)]} + (n-2)(c + H^2) - \frac{n-2}{n(n-1)}\tau',$$

inequalities in Lemma 2.1 and Lemma 2.2 become equalities, so

$$(14) \quad \sum_{\alpha_1=n+2}^{n+p} \sum_{\beta_1=n+2}^{n+p} [\text{tr}(H_{\alpha_1}^2 H_{\beta_1}^2) - \text{tr}(H_{\alpha_1} H_{\beta_1})^2] = \frac{p-2}{p-1} \left[\sum_{\alpha_1=n+2}^{n+p} \text{tr} H_{\alpha_1}^2 \right]^2.$$

The identity (14) holds if and only if the two following identities hold

$$(15) \quad \left(\sum_{\alpha_1=n+2}^{n+p} \text{tr} H_{\alpha_1}^2 \right)^2 = (p-1) \sum_{\alpha_1}^{n+p} [\text{tr} H_{\alpha_1}^2]^2,$$

$$(16) \quad (\lambda_i^{\alpha_1} - \lambda_k^{\alpha_1})^2 = 2[(\lambda_i^{\alpha_1})^2 + (\lambda_k^{\alpha_1})^2] = 2 \sum_j (\lambda_j^{\alpha_1})^2.$$

However, equality (15) is equal to

$$(17) \quad \text{tr} H_{n+2}^2 = \text{tr} H_{n+3}^2 = \cdots = \text{tr} H_{n+p}^2,$$

and we can derive the following identity from (16)

$$(18) \quad \lambda_i^{\alpha_1} = 0, \quad i = 1, \dots, n$$

which implies

$$(19) \quad \text{tr} H_{\alpha_1}^2 = \sum_i (\lambda_i^{\alpha_1})^2 = 0.$$

Combining (17) with equality (19), we obtain $\tau' = 0$. Together with the fact M^n is a pseudo-umbilical submanifold in $M_1^{n+p}(c)$, then we get that M^n is a totally umbilical submanifold in $M_1^{n+p}(c)$. ■

Proof of Theorem 2. Let $A = 0$, combining (4), (5), Lemma 2.3 with Lemma 2.2 (i), (ii), we can obtain the following inequalities

$$\begin{aligned} (i) \quad & \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} \geq n\tau'K - \frac{p-2}{p-1}(\tau')^2 \\ & = n\tau'[K - \frac{p-2}{n(p-1)}(\sigma' - nH^2)] \\ & \geq n\tau'[Q - (n-2)(c + H^2) + \frac{n-2}{n(n-1)}\tau - \frac{p-2}{n(p-1)}(\sigma' - nH^2)], \end{aligned}$$

$$\begin{aligned} (ii) \quad & \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} \geq n\tau'K - \frac{p-2}{p-1}(\tau')^2 \\ & \geq \tau'[nK - \frac{p-2}{p-1}\tau] = n\tau'[K - \frac{p-2}{n(p-1)}(\sigma - nH^2)] \\ & \geq n\tau'[Q - (n-2)(c + H^2) + \frac{n-2}{n(n-1)}\tau' - \frac{p-2}{n(p-1)}(\sigma - nH^2)], \end{aligned}$$

$$\begin{aligned} (iii) \quad & \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} \geq n\tau'K - \frac{n}{2}(\tau')^2 \geq n\tau'(K - \frac{1}{2}\tau) \\ & = \frac{n\tau'}{2}[2K - (\sigma - nH^2)] \\ & \geq \frac{n\tau'}{2}\{2[Q - (n-2)(c + H^2) + \frac{n-2}{n(n-1)}\tau'] - (\sigma - nH^2)\}, \end{aligned}$$

$$\begin{aligned} (iv) \quad & \sum_{\alpha_1=n+2}^{n+p} \sum_{i,j} h_{ij}^{\alpha_1} \Delta h_{ij}^{\alpha_1} \geq n\tau'K - \frac{n}{2}(\tau')^2 = n\tau'(K - \frac{1}{2}\tau') \\ & = n\tau'[K - \frac{1}{2}(\sigma' - nH^2)] \\ & \geq n\tau'[Q - (n-2)(c + H^2) + \frac{n-2}{n(n-1)}\tau' - \frac{1}{2}(\sigma' - nH^2)]. \end{aligned}$$

Similar with the proof of Theorem 1, we can get the conclusion that M^n is a totally umbilical submanifold in $M_1^{n+p}(c)$ under the assumption of Theorem 2. ■

REMARK 3. The key Lemma 4 has been pointed out in [3] for showing that under suitable assumptions therein a compact pseudo-umbilical submanifold with parallel mean curvature vector in a space form must be a totally umbilical submanifold. Here we would like to give the detailed proof again to emphasize the importance of this lemma in the derivation of Theorem 1 and Theorem 2. The sufficient conditions given here are sharper than those in [4], which implies our conclusions generalize the main results in [4].

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Jing Mao

SCHOOL OF MATHEMATICS AND COMPUTER SCIENCE
HUBEI UNIVERSITY
WUHAN 430062, PEOPLE'S REPUBLIC OF CHINA

and

DEPARTAMENTO DE MATEMÁTICA
INSTITUTO SUPERIOR TÉCNICO
TECHNICAL UNIVERSITY OF LISBON
EDIFÍCIO CIÊNCIA, PISO 3
Av. Rovisco Pais
1049-001 LISBOA, PORTUGAL
E-mail: jiner120@163.com, jiner120@tom.com

S. Qin

SCHOOL OF BUSINESS
MBA EDUCATIONAL CENTER
HUBEI UNIVERSITY
WUHAN 430062, PEOPLE'S REPUBLIC OF CHINA
E-mail: 271818069@qq.com

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