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## THE SECOND JUMP OF MILNOR NUMBERS

**Abstract.** Let  $f_0$  be a plane curve singularity. Let  $(\mu_0, \mu_1, \dots, \mu_k)$  be all possible Milnor numbers of non-degenerate deformations of  $f_0$  (in decreasing order). We prove that  $\mu_2 = \mu_1 - 1$  for  $f_0$  with one segment Newton polygon ( $\mu_1$  is given by the Bodin formula).

### 1. Introduction

Let  $f_0 : (\mathbb{C}^n, 0) \rightarrow (\mathbb{C}, 0)$  be an isolated singularity (for short a singularity), i.e.  $f_0$  is the germ of a holomorphic function having an isolated critical point at 0. A *deformation* of  $f_0$  is a family  $(f_s)_{s \in U}$  of isolated singularities (or smooth germs) analytically dependent on the parameter  $s$  in an open neighborhood  $U \subset \mathbb{C}$  of  $0 \in \mathbb{C}$ . The *jump of Milnor numbers of the deformation*  $(f_s)_{s \in U}$  is the number

$$\mu(f_0) - \mu(f_s) \quad s \in U \setminus \{0\},$$

where  $\mu(f_s)$  is the Milnor number of  $f_s$ . This number is well defined because  $\mu(f_s)$  is independent of  $s \neq 0$  for sufficiently small  $s$ . We will denote it by  $\lambda((f_s))$ . Moreover, by the upper semi-continuity of  $\mu$  (Proposition II.5.3 in [8], Theorem 2.6 in [2]) it is a non-negative integer. The *jump*  $\lambda(f_0)$  (or the first jump) of  $f_0$  is the minimum of the non-zero jumps of the  $(f_s)$  over all deformations of  $f_0$ . According to A. Bodin [1] N. A'Campo posed the problem to compute  $\lambda(f_0)$ . It is still an open problem. S. Gusein-Zade [3] proved that there are singularities  $f_0$  such that  $\lambda(f_0) > 1$  and that for irreducible  $f_0$ ,  $\lambda(f_0) = 1$ .

Bodin in [1] considered the following weaker problem: to compute the jump  $\lambda'(f_0)$  of  $f_0$  over all non-degenerate deformations of  $f_0$  (i.e.  $f_s$  are non-degenerate in the Kouchnirenko sense for  $s \neq 0$ ). Of course, we have always  $\lambda(f_0) \leq \lambda'(f_0)$ . For  $n = 2$  he gave a formula for  $\lambda'(f_0)$  for  $f_0$  with the

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Newton polygon reduced to one segment (in particular for  $f_0$  irreducible; in this case  $\lambda'(f_0) = 1$ ). Much more general problem is to compute all Milnor numbers arising from all deformations of  $f_0$  or at least from all non-degenerate deformations of  $f_0$ . In the last case to each singularity  $f_0$  we may associate a finite strictly decreasing sequence

$$\Lambda'(f_0) = (\mu_0, \mu_1, \dots, \mu_k),$$

of all possible Milnor numbers of non-degenerate deformations of  $f_0$ . We have  $\mu_0 = \mu(f_0)$ ,  $\mu_1 = \mu(f_0) - \lambda'(f_0)$ ,  $\mu_k = 0$ . This sequence may be curious. We easily check that

1. for  $f_0(x, y) = x^8 - y^5$ , we have  $\Lambda'(f_0) = (28, 27, \dots, 0)$ ,
2. for  $f_0(x, y) = x^8 - y^4$ , we have  $\Lambda'(f_0) = (21, 18, 17, \dots, 0)$ ,
3. for  $f_0(x, y) = x^7 - y^5$ , we have  $\Lambda'(f_0) = (24, 23, \dots, 16, 15, 13, 12, \dots, 0)$ .

The Bodin formula gives  $\mu_1$  for singularities with one segment Newton polygon. The main result of the paper is that for such singularities  $\mu_2 = \mu_1 - 1$  i.e. the "second jump" of  $f_0$  is always equal to 1.

## 2. Non-degenerate singularities

Let  $\mathbb{N} = \{0, 1, 2, \dots\}$ . Let  $f_0(x, y) = \sum_{(i,j) \in \mathbb{N}^2} a_{ij} x^i y^j$ ,  $f_0(0, 0) = 0$  be a singularity. Let  $\text{supp}(f_0) := \{(i, j) \in \mathbb{N}^2 : a_{ij} \neq 0\}$ . The *Newton diagram* of  $f_0$  is the convex closure of  $\bigcup_{(i,j) \in \text{supp}(f_0)} ((i, j) + \mathbb{R}_+^2)$ , ( $\mathbb{R}_+^2 = \{(x, y) \in \mathbb{R}^2 : x \geq 0 \wedge y \geq 0\}$ ). We denote it by  $\Gamma_+(f_0)$ .

The boundary of the Newton diagram  $\Gamma_+(f_0)$  is the union of two semi-lines and a finite number of compact, non-parallel segments, which are not contained in these semi-lines. These segments constitute the *Newton polygon of singularity*  $f_0$ , which we will denote by  $\Gamma(f_0)$ . Often we will identify pairs  $(i, j) \in \mathbb{N}^2$  with monomials  $x^i y^j$ . We will call singularity  $f_0$  *convenient* if  $\Gamma(f_0)$  has common points with  $OX$  and  $OY$  axes.

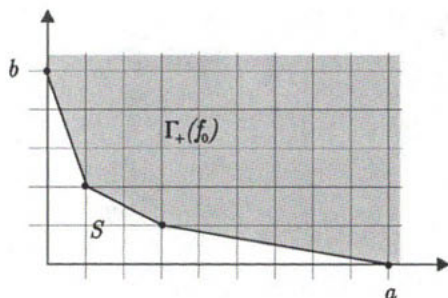
For segment  $\gamma \in \Gamma(f_0)$  we define  $(f_0)_\gamma := \sum_{(i,j) \in \gamma} a_{ij} x^i y^j$ . We call a singularity  $f_0$  *non-degenerate on*  $\gamma \in \Gamma(f_0)$  (in the Kouchnirenko sense), when the system of equations

$$\frac{\partial(f_0)_\gamma}{\partial x}(x, y) = 0, \quad \frac{\partial(f_0)_\gamma}{\partial y}(x, y) = 0$$

has no solutions in  $\mathbb{C}^* \times \mathbb{C}^*$ . We call a singularity  $f_0$  *non-degenerate*, when  $f_0$  is non-degenerate on every segment  $\gamma \in \Gamma(f_0)$ . We notice that if  $(f_s)$  is a deformation of  $f_0$ , then for sufficiently small  $s \neq 0$ , Newton's diagram  $\Gamma_+(f_s)$  doesn't depend on  $s$ .

Let  $f_0$  be a convenient singularity. By  $S$  we denote area of the set bounded by  $OX$  and  $OY$  axes and the polygon  $\Gamma(f_0)$ . By  $a$  and  $b$  we

denote distance between the origin  $(0,0)$  and the common part of Newton polygon  $\Gamma_+(f_0)$  with  $OX$  and  $OY$  axes.



For a convenient singularity  $f_0$  we define its *Newton's number* by

$$\nu(f_0) := 2S - a - b + 1.$$

It is easy to check that  $\nu(f_0) \geq 0$ .

We will remind a known theorem about non-degenerate singularities that we will use further.

**THEOREM 1.** (Kouchnirenko [4]) *Assume that a singularity  $f_0$  is convenient. Then*

- $\mu(f_0) \geq \nu(f_0)$ ,
- if  $f_0$  is non-degenerate, then  $\mu(f_0) = \nu(f_0)$ .

### 3. Non-degenerate jump of Milnor numbers of a singularity

Let  $f_0$  be a singularity. A deformation  $(f_s)_{s \in U}$  of  $f_0$  is called *non-degenerate* if  $f_s$  is non-degenerate for  $s \neq 0$ . The set of all non-degenerate deformations of the singularity  $f_0$  we will denote  $\mathcal{D}^{nd}(f_0)$ . *Non-degenerate jump*  $\lambda'(f_0)$  of the singularity  $f_0$  is the minimal of non-zero jumps over all non-degenerate deformations  $f_0$ , what means

$$\lambda'(f_0) := \min_{(f_s) \in \mathcal{D}^{nd}(f_0)} \lambda((f_s)),$$

where by  $\mathcal{D}_0^{nd}(f_0)$  we denote the all non-degenerate deformations  $(f_s)$  of  $f_0$  for which  $\lambda((f_s)) \neq 0$ .

Obviously

**PROPOSITION 1.** *For each singularity  $f_0$  we have the inequality*

$$\lambda(f_0) \leq \lambda'(f_0).$$

The above inequality may be strict.

**EXAMPLE 1.** Let  $f_0(x, y) = x^4 - y^4$ . From Gusein-Zade [3] we have  $\lambda(f_0) > 1$ . It is easy to check, that  $\lambda((f_s)) = 2$  for  $f_s(x, y) = x^4 - (y^2 + sx)^2$ . Therefore  $\lambda(f_0) = 2$ . From the next part of the article (see Theorem 3 and Example 2) we have  $\lambda'(f_0) = 3$ . It realizes non-degenerate deformation  $f_s(x, y) = x^4 - y^4 + sx^3$ ,  $s \in \mathbb{C}$ . Therefore, in this case  $\lambda(f_0) < \lambda'(f_0)$ .

#### 4. Formula for non-degenerate jump of a non-degenerate singularity

First we recall definitions and some well known facts about quasi-homogeneous polynomials. Let  $f \in \mathbb{C}[X, Y]$  be a non-constant polynomial. We call  $f$  *quasi-homogeneous polynomial of degree  $d$* , when there exists  $(m, n) \in \mathbb{N}_+^2$  such that,  $\text{GCD}(m, n) = 1$  and

$$f(\lambda^m x, \lambda^n y) = \lambda^d f(x, y).$$

We call numbers  $m$  and  $n$  *weights of variables  $x$  and  $y$* .  $f$  is a *homogeneous polynomial*, when  $m = n = 1$ .

**PROPERTY 1.** Let  $f$  be a quasi-homogeneous polynomial with weights  $m$  and  $n$ . Then there exists a homogeneous polynomial (a form)  $\nu$  and numbers  $r, s \in \mathbb{N}$  such that

$$f(x, y) = x^r y^s \nu(x^n, y^m), \quad \nu(0, y) \neq 0, \quad \nu(x, 0) \neq 0.$$

The form  $\nu$  is called *corresponding to  $f_0$* . Before we give Bodin formula for non-degenerate jump we will recall known properties about non-degenerate singularities. Let  $f_0$  be a singularity and  $\Gamma(f_0)$  its Newton polygon.

**PROPERTY 2.** For any  $\gamma \in \Gamma(f_0)$  polynomial  $(f_0)_\gamma$  is quasi-homogeneous.

**PROPERTY 3.** For any  $\gamma \in \Gamma(f_0)$  the ends of  $\gamma$  belong to  $\text{supp } f_0$ . If  $\gamma$  doesn't contain any other point of  $\text{supp } f_0$  besides ends, then  $f_0$  is non-degenerate on  $\gamma$ .

**PROPERTY 4.**  $f_0$  is non-degenerate on  $\gamma \in \Gamma(f_0) \Leftrightarrow$  the form  $\nu$  corresponding to  $(f_0)_\gamma$  has no multiple factors  $\Leftrightarrow$  discriminant  $\Delta(\nu)$  of the form  $\nu$  is not zero.

One can find Property 3 in [7] (the proof of Property 2.6) and Property 4 in [5].

Let  $f_0$  be a non-degenerate and convenient singularity. We will denote by  $J$  the set of all monomials  $x^p y^q$ , where  $p + q \geq 1$ , lying in closed domain bounded by axes and Newton diagram  $\Gamma_+(f_0)$ . Obviously  $J$  is a finite set.

**LEMMA 1.** For any  $x^p y^q \in J$  the deformation  $f_s = f_0 + s x^p y^q$ ,  $s \in U$ , is non-degenerate.

**Proof.** Because  $x^p y^q \in J$ , so for  $s \neq 0$ ,  $\text{supp}(f_s) = \{(p, q)\} \cup \text{supp} f_0$ . Therefore Newton diagram  $f_s$  is constant for sufficiently small  $s \neq 0$ . Let  $\gamma$  be a segment of the Newton polygon of  $f_s$ , for  $s \neq 0$ . We will consider cases:

1.  $(p, q) \notin \gamma$ . Then ends  $\gamma$  lie in  $\text{supp} f_0$ ,  $\gamma$  is segment of Newton polygon of singularity  $f_0$  and  $(f_s)_\gamma = (f_0)_\gamma$ . Because  $f_0$  is non-degenerate, so  $f_s$  is non-degenerate over  $\gamma$ .

2.  $(p, q) \in \gamma$  and besides  $(p, q)$  there exists the only one point from  $\text{supp} f_0$ , (which we denote by  $(k, l)$ ) lying in  $\gamma$ . Then  $(k, l)$  and  $(p, q)$  are the ends of  $\gamma$ . From Property 3  $f_s$  is non-degenerate on  $\gamma$ .

3.  $(p, q) \in \gamma$  and besides  $(p, q)$  there exist more than one point of  $\text{supp}(f_s)$  lying on  $\gamma$ . We will consider subcases:

(i)  $(p, q) \in \Gamma(f_0)$ . Consider the discriminant  $\Delta(s)$  of the form  $\nu_s$  corresponding to  $(f_s)_\gamma$ . The value  $\Delta(0)$  is equal to the discriminant of the form corresponding to  $(f_0)_\gamma$ , so  $\Delta(0) \neq 0$  (because  $f_0$  is non-degenerate on  $\gamma$ ). Therefore  $\Delta(s) \neq 0$  for  $s$  from sufficiently small neighborhood of zero. From Property 4,  $f_s$  is non-degenerate on  $\gamma$ .

(ii)  $(p, q) \notin \Gamma(f_0)$ . Then  $(p, q)$  is an end of  $\gamma$ . In this case  $\gamma$  is a continuation of a certain segment  $\gamma_0 \in \Gamma(f_0)$ . Without loss of generality we may assume, that  $(p, q)$  is the left end of  $\gamma$ . Let  $(f_s)_\gamma(x, y) = (f_0)_\gamma(x, y) + s x^p y^q$ . From Property 2 the polynomial  $(f_s)_\gamma$  is quasi-homogeneous. We denote by  $m, n$  weights of variables  $x$  and  $y$  and  $d$  degree of this polynomial. From Property 1 there exists homogeneous polynomial  $\nu_s$  and numbers  $r, t \in \mathbb{N}_+$  such that

$$(f_s)_\gamma(x, y) = x^r y^t \nu_s(x^n, y^m) \quad \nu_s(0, y) \neq 0, \quad \nu_s(x, 0) \neq 0.$$

Hence and from assumption  $\nu_s(x, y)$  has the form

$$\nu_s(x, y) = s y^d + a_1 y^{d-1} x + \dots + a_d x^d, \quad \text{where } a_d \neq 0.$$

Consider the discriminant  $\Delta(s)$  of the form  $\nu_s$  corresponding to  $(f_s)_\gamma$ . It is easy to check that  $\Delta(s) = (d^d a_d^{d-1}) \cdot s^d +$  terms of a degrees less than  $d$ . Because  $a_d \neq 0$ , so  $\deg_s \Delta(s) > 0$ . It means, that  $\Delta(s) \neq 0$  for  $s \neq 0$  in a certain neighborhood of zero. From Property 4  $f_s$  is non-degenerate on  $\gamma$ . ■

Lemma 1 says, that for each convenient and non-degenerate singularity  $f_0$  the deformation  $f_s = f_0 + s x^p y^q$ ,  $x^p y^q \in J$ ,  $s \in U$ , is a non-degenerate deformation of  $f_0$ . We will denote it by  $(f_s^{(p,q)})$ . In [1] Bodin gave the formula for  $\lambda'(f_0)$  in terms of the deformations  $(f_s^{(p,q)})$ . Since [1] has been published only in preprint form with sketchy proofs, we will give a full proof of the Bodin formula.

**THEOREM 2.** (Bodin [1]) *If  $f_0$  is a non-degenerate and convenient singularity, then*

$$\lambda'(f_0) = \min_{x^p y^q \in J_0} \lambda((f_s^{(p,q)})),$$

where  $J_0 \subset J$  is the set of monomials  $x^p y^q$  such that  $\lambda((f_s^{(p,q)})) \neq 0$ .

**Proof.** By the definition of  $\lambda'(f_0)$  we have to prove the equality

$$\min_{(f_s) \in \mathcal{D}_0^{nd}(f_0)} (\mu(f_0) - \mu(f_s)) = \min_{x^p y^q \in J_0} (\mu(f_0) - \mu(f_s^{(p,q)})).$$

The inequality " $\leq$ " is obvious. We will prove the opposite inequality " $\geq$ ". Take any non-degenerate deformation  $(f_s) \in \mathcal{D}_0^{nd}(f_0)$  of  $f_0$ .

Rearranging terms in  $f_s$ ,  $s \neq 0$ , we can rewrite it as follows

$$f_s(x, y) = f_0(x, y) + c_1(s)x^{p_1}y^{q_1} + \dots + c_k(s)x^{p_k}y^{q_k} + R(s, x, y),$$

$c_i \neq 0$ ,  $c_i(0) = 0$ ,  $(p_i, q_i) \in \Gamma(f_s)$ ,  $i = 1, \dots, k$ , and  $\text{supp} R$  lie above the Newton polygon of  $f_s$ . Because  $\lambda((f_s)) > 0$ , it is easy to prove, that among points  $(p_i, q_i)$ ,  $i = 1, \dots, k$ , there exists a point  $(p_j, q_j)$ , such that  $\lambda((f_0 + c_j(s)x^{p_j}y^{q_j})) > 0$ . We will show, that for this  $j$

$$\mu(f_0) - \mu(f_0 + c_j(s)x^{p_j}y^{q_j}) \leq \mu(f_0) - \mu(f_s).$$

It is enough to prove that

$$(\star) \quad \mu(f_0 + c_j(s)x^{p_j}y^{q_j}) \geq \mu(f_s).$$

Let  $S_1$ ,  $S_2$  be areas corresponding to deformations  $(f_0 + c_j(s)x^{p_j}y^{q_j})$  and  $(f_s)$ , respectively. By  $a_1$ ,  $b_1$  and  $a_2$ ,  $b_2$  we denote the distance between the origin  $(0, 0)$  and common part  $\Gamma(f_0 + c_j(s)x^{p_j}y^{q_j})$  and  $\Gamma(f_s)$  with axes  $OX$  and  $OY$ , respectively. Because deformations  $(f_0 + c_j(s)x^{p_j}y^{q_j})$  and  $(f_s)$  are non-degenerate, so by the Kouchnirenko Theorem it is enough to prove, that  $2(S_1 - S_2) - (a_1 - a_2) - (b_1 - b_2) \geq 0$ .

We will consider possible cases:

1.  $a_1 > a_2$ ,  $b_1 > b_2$ . We will denote by  $(m_l, n_l)$ ,  $l = 1, \dots, t$ , consecutive vertices of the Newton polygon of  $\Gamma(f_0 + c_j(s)x^{p_j}y^{q_j})$ . From  $a_1 > a_2$ ,  $b_1 > b_2$  it follows, that  $t \geq 3$ . We have, that  $(m_1, n_1) = (0, b_1)$  and  $(m_t, n_t) = (a_1, 0)$ . If we consider now triangles with vertices:  $(0, b_1)$ ,  $(0, b_2)$ ,  $(m_2, n_2)$  and  $(a_1, 0)$ ,  $(a_2, 0)$ ,  $(m_{t-1}, n_{t-1})$ , then denoting by  $h_1$ ,  $h_2$  ( $h_1, h_2 \geq 1$ ) their heights to bases  $(0, b_1), (0, b_2)$  and  $(a_1, 0), (a_2, 0)$ , respectively, we have

$$\begin{aligned} & 2(S_1 - S_2) - (a_1 - a_2) - (b_1 - b_2) \\ & \geq 2\left(\frac{1}{2}(a_1 - a_2) \cdot h_2 + \frac{1}{2}(b_1 - b_2) \cdot h_1\right) - (a_1 - a_2) - (b_1 - b_2) \\ & = (a_1 - a_2) \cdot (h_2 - 1) + (b_1 - b_2) \cdot (h_1 - 1) \geq 0. \end{aligned}$$

2.  $a_1 > a_2, b_1 = b_2$ . With the same notations as in the first case we have that

$$2(S_1 - S_2) - (a_1 - a_2) \geq 2 \cdot \frac{1}{2}(a_1 - a_2) \cdot h_2 - (a_1 - a_2) = (a_1 - a_2) \cdot (h_2 - 1) \geq 0.$$

3. When  $a_1 = a_2$  and  $b_1 > b_2$ , we have situation analogical to the second case.

4. If  $a_1 = a_2, b_1 = b_2$ , then obviously  $S_1 \geq S_2$  and then  $2(S_1 - S_2) \geq 0$ .

Therefore in every case we have  $(\star)$ . ■

**COROLLARY 1.** *If  $f_0, \tilde{f}_0$  are non-degenerate and convenient singularities and  $\Gamma(f_0) = \Gamma(\tilde{f}_0)$ , then  $\lambda'(f_0) = \lambda'(\tilde{f}_0)$ .*

## 5. The case of one segment Newton polygon

In some cases we can give exact value of the non-degenerate jump of a singularity. It happens when Newton polygon of  $f_0$  consists of only one segment (particularly when  $f_0$  is an irreducible singularity). We will begin with the simplest case.

**THEOREM 3.** (Bodin [1]) *Let  $f_0(x, y) = x^p - y^q$ ,  $p, q \geq 2$  and  $d := \text{GCD}(p, q)$ . Without loss of generality we may assume, that  $p \geq q$ .*

1. *If  $1 \leq d < q \leq p$ , then  $\lambda'(f_0) = d$ .*

2. *If  $d = q$ , then  $\lambda'(f_0) = q - 1$ .*

**Proof.** 1. There exist integers  $a, b$  such that

$$ap + bq = d.$$

We may assume, that  $a > 0, b < 0$  and  $a < q$ . Let's take monomial  $x^{-b}y^{q-a}$ . This monomial belongs to  $J$ , because  $-b > 0, q - a > 0$  and the point  $(-b, q - a)$  lies under line  $\frac{x}{p} + \frac{y}{q} = 1$  defined by the only segment of the Newton polygon  $f_0$ . Moreover it is an element of  $J_0$ , because the area of the triangle with vertices  $(p, 0), (0, q)$  and  $(-b, q - a)$  is equal to  $\frac{d}{2}$  which implies  $\lambda((f_s^{(-b, q-a)})) = d > 0$ . Hence

$$\lambda'(f_0) \leq d.$$

To prove the opposite inequality we will take any monomial  $x^r y^{q-s} \in J_0$ ,  $r \geq 0, q - s \geq 0$  and  $r + (q - s) > 0$ . Then the area of triangle with vertices  $(p, 0), (0, q), (r, q - s)$  is equal to  $\frac{|-sp + rq|}{2}$ . Since  $x^r y^{q-s} \in J_0$  then  $|-sp + rq| > 0$ .

Consider cases:

1<sup>o</sup>  $r > 0$  and  $q - s > 0$ . Then by the property of greatest common divisor

$$|-sp + rq| \geq d$$

and hence

$$\lambda((f_s^{(r,q-s)})) \geq d.$$

$2^0$   $r = 0$ . Then  $q - s > 0$  and

$$\lambda((f_s^{(0,q-s)})) = sp - s = s(p - 1) \geq sd \geq d.$$

$3^0$   $q - s = 0$ . Then  $r > 0$  and

$$\lambda((f_s^{(r,0)})) = (p - r)q - (p - r) = (p - r)(q - 1) \geq (p - r)d \geq d.$$

Hence by Theorem 2

$$\lambda'(f_0) \geq d.$$

Together

$$\lambda'(f_0) = d.$$

2. Observe first, that for the point  $(p - 1, 0)$  we have

$$\lambda((f_s^{(p-1,0)})) = 2\left(\frac{1}{2} \cdot 1 \cdot q\right) - 1 = q - 1.$$

Therefore  $\lambda'(f_0) \leq q - 1$ . On the other hand, taking any point of the form  $(p - m, 0)$ ,  $m = 2, \dots, p - 1$  we get

$$\lambda((f_s^{(p-m,0)})) = 2\left(\frac{1}{2} \cdot m \cdot q\right) - m = m(q - 1) > q - 1.$$

Similarly for any point of the form  $(0, q - m)$ ,  $m = 1, \dots, q - 1$  we get  $\lambda((f_s^{(0,q-m)})) = 2\left(\frac{1}{2} \cdot m \cdot p\right) - m = m(p - 1) \geq q - 1$ . Consider now a point  $(-u, q - w) \in J_0$  such that  $-u > 0$ ,  $q - w > 0$ . Then  $\lambda((f_s^{(-u,q-w)})) = |-up - wq| = q \left| \frac{up}{q} + w \right| \geq q > q - 1$ . ■

**EXAMPLE 2.** Let  $f_0(x, y) = x^4 - y^4$ . From the above theorem  $\lambda'(f_0) = 3$ . The jump is realized by the deformation  $f_s(x, y) = x^4 - y^4 + sx^3$ .

Consider now a general case of a singularity which Newton polygon consists of only one segment.

**COROLLARY 2.** *Let  $f_0$  be a non-degenerate and convenient singularity, with the Newton polygon reduced to only one segment. Then this segment connects points  $(p, 0)$  and  $(0, q)$  for some  $p, q \in \mathbb{N}$ ,  $p, q \geq 2$ . Moreover, if  $d = \text{GCD}(p, q)$ , then:*

1. *If  $1 \leq d < \min(p, q)$ , then  $\lambda'(f_0) = d$ .*
2. *If  $d = \min(p, q)$ , then  $\lambda'(f_0) = \min(p, q) - 1$ .*

**Proof.** The first part of the corollary is obvious, the second follows from Corollary 1 and Theorem 3. ■



## 6. The Milnor numbers of non-degenerate deformations of singularities

Let  $f_0$  be a non-degenerate and convenient singularity. Let

$$\lambda'(f_0) = (\mu_0, \mu_1, \mu_2, \dots, \mu_k)$$

be the strictly decreasing sequence of all possible Milnor numbers of all non-degenerate deformations ( $f_s$ ) of  $f_0$ . In particular,  $\mu_0 = \mu(f_0)$ ,  $\mu_1 = \mu(f_0) - \lambda'(f_0)$ ,  $\mu_k = 0$ . From Corollary 2, we have a formula for  $\mu_1$  in the case  $f_0$  is a singularity with one segment Newton polygon (in particular for  $f_0$  irreducible). Namely, if the ends of this segment are  $(p, 0)$  and  $(0, q)$ ,  $p, q \geq 2$ , then for  $d := \text{GCD}(p, q)$

1.  $\mu_1 = \mu_0(f) - d$  if  $1 \leq d < \min(p, q)$ ,
2.  $\mu_1 = \mu_0(f) - d + 1$  if  $d = \min(p, q)$ .

The main theorem of the paper is a formula for  $\mu_2$  in the same class of singularities.

We consider first simple singularities of the form  $x^p - y^q$ . Since the case  $p = q = 2$  is trivial (we have  $\lambda'(x^2 - y^2) = (1, 0)$ ), we will confine to the cases  $p > 2$  or  $q > 2$ .

**THEOREM 4.** *Let  $f_0(x, y) = x^p - y^q$ ,  $p \geq q \geq 2$ ,  $p + q \geq 5$ . Then*

$$\mu_2 = \mu_1 - 1.$$

In the proof we will use the following elementary lemma.

**LEMMA 2.** *Let  $p, q \in \mathbb{N}$ ,  $p > q \geq 1$  and  $d = \text{GCD}(p, q)$ . Assume  $d < q$  i.e.  $q \nmid p$ . Then there exist  $a, b \in \mathbb{Z}$  such that*

$$ap + bq = d, \quad 0 < a < \frac{q}{d}.$$

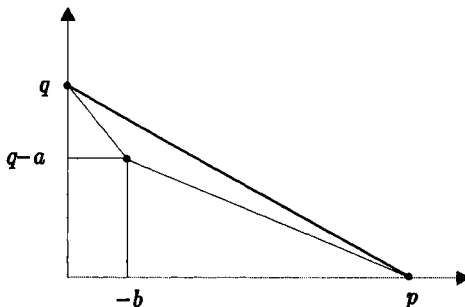
Moreover,  $a$  and  $b$  are unique and

$$\text{GCD}(a, b) = 1.$$

**The proof of Theorem 4.** Let's consider cases:

1.  $q \mid p$ . There exists  $n \in \mathbb{N}$  such that  $p = nq$ . From Corollary 2  $\lambda'(f_0) = q - 1$  and the jump is realized by the point  $(p - 1, 0)$  (precisely by the deformation  $(f_s^{(p-1, 0)})$ ). Since  $p \geq q \geq 2$ ,  $p + q \geq 5$ , then  $p - 1 > 1$  i.e.  $f(x, y) = x^{p-1} - y^q$  is an isolated singularity. The assumption  $q \mid p$  implies  $\text{GCD}(p - 1, q) = 1$ . Then there exists  $a, b$  such that  $a(p - 1) + bq = 1$ ,  $0 < a < q$ . Then the point  $(-b, q - a)$  realizes the jump equal to 1 for the function  $f(x, y) = x^{p-1} - y^q$ . So, the deformation composed of two points  $(p - 1, 0)$  and  $(-b, q - a)$  (i.e.  $f_s(x, y) = f_0(x, y) + sx^{p-1} + sx^{-b}y^{q-a}$ ) realizes the jump for  $f_0$  equal to  $\lambda'(f_0) + 1$ .

2.  $q \nmid p$ . Then  $\text{GCD}(p, q) =: d < q$ . Let  $ap + bq = d$ ,  $0 < a < \frac{q}{d}$ ,  $b < 0$ ,  $\text{GCD}(a, b) = 1$ . Then the point  $(-b, q - a)$  realizes the jump  $\lambda'(f_0) = d$ .



Observe that

$$1^0 \text{ GCD}(a, -b) = 1$$

$$2^0 \text{ GCD}(p + b, q - a) = 1$$

$1^0$  Follows from Lemma 2. For  $2^0$  let  $\text{GCD}(p + b, q - a) = r$ . We have

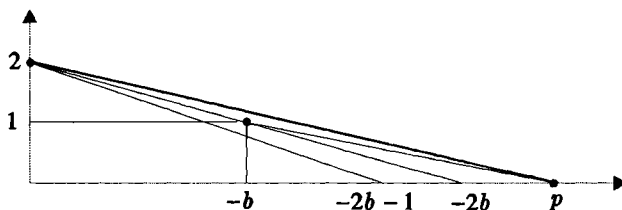
$$a(p + b) + b(q - a) = ap + ab + bq - ab = ap + bq = d.$$

Because  $r \mid (p + b)$  and  $r \mid (q - a)$ , so  $r \mid d$ . Then  $r \mid p$  and  $r \mid q$ . Since  $r \mid (p + b)$ , then  $r \mid b$  and analogously  $r \mid (q - a)$  implies  $r \mid a$ . Because  $\text{GCD}(a, b) = 1$ , we obtain  $r = 1$ .

Consider subcases:

(i)  $a = 1$ .

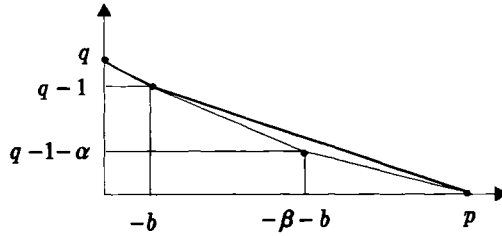
•  $q = 2$ . Since  $q \nmid p$  then  $p$  is odd. Then  $-b = \frac{p-1}{2}$ . Moreover, the point  $(-b, 1)$  realizes the jump equal to 1 for the function  $f_0(x, y) = x^p - y^2$ . Hence  $\lambda'(f_0) = 1$ .



We easily check that the point  $(-2b-1, 0)$  i.e. the deformation  $f_s(x, y) = f_0(x, y) + sx^{-2b-1}$  realizes the jump equal to  $2 = \lambda'(f_0) + 1$ .

•  $q > 2$ . Because  $\text{GCD}(p + b, q - 1) = 1$ , so there exist integers  $\alpha, \beta$  such that  $\alpha(p + b) + \beta(q - 1) = 1$ ,  $0 < \alpha < q - 1$ ,  $\beta < 0$ . The point  $(-\beta, q - 1 - \alpha)$  realizes the jump equal to 1 for the function  $f(x, y) = x^{p+b} - y^{q-1}$ . Since the point  $(-b, q - 1)$  gives the first jump equal to  $d$ , then

two points  $(-b, q-1)$  and  $(-\beta-b, q-1-\alpha)$  realize the jump  $d+1$  for  $f_0(x, y) = x^p - y^q$ . In fact it suffices to show that the following broken line  $(0, q)(-b, q-1)(-\beta-b, q-1-\alpha)(p, 0)$  is convex (as a graph of a function).



We must show that

$$\frac{-1}{b} \geq \frac{(q-1) - (q-1-\alpha)}{(-b-\beta) - (-b)}$$

i.e.

$$b\alpha - \beta \geq 0.$$

But, we have  $p + bq = d$  and  $\alpha(p+b) + \beta(q-1) = 1$ . Calculating from the first equality  $p+b = d - b(q-1)$  and substituting to the second, we get

$$\alpha(d - b(q-1)) + \beta(q-1) = 1.$$

Hence after simple calculations we obtain

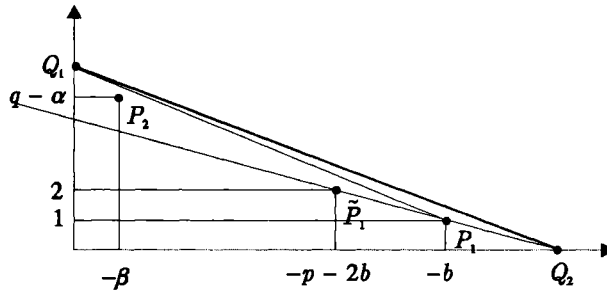
$$\frac{\alpha d - 1}{q-1} = \alpha b - \beta.$$

Because  $\alpha d - 1 \geq 0$  and  $q-1 > 0$ , then  $\alpha b - \beta \geq 0$ , as desired.

(ii)  $b = -1$ . Then  $a = 1$  (because  $p > q$ ), so we get the case (i).

(iii)  $a = q-1$ ,  $q \geq 3$ . Let  $Q_1 = (0, q)$  and  $Q_2 = (p, 0)$ . From Theorem 3,  $\lambda'(f_0) = d$  and the jump is realized by the point  $P_1 = (-b, 1)$ . By  $1^0 \text{GCD}(q-1, -b) = 1$  hence the point  $(-\beta, q-\alpha-1)$  with non-zero coordinates realizes the jump equal to 1 for the function  $f(x, y) = x^{-b} - y^{q-1}$ . We claim that the points  $P_1 = (-b, 1)$  and  $P_2 = (-\beta, q-\alpha)$  i.e. the deformation  $f_s(x, y) = f_0(x, y) + sx^{-b}y + sx^{-\beta}y^{q-\alpha}$  realize the jump equal to  $d+1 = \lambda'(f_0)+1$ . In fact, it suffices to show that the broken line  $\overline{Q_1 P_2 P_1 Q_2}$  is convex i.e.  $P_2$  lies over the line  $L_{Q_2 P_1}$ . Since  $q \geq 3$  then the equality  $(q-1)p + bq = d$

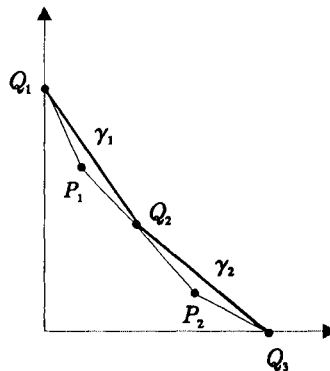
implies that  $-p - 2b > 0$ . Then the point  $\tilde{P}_1 = (-p - 2b, 2)$  lies on  $L_{Q_2 P_1}$  and the area of the triangle  $Q_1 P_1 \tilde{P}_1$  is equal to  $\frac{d}{2}$ .



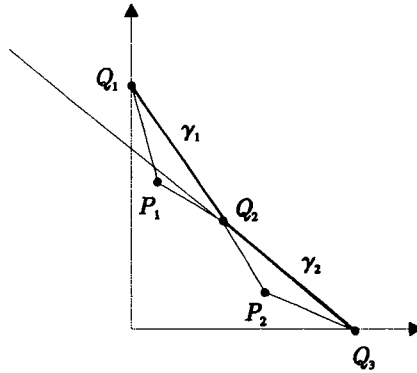
Since  $P_2$  realizes the jump for  $x^{-b} - y^{q-1}$  then  $\rho(P_2, L_{Q_1 P_1}) \leq \rho(\tilde{P}_1, L_{Q_1 P_1})$ . Suppose to the contrary that  $P_2$  lies beneath the line  $L_{Q_2 P_1}$ . Then  $P_2$  would lie on the right of  $\tilde{P}_1$  i.e.  $-\beta \geq -p - 2b$ . Moreover, its second coordinate  $q - 1 > 1$ . The only point which satisfies these conditions is  $\tilde{P}_1$ , which contradicts the supposition.

(iv)  $b = -(p - 1)$ . The case is impossible (because  $p > q$ ).

(v)  $1 < a < q - 1$ ,  $1 < -b < p - 1$ . Then  $p + b > 1$  and  $q - a > 1$ . Hence, from  $1^0$  and  $2^0$  there exist points  $P_1, P_2$  realizing the jumps equal to 1 for the functions  $f_1(x, y) = x^{-b} - y^a$  and  $f_2(x, y) = x^{p+b} - y^{q-a}$ , respectively. Denote  $Q_1 = (0, q)$ ,  $Q_2 = (-b, q - a)$ ,  $Q_3 = (p, 0)$  and  $\gamma_1 = \overline{Q_1 Q_2}$ ,  $\gamma_2 = \overline{Q_2 Q_3}$ .



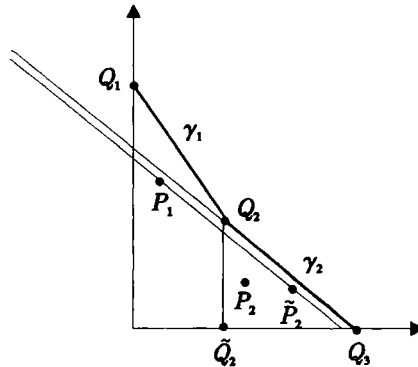
We claim that the broken line  $\overline{Q_1 P_1 Q_2 Q_3}$  or  $\overline{Q_1 Q_2 P_2 Q_3}$  is convex (in other words, one of the points  $P_1, P_2$  changes the Newton polygon of  $f_s^{(-b, q-a)}$  only on segment  $\gamma_1$  or  $\gamma_2$ ). In fact, let  $h_i = \rho(P_i, \gamma_i)$  be the distance of  $P_i$  to the segment  $\gamma_i$ ,  $i = 1, 2$ . We may assume that  $h_1 \leq h_2$  (the case  $h_2 \leq h_1$  is analogous). If our claim would be false, then the point  $P_1$  would lie beneath the line  $L_{\gamma_2}$  containing the segment  $\gamma_2$ .



Hence and from the convexity of  $\overline{Q_1Q_2Q_3}$

$$\tilde{h} := \rho(P_1, L_{\gamma_2}) < \rho(P_1, L_{\gamma_1}) = h_1.$$

In consequence  $\tilde{h} < h_2$ . If we translate the point  $P_1$  of a multiple of the length of segment  $\gamma_2$  along the direction of the line  $L_{\gamma_2}$ , then we obtain a point  $\tilde{P}_2$ , which will have integers coordinates and lie in a rectangle with one side  $\gamma_2$  and second of length  $\tilde{h}$ . Since always  $\tilde{h} < \frac{\sqrt{2}}{2}$ , then it is easy to check that  $\tilde{P}_2$  will lie in the triangle  $Q_2\tilde{Q}_2Q_3$ , where  $\tilde{Q}_2 = (-b, 0)$ .



But  $\rho(\tilde{P}_2, \gamma_2) = \rho(P_1, L_{\gamma_2}) = \tilde{h} < h_2 = \rho(P_2, \gamma_2)$  which contradicts the choice of the point  $P_2$ .

We have proved that  $\overline{Q_1P_1Q_2Q_3}$  or  $\overline{Q_1Q_2P_2Q_3}$  is convex. In consequence, the points  $P_1, Q_2$  or  $Q_2, P_2$  realize the jump  $d + 1 = \lambda'(f_0) + 1$ . ■

Summing up, we may formulate the known facts on Milnor numbers associated to a singularity.

**COROLLARY 3.** *Let  $f_0$  be a non-degenerate and convenient singularity, which Newton polygon is reduced to one segment. If  $\Lambda'(f_0) = (\mu_0, \mu_1, \dots, \mu_k)$  is the sequence of Milnor numbers associated to  $f_0$ , then*

1.  $\mu_0 = \mu_0(f_0)$ ,
2.  $\mu_1$  is given in Corollary 2,
3.  $\mu_2 = \mu_1 - 1$ .

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