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## BOUNDED SOLUTIONS OF A GENERALIZED GOŁĄB–SCHINZEL EQUATION

**Abstract.** Let  $X$  be a linear space over the field  $\mathbb{K}$  of real or complex numbers. We characterize solutions  $f : X \rightarrow \mathbb{K}$  and  $M : \mathbb{K} \rightarrow \mathbb{K}$  of the equation

$$f(x + M(f(x))y) = f(x)f(y)$$

in the case where the set  $\{x \in X : f(x) \neq 0\}$  has an algebraically interior point. As a consequence we give solutions of the equation such that  $f$  is bounded on this set.

### 1. Introduction

Let  $\mathbb{N}$ ,  $\mathbb{R}$  and  $\mathbb{C}$  denote the sets of positive integers, reals and complex numbers, respectively, and let  $X$  be a linear space over a field  $\mathbb{K}$ . The following two classical functional equations, the exponential one

$$(1) \quad f(x + y) = f(x)f(y)$$

and the Gołąb–Schinzel equation

$$(2) \quad f(x + f(x)y) = f(x)f(y),$$

(for  $f : X \rightarrow \mathbb{K}$ ) seem to be of a quite different nature. However, it is easily seen that the both equations are particular cases of the following general equation

$$(3) \quad f(x + M(f(x))y) = f(x)f(y)$$

(for  $f : X \rightarrow \mathbb{K}$  and  $M : \mathbb{K} \rightarrow \mathbb{K}$ ); with  $M = 1$  and  $M = \text{id}_{\mathbb{K}}$ , respectively. So we may say that equation (3) connects equations (1) and (2).

Equation (1) is very well known; for results and further references see e.g. the monograph [1, pp. 25–33, 52–57]. Equation (2) has been first studied by S. Gołąb and A. Schinzel in [8]. For further information on (2) we refer to a survey paper [6].

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J. Brzdęk has considered in [4] the generalized Gołąb–Schinzel equation

$$(4) \quad f(x + f(x)^k y) = f(x)f(y),$$

where  $k \in \mathbb{N}$ ,  $X$  is a linear space over the field  $\mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$  and  $f : X \rightarrow \mathbb{K}$ . He has assumed that  $\text{supp } f$  has an algebraically interior point. An analogous result for equation (3) in the case when  $\mathbb{K} = \mathbb{R}$  has been proved in author's papers [10] and [11]. In Section 3 we consider the more complicated case  $\mathbb{K} = \mathbb{C}$ . In Section 4 we characterize solutions of (3) under the assumption that  $f$  is bounded on a set having an algebraically interior point; our main theorem corresponds also to results found in the papers by J. Brzdęk [5] and by the author [9].

Throughout the paper we assume that

$$X \text{ is a linear space over } \mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$$

(unless explicitly stated otherwise).

**DEFINITION 1.** A point  $x \in B \subset X$ ,  $B \neq \emptyset$ , is said to be an algebraically interior point (*a.i.p.*) of  $B$  provided, for each  $y \in X$ , there is a  $c \in \mathbb{R}$ ,  $c > 0$ , such that  $x + ay \in B$  for  $a \in \mathbb{K}$ ,  $|a| < c$ .

In the whole paper, for  $f : X \rightarrow \mathbb{K}$ , we shall use the notations:

$$A := f^{-1}(\{1\}), \quad W := f(X) \setminus \{0\}, \quad F := \{x \in X : f(x) \neq 0\}.$$

## 2. Preliminary lemmas

First we recall some lemmas which will be useful in the sequel.

**LEMMA 1.** (cf. [4, Theorem 3]) A function  $f : X \rightarrow \mathbb{K}$  satisfies (2) and the set  $F$  has an a.i.p. if and only if the following two conditions hold:

- (i) if  $f(X) \subset \mathbb{R}$ , then there exists an  $\mathbb{R}$ -linear functional  $g : X \rightarrow \mathbb{R}$  such that either

$$f(x) = g(x) + 1 \quad \text{for } x \in X$$

or

$$f(x) = \max\{g(x) + 1, 0\} \quad \text{for } x \in X;$$

- (ii) if  $f(X) \not\subset \mathbb{R}$ , then there exists a  $\mathbb{C}$ -linear functional  $g : X \rightarrow \mathbb{C}$  such that  $f(x) = g(x) + 1$  for  $x \in X$ .

**LEMMA 2.** ([10, Lemma 2, Lemma 3]) Let  $f : X \rightarrow \mathbb{K}$ ,  $M : \mathbb{K} \rightarrow \mathbb{K}$ ,  $f \neq 1$  and  $f \neq 0$ . If  $f$ ,  $M$  satisfy equation (3), then the following properties hold:

- (i)  $f(M(f(x))^{-1}(z - x)) = f(z)f(x)^{-1}$  for  $x, z \in X$ ,  $f(x) \neq 0$ ;
- (ii)  $(M \circ f)^{-1}(\{0\}) = f^{-1}(\{0\})$ ;
- (iii)  $M(a)A = A$  for  $a \in W$ ;
- (iv)  $A$  is a subgroup of  $(X, +)$ ;

- (v)  $A \setminus \{0\}$  is the set of periods of  $f$  (i.e.  $f(x+z) = f(x)$  for every  $x \in X$  and  $z \in A \setminus \{0\}$ );
- (vi)  $W$  is a subgroup of  $(\mathbb{K} \setminus \{0\}, \cdot)$ ;
- (vii)  $y - x \in A$  for every  $x, y \in X$  with  $f(x) = f(y) \neq 0$ .

From Proposition 1 and Proposition 2, all in [10], we have the following

**LEMMA 3.** Let  $f : X \rightarrow \mathbb{K}$ ,  $M : \mathbb{K} \rightarrow \mathbb{K}$ ,  $f \neq 1$  and  $f \neq 0$ . If  $f$ ,  $M$  satisfy equation (3), then:

- (i) there exists a function  $w : W \rightarrow X$  such that  $x \in (w(f(x)) + A)$  for each  $x \in F$ ;
- (ii)  $f$  and  $\widetilde{M}$  satisfy (3), where

$$(5) \quad \widetilde{M}(a) = \frac{M(a)}{M(1)} \quad \text{for each } a \in \mathbb{K};$$

- (iii) if, moreover,  $M(1) = 1$  and  $M \circ f \neq 1$ , then  $0 \in f(X)$ .

**Proof.** From [10, Proposition 1 and Proposition 2] we have conditions (i) and (iii), respectively. To prove condition (ii), in the same way as in the proof of [10, Corollary 1], we put  $x = 0$  in (3). Then, in view of Lemma 2 (iv), we obtain  $f(M(1)y) = f(y)f(0) = f(y)$  for each  $y \in X$ . By Lemma 2 (ii) we have  $M(1) \neq 0$ . Whence, replacing  $y$  by  $\frac{z}{M(1)}$ , we obtain  $f(\frac{z}{M(1)}) = f(z)$  for  $z \in X$ . Consequently, for every  $x, z \in X$ ,

$$f(x + \widetilde{M}(f(x))z) = f(x + M(f(x))\frac{z}{M(1)}) = f(x)f(\frac{z}{M(1)}) = f(x)f(z),$$

what ends the proof. ■

**LEMMA 4.** (cf. [10, Lemma 4]) Let  $f : X \rightarrow \mathbb{K}$  and  $M : \mathbb{K} \rightarrow \mathbb{K}$  satisfy equation (3),  $f \neq 0$ ,  $M(1) = 1$  and  $M(W) \setminus \{1\} \neq \emptyset$ . Then there exists an  $x_0 \in X$  such that

$$(6) \quad F \subset (M(W) - 1)x_0 + A_0,$$

where  $A_0$  denotes the linear subspace of  $X$  spanned by  $A$  over the field

$$(7) \quad \mathbb{K}_0 = \begin{cases} \mathbb{R}, & \text{if } M(W) \subset \mathbb{R}; \\ \mathbb{C}, & \text{otherwise.} \end{cases}$$

Furthermore, if  $A_0 = A$ , then  $x_0 \notin A$ ,

$$(8) \quad x \in (M(f(x)) - 1)x_0 + A \quad \text{for each } x \in F$$

and the function  $M|_{f(X)}$  is injective and multiplicative.

**Proof.** Because of Lemma 4 in [10] only the multiplicativity of  $M|_{f(X)}$  requires a proof.

By Lemma 2 (ii), (vi), it is easy to see that

$$M(f(x)f(y)) = 0 = M(f(x))M(f(y))$$

for  $x, y \in X$  with  $f(x)f(y) = 0$ .

Now, take  $x, y \in X$  such that  $f(x)f(y) \neq 0$ . Then, by (8),

$$x = (M(f(x)) - 1)x_0 + z_1 \quad \text{and} \quad y = (M(f(y)) - 1)x_0 + z_2$$

for some  $z_1, z_2 \in A$ . According to equation (3)

$$\begin{aligned} f(x)f(y) &= f(x + M(f(x))y) \\ &= f((M(f(x)) - 1)x_0 + z_1 + M(f(x))((M(f(y)) - 1)x_0 + z_2)) \\ &= f((M(f(x))M(f(y)) - 1)x_0 + z_1 + M(f(x))z_2). \end{aligned}$$

Since  $A$  is a linear subspace of  $X$  over  $\mathbb{K}_0$ ,  $z_1 + M(f(x))z_2 \in A$ . Thus, in view of Lemma 2 (v),

$$f(x)f(y) = f((M(f(x))M(f(y)) - 1)x_0) \neq 0.$$

Next, by (8),

$$(M(f(x))M(f(y)) - 1)x_0 \in (M(f(x)f(y)) - 1)x_0 + A$$

and hence

$$[M(f(x))M(f(y)) - M(f(x)f(y))]x_0 \in A.$$

Consequently, since  $x_0 \notin A$  and  $A = A_0$  is a linear subspace of  $X$ ,

$$M(f(x))M(f(y)) = M(f(x)f(y)),$$

what completes the proof. ■

### 3. An algebraically interior point in $F$

Here we will prove a theorem generalizing Theorem 1 in [11] and Theorem 3 in [5].

To prove the main result we need two lemmas.

**LEMMA 5.** *Let  $X$  be a linear space over  $\mathbb{C}$ ,  $f : X \rightarrow \mathbb{C}$ ,  $M : \mathbb{C} \rightarrow \mathbb{C}$ ,  $M(1) = 1$  and  $M \circ f \neq 1$ . If  $f, M$  satisfy (3) and 0 is an a.i.p. of  $F$ , then  $M(W)$  contains a point which is not a root of unity.*

**Proof.** For the proof by contradiction suppose that

$$(9) \quad \text{for every } b \in W \text{ there exists a } k \in \mathbb{N} \text{ such that } (M(b))^k = 1.$$

As in the proof of [10, Lemma 6] we obtain that  $M(W) \setminus \{-1, 1\} \neq \emptyset$ . Thus  $k \geq 3$ ; i.e.  $M(f(y))^k = 1$  for some  $y \in X$  and  $k \in \mathbb{N}$  (the smallest possible). Using (3) we can prove by mathematical induction that for every  $n \in \mathbb{N} \setminus \{1\}$

and  $x \in X$

$$(10) \quad (f(x))^n = f\left(x\left(1 + \sum_{k=1}^{n-1} (M(f(x)))^k\right)\right),$$

and hence, for each  $x \in X$  with  $M(f(x)) \neq 1$ ,

$$(11) \quad (f(x))^n = f\left(\frac{1 - (M(f(x)))^n}{1 - M(f(x))} \cdot x\right).$$

By Lemma 2 (iv) we know that  $0 \in A$ , whence  $f(0) = 1$ . Thus, in view of (9), (11), we obtain that for each  $b \in W$  with  $M(b) \neq 1$  there exists a  $k \in \mathbb{N}$  fulfilling  $b^k = 1$ . Hence  $\text{card}\{a \in W : M(a) \neq 1\} \leq \aleph_0$ .

Now we show that  $\text{card}\{a \in W : M(a) = 1\} \leq \aleph_0$ . Let  $x \in X$  be such that  $M(f(x)) = 1$ . In view of (3)

$$f(y + M(f(y))x) = f(x)f(y) = f(x + M(f(x))y) = f(x + y).$$

Since  $M(f(y))^k = 1$  for some  $k \geq 3$  and  $M(f(x)) = 1$ , by Lemma 2 (ii),  $f(x)f(y) \neq 0$ . Hence, according to Lemma 2 (vii), we obtain that

$$(12) \quad x - M(f(y))x \in A.$$

Now we prove by mathematical induction that

$$(13) \quad x - M(f(y))^n x \in A \text{ for each } n \in \{1, 2, \dots, k-1\}.$$

For  $n = 1$  (13) coincides with (12). Assume that

$$x - M(f(y))^n x \in A \text{ for some } n \in \{2, \dots, k-2\}.$$

Using Lemma 2 (ii), (iii) we have

$$M(f(y))x - M(f(y))^{n+1}x \in M(f(y))A = A.$$

Now, in view of (12) and Lemma 2 (iv),

$$x - M(f(y))^{n+1}x \in A + A = A.$$

This ends the proof of condition (13).

By (13) and Lemma 2 (iv) we obtain

$$A \ni \sum_{n=1}^{k-1} (x - M(f(y))^n x) = kx - x \sum_{n=0}^{k-1} M(f(y))^n = kx$$

as  $M(f(y))^k = 1$ . But  $M(f(x)) = 1$ . Thus, in view of (10),  $1 = f(kx) = f(x)^k$ . Hence, by Lemma 2 (ii), for each  $b \in W$  such that  $M(b) = 1$ , there exists a  $k \in \mathbb{N}$  fulfilling  $b^k = 1$ . So we have proved that  $\text{card}\{a \in W : M(a) = 1\} \leq \aleph_0$ .

In this way we obtain that  $\text{card } W \leq \aleph_0$ . Now, fix a  $z \in X \setminus A$  and put  $F_z = \{a \in \mathbb{C} : az \in F\}$ . Then the functions  $f_z : \mathbb{C} \rightarrow \mathbb{C}$ ,  $f_z(a) = f(az)$ , and  $M$  satisfy (3),  $f_z \neq \text{const}$  and  $F_z = f_z^{-1}(\mathbb{C} \setminus \{0\})$ . Note that  $0 \in \text{int } F_z$ ,

because 0 is an *a.i.p.* of  $F$ . Put  $W_z = f_z(F_z)$  and  $A_z = f_z^{-1}(\{1\})$ . Then, by Lemma 3 (i),

$$F_z = \bigcup_{a \in W_z} (w(a) + A_z)$$

for a function  $w : W_z \rightarrow \mathbb{C}$ . Since  $W_z \subset W$  (i.e.  $\text{card } W_z \leq \aleph_0$ ), the set  $A_z$  is of second category. This implies, in view of Theorem of S. Piccard (see [12, Theorem 1, p. 48]), that  $0 \in \text{int}(\text{cl } A_z - \text{cl } A_z)$  and consequently, by Lemma 2 (iv),  $\text{int cl } A_z = \mathbb{C}$ . Hence,  $A_z$  is dense in  $\mathbb{C}$  and, according to Lemma 2 (v), we have  $\mathbb{C} = F_z + A_z = F_z$ . Consequently  $0 \notin f_z(\mathbb{C})$ . Now, by Lemma 3 (iii), we obtain that  $M \circ f_z = 1$  (because  $M(1) = 1$ ). Clearly, for  $z \in A$ ,  $M \circ f_z = 1$ , too. Lemma 2 (iv)  $f(0) = 1$ . Hence  $M \circ f = 1$ . This contradiction ends the proof. ■

**LEMMA 6.** *Let  $X$  be a linear space over  $\mathbb{C}$ ,  $f : X \rightarrow \mathbb{C}$ ,  $M : \mathbb{C} \rightarrow \mathbb{C}$ ,  $M(1) = 1$  and  $M \circ f \neq 1$ . If  $f$  and  $M$  satisfy (3) and the set  $F$  has an *a.i.p.*, then  $M|_{f(X)}$  is injective and multiplicative and the following conditions hold:*

(i) *if  $M(f(X)) \subset \mathbb{R}$ , then there exists an  $\mathbb{R}$ -linear functional  $g : X \rightarrow \mathbb{R}$ ,  $g \neq 0$ , such that either*

$$(14) \quad M(f(x)) = g(x) + 1 \text{ for } x \in X$$

*or*

$$(15) \quad M(f(x)) = \max\{0, g(x) + 1\} \text{ for } x \in X;$$

(ii) *if  $M(f(X)) \not\subset \mathbb{R}$ , then there exists a  $\mathbb{C}$ -linear functional  $g : X \rightarrow \mathbb{C}$ ,  $g \neq 0$ , such that  $f, M$  fulfill (14).*

**Proof.** Suppose that  $f$  and  $M$  satisfy equation (3) and  $x_0$  is an *a.i.p.* of  $F$ . By Lemma 2 (i),  $M(f(x_0))^{-1}(F - x_0) \subset F$ . Thus 0 is an *a.i.p.* of  $F$ .

First we prove that  $A$  is a linear subspace of  $X$  over the field  $\mathbb{K}_0$  given by (7). Let  $A_0$  denote the linear subspace of  $X$  spanned by  $A$  over  $\mathbb{K}_0$ . Fix  $c \in \mathbb{K}_0$  and  $w \in A \setminus \{0\}$ . On account of Lemma 2 (iii),  $aA = A$  for  $a \in M(W)$ , whence also for  $a \in W_0$ , where  $W_0$  is the multiplicative group generated by  $M(W)$ . According to Lemma 5,  $W_0$  is the infinite subgroup of  $(\mathbb{K}_0 \setminus \{0\}, \cdot)$  and hence, by [4, Lemma 2 and Lemma 3], the set  $A_w = \{a \in \mathbb{K}_0 : aw \in A\}$  is dense in  $\mathbb{K}_0$ . Since 0 is an *a.i.p.* of  $F$ , there is a  $d > 0$  such that  $aw \in F$  for  $|a| < d$ . Fix a  $b \in A_w$  with  $|b + c| < d$ . Then  $(c + b)w \in F$  and we get

$$0 \neq f((b + c)w) = f(bw + M(f(bw))cw) = f(bw)f(cw).$$

So we have proved that  $f(cw) \neq 0$  for  $w \in A \setminus \{0\}$  and  $c \in \mathbb{K}_0$ . Since, by Lemma 2 (iv),  $f(0) = 1$ , the condition  $f(cw) \neq 0$  holds for every  $w \in A$  and  $c \in \mathbb{K}_0$ . Moreover, for each  $w \in A$ , the functions  $f|_{\mathbb{K}_0 w}$  and  $M$  satisfy equation (3) for  $x, y \in \mathbb{K}_0 w$ . Hence, by Lemma 3 (iii),  $M \circ f|_{\mathbb{K}_0 w} = 1$  for  $w \in A$ .

To prove that  $A_0$  is a proper subspace of  $X$ , suppose that  $A_0 = X$ . Then  $A$  must contain a basis for  $X$ . Thus each  $x \in X$  is given by  $x = \sum_{i=1}^n \alpha_i z_i$  for some  $n \in \mathbb{N}$ ,  $\alpha_i \in \mathbb{K}_0$  and  $z_i \in A$ . But  $(M \circ f)(\alpha_i z_i) = 1$  for each  $i \in \{1, \dots, n\}$ . Hence, according to (3), we obtain

$$\begin{aligned} f(x) &= f\left(\sum_{i=1}^n \alpha_i z_i\right) = f\left(\alpha_1 z_1 + M(f(\alpha_1 z_1)) \sum_{i=2}^n \alpha_i z_i\right) \\ &= f(\alpha_1 z_1) f\left(\sum_{i=2}^n \alpha_i z_i\right) = f(\alpha_1 z_1) f\left(\alpha_2 z_2 + M(f(\alpha_2 z_2)) \sum_{i=3}^n \alpha_i z_i\right) \\ &= f(\alpha_1 z_1) f(\alpha_2 z_2) f\left(\sum_{i=3}^n \alpha_i z_i\right) = \dots = \prod_{i=1}^n f(\alpha_i z_i) \neq 0, \end{aligned}$$

what contradicts Lemma 3 (iii). This proves that  $A_0 \neq X$ .

Now, by Lemma 4, there exists an  $x_0 \in X$  such that

$$(16) \quad F \subset (M(W) - 1)x_0 + A_0.$$

We show that (16) implies  $x_0 \notin A_0$ . Otherwise, by linearity of  $A_0$  and condition (16),  $F \subset A_0$  and hence we would obtain that 0 is an *a.i.p.* of  $A_0$ . Consequently (by linearity of  $A_0$  once again)  $X = A_0$ , which leads to a contradiction. Thus  $x_0 \notin A_0$ .

Moreover, since 0 is an *a.i.p.* of  $F$ , there exists a  $c_0 > 0$  such that  $ax_0 \in F$  for  $a \in \mathbb{C}$ ,  $|a| < c_0$ . Thus, in view of (16), for each  $a \in \mathbb{C}$ ,  $|a| < c_0$ , there exists a  $w \in W$  fulfilling condition  $(a - M(w) + 1)x_0 \in A_0$ . Since  $A_0$  is a linear space and  $x_0 \notin A_0$ , we obtain  $a - M(w) + 1 = 0$ . In this way we have proved that

$$M(W) - 1 \supset \{a \in \mathbb{K}_0 : |a| < c_0\}.$$

But  $M(W) \subset W_0$ . Hence, if  $M(W) \subset \mathbb{R}$ , then  $W_0 \supset (0, \infty)$ ; in the other case  $W_0 = \mathbb{C} \setminus \{0\}$ . Finally,  $W_0 A = A$  so, in view of Lemma 2 (iv),  $\mathbb{K}_0 A \subset A$  and we obtain that  $A_0 = A$ .

Now, according to Lemma 4, there is an  $x_0 \notin A$  such that  $f$  is of form (8) and  $M|_{f(X)}$  is injective and multiplicative. From the multiplicativity of  $M|_{f(X)}$  and in view of (3) we have that the function  $M \circ f : X \rightarrow \mathbb{C}$  satisfies (2) and, by Lemma 2 (ii),  $\{x \in X : (M \circ f)(x) \neq 0\} = F$ . Thus, according to Lemma 1, if  $M(f(X)) \subset \mathbb{R}$ , then there exists an  $\mathbb{R}$ -linear functional  $g : X \rightarrow \mathbb{R}$  such that  $M(f(x)) = g(x) + 1$  or  $M(f(x)) = \max\{0, g(x) + 1\}$  for  $x \in X$ , if  $M(f(X)) \not\subset \mathbb{R}$ , then there exists a  $\mathbb{C}$ -linear functional  $g : X \rightarrow \mathbb{C}$  such that  $M(f(x)) = g(x) + 1$  for  $x \in X$ . Since  $M \circ f \neq 1$ ,  $g \neq 0$ . This completes the proof. ■

Now we can prove the announced theorem.

**THEOREM 1.** *Functions  $f : X \rightarrow \mathbb{K}$ ,  $M : \mathbb{K} \rightarrow \mathbb{K}$  satisfy equation (3) and the set  $F$  has an a.i.p. if and only if one of the following conditions holds:*

- (i)  $f = 1$ ;
- (ii)  $f : X \rightarrow \mathbb{K} \setminus \{0\}$  is a nontrivial exponential function and  $M$  is any function such that  $M \circ f = 1$ ;
- (iii)  $\mathbb{K} = \mathbb{R}$  and there exist a multiplicative injection  $H : \mathbb{R} \rightarrow \mathbb{R}$  and a non-trivial  $\mathbb{R}$ -linear functional  $g : X \rightarrow \mathbb{R}$  such that either

$$(17) \quad \begin{aligned} f(x) &= H(g(x) + 1) \text{ for } x \in X, \\ M(y) &= H^{-1}(y) \text{ for } y \in H(\mathbb{R}) \end{aligned}$$

or

$$(18) \quad \begin{aligned} f(x) &= H(\max\{0, g(x) + 1\}) \text{ for } x \in X, \\ M(y) &= H^{-1}(y) \text{ for } y \in H([0, \infty)); \end{aligned}$$

- (iv)  $\mathbb{K} = \mathbb{C}$  and one of the following two conditions holds:

- (1) *there exist a multiplicative injection  $H : \mathbb{C} \rightarrow \mathbb{C}$  and a nontrivial  $\mathbb{C}$ -linear functional  $g : X \rightarrow \mathbb{C}$  such that*

$$(19) \quad \begin{aligned} f(x) &= H(g(x) + 1) \text{ for } x \in X, \\ M(y) &= H^{-1}(y) \text{ for } y \in H(\mathbb{C}); \end{aligned}$$

- (2) *there exist a multiplicative function  $H : \mathbb{R} \rightarrow \mathbb{C}$  and a nontrivial  $\mathbb{R}$ -linear functional  $g : X \rightarrow \mathbb{R}$  such that either  $f$  and  $M$  are given by (17) and  $H$  is injective, or  $f$  and  $M$  are given by (18) and  $H|_{[0, \infty)}$  is injective.*

**Proof.** First assume that functions  $f$  and  $M$  satisfy the equation (3) and the set  $F$  has an a.i.p. The constant function  $f = 1$  obviously satisfies (3), so assume that  $f \neq 1$ . If  $M \circ f = c$ , then, by Lemma 3 (ii),  $f$  and  $\widetilde{M}$  (given by (5)) fulfill (3) and  $\widetilde{M} \circ f = 1$ . Hence, by equation (3),  $f$  is an exponential function. Since  $f \neq \text{const}$ ,  $f : X \rightarrow \mathbb{K} \setminus \{0\}$  and, putting  $x = 0$  in (3), we have  $f((c - 1)y) = 1$  for each  $y \in X$ . Thus  $c = 1$ .

Now suppose that  $M \circ f$  is not constant. If  $\mathbb{K} = \mathbb{R}$ , then condition (iii) holds by Theorem 1 in [11]. Now, let  $\mathbb{K} = \mathbb{C}$ . Then, by Lemma 3 (ii),  $\widetilde{M} \circ f \neq 1$ ,  $\widetilde{M}(1) = 1$  and, in view of Lemma 6,  $\widetilde{M}|_{f(X)}$  is injective and multiplicative and conditions (i)-(ii) of Lemma 6 holds with function  $\widetilde{M}$  instead of  $M$ . Consequently, from (5),  $M|_{f(X)}$  is injective,

$$(20) \quad M(1)M(ab) = M(1)^2\widetilde{M}(ab) = M(1)^2\widetilde{M}(a)\widetilde{M}(b) = M(a)M(b)$$

for  $a, b \in f(X)$  and the following conditions hold:



- (a) if  $\frac{M(f(X))}{M(1)} \subset \mathbb{R}$ , then there exists a nontrivial  $\mathbb{R}$ -linear functional  $g: X \rightarrow \mathbb{R}$  such that

$$(21) \quad M(f(x)) = M(1)(g(x) + 1) \text{ for } x \in X$$

or

$$(22) \quad M(f(x)) = M(1) \max\{0, g(x) + 1\} \text{ for } x \in X;$$

- (b) if  $\frac{M(f(X))}{M(1)} \not\subset \mathbb{R}$ , then there exists a nontrivial  $\mathbb{C}$ -linear functional  $g: X \rightarrow \mathbb{C}$  such that  $f$  and  $M$  fulfill (21).

Then, by Lemma 2 (ii),  $F = \{x \in X : g(x) > -1\}$ , when  $M \circ f$  is given by (22), and  $F = \{x \in X : g(x) \neq -1\}$  in the other cases. From (20) we obtain that for every  $x, y \in F$

$$M(f(x)f(y)) = \frac{M(f(x))M(f(y))}{M(1)} = (g(x) + 1)(g(y) + 1)M(1).$$

On the other hand, in view of (3) and Lemma 2 (ii),  $M(f(x + M(f(x))y)) \neq 0$  for  $x, y \in F$  and hence

$$\begin{aligned} M(f(x + M(f(x))y)) &= M(1)(g(x + M(f(x))y) + 1) \\ &= M(1)(g(x) + g(M(f(x))y) + 1) = M(1) \left( g(x) + 1 + \frac{M(f(x))}{M(1)}g(M(1)y) \right) \\ &= M(1)(g(x) + 1)(g(M(1)y) + 1). \end{aligned}$$

Now, from (3), we obtain  $g(y) = g(M(1)y)$  for each  $y \in F$ . Thus

$$(23) \quad g((1 - M(1))y) = 0 \text{ for } y \in F.$$

Suppose that  $M(1) \neq 1$ . Since  $M \circ f \neq \text{const}$ , there exists  $z \in X$  such that  $g(z) \neq 0$ . Let  $w = (1 - M(1))^{-1}z$ . Then, in view of (23) and the homogeneity of  $g$ ,  $w \notin F$ . Hence  $g(w) \leq -1$ , if (21) holds, and  $g(w) = -1$  in the other cases. Now, by  $\mathbb{R}$ -homogeneity of  $g$ , there exists an  $r \in \mathbb{R} \setminus \{0\}$  such that  $rw \in F$ . It means that

$$0 = g((1 - M(1))rw) = g(rz) = rg(z) \neq 0.$$

This contradiction proves that  $M(1) = 1$ . Consequently  $M|_{f(X)}$  is injective and multiplicative and conditions (i), (ii) of Lemma 6 hold. Hence we obtain that if  $M(f(X)) = \mathbb{L} \in \{\mathbb{R}, \mathbb{C}\}$ , then the function  $H = (M|_{f(X)})^{-1}$  is multiplicative and injective on  $\mathbb{L}$ . In the case when  $M(f(X)) = [0, \infty)$  the function  $H: \mathbb{R} \rightarrow \mathbb{C}$  given by

$$H(z) = \begin{cases} (M|_{f(X)})^{-1}(z), & z \in [0, \infty) \\ -(M|_{f(X)})^{-1}(-z), & z \in (-\infty, 0) \end{cases}$$

is multiplicative on  $\mathbb{R}$  and injective on  $[0, \infty)$ . Hence condition (iv) holds.

It remains to prove the converse statement. If  $f, M$  are given by the condition (i) or (ii) of this theorem, one checks that  $f, M$  satisfy (3) and  $F = X$  has an *a.i.p.* So consider the case when  $f, M$  are given by (iii) or (iv). Then,

$$(M \circ f)(x) = (H^{-1} \circ f)(x) = g(x) + 1 \text{ for } x \in X$$

or

$$(M \circ f)(x) = (H^{-1} \circ f)(x) = \max\{g(x) + 1, 0\} \text{ for } x \in X$$

and hence, according to Lemma 1,  $M \circ f$  satisfies equation (2). Since  $f(X) \in \{H(\mathbb{C}), H(\mathbb{R}), H([0, \infty))\}$ ,  $M|_{f(X)} = H^{-1}|_{f(X)}$  and thus  $M|_{f(X)}$  is multiplicative and injective. Consequently, functions  $f$  and  $M$  fulfill (3). Hence, according to Lemma 2 (ii),  $F = \{x \in X : g(x) > -1\}$ , when  $f, M$  are given by (22), and  $F = \{x \in X : g(x) \neq -1\}$  in the other cases. It is easy to see that 0 is an *a.i.p.* of  $F$ , when  $g : X \rightarrow \mathbb{K}$  is  $\mathbb{K}$ -linear. To complete the proof we consider the case when  $\mathbb{K} = \mathbb{C}$  and  $g : X \rightarrow \mathbb{R}$  is  $\mathbb{R}$ -linear. Take  $x \in X \setminus \{0\}$  and  $a = a_1 + a_2i \in \mathbb{C} \setminus \{0\}$ . We have

$$\begin{aligned} |g(ax)| &= |a_1g(x) + a_2g(ix)| \\ &\leq \sqrt{a_1^2 + a_2^2} \sqrt{g(x)^2 + g(ix)^2} = |a| \sqrt{g(x)^2 + g(ix)^2}. \end{aligned}$$

If  $g(x) = g(ix) = 0$ , then  $g(ax) = 0$ ,  $f(ax) = 1$  and  $ax \in F$  for each  $a \in \mathbb{C}$ . Otherwise  $|g(ax)| < 1$  and  $ax \in F$  whenever  $a \in \mathbb{C}$ ,  $|a| < \frac{1}{\sqrt{g(x)^2 + g(ix)^2}}$ . In this way we obtain that 0 is an *a.i.p.* of  $F$ , what ends the proof. ■

In the case when  $\mathbb{K} = \mathbb{C}$  there exist solutions of (3) such that  $M(f(X)) \subset \mathbb{R}$  and  $f(X) \not\subset \mathbb{R}$ .

**EXAMPLE 1.** Let  $X$  be a linear space over  $\mathbb{C}$  and  $g : X \rightarrow \mathbb{R}$  be a nontrivial  $\mathbb{R}$ -linear functional. Let  $f : X \rightarrow \mathbb{C}$  be given by

$$f(x) = \begin{cases} (g(x) + 1)e^{i \ln |g(x) + 1|}, & g(x) \neq -1; \\ 0, & g(x) = -1. \end{cases}$$

Then  $f(X) \setminus \{0\} = \{te^{i \ln |t|} : t \in \mathbb{R} \setminus \{0\}\}$ . Now define  $M : f(X) \rightarrow \mathbb{R}$  as follows:

$$M(0) = 0 \text{ and } M(te^{i \ln |t|}) = t \text{ for } t \in \mathbb{R} \setminus \{0\}.$$

Note that such functions  $f, M$  fulfill (3) and 0 is an *a.i.p.* of  $F$ . Moreover  $f(X) \not\subset \mathbb{R}$ ,  $M(f(X)) = \mathbb{R}$  and  $M(f(x)) = g(x) + 1$  for each  $x \in X$ .

#### 4. Bounded solutions

In this section we characterize solutions of (3) under assumption that  $f$  is bounded on a set having an *a.i.p.*

Let  $\arg z \in [-\pi, \pi)$  be an argument of  $z \in \mathbb{C} \setminus \{0\}$ . First we prove a lemma on multiplicative functions.

**LEMMA 7.** *If  $m : \mathbb{C} \rightarrow \mathbb{R}$  is multiplicative and bounded on a set of positive inner Lebesgue measure in  $\mathbb{C}$ , then  $m$  is continuous on  $\mathbb{C} \setminus \{0\}$ .*

**Proof.** Assume that  $m \neq \text{const}$ . Let  $U$  be a set of positive inner Lebesgue measure in  $\mathbb{C}$ . Without loss of generality we may assume that  $0 \notin U$  and  $1 \in U$ . Consider a diffeomorphism  $d : \mathbb{C} \setminus \{z \in \mathbb{C} : \arg z = -\pi \text{ or } z = 0\} \rightarrow (0, \infty) \times (-\pi, \pi)$  given by  $d(te^{i\alpha}) = (t, \alpha)$ . Since  $U_0 = U \setminus \{z \in \mathbb{C} : \arg z = -\pi \text{ or } z = 0\}$  is a set of positive inner Lebesgue measure in  $\mathbb{C}$  and  $1 \in U_0$ , the set  $d(U_0)$  includes a subset  $V_1 \times V_2$  of positive Lebesgue measure in  $\mathbb{R}^2$  such that  $(1, 0) \in V_1 \times V_2$ .

Define a function  $s : (0, \infty) \times [-\pi, \pi) \rightarrow \mathbb{R}$  by  $s(t, \alpha) = m(te^{i\alpha})$ . Then

$$s(t_1 t_2, \alpha_1 + \alpha_2) = s(t_1, \alpha_1) s(t_2, \alpha_2)$$

for every  $(t_1, \alpha_1), (t_2, \alpha_2) \in (0, \infty) \times [-\pi, \pi)$  such that  $\alpha_1 + \alpha_2 \in [-\pi, \pi)$ . The function  $s_1 : (0, \infty) \rightarrow \mathbb{R}$  given by  $s_1(t) = s(t, 0)$  is multiplicative on  $(0, \infty)$  and bounded on the set  $V_1$  of positive Lebesgue measure in  $\mathbb{R}$ , hence continuous, by [1, Corollary 7 and Corollary 8, pp. 30–31]. Now consider  $s_2 : [-\pi, \pi) \rightarrow \mathbb{R}$  given by  $s_2(\alpha) = s(1, \alpha)$ . Then

$$s_2(\alpha_1 + \alpha_2) = s_2(\alpha_1) s_2(\alpha_2)$$

for every  $\alpha_1, \alpha_2 \in [-\pi, \pi)$  such that  $\alpha_1 + \alpha_2 \in [-\pi, \pi)$ . We prove that there exists a unique exponential function  $\tilde{s}_2 : \mathbb{R} \rightarrow \mathbb{R}$  such that  $\tilde{s}_2|_{[-\pi, \pi)} = s_2$ .

First note that  $s_2(\alpha) = s_2(2 \cdot \frac{\alpha}{2}) = s_2(\frac{\alpha}{2})^2 \geq 0$  for each  $\alpha \in (-\pi, \pi)$ . Moreover, we have that either  $s_2 = 0$  or  $s_2(\alpha) > 0$  for each  $\alpha \in (-\pi, \pi)$ . Indeed, if  $s_2(\alpha_0) = 0$  for an  $\alpha_0 \in (-\pi, \pi)$ , then

$$s_2(0) = s_2(\alpha_0 - \alpha_0) = s_2(\alpha_0) s_2(-\alpha_0) = 0$$

and, consequently,  $s_2(\alpha) = s_2(\alpha + 0) = s_2(\alpha) s_2(0) = 0$  for each  $\alpha \in (-\pi, \pi)$ .

If  $s_2 = 0$ , then  $\tilde{s}_2 = 0$ . So consider the case when  $s_2(\alpha) > 0$  for each  $\alpha \in (-\pi, \pi)$ . Then  $p : (-\pi, \pi) \rightarrow \mathbb{R}$  given by  $p(\alpha) = \ln s_2(\alpha)$  is well defined and  $p(\alpha_1 + \alpha_2) = p(\alpha_1) + p(\alpha_2)$  for every  $\alpha_1, \alpha_2 \in (-\pi, \pi)$  with  $\alpha_1 + \alpha_2 \in (-\pi, \pi)$ . Hence, by [3, Lemma 1],  $p$  can be extended to a unique additive function  $\tilde{p} : \mathbb{R} \rightarrow \mathbb{R}$ . Thus  $\tilde{s}_2 : \mathbb{R} \rightarrow \mathbb{R}$  given by  $\tilde{s}_2(\alpha) = \exp \tilde{p}(\alpha)$  is the unique extension of  $s_2|_{(-\pi, \pi)}$ . Moreover, since  $-\frac{\pi}{2} \in (-\pi, \pi)$ ,

$$s_2(-\pi) = s_2\left(2 \cdot \left(-\frac{\pi}{2}\right)\right) = s_2\left(-\frac{\pi}{2}\right)^2 = \tilde{s}_2\left(-\frac{\pi}{2}\right)^2 = \tilde{s}_2(-\pi).$$

Hence  $\tilde{s}_2$  is also the unique extension of  $s_2$ .

But  $\tilde{s}_2$  is bounded on the set  $V_2$  of positive Lebesgue measure in  $\mathbb{R}$ . Thus, by [1, Theorem 5, p. 29],  $\tilde{s}_2$  is continuous. Since

$$s(t, \alpha) = s(t, 0) \cdot s(1, \alpha) = s_1(t) \cdot s_2(\alpha),$$

$s$  is continuous on the domain and, consequently,  $m$  is continuous on  $\mathbb{C} \setminus \{0\}$ , what ends the proof. ■

Now, we are in a position to prove our main result.

**THEOREM 2.** *Let  $B$  be a set having an a.i.p.,  $f : X \rightarrow \mathbb{K}$ ,  $M : \mathbb{K} \rightarrow \mathbb{K}$ ,*

$$(24) \quad |f(B)| = \{|f(z)| : z \in B\} \subset (0, a) \text{ for some } a > 0$$

*and, moreover, in the case  $\mathbb{K} = \mathbb{C}$ ,*

$$(25) \quad \left| \arg \frac{f(z_1)}{f(z_2)} \right| < \frac{2\pi}{3} \text{ for every } z_1, z_2 \in B.$$

*Functions  $f$  and  $M$  satisfy (3) if and only if one of the following conditions holds:*

- (a)  $f = 1$ ;
- (b)  $M \circ f = 1$  and there exists a nontrivial  $\mathbb{R}$ -linear functional  $g : X \rightarrow \mathbb{K}$  such that  $f(x) = \exp g(x)$  for each  $x \in X$ ;
- (c)  $\mathbb{K} = \mathbb{R}$  and there exist a multiplicative function  $H : \mathbb{R} \rightarrow \mathbb{R}$  continuous on  $\mathbb{R} \setminus \{0\}$  and a nontrivial  $\mathbb{R}$ -linear functional  $g : X \rightarrow \mathbb{R}$  such that either (17) or (18) holds;
- (d)  $\mathbb{K} = \mathbb{C}$  and one of the following conditions holds:
  - (d1) there exist a multiplicative injection  $H : \mathbb{C} \rightarrow \mathbb{C}$  continuous on  $\mathbb{C} \setminus \{0\}$  and a nontrivial  $\mathbb{C}$ -linear functional  $g : X \rightarrow \mathbb{C}$  such that (19) holds;
  - (d2) there exist a multiplicative function  $H : \mathbb{R} \rightarrow \mathbb{C}$  continuous on  $\mathbb{R} \setminus \{0\}$  and a nontrivial  $\mathbb{R}$ -linear functional  $g : X \rightarrow \mathbb{R}$  such that either (17) or (18) holds.

**Proof.** Assume that  $f$  and  $M$  satisfy (3). Let  $x_0$  be an a.i.p. of  $B$  and  $|f(B)| \subset (0, a)$  for an  $a > 0$ . Since  $B \subset F$ , this  $x_0$  is also an a.i.p. of  $F$ . Thus one of conditions (i)–(iv) of Theorem 1 holds.

Condition (a) coincides with (i). To get condition (b) we use (ii) and [12, Theorem 1, p. 308], which says that for such an  $f$  there is an additive function  $g : X \rightarrow \mathbb{R}$ ,  $g \neq 0$ , such that  $f = \exp g$ . The thing to show is  $\mathbb{R}$ -homogeneity of  $g$ . Since  $x_0$  is an a.i.p. of  $B$ , for each  $x \in X \setminus \{0\}$  there exists a  $c > 0$  such that  $B_x = \{x_0 + ax : a \in \mathbb{K}, |a| < c\} \subset B$ . Since  $|f(B)| \subset (0, a)$ ,  $g(y) \leq \ln a$  for every  $y \in B_x$ . For each  $x \in X \setminus \{0\}$  define  $g_x : \mathbb{K} \rightarrow \mathbb{K}$  by  $g_x(\alpha) = g(\alpha x)$  for  $\alpha \in \mathbb{K}$ . Obviously the function  $g_x$  is additive and  $g_x(\alpha) \leq \ln a - g(x_0)$  for each  $\alpha \in (-c, c)$ . Thus, according to [12, Lemma 1, p. 210],  $g_x$  is continuous.

Hence, in the case  $\mathbb{K} = \mathbb{R}$ , there is an  $m \in \mathbb{R}$  with  $g_x(\alpha) = m\alpha$  and, in the case  $\mathbb{K} = \mathbb{C}$ ,  $g_x(\alpha) = m_1\alpha + m_2\bar{\alpha}$  for some  $m_1, m_2 \in \mathbb{C}$  (see [12, pp. 121, 132]). Consequently, for every  $x \in X \setminus \{0\}$ ,  $g_x$  is  $\mathbb{R}$ -homogenous and so does  $g$ , as claimed in (b).

Next we prove that if one of conditions (iii), (iv) holds, then  $H$  is continuous on its domain except of 0. To this end define the field  $\mathbb{L}$  as follows:

$$\mathbb{L} = \begin{cases} \mathbb{R}, & \text{if } g \text{ is } \mathbb{R} - \text{linear;} \\ \mathbb{C}, & \text{if } g \text{ is } \mathbb{C} - \text{linear} \end{cases}$$

and fix an  $x \in X$  with  $g(x) \neq 0$ . Then, by Definition 1, we have

$$B_0 = \{x_0 + ax : a \in \mathbb{L}, |a| < c\} \subset B$$

for some  $c > 0$ . Since functional  $g$  is  $\mathbb{L}$ -linear,

$$g(B_0) = \{g(x_0) + ag(x) : a \in \mathbb{L}, |a| < c\}.$$

Hence the set

$$I := g(B_0) + 1$$

is open in  $\mathbb{L}$ . We show that  $H(I) = f(B_0)$ . This equality is clear when  $f(x) = H(g(x) + 1)$ . So consider the case when  $f(x) = H(\max\{0, g(x) + 1\})$ . Then  $\mathbb{L} = \mathbb{R}$  and the set  $I$  is an open interval in  $\mathbb{R}$ . Moreover  $I \subset (0, \infty)$ , because otherwise  $0 \in \{\max\{0, g(x) + 1\} : x \in B_0\}$  and, consequently,

$$0 = H(0) \in H(\{\max\{0, g(x) + 1\} : x \in B_0\}) = f(B_0) \subset f(B),$$

what contradicts the assumption. Thus  $\{\max\{0, g(x) + 1\} : x \in B_0\} = I$ .

Hence the set  $I$  is open in  $\mathbb{L}$  and

$$|H(I)| = |f(B_0)| \subset |f(B)| \subset (0, a).$$

Moreover, in the case  $\mathbb{K} = \mathbb{C}$ ,

$$\left| \arg \frac{H(z_1)}{H(z_2)} \right| < \frac{2\pi}{3} \quad \text{for every } z_1, z_2 \in I.$$

If  $H : \mathbb{L} \rightarrow \mathbb{R}$ , then, by [1, Corollary 7 and Corollary 8, pp. 30–31] and Lemma 7, we infer that  $H$  is continuous on  $\mathbb{L} \setminus \{0\}$ .

If  $H : \mathbb{L} \rightarrow \mathbb{C}$ , then

$$H(x) = r(x)e^{i\varphi(x)},$$

where  $r : \mathbb{L} \rightarrow [0, \infty)$ ,  $\varphi : \mathbb{L} \rightarrow [-\pi, \pi)$  and  $\varphi(x) = \arg H(x)$ . Since the function  $H$  is multiplicative, so is  $r$ . Moreover, the boundedness of  $H$  on the set of positive inner Lebesgue measure in  $\mathbb{L}$  implies the boundedness of  $r$  on the same set. Hence, by [1, Corollary 7 and Corollary 8, pp. 30–31] and Lemma 7,  $r$  is continuous on  $\mathbb{L} \setminus \{0\}$ .

It remains to prove that the function  $h : \mathbb{L} \setminus \{0\} \rightarrow \mathbb{C}$  given by  $h(x) = e^{i\varphi(x)}$  is continuous. We know that  $h$  is a character on  $\mathbb{L} \setminus \{0\}$  and

$$\left| \arg \frac{h(z_1)}{h(z_2)} \right| < \frac{2\pi}{3} \text{ for every } z_1, z_2 \in I,$$

because  $\arg h(z) = \arg H(z)$  for each  $z \in \mathbb{L} \setminus \{0\}$ . Since  $0 = H(0) \notin H(I)$ , we have  $0 \notin I$ . Consequently  $I \subset \mathbb{L} \setminus \{0\}$  is a set of positive Lebesgue measure in  $\mathbb{L}$  and hence, by [7, Theorem 2],  $1 \in \text{int}(I^{-1} \cdot I)$ , where  $I^{-1} = \{z^{-1} : z \in I\}$ . Moreover,

$$|\arg h(z_1^{-1} z_2)| = \left| \arg \frac{h(z_2)}{h(z_1)} \right| < \frac{2\pi}{3} \text{ for every } z_1, z_2 \in I$$

and thus  $|\arg h(w)| < \frac{2\pi}{3}$  for each  $w \in I^{-1} \cdot I$ . Hence

$$|h(w) - 1| < \sqrt{3} \text{ for } w \in I^{-1} \cdot I$$

and, according to [2, Theorem 2],  $h$  is continuous, what ends the proof of the continuity of  $H$  on its domain without 0. ■

**REMARK 1.** It is easy to see that if in Theorem 1 function  $f : X \rightarrow \mathbb{C}$  satisfies (24) but not (25), then  $H : \mathbb{C} \rightarrow \mathbb{C}$  need not be continuous on its domain except of 0. To see this, take

$$H(x) = \begin{cases} \exp^{2\pi i a(\ln |x|)}, & x \in \mathbb{C} \setminus \{0\}; \\ 0, & x = 0, \end{cases}$$

where  $a : \mathbb{C} \rightarrow \mathbb{R}$  is a discontinuous additive function.

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