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## SYSTEM OF BLOCKS WITHOUT ARCS

### 1. Introduction

Let  $V$  be an arbitrary finite set and let  $|V| = v$ , where  $|V|$  denotes the cardinality of the set  $V$ . A block system  $B(v, k, l)$  is a family  $\mathcal{R}$  of  $k$ -element subsets  $B_1, B_2, \dots, B_t$  of  $V$  such that every  $l$ -element subset of  $V$  is contained in exactly one set of the family. Combinatorial arguments show that the number  $t$  of the blocks in the system  $B(v, k, l)$  is uniquely determined by the triple  $(v, k, l)$  and it is given by

$$(1.1) \quad t = \binom{v}{l} / \binom{k}{l}.$$

Though numerous examples of the block systems  $B(v, k, l)$  are known, so far there are no satisfactorily general results concerning the existence of such block systems, especially in the case of  $l \geq 3$ .

In the paper, we concentrate our interest on the existing example of the system  $B(12, 6, 5)$ , see [7] and some examples of the systems  $B(12 - i, 6 - i, 5 - i)$ ,  $i = 1, 2, 3$  obtained from it in the way described in [7].

Some special sets called arcs, associated with the block systems are very helpful in the study of their properties. They are defined in the following two definitions.

**DEFINITION 1.** Let  $s > k$ . A  $s$ -arc in a  $B(v, k, l)$  is a  $s$ -element subset of  $V$  such that no block of a family  $\mathcal{R}$  is its subset. The number  $s$  is called the length of this arc.

**DEFINITION 2.** A  $s$ -arc which is not contained in any other  $(s + 1)$ -arc is called a complete arc.

The notion of the arc for the block system was originally introduced as an auxiliary tool in the study of the finite projective plane, see [3]. The arc

can be also considered as the counterpart of an independent set with the system of blocks. Such a concept of the arc is very useful in the study of the existence problem for non-isomorphic block systems, see [1].

So far mainly arcs in  $B(v, 3, 2)$ ,  $B(v, 4, 2)$  have been investigated, see [2], [4], [6].

In the paper, we show that there are no arcs in the system  $B(12, 6, 5)$  and we determine the lengths of arcs in the systems  $B(12 - i, 6 - i, 5 - i)$ ,  $i = 1, 2, 3$ . We also investigate the structure and number of the arcs in some examples of the block systems of that type.

## 2. Main results

First, we investigate the lengths of arcs admissible by the block systems  $B(12 - i, 6 - i, 5 - i)$ ,  $i = 1, 2, 3$ . As a result we obtain the following theorem.

THEOREM 1.

- (i) Every block system  $B(9, 3, 2)$  admits only the arcs of the length 4.
- (ii) Every block system  $B(10, 4, 3)$  admits only the arcs of the length 5.
- (iii) Every block system  $B(11, 5, 4)$  admits only the arcs of the length 6.
- (iv) Every block system  $B(12, 6, 5)$  admits no arcs.

Since in each of the cases (i), (ii) and (iii) of the above theorem the admissible arcs have the same length, we obtain:

COROLLARY 1. The block systems  $B(9, 3, 2)$ ,  $B(10, 4, 3)$  and  $B(11, 5, 4)$  admit only complete arcs.

We construct below some examples of the block systems listed in Theorem 1. We will be interested in the number of arcs admissible by each such a system.

Throughout the paper we denote by  $\mathcal{R}_0$  a block system  $B(12, 6, 5)$  on the set  $\{1, 2, \dots, 12\}$  determined in [7].

It is known that having a set family  $\mathcal{R}$  which is a block system  $B(v, k, l)$  on the set  $\{1, 2, \dots, v\}$  we can construct a new block system  $B(v-1, k-1, l-1)$ , see [7]. The construction is simple and we recall it for the convenience of the reader below.

*Let us choose one element of  $V$ , say  $v$  and let us divide the family  $\mathcal{R}$  into two disjoint subfamilies  $\mathcal{K}$  and  $\mathcal{K}'$ . The subfamily  $\mathcal{K}$  consists of those blocks which contain  $v$  and  $\mathcal{K}'$  contains all the remaining blocks. Now let us delete  $v$  from each block of  $\mathcal{K}$ . Then the new blocks form a block system  $B(v-1, k-1, l-1)$  on the set  $\{1, 2, \dots, v-1\}$ .*

We apply the above procedure subsequently three times starting with the family  $\mathcal{R}_0$ . As a result, we obtain new set families  $\mathcal{R}_i$ ,  $i = 1, 2, 3$  which form the block systems  $B(12 - i, 6 - i, 5 - i)$ ,  $i = 1, 2, 3$ , respectively. For

$i = 0, 1, 2$  we denote by  $\mathcal{K}_i$  the subfamily of those blocks of  $\mathcal{R}_i$ , which contain an element  $v = 12 - i$ , that is of those blocks which take part in the construction of  $\mathcal{R}_{i+1}$ . The complement of  $\mathcal{K}_i$  in  $\mathcal{R}_i$  will be denoted by  $\mathcal{K}'_i$ .

Below we show the cardinalities of the constructed families obtained by (1.1).

$$(2.1) \quad \begin{aligned} |\mathcal{R}_0| &= 132, |\mathcal{K}_0| = 66, |\mathcal{K}'_0| = 66; \\ |\mathcal{R}_1| &= 66, |\mathcal{K}_1| = 30, |\mathcal{K}'_1| = 36; \\ |\mathcal{R}_2| &= 30, |\mathcal{K}_2| = 12, |\mathcal{K}'_2| = 18; \\ |\mathcal{R}_3| &= 12, |\mathcal{K}_3| = 6, |\mathcal{K}'_3| = 6. \end{aligned}$$

Since the expression on the right hand side of (1.1) is not an integer number for the triple  $(13, 7, 6)$ , there exists no  $B(13, 7, 6)$  block system. Thus the system  $\mathcal{R}_0$  have no natural ancestor in the sense of the above construction.

We can now formulate our second main result.

#### THEOREM 2.

- (i) *There exist exactly 54 arcs admissible by system  $\mathcal{R}_3$ .*
- (ii) *There exist exactly 72 arcs admissible by system  $\mathcal{R}_2$ .*
- (iii) *There exist exactly 66 arcs admissible by system  $\mathcal{R}_1$ .*

### 3. Auxilliary lemmas and propositions

Our discussion of the lengths of arcs admissible by the systems considered in Theorem 1 will be based on some facts collected in Proposition 1 which is a result by Sauer and Schönheim [5] and Proposition 2 below.

**PROPOSITION 1.** *Let  $S$  be an arc in the system  $B(v, k, l)$ . Then its length  $s$  satisfies the inequalities*

$$s \leq \begin{cases} \frac{v+1}{2}, & \text{when } v \equiv 3, 7 \pmod{12} \\ \frac{v-1}{2}, & \text{when } v \equiv 1, 9 \pmod{12}. \end{cases}$$

**PROPOSITION 2.** *If a system  $B(v, k, l)$ ,  $l \geq 3$  has an arc of the length  $s$ , then there exists a system  $B(v-1, k-1, l-1)$  which has an arc of the length  $s-1$ .*

**Proof.** Let  $S$  be an arc of the length  $s$  in a system  $B(v, k, l)$ . Then by deleting one element, say  $x$ , from this arc and from all blocks that contain  $x$ , we obtain (by the construction described in section 2) a system  $B(v-1, k-1, l-1)$  with the arc  $S' = S \setminus \{x\}$  of the length  $s-1$ , which ends the proof.

Our discussion of the number of arcs admissible by each of the systems  $\mathcal{R}_1$ ,  $\mathcal{R}_2$  and  $\mathcal{R}_3$  will be based on facts collected in the following lemmas.

LEMMA 1. For  $i = 0, 1$  or  $2$  every block  $B$  of the system  $\mathcal{R}_i$  which is an element of the subfamily  $\mathcal{K}'_i$  is an arc in the system  $\mathcal{R}_{i+1}$ .

Proof. Suppose, contrary to our claim, that there exists a block  $B_1$  in  $\mathcal{R}_{i+1}$  such that  $B_1 \subset B$ . It follows from the method of the construction of  $\mathcal{R}_{i+1}$  that there exists another block  $B'$  contained in  $\mathcal{K}_i$  such that  $B_1 \subset B'$ . Thus the  $n$ -element set  $B_1$ , where  $n = 6 - (i + 1)$  were contained in the two different blocks  $B$  and  $B'$ , which is impossible by definition of the system  $B(12 - i, 6 - i, 5 - i)$ .

LEMMA 2. Let  $B$  be a block in  $\mathcal{R}_2$  which belongs to the subfamily  $\mathcal{K}'_2$ . Then for each element  $a \in B$  there exist exactly two distinct elements  $x, y \in \{1, 2, \dots, 9\} \setminus B$  such that the replacement  $a$  by  $x$  or  $y$  makes  $B$  an arc in  $\mathcal{R}_3$ .

Proof. We denote by  $a_1, a_2, a_3$  the remaining elements of  $B$ . By definition of the system  $B(9, 3, 2)$  for each pair  $\{a_i, a_j\}$ ,  $1 \leq i < j \leq 3$  there exists exactly one element  $b(i, j) \in \{1, 2, \dots, 9\}$  such that  $\{a_i, a_j, b(i, j)\}$  is a block of  $\mathcal{R}_3$ . Since the block  $\{a_i, a_j, b(i, j), 10\}$  is an element of the subfamily  $\mathcal{K}_2$ , we also have  $b(i, j) \notin B$  by definition of the system  $B(10, 4, 3)$ .

Any two distinct pairs of the form  $\{a_i, a_j\}$ ,  $1 \leq i < j \leq 3$  have one common element. Therefore it follows from the definition of the system  $B(9, 3, 2)$  that any element  $b$  of  $\{1, 2, \dots, 9\} \setminus B$  can form a block in  $\mathcal{R}_3$  with at most one such a pair.

Since the number of pairs is equal to  $\binom{3}{2} = 3$  and the cardinality of  $\{1, 2, \dots, 9\} \setminus B$  is equal to 5, there exist exactly two elements  $x$  and  $y$  in  $\{1, 2, \dots, 9\} \setminus B$  such that  $\{a_1, a_2, a_3, b\}$ , where  $b = x$ , or  $y$  is an arc in  $\mathcal{R}_3$ , which is the required assertion.

LEMMA 3. Let  $B$  be a block in  $\mathcal{R}_1$  which belongs to the subfamily  $\mathcal{K}'_1$ . Then for each element  $a \in B$  there exists exactly one element  $x \in \{1, 2, \dots, 10\} \setminus B$  such that the replacement  $a$  by  $x$  makes  $B$  an arc in  $\mathcal{R}_2$ .

Proof. We denote by  $a_1, a_2, a_3, a_4$  the remaining elements of  $B$ . In a similar way as in the proof of Lemma 2, we find the one-to-one correspondence between triples  $\{a_i, a_j, a_k\}$ ,  $1 \leq i < j < k \leq 4$  and elements  $b(i, j, k)$  of the set  $\{1, 2, \dots, 10\} \setminus B$  such that  $\{a_i, a_j, a_k, b(i, j, k)\}$  is a block in  $\mathcal{R}_2$  for  $1 \leq i < j < k \leq 4$ .

Any two distinct triples of the form  $\{a_i, a_j, a_k\}$ ,  $1 \leq i < j < k \leq 4$  have exactly two common elements. Therefore it follows from the definition of the system  $B(10, 4, 3)$  that any element  $b$  of  $\{1, 2, \dots, 10\} \setminus B$  can form a block in  $\mathcal{R}_2$  with at most one such a triple.

Since the number of triples is equal to  $\binom{4}{3} = 4$  and the cardinality of  $\{1, 2, \dots, 10\} \setminus B$  is equal to 5, there exists exactly one element  $x$  in

$\{1, 2, \dots, 10\} \setminus B$  such that  $\{a_1, a_2, a_3, a_4, x\}$  is an arc in  $\mathcal{R}_2$ , which gives the required assertion.

LEMMA 4. *Each arc  $S$  in  $\mathcal{R}_1$  is a block in  $\mathcal{R}_0$ .*

Proof. Let  $b \in S$  and let  $a_1, a_2, \dots, a_5$  denote the remaining elements of  $S$ . By definition of the system  $B(12, 6, 5)$  there exists exactly one block  $B$  in  $\mathcal{R}_0$  such that  $a_i \in B$ ,  $i = 1, 2, \dots, 5$ . We are going to show that  $B = S$ .

We denote by  $a$  the remaining element of  $B$ . In a similar way as in the proof of Lemmas 3 and 4, we find the one-to-one correspondence between quadruples  $\{a_i, a_j, a_k, a_l\}$ ,  $1 \leq i < j < k < l \leq 5$  and elements  $b(i, j, k, l)$  of the set  $\{1, 2, \dots, 11\} \setminus B$  such that  $\{a_i, a_j, a_k, a_l, b(i, j, k, l)\}$  is a block in  $\mathcal{R}_1$  for  $1 \leq i < j < k < l \leq 5$ .

Any two different quadruples of the form  $\{a_i, a_j, a_k, a_l\}$ ,  $1 \leq i < j < k < l \leq 5$  have exactly three common elements. Therefore it follows from the definition of the system  $B(11, 5, 4)$  that any element  $c$  of  $\{1, 2, \dots, 11\} \setminus B$  can form a block in  $\mathcal{R}_1$  with at most one such a quadruple.

Since the number of quadruples is equal to  $\binom{5}{4} = 5$  and the cardinality of  $\{1, 2, \dots, 11\} \setminus B$  is also equal to 5, there exists no element  $x$  in  $\{1, 2, \dots, 11\} \setminus B$  such that  $\{a_1, a_2, a_3, a_4, a_5, x\}$  is an arc in  $\mathcal{R}_1$ , which gives the required assertion.

#### 4. Proof of the main theorems

Proof of Theorem 1:

*Part (i):* The assertion is an immediate corollary from Proposition 1.

*Part (ii) and (iii):* If a system  $B(10, 4, 3)$  had an arc of the length at least 6, then by Proposition 2 we would obtain a  $B(9, 3, 2)$  system with an arc of the length at least 5, which is in contradiction with Proposition 1. By a similar argument one can show that the existence of an arc of the length at least 7 in a system  $B(11, 5, 4)$  implies the existence of a system  $B(10, 4, 3)$  with an arc of the length at least 6. This contradiction completes the proof.

*Part (iv):* By similar arguments as in the proof of part (ii) and (iii), we can show that any system  $B(12, 6, 5)$  may admit only arcs of the length equal to 7.

Consider a family  $\mathcal{F}$  of 7-element subsets of  $\{1, 2, \dots, 12\}$  which are not arcs in a given system  $B(12, 6, 5)$ . Let  $T \in \mathcal{F}$ . Then there exists at least one block  $B \subset T$ . We are going to show that there is exactly one such a block. For this aim, we consider any two blocks  $B_1, B_2 \subset T$ . They have exactly five common elements. Therefore, we obtain  $B_1 = B_2$  by definition of the system  $B(12, 6, 5)$ .

On the other hand, each block  $B$  is contained in  $n = 12 - 6$  sets of  $\mathcal{F}$ . Hence it follows that the cardinality  $|\mathcal{F}| = n \cdot t$ , where  $t$  is a number of blocks in the system  $B(12, 6, 5)$ . By (2.1) we have  $t = 132$ . Since  $\binom{12}{7} = 6 \cdot 132$ , the family  $\mathcal{F}$  consists of all 7-element subsets of the set  $\{1, 2, \dots, 12\}$ . Therefore there are no arcs in such a system, which is our assertion.

**Proof of Theorem 2:**

*Part (i):* We divide all arcs into two families. The first one consists of arcs which are the blocks of  $\mathcal{R}_2$ . By Lemma 1 there are  $|\mathcal{K}'_2|$  such arcs. The second family consists of those arcs which are not blocks of  $\mathcal{R}_2$ . We denote it by  $S$ . We are going to compare the cardinality of  $S$  with the cardinality of  $\mathcal{K}'_2$ . For this aim, we introduce a relation  $\rho$  in  $S \times \mathcal{K}'_2$  defined as follows:

*an arc  $S \in S$  and a block  $B \in \mathcal{K}'_2$  are in the relation  $\rho$  which is written as  $(S, B) \in \rho$  if and only if the cardinality  $|S \cap B| = 3$ .*

Let  $S = \{a_1, a_2, a_3, a_4\}$  be an arc from  $S$ . Each triple  $\{a_i, a_j, a_k\}$ ,  $1 \leq i < j < k \leq 4$  determines exactly one block  $B(i, j, k)$  of  $\mathcal{R}_2$  such that  $\{a_i, a_j, a_k\} \subset B(i, j, k)$  by definition of the system  $B(10, 4, 3)$ . Since  $S$  is an arc, every such a block is an element of  $\mathcal{K}'_2$ , in other words  $(S, B(i, j, k)) \in \rho$ . We also note that to every  $S$  there correspond exactly  $\binom{4}{3} = 4$  different blocks of the form  $B(i, j, k)$ .

Now, let  $B = \{b_1, b_2, b_3, b_4\}$  be a block of  $\mathcal{K}'_2$ . By Lemma 2 each triple  $\{b_i, b_j, b_k\}$ ,  $1 \leq i < j < k \leq 4$  determines exactly two different arcs  $S^{(1)}(i, j, k)$  and  $S^{(2)}(i, j, k)$  in  $S$  which contain it. Thus to every block  $B \in \mathcal{K}'_2$  there correspond  $2 \cdot \binom{4}{3} = 8$  arcs  $S_i$ ,  $i = 1, 2, \dots, 8$  such that  $(S_i, B) \in \rho$ ,  $i = 1, 2, \dots, 8$ . We also note that all these arcs are distinct.

By the above properties of  $\rho$  we obtain the relation  $8 \cdot |\mathcal{K}'_2| = 4 \cdot |S|$ . Thus the number of all arcs is equal to

$$|\mathcal{K}'_2| + |S| = 3 \cdot |\mathcal{K}'_2|,$$

which gives our assertion by (2.1).

*Part (ii):* We will proceed in a similar way as in the proof of part (i). We concentrate our interest on the family  $S$  of those arcs which are not blocks of  $\mathcal{R}_1$ . We now introduce a relation  $\rho$  in  $S \times \mathcal{K}'_1$  defined as follows:

*$(S, B) \in \rho$  for  $S \in S$  and  $B \in \mathcal{K}'_1$  if and only if the cardinality  $|S \cap B| = 4$ .*

Let  $S = \{a_1, a_2, a_3, a_4, a_5\}$  be an arc of  $S$ . Each quadruple  $\{a_i, a_j, a_k, a_l\}$ ,  $1 \leq i < j < k < l \leq 5$  determines exactly one block  $B(i, j, k, l)$  of  $\mathcal{R}_1$  such that  $\{a_i, a_j, a_k, a_l\} \subset B(i, j, k, l)$  by definition of the system  $B(11, 5, 4)$ . Since  $S$  is an arc, every such a block is an element of  $\mathcal{K}'_1$ , in other words  $(S, B(i, j, k, l)) \in \rho$ . We also note that to every  $S \in S$  there correspond exactly  $\binom{5}{4} = 5$  different blocks of the form  $B(i, j, k, l)$ .

Now, let  $B = \{b_1, b_2, b_3, b_4, b_5\}$  be a block of  $\mathcal{K}'_2$ . By Lemma 3 each quadruple  $\{b_i, b_j, b_k, b_l\}$ ,  $1 \leq i < j < k < l \leq 5$  determines exactly one arc  $S = S(i, j, k, l) \in \mathcal{S}$  such that  $\{b_i, b_j, b_k, b_l\} \subset S$ . Thus to every block  $B \in \mathcal{K}'_1$  there correspond  $\binom{5}{4} = 5$  arcs  $S_i$ ,  $i = 1, 2, \dots, 5$  such that  $(S_i, B) \in \rho$ ,  $i = 1, 2, \dots, 5$ . We also note that all these blocks are different.

By the above properties of  $\rho$  we obtain the relation  $5 \cdot |\mathcal{K}'_1| = 5 \cdot |\mathcal{S}|$ . Thus the number of all arcs in  $\mathcal{R}_2$  is equal to

$$|\mathcal{K}'_1| + |\mathcal{S}| = 2 \cdot |\mathcal{K}'_1|,$$

which gives our assertion by (2.1).

*Part (iii):* By Lemma 4 the number of arcs in  $\mathcal{R}_1$  is equal to the cardinality of  $\mathcal{K}'_0$ , which gives our assertion by (2.1). Thus the proof of the theorem is complete.

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