

Horiana Ovesea

**A GENERALIZATION OF THE UNIVALENCE CRITERIA
OF BECKER, OF NEHARI AND LEWANDOWSKI (I)**

Dedicated to Professor Janina Wolska-Bochenek

1. Introduction

In this note we obtain a sufficient condition for univalence of an analytic function in the unit disk $U = \{z \in \mathbb{C} : |z| < 1\}$ and so we generalize the results obtained in papers [2] and [7]. This condition involves two arbitrary functions $g(z) = 1 + b_1 z + \dots$ and $h(z) = 1 + c_1 z + \dots$ analytic in U . Replacing g and h by some particular functions, we find the well-known conditions for univalence established by Nehari [5], by Becker [1], by Lewandowski [3] and by Lewandowski and Stankiewicz [4]. Likewise we find other new sufficient conditions.

THEOREM A (cf. [5]). *Let $f(z) = z + a_2 z^2 + \dots$ be an analytic function in U . If for all $z \in U$*

$$(1) \quad |\{f; z\}| \leq \frac{2}{(1 - |z|^2)^2}, \quad \text{where } \{f; z\} = \left(\frac{f''(z)}{f'(z)} \right)' - \frac{1}{2} \left(\frac{f''(z)}{f'(z)} \right)^2,$$

then the function f is univalent in U .

THEOREM B (cf. [1]). *Let $f(z) = z + a_2 z^2 + \dots$ be an analytic function in U . If for all $z \in U$*

$$(2) \quad (1 - |z|^2) \left| \frac{zf''(z)}{f'(z)} \right| \leq 1,$$

then the function f is univalent in U .

THEOREM C (cf. [3]). *Let $f(z) = z + a_2 z^2 + \dots$ be an analytic function in U . If there exists an analytic function p with positive real part in U with*

$p(0) = 1$, such that the inequality

$$(3) \quad \left| \frac{p(z) - 1}{p(z) + 1} |z|^2 - (1 - |z|^2) \left[\frac{zp'(z)}{p(z) + 1} + \frac{zf''(z)}{f'(z)} \right] \right| \leq 1$$

holds true for all $z \in U$, then the function f is univalent in U .

THEOREM D (cf. [4]). *If the function f is analytic and locally univalent in U and there exists an analytic function p , $\operatorname{Re} p(z) > 0$, in U such that*

$$(3') \quad \left| \frac{z\{f; z\}}{4\bar{z}} (1 - |z|^2)^2 (1 + p(z)) + \frac{zp'(z)}{p(z) + 1} (1 - |z|^2) + |z|^2 \frac{1 - p(z)}{1 + p(z)} \right| \leq 1$$

for all $z \in U$, then f is univalent in U .

THEOREM E (cf. [7]). *Let $f(z) = z + a_2 z^2 + \dots$. Let $g(z) = 1 + b_1 z + \dots$ and $h(z) = 1 + c_1 z + \dots$ be analytic functions in U and $f'(z)g(z)h(z) \neq 0$ for all $z \in U$. If*

$$(4) \quad \left| \frac{g(z)}{h(z)} - 1 \right| < 1 \quad \text{for all } z \in U,$$

$$(5) \quad \left| \left(\frac{g(z)}{h(z)} - 1 \right) |z|^4 + z(1 - |z|^2)|z|^2 \left[\frac{f''(z)}{f'(z)} + 3 \frac{h'(z)}{h(z)} - \frac{g'(z)}{g(z)} \right] + z^2(1 - |z|^2)^2 \left[\frac{f''(z)h'(z)}{f'(z)g(z)} + 2 \frac{(h'(z))^2}{g(z)h(z)} - \frac{h''(z)}{g(z)} \right] \right| \leq |z|^2$$

for all $z \in U \setminus \{0\}$, then the function f is univalent in U .

2. Preliminaries

Let A denote the class of functions f that are analytic in the unit disk $U = \{z \in \mathbb{C} : |z| < 1\}$ with $f(0) = 0$ and $f'(0) = 1$.

We denote by U_r the disk of the z -plane $U_r = \{z \in \mathbb{C} : |z| < r\}$, $U_1 = U$ and $U^* = U \setminus \{0\}$.

DEFINITION. A function $L(z, t)$, $z \in U$, $t \in I = [0, \infty)$ is called a Loewner chain if

$$L(z, t) = e^t z + a_2(t)z^2 + \dots, \quad |z| < 1$$

is analytic and univalent in U for each $t \in I$ and if for all $s, t \in I$, $0 \leq s \leq t$, one has $L(z, s) \prec L(z, t)$, where \prec denotes the relation of subordination.

THEOREM F (cf. [11]). *Let r be a real number, $r \in (0, 1]$. Let $L(z, t) = a_1(t)z + a_2(t)z^2 + \dots$, $a_1(t) \neq 0$ be analytic in U_r for all $t \in I$, locally absolutely continuous in I and locally uniform with respect to U_r . For almost all $t \in I$ suppose*

$$z \frac{\partial L(z, t)}{\partial z} = p(z, t) \frac{\partial L(z, t)}{\partial t} \quad \text{for all } z \in U_r,$$

where $p(z, t)$ is analytic in U such that $\operatorname{Re} p(z, t) > 0$ for $z \in U, t \in I$.

If $|a_1(t)| \rightarrow \infty$ for $t \rightarrow \infty$ and $\{L(z, t)/a_1(t)\}$ forms a normal family in U_r , then $L(z, t)$ has, for each $t \in I$, an analytic and univalent extension to the whole disk U .

3. Main results

THEOREM 1. Let a and c be complex numbers such that $\operatorname{Re} a > 1, |c| < 1$, let $f \in A$ and $g(z) = 1 + b_1 z + \dots, h(z) = 1 + c_1 z + \dots$ be analytic functions in U with $f'(z)(g(z)h(z)) \neq 0$ for all $z \in U$. If

$$(6) \quad |a - 2| + 2|c| < |a|,$$

$$(7) \quad \left| \frac{2(1+c)}{a} \frac{g(z)}{h(z)} - 1 \right| < 1,$$

$$(8) \quad \left| (2-a)|z|^a + 2 \left[(1+c) \frac{g(z)}{h(z)} - 1 \right] |z|^{2a} + 2(1-|z|^a)z \right. \\ \times \left. \left(\frac{f''(z)}{f'(z)} + 3 \frac{h'(z)}{h(z)} - \frac{g'(z)}{g(z)} \right) |z|^a \right. \\ \left. + \frac{1-|z|^a}{1+c} z \left(\frac{f''(z)}{f'(z)} \frac{h'(z)}{g(z)} + 2 \frac{(h'(z))^2}{g(z)h(z)} - \frac{h''(z)}{g(z)} \right) \right] \right| \leq |a| |z|^{\operatorname{Re} a}$$

for all $z \in U^*$, then the function f is univalent in U .

Proof. Let us consider the function

$$(9) \quad h_1(z, t) = g(e^{-t}z) + \frac{1}{1+c} (e^{at} - 1) e^{-t} z \cdot h'(e^{-t}z).$$

It is clear that if $z \in U$, then $e^{-t}z \in U$ for all $t \in I$ and, from the analyticity of g and h' in U it follows that $h_1(z, t)$ is also analytic in U for all $t \geq 0$. Since $h_1(0, t) = g(0) = 1$ and h_1 is analytic in U , there is a disk U_{r_1} , $0 < r_1 \leq 1$, in which $h_1(z, t) \neq 0$ for all $t \geq 0$. If we denote

$$(10) \quad \begin{aligned} h_2(z, t) &= f(e^{-t}z)g(e^{-t}z)[h_1(z, t)]^{-1}, \\ h_3(z, t) &= e^{-t}z[f'(e^{-t}z)h(e^{-t}z) + f(e^{-t}z)h'(e^{-t}z)][h_1(z, t)]^{-1}, \end{aligned}$$

we can observe that $h_2(z, t) = e^{-t}z + \dots$ and $h_3(z, t) = e^{-t}z + \dots$ It follows that the function

$$(11) \quad L(z, t) = h_2(z, t) + \frac{1}{1+c} (e^{at} - 1) h_3(z, t)$$

is analytic in U_{r_1} and $L(0, t) = 0$ for all $t \geq 0$. We have

$$(12) \quad L(z, t) = e^{-t}z h_4(z, t),$$

where $h_4(z, t) = \frac{c + e^{at}}{1+c} + \sum_{n=1}^{\infty} \alpha_n (e^{-t}z)^n$.

Using (9), (10) and (11), it follows that the function $L(z, t)$, defined for $z \in U_{r_1}$ and $t \geq 0$, can be written as

$$(13) \quad L(z, t) = \left[g(e^{-t}z) + \frac{1}{1+c} (e^{at} - 1) e^{-t} z \cdot h'(e^{-t}z) \right]^{-1} \times \left\{ f(e^{-t}z)g(e^{-t}z) + \frac{e^{at} - 1}{1+c} e^{-t} z [f'(e^{-t}z)h(e^{-t}z) + f(e^{-t}z)h'(e^{-t}z)] \right\}$$

and has a power series expansion

$$L(z, t) = a_1(t)z + a_2(t)z^2 + \dots, \quad \text{where } a_1(t) = \frac{e^{(a-1)t} + ce^{-t}}{1+c}.$$

Let us prove that $a_1(t) \neq 0$ for all $t \geq 0$. Observe that if $a_1(t_0) = 0$, then $c = -e^{at_0}$ and it follows that $|c| = e^{t_0 \operatorname{Re} a}$ which is impossible, because $|c| < 1$ and $\operatorname{Re} a > 1$. For $\operatorname{Re} a > 1$ we have $\lim_{t \rightarrow \infty} |e^{(a-1)t}| = \lim_{t \rightarrow \infty} e^{(\operatorname{Re} a - 1)t} = \infty$ and then $\lim_{t \rightarrow \infty} |a_1(t)| = \infty$.

The function $L(z, t)$ is analytic in U_{r_1} for all $t \geq 0$ and then it follows that there exist a number r_2 , $0 < r_2 < r_1$, and a constant $K = K(r_2)$ such that

$$\left| \frac{L(z, t)}{a_1(t)} \right| < K \quad \text{for all } z \in U_{r_2}, t \geq 0.$$

Then, by Montel's theorem, it follows that $\{L(z, t)/a_1(t)\}$ is a normal family in U_{r_2} . From (12) we have

$$(14) \quad \frac{\partial L(z, t)}{\partial t} = e^{-t} z \left[\frac{\partial h_4(z, t)}{\partial t} - h_4(z, t) \right].$$

It is clear that $\frac{\partial h_4(z, t)}{\partial t}$ is analytic function in U_{r_2} and $\frac{\partial L(z, t)}{\partial t}$ too. Then, for all fixed numbers $T > 0$ and r_3 , $0 < r_3 < r_2$, there exists a constant $K_1 > 0$ such that

$$\left| \frac{\partial L(z, t)}{\partial t} \right| < K_1 \quad \text{for all } z \in U_{r_3} \text{ and } t \in [0, T].$$

Therefore, the function $L(z, t)$ is locally absolutely continuous in $[0, \infty)$, locally uniformly with respect to U_{r_3} . Since $\frac{\partial L(z, t)}{\partial t}$ is analytic in U_{r_3} , from (14) it follows that there is a number r , $0 < r < r_3$, such that $\frac{1}{z} \frac{\partial L(z, t)}{\partial t} \neq 0$ for all $z \in U_r$, and then the function

$$p(z, t) = z \frac{\partial L(z, t)}{\partial z} \Big/ \frac{\partial L(z, t)}{\partial t}$$

is analytic in U_r , for all $t \geq 0$.

In order to prove that the function $p(z, t)$ has an analytic extension with positive real part in U , for all $t \geq 0$, it is sufficient to show that the function

$$w(z, t) = \frac{p(z, t) - 1}{p(z, t) + 1}, \quad z \in U_r,$$

can be continued analytically in U and that

$$(15) \quad |w(z, t)| < 1 \quad \text{for all } z \in U \text{ and } t \geq 0.$$

After computation we obtain

$$(16) \quad w(z, t) = \frac{2}{a} - 1 + \frac{2}{a} \left[(1+c) \frac{g(e^{-t}z)}{h(e^{-t}z)} - 1 \right] e^{-at} \\ + \frac{2}{a} (1 - e^{-at}) e^{-t} z \left[\left(\frac{f''(e^{-t}z)}{f'(e^{-t}z)} + 3 \frac{h'(e^{-t}z)}{h(e^{-t}z)} - \frac{g'(e^{-t}z)}{g(e^{-t}z)} \right) \right. \\ + \frac{e^{at} - 1}{1+c} e^{-t} z \left(\frac{f''(e^{-t}z)}{f'(e^{-t}z)} \frac{h'(e^{-t}z)}{g(e^{-t}z)} \right. \\ \left. \left. + 2 \frac{(h'(e^{-t}z))^2}{g(e^{-t}z)h(e^{-t}z)} - \frac{h''(e^{-t}z)}{g(e^{-t}z)} \right) \right]$$

Since $f'(z)g(z)h(z) \neq 0$ for all $z \in U$, the function $w(z, t)$ is analytic in U . For $z = 0$ we have

$$(17) \quad |w(0, t)| = \left| \frac{2c}{a} e^{-at} + \frac{2}{a} - 1 \right| \leq \frac{|a-2|}{|a|} + \frac{2|c|}{|a|}$$

and, by (6), it results that $|w(0, t)| < 1$ for all $t \geq 0$. For $z \neq 0$ and $t = 0$ in view of (7) we obtain

$$(18) \quad |w(z, 0)| = \left| \frac{2(1+c)}{a} \cdot \frac{g(z)}{h(z)} - 1 \right| < 1.$$

Let now be a fixed number $t > 0$, $z \in U$, $z \neq 0$. In this case the function $w(z, t)$ is analytic in \bar{U} , because $|e^{-t}z| \leq e^{-t} < 1$ for all $z \in \bar{U}$. Using the maximum principle, for all $z \in U$ and $t > 0$, we have

$$(19) \quad |w(z, t)| < \max_{|\zeta|=1} |w(\zeta, t)| = |w(e^{i\theta}, t)|,$$

where $\theta = \theta(t)$ is a real number.

Let us denote $u = e^{-t}e^{i\theta}$. Then $|u| = e^{-t}$ and from (16) we obtain

$$(20) \quad |w(e^{i\theta}, t)| = \frac{1}{|a||u|^{\operatorname{Re} a}} \left| \left((2-a)|u|^a + 2 \left[(1+c) \frac{g(u)}{h(u)} - 1 \right] |u|^{2a} \right. \right. \\ \left. \left. + 2(1-|u|^a)u \left[\left(\frac{f''(u)}{f'(u)} + 3 \frac{h'(u)}{h(u)} - \frac{g'(u)}{g(u)} \right) |u|^a \right. \right. \right. \\ \left. \left. \left. + \frac{1-|u|^a}{1+c} u \left(\frac{f''(u)}{f'(u)} \frac{h'(u)}{g(u)} + 2 \frac{(h'(u))^2}{g(u)h(u)} - \frac{h''(u)}{g(u)} \right) \right] \right|.$$

Since $u \in U$, the relation (8) implies $|w(e^{i\theta}, t)| \leq 1$.

From (17), (18), (19) and (20) we conclude that (15) holds true for all $z \in U$ and $t \geq 0$. It follows that $L(z, t)$ is a Loewner chain, and hence, the function $L(z, 0) = f(z)$ is univalent in U .

THEOREM 2. *Let a and c be complex numbers satisfying $\operatorname{Re} a > 1$, $|c| < 1$ and let $f \in A$. If*

$$(6') \quad |a - 2| + 2|c| < |a|$$

and if there exists an analytic function p with positive real part in U such that $p(0) = (a - 1 - c)/(1 + c)$, and

$$(21) \quad \left| 1 - \frac{a}{2} + \frac{a - 1 - p(z)}{1 + p(z)} |z|^a + (1 - |z|^a) \left[\frac{zp'(z)}{p(z) + 1} + \frac{zf''(z)}{f'(z)} \right] \right| \leq \frac{|a|}{2}$$

for all $z \in U^$, then the function f is univalent in U .*

Proof. Let us consider the function $h(z) \equiv 1$ in Theorem 1. Denoting

$$(22) \quad p(z) = \frac{a - (1 + c)g(z)}{(1 + c)g(z)},$$

we get $p(0) = (a - 1 - c)/(1 + c)$, and from (22) we obtain

$$g(z) = \frac{a}{(1 + c)(1 + p(z))} \quad \text{and} \quad \frac{g'(z)}{g(z)} = -\frac{p'(z)}{1 + p(z)}.$$

The condition (7) will be replaced by

$$\left| \frac{2(1 + c)}{a} g(z) - 1 \right| = \left| \frac{2}{1 + p(z)} - 1 \right| = \left| \frac{p(z) - 1}{p(z) + 1} \right| < 1$$

equivalent to $\operatorname{Re} p(z) > 0$ for all $z \in U$, and (8) becomes

$$\left| (2 - a) + 2[(1 + c)g(z) - 1]|z|^a + 2(1 - |z|^a)z \left(\frac{f''(z)}{f'(z)} - \frac{g'(z)}{g(z)} \right) \right| \leq |a|.$$

In view of (22), we obtain the relation (21).

4. Remarks

1. For $a = 2$ and $c = 0$ Theorem 1 becomes Theorem E.
2. For $a = 2$ we find the results from the paper [9].
3. For $c = 0$ we find the results from the paper [8].
4. For $g(z) \equiv h(z)$ we find the results from the paper [10]. If $a = 2$ and $c = 0$, then we obtain the results from the paper [2].
5. For $g(z) \equiv 1$ and $h(z) \equiv 1$, $a = 2$ and $c = 0$ we have Theorem B.

6. For $g(z) = (f'(z))^{-1/2}$ and $h(z) = (f'(z))^{-1/2}$ we find the generalization of Nehari's univalence criterion obtained in [6]. If $a = 2$ and $c = 0$, then we have Theorem A.

7. For $h(z) \equiv 1$ Theorem 1 becomes Theorem 2 generalizing Lewandowski's univalence criterion. If $a = 2$ and $c = 0$, then we have Theorem C.

8. For $a = 2$, $c = 0$, $h(z) = (f'(z))^{-1/2}$ and $g(z) = \frac{2(f'(z))^{-1/2}}{1+p(z)}$, where p is an analytic function in U , $\operatorname{Re} p(z) > 0$ for all $z \in U$, Theorem 1 becomes Theorem D. In this case, the inequalities (6) and (7) are true and we have

$$\begin{aligned} \frac{g(z)}{h(z)} - 1 &= \frac{1 - p(z)}{1 + p(z)}, \\ \frac{f''(z)}{f'(z)} + 3 \frac{h'(z)}{h(z)} - \frac{g'(z)}{g(z)} &= \frac{p'(z)}{1 + p(z)}, \\ \frac{f''(z)}{f'(z)} \frac{h'(z)}{g(z)} + 2 \frac{(h'(z))^2}{g(z)h(z)} - \frac{h''(z)}{g(z)} &= \frac{1 + p(z)}{4} \{f; z\}. \end{aligned}$$

The condition (8) becomes

$$\begin{aligned} \left| \frac{1 - p(z)}{1 + p(z)} |z|^4 + (1 - |z|^2) \frac{zp'(z)}{p(z) + 1} |z|^2 \right. \\ \left. + z^2(1 - |z|^2)^2 \frac{1 + p(z)}{4} \{f; z\} \right| \leq |z|^2 \end{aligned}$$

which is equivalent to the inequality (3') in Theorem D.

References

- [1] J. Becker, *Löwner'sche Differentialgleichung und quasikonform fortsetzbare schlichte Funktionen*, J. Reine Angew. Math., 255 (1972), 23–43.
- [2] D. Blezu, N.N. Pascu, *A generalization of the univalence criteria of Becker and of Nehari*, Mathematica, Cluj, 32 (55), (1990), 101–105.
- [3] Z. Lewandowski, *On an univalence criterion*, Bull. Acad. Polon. Sci. Sér. Sci. Math. 29 (1981), 123–126.
- [4] Z. Lewandowski, J. Stankiewicz, *Some sufficient conditions for univalence*, Zeszyty Nauk. Politech. Rzeszów, 14 Mat. i Fiz. z.1 (1984), 11–16.
- [5] Z. Nehari, *The Schwarzian derivative and schlicht functions*, Bull. Amer. Math. Soc. 55 (1949), 545–551.
- [6] H. Ovesea, *A generalization of Nehari's criterion of univalence*, Seminar of Geom. Function Theory, Brașov, Preprint Nr. 3 (1993), 55–59.
- [7] H. Ovesea, *A generalization of the univalence criteria of Becker, of Nehari and of Lewandowski*, Mathematica, Cluj (to appear).

- [8] H. Ovesea, *A new generalization of the univalence criteria of Becker, of Nehari and of Lewandowski*, (to appear).
- [9] H. Ovesea, N.N Pascu, R.N. Pascu, *A generalization of the univalence criteria of Nehari, of Ahlfors and Becker and of Lewandowski*, General-Mathematics, Sibiu, 1 (1993), 1-7.
- [10] H. Ovesea, *A generalization of the univalence criteria of Ahlfors and Becker and of Nehari*, Seminar of Geom. Function Theory, Brașov, Preprint Nr. 3 (1993), 65-70.
- [11] Ch. Pommerenke, *Über die Subordination analytischer Funktionen*, J. Reine Angew. Math. 218 (1965), 159-173.

DEPARTMENT OF MATHEMATICS
"TRANSILVANIA" UNIVERSITY
2200 BRAȘOV, ROMANIA

Received April 14, 1994.