

Yu. I. Karlovich

# ON THE HASEMAN PROBLEM

1. This article is devoted to the theory in a Lebesgue space  $L_p(\Gamma, \varrho)$  with a power weight  $\varrho$  of a singular integral operator (SIO) with a shift

$$(1) \quad N = WP_+ + GP_-,$$

where  $P_+ + P_- = I$  is the identity operator,  $P_+ - P_- = S$  is the singular integral operator with Cauchy kernel given by

$$(S\varphi)(t) = (\pi i)^{-1} \int_{\Gamma} (\tau - t)^{-1} \varphi(\tau) d\tau, \quad t \in \Gamma,$$

$W$  is the shift operator defined by  $(W\varphi)(t) = \varphi[\alpha(t)]$ ,  $\alpha$  is an orientation-preserving diffeomorphism of a simple open oriented smooth curve  $\Gamma$  onto itself and at last  $G$  is a function or matrix-valued function (briefly MVF) on  $\Gamma$ .

The operator (1) is closely connected with the boundary value problem of Haseman: find a piecewise analytic function  $\Phi(z)$  having a representation of it in the form of the Cauchy type integral with a density of class  $L_p(\Gamma, \varrho)$  on the basis of the boundary condition

$$(2) \quad \Phi^+[\alpha(t)] = G(t)\Phi^-(t) + g(t), \quad t \in \Gamma,$$

where  $\Phi^\pm(t)$  are angular limit values of the function  $\Phi(z)$ . D. A. Kveselava, N. P. Vekua, G. F. Mandzhavidze and B. V. Khvedelidze, I. B. Simonenko, L. I. Chibrikova, S. N. Antoncev and V. N. Monakhov studied the Haseman problem (2) under the various assumptions (see [1]). On the basis of the investigations of Banach algebras of SIO with noncarleman shifts and ruled coefficients (that is uniform limits of step functions) V.G. Kravchenko and

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the author [2], [3] obtained necessary and sufficient Noetherian conditions and a formula for computing the index of the operator (1).

**THEOREM 1.** *Let  $\Gamma = [t_0, t_1]$  be a simple open oriented smooth curve, let  $G$  be a continuous function on  $\Gamma$  and*

$$\varrho(t) = |t - t_0|^{\beta_0} |t - t_1|^{\beta_1}, -p^{-1} < \beta_j < 1 - p^{-1}, 1 < p < \infty.$$

*Then the operator (1) is Noetherian in the space  $L_p(\Gamma, \varrho)$  if and only if  $G(t) \neq 0$  for all  $t \in \Gamma$  and the numbers*

$$\varphi_j = (p^{-1} + \beta_j)(1 + \delta_j^2) + (2\pi)^{-1} [\delta_j \ln |G(t_j)| - (-1)^j \arg G(t_j)] \quad (j = 0, 1)$$

*are not integers, where  $\delta_j = (2\pi)^{-1} \ln |\alpha'(t_j)|$ . If these conditions are satisfied, the index of the operator (1) is equal to*

$$(3) \quad \text{ind } N = (2\pi)^{-1} \{\arg G(t)\}_{t \in \Gamma} + \sum_{j=0}^1 [E(\varphi_j) + (-1)^j (2\pi)^{-1} \arg G(t_j)],$$

*where  $(2\pi)^{-1} \{\arg G(t)\}_{t \in \Gamma}$  is the Cauchy index of the invertible function  $G$  and  $E(x)$  is the integer part of a real number  $x$ .*

Later the Noether theory of the operator (1) was constructed under more generalized conditions: for piecewise continuous matrix-valued coefficients, composite contours and piecewise smooth shifts (see [4], [3]).

The next step was calculation of defect numbers of the operator (1). This result was obtained jointly by A. V. Ajzenshtat, G. S. Litvinchuk and the author [5], but under more strict conditions:  $\Gamma$  is a simple open Ljapunov curve and the derivative  $\alpha'$  in addition satisfies a Hölder condition. It is based on the next sewing theorem.

Let  $\mathfrak{R}$  be a set consisting from all curves  $\gamma$ , such that the operator  $S$  is bounded in all spaces  $L_p(\gamma)$ ,  $1 < p < \infty$ . G. David [6] proved that

$$\mathfrak{R} = \{\gamma : \sup_{t \in \Gamma} \sup_{r > 0} r^{-1} \text{mes}(\gamma \cap \{z : |z - t| < r\}) < \infty\}.$$

**DEFINITION 1.** We call an open curve  $\mathcal{L} \in \mathfrak{R}$  with endpoints  $\tau_0, \tau_1 \in \mathbb{C} \setminus \{\infty\}$  a spiral of logarithmic type  $(\Delta_0, \Delta_1)$  if there exist limits

$$\lim_{\tau \rightarrow \tau_j, \tau \in \mathcal{L}} [\arg(\tau - \tau_j) / \ln |\tau - \tau_j|^{-1}] = \Delta_j \quad (j = 0, 1).$$

**THEOREM 2.** *Let  $\Gamma$  be a simple open Ljapunov arc with endpoints  $t_0, t_1$  and let  $\alpha$  be an orientation-preserving  $H$ -smooth diffeomorphism of the contour  $\Gamma$  onto itself. Then the following propositions are valid:*

1) *there exists a conformal and one-sheeted mapping  $\omega : \mathbb{C} \setminus \Gamma \rightarrow \mathbb{C} \setminus \mathcal{L}$ , where  $\mathcal{L} \in \mathfrak{R}$  is a spiral of logarithmic type  $(\delta_0, \delta_1)$ , which is a Ljapunov curve outside of an arbitrary neighborhood of the endpoints  $\tau_j = \omega(t_j)$ ,  $\delta_j = (2\pi)^{-1} \ln |\alpha'(t_j)|$ ;*

2) the function  $\omega$  has Hölder limit values  $\omega^\pm(t)$  on  $\Gamma$  satisfying the boundary condition  $\omega^+[\alpha(t)] = \omega^-(t)$ ,  $t \in \Gamma$ ;

3) the derivative  $\omega'$  is continuously extended onto  $\Gamma \setminus \{t_0, t_1\}$  from left and right sides and has the next asymptotics in neighborhoods of endpoints

$$|\omega'(z)| \asymp |z - t_j|^{-\delta_j^2/(1+\delta_j^2)} \quad \text{for } z \rightarrow t_j, \quad z \in \Gamma \quad (j = 0, 1).$$

With the help of Theorem 2 the Haseman problem (2) is reduced to the equivalent Riemann problem on the logarithmic type spiral  $\mathcal{L} = \omega(\Gamma)$ :

$$(4) \quad F^+(\tau) = \widehat{G}(\tau)F^-(\tau) + \widehat{g}(\tau), \quad \tau \in \mathcal{L},$$

where  $\widehat{G}(\tau) = G[(\omega^-)^{-1}(\tau)]$ ,  $\widehat{g}(\tau) = g[(\omega^-)^{-1}(\tau)] \prod_{j=0}^1 (\tau - \tau_j)^{m_j}$ ,

$$F(z) = \Psi[\omega^{-1}(z)] \prod_{j=0}^1 (z - \tau_j)^{m_j} \quad \text{and} \quad m_j = E[(p^{-1} + \beta_j)(1 + \delta_j^2)].$$

With problem (4) we associate a SIO

$$(5) \quad \widehat{N} = P_+ + \widehat{G}P_-$$

acting in the space  $L_p(\mathcal{L}, \widehat{\rho})$ , where

$$\widehat{\rho}(\tau) = \prod_{j=0}^1 |\tau - \tau_j|^{\widehat{\beta}_j}, \quad -p^{-1} < \widehat{\beta}_j = (p^{-1} + \beta_j)(1 + \delta_j^2) - p^{-1} - m_j < 1 - p^{-1}.$$

From the connection between problems (2) and (4) we can receive the following.

**THEOREM 3.** *The operators (1) and (5) are Noetherian only simultaneously. If they are Noetherian, then*

$$\dim \text{Ker } N = \max\{0, \text{ind } \widehat{N} + m\}, \quad \dim \text{Coker } N = \max\{0, -\text{ind } \widehat{N} - m\},$$

where  $m = m_0 + m_1$ .

**COROLLARY 1.** *If the operator (1) is Noetherian, then*

$$\begin{aligned} \dim \text{Ker } N &= \max\{0, \text{ind } N\}, \\ \dim \text{Coker } N &= \max\{0, -\text{ind } N\}, \end{aligned}$$

where  $\text{ind } N$  is calculated by the formula (3).

2. Let us consider the operator (1) with a coefficient  $G$  having points of discontinuity of semi-almost periodic type on a piecewise smooth contour  $\Gamma$ . At first in the space  $L_p^n(\mathbb{R})$  we shall study SIO's.

$$(6) \quad T_G = P_+ + GP_-$$

with semi-almost periodic MVF's  $G$  and corresponding convolution type operators

$$(7) \quad W_G = \chi_- I + \mathcal{F}^{-1} G \mathcal{F} \chi_+ I,$$

where  $\chi_{\pm}$  are characteristic functions of semi-axes  $\mathbb{R}_{\pm}$  and  $\mathcal{F}$  is the Fourier transformation:

$$(\mathcal{F}\varphi)(x) = (2\pi)^{-1/2} \int_{\mathbb{R}} e^{-ixy} \varphi(y) dy, \quad x \in \mathbb{R}.$$

In [7] D. Sarason defined the class SAP of semi-almost periodic functions as the subalgebra of  $L_{\infty}$  generated by the class AP of uniform almost periodic functions and the class  $C(\overline{\mathbb{R}})$  of functions continuous on the two-point compactification of  $\mathbb{R}$ . In parallels we consider the algebra  $\mathcal{M}_p$  of Fourier multipliers on  $L_p(\mathbb{R})$  and the subalgebra  $SAA_p \subset \mathcal{M}_p$  generated by  $AP_p$  and  $C_p(\overline{\mathbb{R}})$ , where  $AP_p$  is the closure in  $\mathcal{M}_p$  of the class  $AP_W$  of absolutely convergent trigonometrical series  $\sum f_{\lambda} e^{i\lambda x}$  ( $\lambda \in \mathbb{R}$ ) and  $C_p(\overline{\mathbb{R}})$  is the closure of the set of continuous functions on  $\overline{\mathbb{R}}$  of bounded variation.

Any MVF  $G \in SAP$  can be represented in the form

$$(8) \quad G = G_+ u_+ + G_- u_- + G_0,$$

where MVF's  $G_{\pm} \in AP$ , MVF  $G_0 \in C(\overline{\mathbb{R}})$  and  $G_0(\pm\infty) = 0$ , the functions  $u_{\pm} = (1 \pm \tanh \pi x)/2$  and the mappings  $G \rightarrow G_{\pm}$  are homomorphisms of the Banach algebra SAP onto AP. This fact remains correct if  $SAP$ ,  $AP$  and  $C(\overline{\mathbb{R}})$  are replaced by  $SAP_p$ ,  $AP_p$  and  $C_p(\overline{\mathbb{R}})$ , respectively. According to [8] the MVF's  $G_{\pm}$  in (8) will be called the almost periodic components (or local representatives) of  $G$  at  $\pm\infty$ .

With the use of limit operators technique (see, for example, [9]) we can prove the following.

**THEOREM 4.** *If a MVF  $G \in SAP$  (respectively,  $G \in SAP_p$ ) and the operator  $T_G$  ( $W_G$ ) is Noetherian in the space  $L_p^n(\mathbb{R})$  then the operators  $T_{G_{\pm}}$  ( $W_{G_{\pm}}$ ) with almost periodic components  $G_{\pm}$  of the MVF  $G$  are invertible in  $L_p^n(\mathbb{R})$ .*

In the case  $n = 1$  Theorem 4 was obtained earlier in [10], [11]. The proof of Theorem 4 in the case  $n > 1$  is based on the following statements.

Let  $\mathfrak{C}$  and  $\mathfrak{B}$  be Banach algebras of SIO in  $L_p^n(\mathbb{R})$  with matrix-valued coefficients of class  $SAP$  and  $AP$ , respectively. Let  $\mathfrak{B}$  and  $\mathfrak{D}$  be Banach algebras in  $L_p^n(\mathbb{R})$  generated by operators  $\text{sgn}(\cdot)I$  and  $\mathcal{F}^{-1}G\mathcal{F}$ , where MVF's  $G$  belong to  $SAP_p$  and  $AP_p$ , respectively. It is clear that algebras  $\mathfrak{C}$  and  $\mathfrak{B}$  contain the ideal  $\mathcal{L}_0$  of compact operators in  $L_p^n(\mathbb{R})$ .

LEMMA 1. The mappings  $\nu_{\pm} : T \rightarrow T_{\pm}$  defined on generators  $GI$  (MVF's  $G \in SAP$ ) and  $S = P_+ - P_-$  of the algebra  $\mathfrak{C}$  by the equalities

$$\nu_{\pm}(GI) = G_{\pm}I, \quad \nu_{\pm}(S) = S$$

are continued to homomorphisms of the algebra  $\mathfrak{C}$  onto the algebra  $\mathfrak{B}$ ; moreover

$$(\forall T \in \mathfrak{C}) \|T_{\pm}\| \leq |T| = \inf\{\|T + K\| : K \in \mathcal{L}_0\}.$$

LEMMA 2. The mappings  $\mu_{\pm} : W \rightarrow W_{\pm}$  defined on generators  $\text{sgn}(\cdot)I$  and  $\mathcal{F}^{-1}GF$  (MVF's  $G \in SAP_p$ ) of the algebra  $\mathfrak{B}$  by the equalities

$$\mu_{\pm}(\mathcal{F}^{-1}GF) = \mathcal{F}^{-1}G_{\pm}F, \quad \mu_{\pm}(\text{sgn}(\cdot)I) = \text{sgn}(\cdot)I$$

are continued to homomorphisms of the algebra  $\mathfrak{B}$  onto the algebra  $\mathfrak{D}$ ; moreover

$$(\forall W \in \mathfrak{B}) \|W_{\pm}\| \leq |W|.$$

According to [9], the limits of any strongly convergent subsequences  $B'_{h_n}TB'^{-1}_{h_n}$  and  $e^{-ixh'_n}We^{ixh'_n}I$ , respectively, of sequences  $B_{h_n}TB^{-1}_{h_n}$  and  $e^{-ixh_n}We^{ixh_n}I$ , where  $(B_h\varphi)(x) = \varphi(x+h)$  and  $h_n \rightarrow \pm\infty$  as  $n \rightarrow \pm\infty$ , will be called limit operators for  $T$  and  $W$ .

THEOREM 5. If the operator  $T \in \mathfrak{C}$  ( $W \in \mathfrak{B}$ ) is Noetherian, then limit operators  $T_{\pm} = \nu_{\pm}(T) \in \mathfrak{S}$  ( $W_{\pm} = \mu_{\pm}(W) \in \mathfrak{D}$ ) are invertible.

Theorem 4 follows from Theorem 5 for  $T = T_G$  and  $W = W_G$ .

COROLLARY 2. For a MVF  $G \in AP$  (respectively,  $G \in AP_p$ ) the Noether property and the invertibility of the operator  $T_G(W_G)$  in  $L^n_p(\mathbb{R})$  are equivalent.

It is clear that Lemma 1 and Theorems 4–5 are extended on algebras SIO's in  $L^n_p(\Gamma)$  with coefficients having semi-almost periodic type discontinuities.

In the case  $p = 2$  Theorem 4 admits the strengthening.

THEOREM 6. If a MVF  $G \in SAP$  and the operator  $T_G$  or  $W_G$  is  $n$ -normal ( $d$ -normal) in the space  $L^n_2(\mathbb{R})$ , then the operators  $T_{G_{\pm}}$  and  $W_{G_{\pm}}$  are left (right) invertible in  $L^n_2(\mathbb{R})$ .

If  $n = 1$ , then Theorem 6 is valid for all  $p \in (1, \infty)$  (see [10], [11]).

The investigation of the Noether property of the operators (6)–(7) is closely connected with the study of a special form of factorization of almost periodic MVF's  $G_{\pm}$ , which I. M. Spitkovskii factorization of almost periodic MVF's  $G_{\pm}$ , which I.M. Spitkovskii and the author have called  $P$ -factorization (see [8], [12]).

For a MVF  $G \in AP$  let  $\mathbb{M}(G)$  and  $\Omega(G)$  denote its mean value and spectrum, respectively:

$$\mathbb{M}(G) = \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T G(x) dx, \quad \Omega(G) = \{\lambda \in \mathbb{R} : \mathbb{M}(e^{-i\lambda x} G(X)) \neq 0\}.$$

Let  $AP^\pm = \{G \in AP : \Omega(G) \subset \mathbb{R}_\pm\}$  and  $AP_W^\pm = AP^\pm \cap AP_W$ .

**DEFINITION 2.** A  $P$ -factorization (correspondingly a  $P_W$ -factorization) of an  $n \times n$  MVF  $G$  defined on  $\mathbb{R}$  is defined to be a representation of it in the form

$$(9) \quad G = G^+ \wedge G^-,$$

where  $\wedge(X) = \text{diag}[e^{i\lambda_1 x}, \dots, e^{i\lambda_n x}]$ , the numbers  $\lambda_j$  are real and MVF's  $G^\pm (G^\pm)^{-1} \in AP^\pm$  (respectively,  $AP_W^\pm$ ).

The set of the values  $\lambda_j$  is invariant for the  $P$ -factorable MVF  $G$ . We call these numbers the partial  $P$ -indices of the MVF  $G$ . If all partial  $P$ -indices are equal, then the matrix  $\mathbb{M}(G^+) \mathbb{M}(G^-)$  is uniquely determined, and we denote it below by  $d(G)$ .

**3. Let a MVF  $G \in AP_W$ .**

**THEOREM 7.** *If a MVF  $G \in AP_W$ , then the following affirmations are equivalent:*

- 1) the operator  $T_G$  is Noetherian in the space  $L_p^n(\mathbb{R})$ ,
- 2) the operator  $T_G$  is invertible in  $L_p^n(\mathbb{R})$ ,
- 3) the operator  $W_G$  is Noetherian in  $L_p^n(\mathbb{R})$ ,
- 4) the operator  $W_G$  is invertible in  $L_p^n(\mathbb{R})$ ,
- 5) the MVF  $G$  is  $P$ -factorable with zero partial  $P$ -indices,
- 6) the MVF  $G$  is  $P_W$ -factorable with zero partial  $P$ -indices.

The implications  $6) \Rightarrow 2) \Rightarrow 1)$  and  $6) \Rightarrow 4) \Rightarrow 3)$  are obvious. The equivalence  $5) \Leftrightarrow 6)$  was established in [13] with the use of the results from [14]. The implications  $1) \Rightarrow 2)$  and  $3) \Rightarrow 4)$  were proved in Theorem 4. It remains to prove the implications  $2) \Rightarrow 6)$  and  $4) \Rightarrow 6)$ , moreover only for  $n > 1$ , since in the case  $n = 1$  they were established in [15], [16].

At first let  $p = 2$ . Then  $W_G = \mathcal{F}^{-1} T_G \mathcal{F}$ , where  $\mathcal{F}$  is the isometry of  $L_2^n(\mathbb{R})$  onto itself. Hence in the case  $p = 2$  it is sufficient to consider only the operator  $T_G$ .

We denote by  $B_2$  the Hilbert space of Besicovitch almost periodic functions, i.e. the supplement of the set of almost periodic polynomials  $f(x) =$

$\sum f_\lambda e^{i\lambda x}$  by the norm

$$\|f\|_{B_2} = \left( \sum |f_\lambda|^2 \right)^{1/2} = [\mathbb{M}(|f|^2)]^{1/2}.$$

As is known, the space  $B_2$  is identified with the Hilbert space  $L_2(\mathbb{R}_B)$  with respect to the Haar measure  $d\mu$  on the Bohr compact  $\mathbb{R}_B$ . Parallel with  $B_2$  we consider also Besicovitch spaces  $B_p$  ( $1 \leq p \leq \infty$ ) identified with Banach spaces  $L_p(\mathbb{R}_B)$  with the Haar measure  $d\mu$ . In the Besicovitch space  $B_2$  we introduce the orthoprojectors  $\mathcal{P}_\pm$  and  $\widehat{\mathcal{P}}_\pm$  defined by equalities

$$\mathcal{P}_- = I - \mathcal{P}_+, \quad \widehat{\mathcal{P}}_+ = I - \widehat{\mathcal{P}}_-, \quad \mathcal{P}_+(e^{i\lambda x}) = \chi(\lambda)e^{i\lambda x}, \quad \widehat{\mathcal{P}}_-(e^{i\lambda x}) = \chi(-\lambda)e^{i\lambda x},$$

where  $\chi(\lambda) = 1$  for  $\lambda \geq 0$  and  $\chi(\lambda) = 0$  for  $\lambda < 0$ .

Let  $\mathcal{L}(X)$  be the algebra of all bounded linear operators acting in a Banach space  $X$ . In parallels with the  $C^*$ -algebra  $\mathfrak{S} \subset \mathcal{L}(L_2^n(\mathbb{R}))$  generated by the operators  $\mathcal{P}_\pm$  and operators of multiplication by MVF's  $G \in AP$  we consider the  $C^*$ -algebras  $\mathcal{C}, \widehat{\mathcal{C}} \subset \mathcal{L}(B_2^n)$  generated, respectively, by operators  $\mathcal{P}_\pm, GI$  and  $\widehat{\mathcal{P}}_\pm, GI$ , where MVF's  $G \in AP$ .

With the help of the theorem on an isomorphism of  $C^*$ -algebras of operators with shifts established by the author in [17] we can prove the following.

**THEOREM 8.** *The  $C^*$ -algebras  $\mathfrak{S}, \mathcal{C}$  and  $\widehat{\mathcal{C}}$  are isometrically isomorphic.*

**COROLLARY 3.** *If a MVF  $G \in AP$ , then the operators*

$$T_G = \mathcal{P}_+ + G\mathcal{P}_- \in \mathcal{L}(L_2^n(\mathbb{R})), \quad \widehat{T}_G = \widehat{\mathcal{P}}_+ + G\widehat{\mathcal{P}}_- \in \mathcal{L}(B_2^n),$$

*are invertible only simultaneously.*

The following gives the implication  $2) \Rightarrow 6)$  in Theorem 7 in the case  $p = 2$ .

**THEOREM 9.** *If a MVF  $G \in AP_W$  and the operator  $T_G$  is invertible in the space  $L_2^n(\mathbb{R})$ , then  $G = G^+G^-$ , where MVF's  $G^\pm, (G^\pm)^{-1} \in AP_W^\pm$ .*

Really, let  $I_n$  be the identity matrix of order  $n$ , let  $G'$  be the matrix transposed to  $G$  and  $(\mathbb{C}\varphi)(X) = \varphi(\overline{X}), X \in \mathbb{R}$ . According to Corollary 3, the operators

$$T_G = \mathcal{P}_+ + G\mathcal{P}_-, \quad \mathbb{C}T_G^*\mathbb{C} = \widehat{\mathcal{P}}_- + \widehat{\mathcal{P}}_+G'I$$

are invertible parallel with  $T_G$ . Hence, the operators

$$T_{G'} = G'^{-1}\mathcal{P}_+ + \mathcal{P}_-, \quad T_{G''} = (G')^{-1}\mathcal{P}_- + \mathcal{P}_+$$

are invertible in the space  $B_2$  of Besicovitch almost periodic  $n \times n$  MVF's. Then the MVF's

$$\Phi^\pm = \mathcal{P}_\pm(T_{G'})^{-1}I_n, \quad \Psi^\pm = \widehat{\mathcal{P}}_\pm(T_{G''})^{-1}I_n,$$

respectively, belong to  $B_2^\pm = \{A \in B_2 : \Omega(A) \subset \mathbb{R}_\pm\}$  and satisfy the relations

$$(10) \quad \Phi^+ = G(I_n - \Phi^-), \quad (\Psi^-)' = (I_n - \Psi^+)'G, \quad \mathbf{M}(\Phi^-) = \mathbf{M}(\Psi^+) = 0.$$

Since  $G \in AP_W$ , according to [14], the MVF's  $\Phi^\pm, \Psi^\pm \in AP_W^\pm$ . It follows from (10) that

$$(11) \quad C \stackrel{\text{def}}{=} (I_n - \Psi^+)'G(I_n - \Phi^-) = (I_n - \Psi^+)' \Phi^+ = (\Psi^-)'(I_n - \Phi^-).$$

Then  $C \in AP_W^+ \cap AP_W^-$  and, consequently,  $C$  is a constant matrix. By analogy with Theorem 3.4 [18] we can prove that  $\det C \neq 0$ . It remains to set  $G^+ = \Phi^+$ ,  $G^- = C^{-1}(\Psi^-)'$  and to use (11).

In the case  $p \neq 2$  the proof of the implications  $2) \Rightarrow 6)$  and  $4) \Rightarrow 6)$  is based on the following.

**THEOREM 10.** *If a MVF  $G \in AP_W$ , then the operator  $T_G$  (respectively,  $W_G$ ) is invertible in all spaces  $L_p^n(\mathbb{R})$ ,  $1 < p < \infty$ , only simultaneously.*

This theorem for the operator  $W_G$  follows from Corollary 2.2.12 in V. G. Kurbatov [19]. With a view to prove Theorem 10 for  $T_G$  we introduce the algebra  $\mathfrak{S}_p$  ( $1 < p < \infty$ ) of operators  $T = \sum A_\lambda e^{i\lambda x} I$  acting in the Banach space  $L_p^n(\mathbb{R})$ , where  $A_\lambda = \mathcal{F}^{-1} a_\lambda \mathcal{F}$ ,  $\mathcal{F}$  is the Fourier transformation, elements of MVF's  $a_\lambda$  ( $\lambda \in \mathbb{R}$ ) belong to the algebra  $\mathcal{M}_p$  of Fourier multipliers on  $L_p(\mathbb{R})$  and

$$\|T\|_W = \sum \|A_\lambda\|_{\mathcal{L}(L_p^n(\mathbb{R}))} < \infty.$$

The algebra  $\mathfrak{S}_p$  is Banach under this norm.

Let  $G$  be a discrete abelian group and let  $K = K(G)$  be its character group (all characters are continuous). Let  $\mathcal{L}$  denote a Banach algebra with identity and let  $W(K, \mathcal{L})$  denote the subalgebra of the algebra  $C(K, \mathcal{L})$  consisting of functions of the form

$$T(\kappa) = \sum \langle \kappa, \lambda \rangle b_\lambda, \quad \kappa \in K,$$

where  $b_\lambda \in \mathcal{L}$ ,  $\langle \kappa, \lambda \rangle$  is the value of the character  $\kappa$  at the element  $\lambda \in G$  and  $\sum \|b_\lambda\| < \infty$ .

In [20] S. Bochner and R. Phillips proved that the algebra  $W(K, \mathcal{L})$  is full. With a help of this fact we can prove, according to [21], [19], the following.

**THEOREM 11.** *The algebra  $\mathfrak{S}_p$  is full for every  $p \in (1, \infty)$ .*

**COROLLARY 4.** *If the operator  $T \in \mathfrak{S}_p$  is invertible, then it is invertible in any space  $L_r^n(\mathbb{R})$ , where  $r$  belongs to the segment with endpoints  $p$  and  $q = p/(p-1)$ .*



Theorem 10 follows from Corollary 4 and Theorem 9. As a result, Theorem 7 is proved completely.

Remark 1. A  $P$ -factorization of a MVF  $G \in AP_W$  is not a necessary condition of one-sided invertibility of the operator  $T_G$  in contrast to two-sided invertibility. In fact, it is sufficient to consider the left (right) invertible in the space  $L_p^2(\mathbb{R})$  operator  $T_G$  with the MVF  $G = e^{-i\lambda x} A$  ( $G = e^{-\lambda x} A$ ), where the MVF

$$A(x) = \begin{bmatrix} e^{i(1+\alpha)x} & 0 \\ e^{-ix} - 1 + e^{i\alpha x} & e^{-i(1+\alpha)x} \end{bmatrix}$$

with  $\alpha = (5^{1/2} - 1)/2$  does not have a  $P$ -factorization (see [8]).

For any MVF  $G \in AP$  we denote

$$\|G\|_\infty = \|s(G(\cdot))\|_{L_\infty(\mathbb{R})},$$

where  $s(G(x))$  is the maximal singular number of  $G(x)$ .

Theorem 7 implies also the following.

COROLLARY 5. *If a MVF  $G \in AP_W$  is  $P$ -factorable with coincident partial  $P$ -indices, then all MVF's of class  $AP_W$  from a sufficiently small by the norm  $\|\cdot\|_\infty$  neighborhood of the MVF  $G$  are  $P_W$ -factorable with the same partial  $P$ -indices.*

4. Now we consider the operators  $T_G$  and  $W_G$  with semi-almost periodic MVF  $G$  having almost periodic components  $G_\pm \in AP_W$  in the space  $L_p^n(\mathbb{R})$ ,  $1 < p < \infty$ .

If the operator  $T_G$  is Noetherian in  $L_p^n(\mathbb{R})$ , then according to Theorem 4, the operators  $T_{G_\pm}$  are invertible in  $L_p^n(\mathbb{R})$ . Then, in view of conditions  $G_\pm \in AP_W$  and Theorem 7, the Noether property of  $T_G$  implies  $P_W$ -factorability of MVF's  $G_\pm$  with zero partial  $P$ -indices. A similar fact is correct for  $W_G$  too. Hence from Theorem 3.2 in [8] and Theorem 2 in [12] we can obtain the following.

THEOREM 12. *If  $G$  is an  $n \times n$  MVF of class  $SAP$  (respectively,  $SAP_p$ ) and its local representatives  $G_\pm \in AP_W$ , then the operator  $T_G(W_G)$  is Noetherian in the space  $L_p^n(\mathbb{R})$  if and only if the following conditions hold:*

- 1)  $\det G(x) \neq 0$  for all  $x \in \mathbb{R}$ ,
- 2) MVF's  $G_\pm$  are  $P$ -factorable (equivalently,  $P_W$ -factorable) with zero partial  $P$ -indices,
- 3) the eigenvalues  $\xi_j$  ( $j = 1, \dots, n$ ) of the matrix  $d(G_-)^{-1}d(G_+)$  satisfy the inequalities

$$(12) \quad \gamma_j \stackrel{\text{def}}{=} \{p^{-1} - (2\pi)^{-1} \arg \xi_j\} \neq 0 \quad (\hat{\gamma}_j \stackrel{\text{def}}{=} \{q^{-1} - (2\pi)^{-1} \arg \xi_j\} \neq 0),$$

where  $\{x\}$  denotes the fractional part of a number  $X \in \mathbb{R}$  and  $q = p/(p-1)$ . If conditions 1) – 3) are satisfied, then

$$(13) \quad \begin{aligned} \operatorname{ind} T_G &= \operatorname{Ind} \det G - n/p + \sum_{j=1}^n \gamma_j \\ &\left( \operatorname{ind} W_G = \operatorname{Ind} \det G - n/q + \sum_{j=1}^n \hat{\gamma}_j \right), \end{aligned}$$

where

$$\operatorname{Ind} \det G = \frac{1}{2\pi} \lim_{t \rightarrow +\infty} \frac{1}{t} \int_{-t}^t \arg \det G(x) \operatorname{sgn} x \, dx.$$

Remark 2. Theorem 12 remains true for  $T_G$  acting in the weight space

$$L_{p,\beta}^n = \{f : (1 + |x|^\beta)f \in L_p^n(\mathbb{R})\}, \quad 1 < p < \infty, \quad -p^{-1} < \beta < 1 - p^{-1},$$

if in relations (12)–(13)  $p^{-1}$  is replaced by  $p^{-1} + \beta$ .

5. Now we get criteria for  $T_G$  with any  $G \in SAP$  to be Noetherian or  $n(d)$ -normal in the space  $L_2^n(\mathbb{R})$ . As a result we get rid of the condition  $G_\pm \in AP_W$ . For this it is necessary to generalize a concept of  $P$ -factorability for MVF's  $G \in AP$ .

We consider the Banach spaces  $B_p$  ( $p = 1, 2, \infty$ ) of  $n \times n$  MVF's  $A$  with elements belonging to  $L_p(\mathbb{R}_B)$  and norms

$$\begin{aligned} \|A\|_1 &= \int_{\mathbb{R}_B} \operatorname{tr}(A(t)A^*(t))^{1/2} d\mu, \\ \|A\|_2 &= \left( \int_{\mathbb{R}_B} \operatorname{tr}(A(t)A^*(t)) d\mu \right)^{1/2}, \\ \|A\|_\infty &= \|s(A(\cdot))\|_{L_\infty(\mathbb{R}_B)}, \end{aligned}$$

respectively, where  $\operatorname{tr}$  is a matrix trace,  $s(\cdot)$  is a maximal singular number and  $d\mu$  is the Haar measure on  $\mathbb{R}_B$ . Let  $B_p^\pm = \{A \in B_p : \Omega(A) \subset \mathbb{R}_\pm\}$ .

DEFINITION 3. We call a representation (9) a generalized  $P$ -factorization of an  $n \times n$  MVF  $G \in AP$ , if  $\wedge$  has a previous form, MVF's  $G^\pm, (G^\pm)^{-1} \in B_2^\pm$  and the operators  $G^+P_+(G^+)^{-1}I$  and  $(G^{-1})^{-1}\wedge^{-1}P_-(G^+)^{-1}I$  are bounded in the space  $B_2^n$ .

Similarly to Theorem 2.1 in [8] we obtain the following

THEOREM 13. Let a MVF  $G \in AP$  parallel with the  $P$ -factorization (9), in which  $\lambda_1 \geq \dots \geq \lambda_n$ , admits a representation  $G = F^+MF^-$ , where  $M(x) = \operatorname{diag}[e^{i\mu_j x}]_{j=1}^n$ ,  $\mu_j \in \mathbb{R}$ ,  $\mu_1 \geq \dots \geq \mu_n$  and MVF's  $F^\pm, (F^\pm)^{-1} \in$

$B_2^\pm$ . Then  $\mu_j = \lambda_j$  ( $j = 1, \dots, n$ ) and the factors  $F^\pm$  are determined by the formulas

$$F^+ = G^+ z, \quad F^- = \Lambda^{-1} z^{-1} \Lambda G^{-1},$$

where a MVF  $z = (z_{kj})_{k,j=1}^n \in B_1^+$  together with  $z^{-1}$ ,  $z_{kj} = 0$  if  $\lambda_k < \lambda_j$  and  $\Omega(z_{kj}) \subset [0, \lambda_k - \lambda_j]$  if  $\lambda_k \geq \lambda_j$ .

The numbers  $\lambda_j$  uniquely to within transpositions determined by a generalized  $P$ -factorable MVF  $G \in AP$  are also called the partial  $P$ -indices of  $G$ .

**COROLLARY 6.** *If all the partial  $P$ -indices of a generalized  $P$ -factorable MVF  $G \in AP$  are equal, then a generalized  $P$ -factorization is determined to within the transformation  $G^+ \rightarrow G^+ z$ ,  $G^- \rightarrow z^{-1} G^-$ , where  $z$  is a constant nonsingular matrix. In this case the nonsingular matrix  $d(G) = \mathbb{M}(G^+) \mathbb{M}(G^-)$  is uniquely determined.*

**LEMMA 3.** *If  $G$  is a generalized  $P$ -factorable MVF of class  $AP$  and all  $\lambda_j = 0$  ( $\leq 0, \geq 0$ ), then the operator  $T_G$  is invertible (left-invertible, right-invertible) in the space  $L_2^n(\mathbb{R})$ .*

Let  $\mathcal{P}$  denote the open with respect to the norm  $\|\cdot\|_\infty$  set of all MVFs  $G \in AP$  such that the operators  $T_G$  are invertible in  $L_2^n(\mathbb{R})$ . According to Corollary 3, the operators  $T_G$  and  $\hat{T}_G$  are invertible in  $B_2^n$  for  $G \in \mathcal{P}$ . Let  $I_n$  be the identity  $n \times n$  matrix in contrast to the identity in  $B_2^n$  operator  $I$ .

**LEMMA 4.** *The mappings  $G \rightarrow \mathbb{M}(T_G^{-1}G)$  and  $G \rightarrow \mathbb{M}(\hat{T}_G^{-1}I_n)$  are continuous at  $\mathcal{P}$ .*

**LEMMA 5.** *Matrices  $\mathbb{M}(T_G^{-1}G)$  and  $\mathbb{M}(\hat{T}_G^{-1}I_n)$  are nonsingular for all  $G \in \mathcal{P}$ .*

**THEOREM 14.** *If a MVF  $G \in AP$ , then the operator  $T_G$  is invertible in the space  $L_2^n(\mathbb{R})$  if and only if  $G$  is the generalized  $P$ -factorable MVF with zero partial  $P$ -indices.*

The sufficiency was proved in Lemma 3. The necessity is proved similarly to Theorem 9 and ([15], pp. 273–274) with the use of Lemmas 4–5 and the relations

$$C = d(G) = \mathbb{M}(T_G^{-1}G), \quad C^{-1} = [d(G)]^{-1} = \mathbb{M}(\hat{T}_G^{-1}I_n),$$

where  $C$  is defined by (11).

With a help of Theorems 13–14 we can prove the following.

**THEOREM 15.** *If  $G$  is a Hermitian-positive MVF of class  $AP$  and  $G^{-1} \in AP$ , then  $G = G^+(G^+)^*$ , where  $(G^+)^{\pm 1} \in B_\infty^+$ .*

Theorem 13 implies also the following.

**COROLLARY 7.** *If a MVF  $G \in AP$  is generalized  $P$ -factorable with zero partial  $P$ -indices, then all MVF's of class  $AP$  in a sufficiently small neighborhood of  $G$  are also generalized  $P$ -factorable with zero partial  $P$ -indices.*

From Lemmas 4-5 we can deduce also the following.

**COROLLARY 8.** *The function  $d : G \rightarrow d(G)$  defined by the formulas*

$$d(G) = \mathbb{M}(G^+) \mathbb{M}(G^-) = \mathbb{M}(T_G^{-1} G)$$

*continuously maps the open set  $\mathcal{P}$  onto the set of nonsingular numerical  $n \times n$  matrices.*

**Remark 3.** The invertibility of a MVF  $G$  in  $AP$  doesn't guarantee both the  $P$ -factorability and the generalized  $P$ -factorability of  $G$ .

**THEOREM 16.** *If an  $n \times n$  MVF  $G \in SAP$ , then the operator  $T_G$  is Noetherian in the space  $L_{2,\beta}^n$  ( $|\beta| < 2^{-1}$ ) if and only if the following conditions hold:*

- 1)  $\det G(x) \neq 0$  for all  $x \in \mathbb{R}$ ,
- 2) the MVF's  $G_{\pm}$  are generalized  $P$ -factorable with zero partial  $P$ -indices,
- 3) the eigenvalues  $\xi_j$  of the matrix  $d(G_-)^{-1} d(G_+)$  satisfy the inequalities

$$\gamma \stackrel{\text{def}}{=} \{2^{-1} + \beta - (2\pi)^{-1} \arg \xi_j\} \neq 0 \quad (j = 1, \dots, n).$$

*If conditions 1)-3) are fulfilled, then the index of  $T_G$  can be computed from the formula (13) with  $p^{-1}$  replaced by  $2^{-1} + \beta$ .*

**LEMMA 6.** *Let a MVF  $G \in L_{\infty}(\mathbb{R})$ . Then the operator  $T_G$  is  $n$ -normal ( $d$ -normal) in the space  $L_2^n(\mathbb{R})$  if and only if the operator  $T_{G_n}$  (respectively,  $T_{G_d}$ ) is Noetherian in the space  $L_2^{2n}(\mathbb{R})$ , where*

$$G_n = \begin{bmatrix} G^* & 0 \\ I_n + GG^* & G \end{bmatrix}, \quad G_d = \begin{bmatrix} G & 0 \\ I_n + G^*G & G^* \end{bmatrix}.$$

From Lemma 6 and Theorem 16 we deduce the following.

**THEOREM 17.** *If a MVF  $G \in SAP$ , then the operator  $T_G$  is  $n$ -normal ( $d$ -normal) in the space  $L_{2,\beta}^n$  if and only if:*

- 1)  $\det G(x) \neq 0$  for all  $x \in \mathbb{R}$ ,
- 2) the MVF's  $(G_n)_{\pm}$  (respectively,  $(G_d)_{\pm}$ ) are generalized  $P$ -factorable with zero partial  $P$ -indices,
- 3) the eigenvalues  $\xi_j$  of the matrix  $d[(G_n)_-]^{-1} B d[(G_n)_+] B$  (respectively,  $d[(G_d)_-]^{-1} B^{-1} d[(G_d)_+] B^{-1}$ ) satisfy the relations

$$2^{-1} - (2\pi)^{-1} \arg \xi_j \in \mathbb{Z} \quad (j = 1, \dots, 2n),$$

where  $B = \text{diag}[e^{\pi i \beta} I_n, e^{-\pi i \beta} I_n]$ .

6. Let a contour  $\Gamma$  consist of simple open oriented smooth arcs  $\Gamma_k$  ( $k = 1, \dots, k_0$ ) intersecting only at their endpoints and not forming zero angles,  $\mathcal{T}$  is the set of nodes of the contour  $\Gamma$ ,  $\alpha$  is an orientation-preserving diffeomorphism of each arc  $\Gamma_k$  onto itself.

In the space  $L_p^n(\Gamma, \varrho)$ ,  $\varrho(t) = \prod_{\tau \in \mathcal{T}} |t - \tau|^{\beta_\tau}$ ,  $-p^{-1} < \beta_\tau < 1 - p^{-1}$ ,  $1 < p < \infty$ , we consider the operator (1), where  $G$  is a continuous on  $\Gamma \setminus \mathcal{T}$  MVF of order  $n$  having at nodes discontinuities of semi-almost periodic type, i.e. there exist such uniform almost periodic MVF's  $G_{k,\pm}$  and such orientation-preserving diffeomorphisms  $\gamma_k$  of real axis  $\mathbb{R}$  onto  $\Gamma_k \setminus \mathcal{T}$  with finite non-zero limits  $\lim_{x \rightarrow \pm\infty} x^2 \gamma'_k(x)$  that

$$\lim_{x \rightarrow \pm\infty} [G(\gamma_k(x)) - G_{k,\pm}(x)] = 0.$$

We assume that MVF's  $G_{k,\pm} \in AP_W$  if  $p \neq 2$ .

LEMMA 7. *If the operator (1) is Noetherian in  $L_p^n(\Gamma, \varrho)$ , then for  $k = 1, \dots, k_0$  the MVF's  $G_{k,\pm}$  are  $P_W$ -factorable (generalized  $P$ -factorable if  $p = 2$ ) with zero partial  $P$ -indices.*

To each node  $\tau$  of the contour  $\Gamma$  (being for example a common end of arcs  $\Gamma_1, \Gamma_2, \dots, \Gamma_m$  numbered as a result of a circuit around  $\tau$  in a counter-clockwise direction) we can associate the eigenvalues  $\lambda_j(\tau)$  ( $j = 1, \dots, n$ ) of the matrix  $[d(G_m)]^{\vartheta_m} [d(G_{m-1})]^{\vartheta_{m-1}} \dots [d(G_1)]^{\vartheta_1}$  and the number

$$\xi(\tau) = (2\pi)^{-1} \sum_{k=1}^m \vartheta_k \ln \left( \lim_{t \rightarrow \tau, t \in \Gamma_k} |\alpha'(t)| \right),$$

where  $G_k = G_{k,-}$  and  $\vartheta_k = 1$  (respectively,  $G_k = G_{k,+}$  and  $\vartheta_k = -1$ ) if  $\tau$  is the origin (end) of the arc  $\Gamma_k$ .

THEOREM 18. *If an  $n \times n$  MVF  $G$  is continuous on  $\Gamma \setminus \mathcal{T}$  and admits discontinuities of semi-almost periodic type at nodes of the contour  $\Gamma$  and also the MVF's  $G_{k,\pm} \in AP_W$  for all  $p \in (1, \infty) \setminus \{2\}$ , then the operator (1) is Noetherian in the space  $L_p^n(\Gamma, \varrho)$  if and only if the following conditions hold:*

- 1)  $\det G(t) \neq 0$  for all  $t \in \Gamma \setminus \mathcal{T}$ ,
- 2) for  $k = 1, \dots, k_0$  the MVF's  $G_{k,\pm}$  are generalized  $P$ -factorable with zero partial  $P$ -indices if  $p = 2$  and  $P_W$ -factorable with zero partial  $P$ -indices for other  $p \in (1, \infty)$ ,
- 3) for all  $\tau \in \mathcal{T}$  and  $j = 1, \dots, n$  the numbers

$$\varphi_j(\tau) = (p^{-1} + \beta_\tau) [\xi^2(\tau) + 1] + (2\pi)^{-1} \{ \xi(\tau) \ln |\lambda_j(\tau)| - \arg \lambda_j(\tau) \}$$

are not integers.

If these conditions are satisfied, then

$$\begin{aligned} \operatorname{ind} N = & (2\pi)^{-1} \sum_{k=1}^{k_0} \lim_{t \rightarrow +\infty} t^{-1} \int_{-t}^t \arg \det G(\gamma_k(x)) \operatorname{sgn} x \, dx \\ & + \sum_{\tau \in T} \sum_{j=1}^n [E(\varphi_j(\tau)) + (2\pi)^{-1} \arg \lambda_j(\tau)], \end{aligned}$$

where  $\arg f$  is an arbitrary continuous branch of the argument of  $f$  on  $\mathbb{R}$ .

Remark 4. The results of sections 2-6 were partly announced in [22], [23], their systematic presentation is contained in [3].

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DIVISION OF HYDROACOUSTICS  
MARINE HYDROPHYSICAL INSTITUTE  
ACADEMY OF SCIENCES OF THE UKRAINE  
ODESSA, UKRAINE

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