

Chapter 3: The Vowels of Proto-Indo-European and Proto-Germanic

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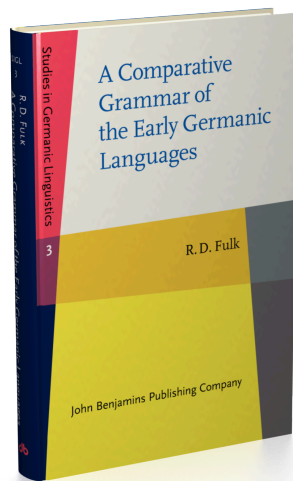
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The Vowels of Proto-Indo-European and Proto-Germanic

3.1 The vowels of Proto-Indo-European

Vowel alternations in PIE are referred to as **ablaut** or vowel gradation, which may be qualitative or quantitative. The most fundamental alternation is the qualitative one between *e* and *o*, which may be observed in forms like Gk. *φέρω* ‘bear’ < **bher-* : *φορά* ‘bearing’ < **bhor-*. The *e*-grade of a root like **bher-* is to be regarded as the unmarked form or the dictionary form; sometimes the appearance of the *o*-grade alternant may appear to be related to the placement of the accent on a different syllable, as in the example given, though often no straightforward explanation is apparent, and doubt has been cast on the role of accent in this regard (see Szemerényi 1996: §6.3). As regards quantitative ablaut, *e*-grade and *o*-grade are both varieties of the full grade. In zero-grade the vowel disappears altogether, as with **bhr-* in Gk. *ἐκφέρειν* ‘bring out’. When zero-grade causes a sonorant consonant (*l, r, n, m, i, u*) to appear between obstruents, or next to an obstruent at the beginning or end of a word, the sonorant must be syllabic (*l̥, r̥, n̥, m̥, i̥, u̥*, respectively), as in Old Irish *breth* ‘bearing’ < **bh̥rt-*. The difference between the nonsyllabic sonorant in **bhr-* and the syllabic one in **bh̥rt-* is thus purely phonotactic, and for this reason both are commonly referred to as examples of zero-grade. In certain instances, however, it is useful to have terminology to distinguish the two, and then the latter may be called the reduced grade; together, the zero and reduced grade are sometimes called the weak grade, as they are in this book.¹ Another quantitative alternation produces the lengthened grade, as in Gk. *φῶρ* < **bhōr* ‘thief’, which may have either *e*- or *o*-quality (or *a*-quality, as explained below). Lengthened grade is frequently explicable on a phonological basis as compensatory lengthening, as in this instance, where the root vowel has been lengthened upon loss of final **-s* (see §1.6 n. 1). Frequently, however, the origin is obscure, as in Lat. *sēdēs* ‘seat’ (cf. OS *sittian* ‘sit’ < PGmc. **sit-j-ana-* < PIE **sed-*).

Only in a circumscribed number of words does it appear necessary to reconstruct a PIE root vowel *a* rather than *e* or *o*. An example is **nas-*, as in Skt. *nás* ‘nose’, OIcel. *nes* ‘headland’ < **nasja-*, with a long ablaut alternant **nās-* in Lat. *nāris* ‘nostril’ and OE *nōse* ‘promontory’. For evidence that *i* could be a phonemic vowel and not solely an allophone of *i̥*, see Mayrhofer in Kurylowicz *et al.* 1986–2015: I, 160–1, 168.

In older reconstructions of PIE there is posited a vowel *ə*, called schwa (or schwa primum), reflected, where preserved, as *i* in Indo-Iranian and as *a* everywhere else (though it develops further to *o* in Slavic; about Greek see below).² The standard view now instead is that this represents a syllabic consonant referred to as a laryngeal consonant (though there is no consensus about its actual phonetic value), which may be represented as *h̥*, indicating, abstractly, any syllabic laryngeal consonant.³ The grounds for regarding *ə* as a consonant were at first structural. For example, Saussure (1879)

observed that whereas a combination of vowel plus sonorant consonant in the full grade becomes a syllabic sonorant in weak grade (e.g. *o*-grade **pondh-* in Gk. perf. *πέπονθα* ‘I have suffered’ : weak-grade **-pṇdh-* in aorist *ἔπαθον*), a root with a long vowel where full grade should be expected produces *ə* in the weak grade (e.g. full-grade **-stā-* in Gk. *ἵστημι* ‘stand’ : weak-grade **stā-* in *στατός* ‘positioned’, Skt. *sthitā-*). Building on Saussure’s discoveries, Möller (1911) observed that the vowel *ə* thus behaves the way a consonant does, and the vowel *ā* in **stā-* might thus better be analyzed as vowel plus *H* (hence **staH-*), a consonant to which he first applied the term ‘laryngeal’ (*laryngal*). It is particularly plain from Greek evidence that weak-grade *ə* corresponds to three different long vowels, *ē*, *ā*, and *ō*, in forms where a simple *e*-grade vowel should be expected to appear, as in the present indicative of verbs. If *H* can explain the length in these vowels, it can also be employed to explain the three different vowel qualities or ‘colorations’ if it is assumed that *H* actually represents three different consonants. The three are now commonly represented as *h₁* (producing *e*-coloration), *h₂* (*a*-coloration) and *h₃* (*o*-coloration), though *H* may be retained to represent any of the three when the distinction is of no importance.⁴ In Greek alone does a laryngeal perhaps retain its colorizing quality when syllabified, so that the three laryngeals are reflected as *α*, *ε*, and *ο*, respectively, when they correspond to what used to be represented as *ə*.⁵ Except when a laryngeal stood before a vowel, its loss resulted in the lengthening also of *i* and *u*, though without producing any coloration, as in Skt. *pīvan-* ‘fat’ < **piH-ṇon-* and OE *brū* ‘brow’ < **bhruH-*. Other syllabic sonorants might be lengthened, as well, as in Lat. (*g*)*nātus* ‘born’ < **ǵn̥tós* (cf. Go. *kunds*). When a laryngeal originally stood before a vowel, it might color the vowel, but its loss would not result in any lengthening of the vowel, as in **h₁es-ti* > Lat. *est* ‘is’, **h₂eǵ-* > Lat. *agō* ‘do’, and **h₃ekʷ-* > Gk. *ὄψομαι* ‘I shall see’. Saussure’s theory was dramatically confirmed by Kuryłowicz (1927) after Hittite was deciphered and discovered to preserve a consonantal reflex of *h₂*, and most likely of *h₃*, as well, as in **h₂ent-* in Hitt. *hant-s* ‘forehead’ (cf. Lat. *ante* ‘in front’) and **h₃erbh-* in Hitt. *harapp-* ‘become separated’ (cf. Lat. *orbus* ‘orphan’; *o* becomes *a* in Hittite). Regardless of the date at which Hittite branched off from the IE group (see §1.2), it is now generally assumed that the loss of laryngeal consonants was not a PIE phenomenon but took place independently in the daughter languages. Thus, technically, many long vowels formerly reconstructed for PIE must be assumed to have arisen in the post-PIE period, and long syllabic sonorant consonants should not be reconstructed for the protolanguage. No very consistent treatment has been adopted in the present book: long vowels of laryngeal origin and long syllabic resonants are frequently treated as if they arose in PIE, in the conviction that the older notation is not infrequently less opaque, and readers will recognize shorthand reconstructions for what they are. Long syllabic sonorants, for example, are included in the inventory of PIE vowels below.

In weak grade it should be expected that the vowel would disappear entirely between two obstruents, and this is very commonly the case, as with weak grade **-pt-* > in Lat. *neptis* ‘granddaughter, niece’ (cf. lengthened grade **-pōt-* in Lat. acc. sg. *nepōtem* ‘grandson, nephew’). However, in many environments in which zero grade between two obstruents should be expected, instead a vowel appears, as in past participles, e.g. Gk. *πεπτός*, Lat. *coctus* ‘cooked’ from expected **pkʷ-tos* (cf. full grade in Gk. *πέτω*, Lat. *coquō* ‘cook’). The unexpected vowel appears most commonly, but not consistently, in a morphological environment in which syllabicity can be explained as due to analogy:⁶ in the given example, since reduced rather than zero grade is the norm in past participles (technically, verbal adjectives) of verb roots containing a sonorant consonant (as with

**klu-* in Gk. *κλυτός* ‘famous’; cf. full-grade **kleu-ō* > *kléō* ‘glorify’), presumably some variety of reduced vocalism was introduced analogically into the root of forms like **pk^w-tos*. This reduced vowel is referred to as schwa secundum and is usually represented by a subscript *e*, or sometimes by *ɐ*, hence **p_ek^w-tos* or **p_ɐk^w-tos*. The value of this sound is often thought to have been, at least originally, [ə], but these alternative representations of it came into use because at the time it was first posited, the graph ⟨ə⟩ was already in use to represent a syllabic laryngeal. The alternative representations are actually preferable for their abstractness, since it cannot be known whether the vowel, when introduced analogically, was not sometimes a full grade vowel from the start, or whether the analogical formations arose in PIE itself. It should be noted that quite a few scholars reject the idea of schwa secundum, e.g. Szemerényi (1996: §4.1.11).⁷

On the analysis presented here, originally there were no diphthongs in PIE, but once quantitative ablaut lost its phonological conditioning, except before a vowel, *i* and *u* would have combined with a preceding vowel to form diphthongs, hence *ai*, *ei*, *oi*, *au*, *eu*, *ou*. Long diphthongs also occurred rarely due to lengthening or contraction, and later by the loss of laryngeals. Again, long and short diphthongs are included in the inventory of PIE vocoids below to facilitate comparison among the daughter languages.

The following vocoids may thus be assumed to have been inherited by Germanic from PIE:

a, e, o, i, u, h₁, h₂, h₃, e
ā, ē, ō, ī, ū
n̥, m̥, ɾ̥, l̥
n̥̄, m̥̄, ɾ̥̄, l̥̄
ai, ei, oi, au, eu, ou
āi, ēi, ōi, āu, ēu, ōu

1. To call the syllabic and nonsyllabic sonorants both examples of zero grade is to treat the two sets as in allophonic variation with each other, which originally they were. When ablaut ceased to be phonologically conditioned, however, the distinction became phonemic.

2. On whether *ə* (i.e., *h₃*) ever produces *u* in Germanic, see §5.5 *ad fin*.

3. For an enlightening account of laryngeal consonants, their traces in the IE languages, and various theoretical approaches to them, see Lindeman 1987.

4. The precise number of laryngeals posited for PIE varies, but the majority of scholars work with three.

5. It is perhaps likelier, though, that a syllabic laryngeal always develops to *a* in Greek, and the three short vowels are instead due to the analogical influence of the corresponding long vowels: so, e.g., Szemerényi 1996: §4.1.11. See Lindeman 1987: §§86–7 for discussion and references, and cf. Sihler 1995: 99–100.

6. In a form like **k^wtu_r-* ‘four’ (as in Gk. *τράπεζα* ‘(four-footed) table’), the alternant **k^wtuor-* (as in Lat. *quattuor* ‘four’) perhaps arose in constructions in which the word followed a word-final consonant, creating an even more awkward consonant cluster. Hence, the assumption that schwa secundum had the value [ə] is not unreasonable.

7. One might prefer to think of *e* as pure abstraction, representing processes of analogical restoration of vocalism in the IE branches, if not in the protolanguage itself. But since, for example, verbal adjectives like **pk^w-tós*, without the schwa, are never attested as simplices (one might have expected the initial consonant cluster to have been simplified in that case), it really is necessary to assume some sort of vocoid in such forms in the protolanguage. That is all *e* need be taken to represent, though differences among the daughter languages as to its reflex do raise the possibility of a sound distinct from any other in PIE.

3.2 The short vowels in early Germanic

In the stressed syllables of Proto-Germanic there occurred the unconditioned change of *o* to *a*, and of *ĥ* to *a*. The short syllabic sonorant consonants of PIE, *ŋ*, *ṃ*, *r*, *l*, developed to PGmc. *un*, *um*, *ur*, *ul*, respectively. The fate of schwa secundum varies by environment: between obstruents (and, usually, between a resonant and an obstruent) it appears as a full-grade vowel *e*, whereas in front of an antevocalic resonant it develops to *u*, just as syllabic resonants develop to *u* plus resonant. On the standard view, the remaining short vowels retain their PIE values in Proto-Germanic except as the result of certain conditioned changes explained in §§4.1–4. Examples of the short vowels:

PIE *a*, Gmc. *a*: PIE **sal-* ‘salt’ > Gk. ἅλς, Lat. *sal*, Go. OIcel. OS *salt*, OHG *salz*; PIE **kan-* ‘sing’ > Lat. *canō*, Welsh *canu* ‘sing’, Go. OE OFris. *hana*, OHG OS *hano*, OIcel. *hani* ‘cock’ (cf. Gk. ἡ-κάvoς ‘cock’); PIE **dakru-* ‘tear’ > Gk. δάκρυ, Welsh *deigr*, Go. *tagr*, OHG *zahr*.

PIE *e*, Gmc. *e*: PIE **kel-* ‘conceal’ > Lat. *celō*, OIr. *celid*, OE OS OHG *helan*; PIE **medhu-* > Gk. μέθυ ‘wine’, Lith. *medūs* ‘honey’, OE *medu*, OFris. *mede*, OHG *metu* ‘mead’; PIE **sekʷ-* > Gk. ἔπομαι, Lat. *sequor*, Lith. *sekù* ‘follow’, Go. *saihvan*, OS OHG *sehan* ‘see’.

PIE *o* > Gmc. *a*:¹ PIE **uoǵh-* > Gk. ὄξέω ‘lead, guide’, OCS *voziti* ‘drive, guide, lead’, Go. *ga-wagian* ‘move, shake’, also OE OS *wagian*, OHG *wagōn* ‘move’; PIE **oktō(u)* ‘eight’ > Gk. ὀκτώ, Lat. *octō*, Go. *ahtáu*, OIcel. *átta*, OFris. *achta*, OS OHG *ahto*; PIE **bholǵh-* > OIr. *bolg* ‘bag’, OPruss. *balsinis* ‘pillow’, Go. *balgs* ‘leather bag’, OHG *balg* ‘bag’.

PIE *i*, Gmc. *i*: PIE **pisk-* ‘fish’ > Lat. *piscis*, Go. *fisks*, OIcel. *fiskr*, OE OHG *fisc*; PIE **lipar-* > Gk. λιπαρός ‘fat, greasy’, OIcel. *lifr*, OE *lifer*, OFris. *livere* ‘liver’; PIE **(h)migh-* > Gk. ὀμίγη ‘cloud’, Lith. *miglā* ‘fog’, OIcel. *mistr*, OE *mist* ‘mist’ (Gmc. **mix-st-*).

PIE *u*, Gmc. *u*: PIE **dhubh-* > Gk. τύφω ‘give off smoke’, OIr. *dub* ‘black’, OIcel. *dupt* ‘powder’, OHG *tuft* ‘fog’; PIE **dhur-* > Skt. (acc. pl.) *durāḥ*, Homeric Gk. θύρα, OE *duru*, OHG *turi* ‘door’; PIE **h₁rudh-* > Skt. *rudhirā-*, Gk. ἐρυθρός ‘red’, Lat. *rubor* ‘redness, blush’, OE *rudu* ‘redness, ruddy complexion’.

PIE *h₁* > Gmc. *a*: PIE full-grade **bheh₁-* > **bhē-* in OHG *bāen*, *bājan* ‘warm with covers, bake bread’, reduced-grade **bh₁-* in OIcel. *bað* ‘steam bath’ OS *bath*, OHG *bad* ‘bath’, OE *bacan* ‘bake’; PIE full-grade **dheh₁-* > **dhē-* in Skt. *dhāya-* ‘nourishing, nursing’, Gk. θήνιον ‘milk’, Lat. *fēmina* ‘woman’, reduced-grade **dh₁-* in Go. *daddjan* (< **dajjan*) ‘suckle’; PIE full-grade **ǵhleh₁-* > **ǵhlē-* in OIcel. *glámr* ‘moon’, *glær* ‘bright’, reduced-grade **ǵhl₁-* in OIcel. *glan* ‘radiance’.

PIE *h₂* > Gmc. *a*: PIE full-grade **steh₂-* > **stā-* in Lat. *stāre*, OS OHG *stān* ‘stand’, reduced-grade **sth₂-* in Skt. *sthita-*, Lat. *status*, Gk. στατός ‘standing, placed’, Go. *staps*, OIcel. *staðr*, OHG *stat* ‘place’; PIE full-grade **keh₂d-* > *kād-* in Avestan *sādra-* ‘affliction’, Gk. κῆδος ‘sorrow’, reduced-grade **kh₂d-* in Go. *hatis*, OIcel. *hatr*, OHG *haz* ‘hate’; PIE full-grade **deh₂p-* > **dāp-* in Skt. *dāpayati* ‘divides’, reduced-grade **dh₂p-* in Gk. δαπανάω ‘consume’, OIcel. *tafn* (**dap-no-*) ‘sacrificial animal, sacrificial meal’.

PIE *h₃* > Gmc. *a*: PIE full-grade **dheh₃-* > **dhō-* in Skt. *dhārā* ‘cutting edge, sharpness, blade’, reduced-grade **dh₃-* in Gk. θοός (< **dh₃-yos*), OE *daroð* ‘spear’, *daru* ‘injury’; PIE full-grade **ghreh₃-* > **ghrō-* in OIcel. *gróa*, OE *grōwan* ‘grow’, reduced-grade **ghr₃-* in Go. OIcel. OS OHG *gras* ‘grass’; PIE full-grade **Heh₃g-* > **ōg-*

in Lith. *úoga* ‘berry’, reduced-grade **Hh₃g-* in Go. *akran*, OIcel. *akarn*, MHG *ackeran* ‘fruit, acorn’.

PIE *e* (schwa secundum) gives Gmc. *u* before *r*, *l*, *n*, or *m* (which must be ante-vocalic, as otherwise there would be no schwa secundum, but *r̥*, *l̥*, *m̥*, *n̥*), otherwise a full-grade vowel, usually *e*: PIE **g^w_m-o-* > OE *cuman* ‘come’ (cf. full grade in Skt. *gámati* ‘goes’, zero grade after a vowel in Avestan *frā-ymaṭ* ‘comes forth’); PIE **k_el-* > Gk. *καλιά* ‘cottage’, Go. *hulundi* ‘cave’ (*k_el-ntī*; cf. OE OS OHG *helan* ‘conceal’, with full grade); PIE **s_ed-* > Skt. *sattá-*, Lat. *sessus*, OIcel. *setinn*, OE *seten*, OS *gi-setan*, OHG *gi-sezzan* ‘having sat’; possibly PIE **lēgh-* > OIcel. *leginn*, OE *legen* ‘lain’ (cf. Gk. *λόχος* ‘lair’ < **logh-os*); compare also PIE **p_hlu-* ‘many’ > **p_elu-* > Skt. *purú-* (and probably Gk. *πολύς*; so Pokorny 1959–69: I, 800, cf. Bremmer 2005: 32–3), OFris. *fule*, *fula*.

PIE *ŋ* > PGmc. *un*: PIE **bh_hndh-* in Skt. *baddháh* ‘bound’ (full grade in *bándhuh* ‘relation’), Go. *bundans* ‘bound’; PIE **h₂ndt-* in Skt. gen. sg. *datá-h*, Lat. gs. *dent-is* ‘tooth’, Go. nom. sg. **tunpus* ‘tooth’; PIE **ŋ-* privative prefix in Skt. *a-*, Gk. *á-*, Lat. *in-* < *en-*, PGmc. **un-*; PIE **k_hnk-* in Skt. *kákātē* ‘thirsts’, ON *hungr* ‘hunger’.

PIE *ŋ* > PGmc. *um*: PIE **g^wŋi-t-is-* in Skt. *gáti-h* ‘movement’, Go. *ga-qumþs* ‘assembly’; PIE *h₂m̥bhí(-)* in Skt. *abhi-tah* ‘to both sides’, OHG OS *umbi*, OIcel. *umb*, OE *ymb(e)*; PIE **k_hntóm* ‘hundred’ (from **dk_hnt-dkóm*) in Gk. *é-κατόν*, Lat. *centum*, Go. OE *hund*.

PIE *r* > PGmc. *ur*: PIE **bh₂rg_h-* in Czech *brh* ‘cave’, OE pret. pl. *burgon* ‘save’; PIE **t₂rn-* in Skt. *t₂ṇam* ‘blade of grass’, Go. *þáurnus* ‘thorn’; PIE **g₂rbh-* in Gk. *γράφω* ‘write’, OE *cyrf* ‘slice’ < **kurb-iz*; PIE **t₂rs-* in Skt. *t₂ṣyati* ‘thirsts’, OE *þurst*, OHG *durst* ‘thirst’.

PIE *l* > PGmc. *ul*: PIE **m₂ld-* in Skt. *m₂ṛdnāti* ‘crushes’, Lat. *mollis* ‘soft, weak’, OE pret. pl. *multon* ‘melt’; PIE **u₂lk^w-* ‘wolf’ in Skt. *v₂ṛkah*, Lat. *lupus*, Go. *wulfs*; PIE **k₂lt-* ‘incline’ in Lat. *aus-cultō* ‘hear attentively’ (< **incline the ear*), Go. *hulþs*, OIcel. *hollr*, OHG OS OE *hold* ‘gracious, loyal’.

1. This change had not yet taken place when words from Celtic were borrowed into Gmc. on the Continent, e.g. *Volcae* > OE *Wealch-*, OHG *Walha* (ethnic name). In loans from Latin, however, *o* remains, as in OE *scolu* ‘host’ < Lat. *schola*. See Hirt 1931–4: I, §29.

3.3 The long vowels in early Germanic

In the stressed syllables of Proto-Germanic there occurred the unconditional change of PIE *ā* to *ō*. Otherwise, the long vowels reconstructed for PGmc. (including the long vowels that developed from short vowels plus laryngeal consonants, §3.1) are the same as those reconstructed for PIE, though with some qualitative alterations noted below. The long syllabic sonorant consonants, lengthened chiefly by the loss of laryngeal consonants in the daughter languages (i.e., sonorant plus *H*, producing a long sonorant), developed in Gmc. the same way as the short, perhaps simply by loss of the laryngeal without compensatory lengthening. Examples of the long vowels:

PIE *ā* > Gmc. *ō*: PIE **bhāgós* ‘beech’ > Lat. *fāgus*, Gk. *φηγός* (Doric *φᾱγός*), OIcel. *bók*, OE *bōc*; PIE **mātēr*, **māter-* ‘mother’ > Skt. *mātār-*, Gk. *μήτηρ*, Lat. *māter*, OIcel. *móðir*, OE *mōdor*, OFris. OS *mōdar*, OHG *muoter*; PIE **kāp-* in Gk. *κήπος* (Doric *kāpos*) ‘garden’, OHG *huoba*, OS *hōba* ‘piece of land’; PIE **pā-* in Lat. *pascō* ‘feed’ (cf. Oscan *paastores*), OIr. *ás* ‘growth’, Go. *fōdjan*, ‘feed’, OIcel. *fōðr*, OE *fōðor*, *fōd(d)or*, OHG *fuotar* ‘food, fodder’.

PIE \bar{e} , PGmc. \bar{e} (i.e., \bar{e}_1 , which yields NWGmc. \bar{a} or \bar{a} (§4.6)):¹ PIE $*bhl\bar{e}$ - in Gk. $\phi\lambda\eta\nu\alpha\phi\omicron\varsigma$ ‘idle talk’, Go. $uf-bl\bar{e}san$ ‘puff up’, OIcel. $bl\bar{a}sa$, OHG $bl\bar{a}san$ ‘blow’; PIE $*dh\bar{e}$ - in Gk. $\acute{\epsilon}\text{-}\theta\eta\kappa\alpha$ ‘I placed’, Lat. $f\bar{e}c\bar{i}$ ‘I did’, Go. $ga-d\bar{e}ps$, OIcel. $d\bar{a}d$, OHG $t\bar{a}t$ ‘deed’; PIE $*gh\bar{e}$ - in Homeric Gk. $\kappa\iota\text{-}\chi\acute{\eta}\mu\epsilon\nu\alpha\iota$ (inf.) ‘come to’, Lat. $h\bar{e}r\bar{e}s$ ‘heir’, OE OS OHG $g\bar{a}n$, Crimean Go. $geen$ ‘go’; PIE $*m\bar{e}$ - in Gk. $\mu\eta\tau\iota\varsigma$ ‘discernment’, Lat. $m\bar{e}tior$ ‘measure’, Go. $m\bar{e}l$, OIcel. $m\bar{a}l$, OHG $m\bar{a}l$ ‘time’.

PIE \bar{o} , Gmc. \bar{o} : PIE $*bhl\bar{o}$ - in Lat. $fl\bar{o}re\bar{o}$ ‘bloom’, OE $bl\bar{o}wan$, OS $bl\bar{o}jan$, OHG $bluojen$, $bluowen$ ‘bloom’; PIE $*d\bar{u}\bar{o}$ (-) ‘two’ in Skt. $dv\bar{a}$, Homeric Gk. $\delta\bar{u}\omega$, Go. $tw\bar{o}s$ (fem.); PIE $*dh\bar{o}$ - ‘put’ in Gk. $\theta\omega\mu\acute{o}s$ ‘heap’, Lat. $ab\text{-}d\bar{o}men$ ‘abdomen’ (*‘part put in hiding’), Go. $d\bar{o}ms$ ‘discernment’, OIcel. $d\bar{o}mr$, OE OFris. OS $d\bar{o}m$, OHG $tuom$ ‘judgment’; PIE $*gn\bar{o}$ - in Gk. $\gamma\nu\omega\tau\acute{o}s$ ‘kinsman’, Middle Welsh $gnawd$ ‘relative’, Go. $*kn\bar{o}ps$ (dat. $kn\bar{o}d\bar{a}i$) ‘tribe, extraction’, OHG $kn\bar{o}t$, $knuot$ ‘extraction’, OE $cn\bar{o}sl$ ‘family, kin’.

PIE \bar{i} , Gmc. \bar{i} : PIE $*d\bar{i}\text{-}t\text{-}$ in Armenian ti ‘age’, OIcel. $t\bar{i}d$, OE OS $t\bar{i}d$, OHG $z\bar{i}t$ ‘time’; PIE $*l\bar{i}g\text{-}$ in Lith. $l\bar{y}g$, $l\bar{y}gus$ ‘like’, Go. $ga\text{-}l\bar{e}iks$, OIcel. $(g)likr$, OE $ge\text{-}l\bar{i}c$, OS $gi\text{-}l\bar{i}k$, OHG $gi\text{-}l\bar{i}h$ ‘like’; PIE $*st\bar{i}\text{-}$ in Lith. $styrst\bar{u}$, $st\bar{y}rti$ ‘stiffen’, Icelandic $st\bar{i}rur$ ‘stiffness in the eyes upon waking’, East Fris. $st\bar{i}r$ ‘stiff’, NHG $stier$ ‘fixed’; PIE $*s\bar{u}i\text{-}$ in Gk. $\sigma\bar{\tau}\eta\eta$ ‘silence’, OE $sw\bar{i}gian$, OHG $sw\bar{i}g\bar{e}n$ ‘be silent’.

PIE \bar{u} , Gmc. \bar{u} : PIE $*bh\bar{u}h\text{-}$ > $*bh\bar{u}$ - in Skt. $\acute{a}bh\bar{u}t$ = Gk. $\acute{\epsilon}\phi\bar{u}$ ‘was’, OIcel. $b\bar{u}a$, OE $b\bar{u}an$ ‘reside’ (but cf. §3.4 n. 5); PIE $*bhr\bar{u}$ - ‘brow’ in Skt. $bhr\bar{u}h$, Gr. $\acute{o}pp\bar{u}s$, OIcel. $br\bar{u}n$, OE $br\bar{u}$; PIE $*bhr\bar{u}g\text{-}$ in Lat. $fr\bar{u}ctus$ ‘enjoyment’, Go. $br\bar{u}kjan$, OE $br\bar{u}can$, OS $br\bar{u}kan$, OHG $br\bar{u}hhan$ ‘enjoy’; PIE $*ghr\bar{u}$ - in Lith. $gr\bar{u}dau$, $gr\bar{u}d\bar{z}iu$ ‘pound, crush (grain)’, OE $gr\bar{u}t$ ‘groats’, MHG $gr\bar{u}z$ ‘grit, cereal grains’; PIE $*m\bar{u}s$ ‘mouse’ > Skt. $m\bar{u}s\text{-}$, Gk. $\mu\bar{u}s$, Lat. $m\bar{u}s$, OIcel. $m\bar{u}s$, OE OS OHG $m\bar{u}s$.

PIE \bar{n} (yielding Skt. \bar{a} , Gk. $\bar{v}\bar{a}$ Lat. $n\bar{a}$) > PGmc. un : PIE $*g\bar{n}h\text{-}t\bar{o}\text{-}s$ > $*g\bar{n}t\bar{o}s$ ‘known’ in Lith. $pa\text{-}\acute{z}intas$, Go. $kun\bar{p}s$, OHG $kund$, OE $c\bar{u}p$; PIE $*g\bar{n}h\text{-}t\bar{o}\text{-}s$ > $*g\bar{n}t\bar{o}s$ in Skt. $j\bar{a}t\bar{a}h$, Lat. $n\bar{a}tus$ < $gn\bar{a}tus$ ‘born’, OE $heofon\text{-}cund$ ‘celestial’, OIcel. $\acute{a}s\text{-}kunnr$ ‘related to the gods’.

PIE \bar{n} > Gmc. um : PIE $*dh\bar{m}h\text{-}$ > $*dh\bar{m}$ - in Skt. $dh\bar{m}\bar{a}\text{-}t\bar{a}h$ ‘blown’ (beside $dh\bar{a}mi\text{-}t\bar{a}h$ < $*dh\bar{m}h\text{-}t\bar{o}s$), OIcel. $d\bar{y}$ ‘quagmire’ < PGmc. $*dumxjan$ < PIE $*dh\bar{m}h\text{-}k\bar{i}on$, likewise in NHG $dumpf$ ‘dull’; also, to PIE $*gleh\text{-}bh\text{-}$ ~ $*gl\bar{h}\text{-}bh\text{-}$ in Lith. $gl\bar{e}biu$, $gl\bar{e}bti$ ‘embrace’ beside $glab\bar{o}ti$ ‘preserve’, cf. nasalized $*gl\bar{m}h\text{-}bh\text{-}$ > $*gl\bar{m}bh\text{-}$ in OE pret. pl. $clumbon$ ‘climb’.

PIE \bar{r} (yielding Skt. \bar{r} or \bar{ur} , Gk. $\rho\omega$, Lat. $r\bar{a}$) > PGmc. ur : PIE $*g\bar{r}h\text{-}n\text{-}$ > $*g\bar{r}n\text{-}$ in Skt. $j\bar{u}r\bar{n}a\text{-}h$, $j\bar{u}r\bar{n}a\text{-}h$ ‘brittle’, Lat. $gr\bar{a}num$, Go. $kau\bar{r}n$, OIcel. OHG $korn$ ‘grain’ (PGmc. $*kurna^n$).

PIE \bar{l} (yielding Skt. \bar{r} or \bar{ur} , Gk. $\lambda\omega$, Lat. $\bar{l}\bar{a}$) > Gmc. ul : PIE $*h_2ul\bar{l}h\text{-}n\text{-}eh_2$ > $*w\bar{l}n\bar{a}$ ‘wool’ in Skt. $\bar{u}r\bar{n}\bar{a}$, Lat. $\bar{l}\bar{a}na$ (< $*wl\bar{n}\bar{a}$), Go. $wulla$; PIE $*p\bar{l}h\text{-}n\bar{o}\text{-}$ > $*p\bar{l}n\bar{o}\text{-}$ in Skt. $p\bar{u}r\bar{n}a\text{-}$, Lat. $pl\bar{e}nus$, OIr. $\bar{l}\bar{a}n$, Lith. $p\bar{i}lnas$, Go. $fulls$ (< $*fuln\text{-}$) ‘full’; PIE $*d\bar{l}h\text{-}gh\bar{o}\text{-}$ > $*d\bar{l}gh\bar{o}\text{-}$ in Skt. $dirgh\bar{a}$ ‘long’, Go. $tul\bar{g}us$ ‘fast, firm’ (< $*long$, lasting’), OS $tulgo$ ‘very’, OE $tulge$ ‘firmly’; PIE $*m\bar{l}h\text{-}dh\text{-}$ > $*m\bar{l}dh\text{-}$ in Skt. $m\bar{u}rdh\bar{a}n\text{-}$ ‘head’, Gk. $\beta\bar{\lambda}\omega\theta\rho\acute{o}s$ ‘high-growing (of trees)’ (< $*\mu\bar{\lambda}\omega\theta\rho\acute{o}s$), OE $molda$ ‘top of the head’ (< $*mul\bar{d}\bar{o}$).

On the basis of early borrowings from Latin it can be determined that PGmc. \bar{e} and \bar{o} were open vowels, i.e. / ϵ :/ and / \circ :/ (if not / \ae :/ and / v :/), not / e :/ and / o :/, e.g. OE $cl\bar{i}roc$ ‘cleric’ (Lat. $cl\bar{e}ricus$) and Go. $R\bar{u}m\bar{o}neis$ ‘Romans’ (Lat. $R\bar{o}m\bar{a}ni$), showing that Latin mid vowels were borrowed as high vowels. The latter form also shows that Lat. \bar{a} was borrowed as \bar{o} (and cf. OHG $Tuonouwa$ ‘Danube’, from Celtic $*D\bar{a}novios$), or that PIE \bar{a} had not yet developed to PGmc. \bar{o} at the time of borrowing.² Presumably, then, after the latter change Latin \bar{a} could be borrowed as an unrounded vowel, as in OE $n\bar{a}ep$

‘turnip’ (Lat. *nāpus*) and *mǣg(wlīte)* ‘image’ (Lat. *imāgō*; cf. *ā* in later OE borrowings, e.g. *pāl* ‘pole’, from Lat. *pālus*).³ The rise of *ē* (/e:/, §3.5) thus filled a gap in the PGmc. vowel inventory, though it also produced an asymmetry, with no corresponding back vowel /o:/.

1. This vowel is sometimes reconstructed as PGmc. *æ* (though for some this is merely a notational convention, and it is assumed to represent the PIE sound unchanged, e.g. Wright 1954: §43), although this requires that it revert to *ē* in Gothic, where in fact it appears to have been a close rather than an open sound (see Braune 2004b: §6 & Anm. 1). It may nonetheless have been an open *ē* in PGmc., i.e. [e:]; see Bremer 1886: 5–6. It is commonly represented as *ē*, to distinguish it from the vowel discussed in §3.5 (*ē*).

2. The latter is the view of Polomé (1987b: 200, *idem* 1994: 6–7), who would thus date the change of *ā* to *ō* after Germanic peoples reached the upper Danube in the second century BCE. *Silva Bācenis* in Caesar may also be relevant.

3. See Kluge 1913: §§14–16, Antonsen 1975: 3–4.

3.4 The diphthongs in early Germanic

Out of PIE combinations of tautosyllabic vowel plus glide (*i* or *u*) there developed diphthongs in the IE languages, including Germanic, and perhaps already in late PIE. Most of the changes affecting these diphthongs in PGmc. are paralleled by the regular changes in simple vowels, so that *oi* and *ou* become *ai* and *au*, respectively, as do *Hi* and *Hu*: compare the development of *o* and *u* to *a* (§3.2). In addition, PGmc. *ei* developed to *ī*, on which see §4.4 & n. 4. With the possible exception of *ēi* from PIE *ēi*, as well as *ōu* from PIE *ōu* (but not from *āu*), the long diphthongs were shortened in Proto-Germanic and then underwent the same developments as the originally short diphthongs. Although undeniable examples are few, PGmc. *ēi*, on the other hand, is commonly assumed to have lost its off-glide, producing a sound conventionally represented as *ē*, on which see §3.5. If that is the case, in parallel fashion, PIE *ōu* likewise developed to PGmc. *ō* rather than *au*, though this view is less widely credited.¹ The development of PIE *ōi* in Gmc. stressed syllables cannot be determined conclusively, but system symmetry suggests that it should have become Gmc. *ai*.² Examples:

PIE *ai* > PGmc. *ai* (giving Olcel. OHG *ei*, OS *ē*, OE *ā*, PDE *o*): PIE **h₂eigh^w* > **aigh^w* in Gk. *αἰσχος* ‘disgrace’ (< **aigh^w-s-kos*), Go. *aiwiski*, OE *æwisc* ‘disgrace’; PIE **ghaido-* in Lat. *haedus* ‘kid, young goat’, Go. *gáits*, Olcel. *geit*, OHG *geiz*, OS *gēt*, OE *gāt* ‘goat’.

PIE *au* > PGmc. *au* (giving OE *ēa*): PIE **saus-* in Skt. *śoṣa-* (assimilated from *soṣa-*) ‘dessication’, Homeric Gk. *αῖος* ‘dry’, OE *sēar* > PDE *sere*; PIE **kaunos* in Latvian *kāuns* ‘disgrace’, Go. *hāuns*, OE *hēan* ‘abject’; PIE **(h₂)maur-* in Gk. *(ā)μαυρόω* ‘darken, obscure’, Olcel. *meyrr* ‘rotten’.

PIE *ei* > PGmc. *ī*: PIE **steigh-* in Gk. *σείχω* ‘walk, go’, Go. *steigan*, Olcel. *stíga*, OHG OS OE *stīgan* ‘climb’; PIE **leik^w* in Gk. *λείπω* ‘leave’, Go. *leihvan*, OHG OS *līhan* ‘lend’.

PIE *eu* > PGmc. *eu* (giving Go. *iu*, Olcel. *jó*, OHG OS *io* OE *ēo*), except before *i* or *j* in the next syllable (§4.4): PIE **bheudh-* in Gk. *πύθουμαι* ‘enquire’, Skt. *bōdhati* ‘is awake, learns’, Go. *ana-biudan* ‘order’, Olcel. *bjóða*, OHG *biotān*, OS *biodan*, OE *bēodan* ‘offer’; PIE **ǵeus-* in Gk. *γέυω* ‘give a taste of’, Go. *kiusan*, Olcel. *kjósa*, OHG OS *kiosan*, OE *cēosan* ‘choose’.

PIE *oi* > PGmc. *ai* (giving Olcel. OHG *ei*, OS *ē*, OE *ā*): PIE **uoide* ‘knows’ > Skt. *vēda*, Gk. *oîde*, Go. *wāt*, Olcel. *veit*, OHG *weiz*, OS *wēt*, OE *wāt*; PIE **oīnos* ‘one’ > Old Lat. *oinos* (> Lat. *ūnus*), Go. *ains*, Olcel. *einn*, OHG *ein*, OS *ēn*, OE *ān*.

PIE *ou* (giving Lat. *ū*) > PGmc. *au* (giving OHG OS *ō*, OE *ēa*): PIE **h₁roudhos* ‘red’ > Lat. *rūfus*, Go. *raups*, Olcel. *rauðr*, OHG *rōt*, OS *rōd*, OE *rēad*; PIE **roup-* in Skt. *rōpayati* ‘produces pain, breaks off’, Lith. pl. *raupai* ‘measles, pockmarks’, Serbian *rūpa* ‘hole’, Olcel. *rauf* ‘hole’.

PIE *H₁* > PGmc. *ai* (giving Olcel. OHG *ei*, OS *ē*, OE *ā*, PDE *o*): PIE **k_h₁-i-n-* > **kain-* in Avestan *saēni-* ‘point’, Olcel. *hein* ‘whetstone’, PDE *hone* (< OE *hān* ‘stone’); full-grade **k_{oh}₁-* > **kō-* in Skt. *sāṇah* ‘whetstone’ = Gk. *kōνως* ‘cylinder, pine cone’; PIE **k_h₂id-* > **kaid-* in Go. *hāitan*, Olcel. *heita*, OHG *heizan*, OS *hētan*, OE *hātan* ‘command’ (aorist present: §12.18).

PIE *H₂* > PGmc. *au* (giving OE *ēa*): PIE **bh_h₁u-* > **bh_lau-* in Gk. *φ₁αῦρος*, *φ₁αῦλος* (both dissimilated from **φ₁αῦλος*) ‘worthless, poor, common’, Go. *blāuþjan* ‘make void’, Olcel. *blauðr* ‘weak, cowardly’, OE *blēað* ‘timid’ (cf. PIE full-grade **bh_h₂u-* > **bh_lēw-* in OE *un-blēoh* ‘fearless’); PIE **bh_h₂u-d-* > **bh_laud-* in OE pp. *bēaten* ‘beaten’ (cf. full-grade PIE **bheh₂ud-* > **bhāud-* in inf. *bēatan*).³

PIE *āi* > PGmc. *ai* (giving OHG *ei*, OS *ē*, OFris. *ā/ē*, OE *ā*): PIE **deh₂i_uers* > **dāi_uēr* ‘brother-in-law’ in Skt. *dēvár-*, Lat. *lēvir* (with Sabine *l-*), OHG *zeihhur*, OE *tācor* (with intrusive Gmc. *k*: see Fulk 1993: 341–2 for a possible explanation); PIE **seh₂i-* > **sāi-* (or perhaps weak-grade **sai-*) in Go. *sáir*, OHG OS OFris. *sēr* (with OHG *ei* > *ē* before *r*, §4.17), OE *sār* ‘pain’; PIE **keh₂i-* > **kāi-* in Skt. *kētí-* ‘optical phenomenon’, Go. *háidus* ‘manner’, OHG *heit*, OE *hād* ‘form’.

PIE *āu* > PGmc. *au* (giving OHG *ō*, OE *ēa*): PIE **keh₂u-* > **kāu-* in Lith. *káuju*, *kóniau*, *káuti* ‘strike’, with Verschärfung (§6.10) in Olcel. *hoggva*, OHG *houwan*, OE *hēawan* (PDE *hew*); PIE **keh₂ulā* > **kāulā* in Gk. *κήλη* ‘rupture, hernia’ (cf. *βουβωνοκήλη* ‘hydrocele’), Olcel. *haull*, OE *hēala*, OHG *hōla* ‘hydrocele’; PIE **leh₂u-* > **lāu-* in Skt. *lōtam*, *lōtram* ‘spoils’, Doric Gk. *λάϊα*, Ionic *ληή* ‘spoils’, Go. *lāun*, Olcel. np. *laun*, OHG lön, OE *lēan* ‘recompense’; PIE **neh₂u-s-* > **nāus-* in Skt. *nāuh*, Homeric Gk. *νηὺς* ‘ship’, Olcel. *naust* ‘boat-shed’.

PIE *ēi* > PGmc. *ē* (giving OHG *ia*, *ie*, *ea*): The following examples are insecure (see §3.5): PIE lengthened-grade **kēi-r-* in Go. OS OE *hēr*, Olcel. *hér*, OHG *hiar* (cf. PIE reduced grade **ki-* in Lat. *cis* ‘on this side of’, Go. *hidrē*, OE *hider* > PDE *hither*);⁴ PIE lengthened-grade **uēi-l-* in Olcel. *vél* ‘artifice’, OE *Wēlund*, OHG *Wialant* (name of a mythological craftsman; cf. full-grade PIE **uei-l-* in OE *wīl* > PDE *wile*); PIE lengthened grade **stēigh-* > PGmc. *stēiz-* in OHG *stiaga* > NHG *Stiege* ‘stair’ (cf. PIE full-grade **steigh-* > PGmc. **stīz-* in Go. *steigan* ‘climb’, as above).

PIE *ēu* > PGmc. *eu*: PIE **bh_h₂u-* > **bh_lēu-* in OE *un-blēoh* ‘fearless’ (as above); PIE **(h)eh₂udh-* > **ē₂udh-* in Olcel. *júgr* ‘udder’ (cf. reduced grade in Skt. *údh₁r* ‘udder’); PIE **gh₁reh₂u-no-* > **gh₁rēu-no-* in Olcel. *grjón* ‘groats’ (cf. reduced-grade PIE **gh₁rh₂u-* > **gh₁rau-* in Gk. *χράω* ‘scratch, graze, wound slightly’); PIE **gh₂eh₂u-m-* > **gh₂ēum-* in OHG *giumo* (beside *guomo*: see below under PIE *ōu*) ‘palate’.

PIE *ōi*: No indisputable example in a Gmc. stressed syllable is in evidence.

PIE *ōu* > PGmc. *ō*:⁵ PIE **gh₁oh₂u-m-* > **zōum-* in OE *gōma* ‘inside of mouth’, OHG *guomo* ‘palate’ (cf. reduced grade in Gk. *χαυλι-όδοντ-* ‘with projecting teeth’); PIE **g^woh₂udh-* > **g^wō₂udh-* in MHG *kōt* (beside *quāt*, *kāt*), NHG *Kot* ‘excrement’ (cf. reduced-grade PIE **g^wh₂udh-* > **g^waudh-* in OE *cwēad*, OFris. *quād* ‘dung’).

On the shortening of PIE long vowels before a sonorant consonant in a closed syllable (another possible diphthongal shortening), see §4.2.

1. Olcel. neuter nom./acc. *tvau* 'two' has often been thought equivalent to Skt. *dvāu*, thus indicating development of PIE *ōu* to Gmc. *au* rather than *ō* (so, e.g., Prokosch 1939: 104); but the connection is doubtful: see Brugmann & Delbrück 1897–1916: II, 2.10.
2. The usual example of PIE *ōi* > Gmc. *ai* is Olcel. *fleiri* 'more', compared to Lat. *plūs* 'more' < **plōis*, in comparison to Old Lat. superl. *ploerume* (so, e.g., Hirt 1931–4: I, 35). But Lat. *plūs* is to be derived instead from Old Lat. *plous*, and superl. *ploerume* is more likely of analogical origin: see Pokorny (1959–69: I, 800), who more plausibly reconstructs reduced-grade **plh₂-is-* (not his notation) underlying *fleiri* (since PGmc. *ai* > Olcel. *ei*). Aside from the Latin forms, there is no evidence for *o*-vocalism among the IE cognates.
3. This is on the assumption that there should be full grade in the infinitive and reduced grade in the past participle, as in other verb classes. But an aorist present is possible: cf. Go. *hāitan*, above.
4. Cf. Ringe 1984, deriving PGmc. **xēr* from a lengthened form of **xir* (cf. Go. *hiri* 'come here!').
5. A special development of PGmc. **-ōww-* is usually assumed, chiefly to account for Olcel. *búa* 'dwell' (cf. *byggja* 'settle', with *j*-suffix) and Gmc. cognates: see, e.g., Seebold 1970: 124–8.

3.5 The sources of \bar{e}_2

In addition to the reflex of PIE \bar{e} (represented as \bar{e}_1), there arose within Gmc. another \bar{e} sound, generally referred to as \bar{e}_2 , which develops to *ea*, *ia*, *ie* in OHG.¹ In Gothic it occurs only in *hēr* 'here', *fēra* 'region, side' (= OHG *fiara*), *mēsa* 'table', and *Krēks* 'Greek', of which the second is etymologically obscure and the last two borrowings from Late Latin. This \bar{e}_2 fell together with PIE \bar{e} in Gothic but not in the other Gmc. languages, where it remained as \bar{e} (> OHG *ia*, etc.), as opposed to NGmc. \bar{a} , WGmc. $\bar{æ}$ or $\bar{ā}$ (§4.6) < PIE \bar{e} , as in Go. OE OS *hēr*, Olcel. *hér*, OHG *hēr*, *hear*, *hiar*, *hier* 'here',² with \bar{e}_2 , as opposed to \bar{e}_1 in Go. *lētān*, Olcel. *láta*, OE *lætan*, OS *lātan*, OHG *lāzan* 'let'. The literature on \bar{e}_2 is extensive, and often speculative.³ Although attempts have been made to identify a unitary source, it appears that \bar{e}_2 must be regarded as the product of polygenesis:⁴

- (a) Jellinek (1891b) was the first to derive \bar{e}_2 from PIE \bar{e}_i (or $\bar{e}H_i$ in laryngeal terms: see §3.1). Reliable examples are scarce, e.g. OHG *stiaga* 'stair' < PIE $\bar{e}stēighā$, and OE *cēn*, OHG *kien-* 'torch' < PIE $\bar{e}gēi-n-$. Especially because unambiguous examples of \bar{e}_2 from PIE \bar{e}_i are few, it seems suspicious that so little of the evidence is to be found outside of OHG.
- (b) \bar{e}_2 occurs in Latin borrowings, especially into OHG, after the earliest period of borrowing (Polomé 1988: 385–6), as in Lat. *thēca* 'cover' borrowed as OHG *ziahha* 'pillow case'; Lat. *prēsbyter* borrowed as OHG *priester* 'priest'; Lat. *bēta* 'beet' borrowed as OE *bēte*, OHG *biaza*; Lat. *Graecus* borrowed as Go. *Krēks*, OE pl. *Crēcas* 'Greeks'.
- (c) The commonest environment for \bar{e}_2 is in the preterite of formerly reduplicating verbs in North and West Germanic, as in Olcel. *hét*, OHG *hiaz*, OE OS *hēt* 'was called' and Olcel. *lét*, OHG *liaz*, OE OS *lēt* 'let'. Although there is considerable controversy regarding the origin of the preterite vocalism in such verbs (see §12.20), most observers regard it in one way or another as the product of the contraction of the reduplicative vowel /e/ with the root vowel of the verb. For explanations involving laryngeal consonants, see Lehmann 1952: 66–73,

Connolly 1979, 1999, and cf. Polomé 1988: 384–401, Voyles 1989b, 1999, Müller 2007: 159.

- (d) Miscellaneous sources of \bar{e}_2 include lengthening and lowering of i upon loss of a following anteconsonantal z (a sound that arose in PIE in those rare instances in which s came to stand before a voiced stop), the securest example being OE *mēd*, OS *mēda*, OHG *miata* ‘reward’ beside Go. *mizdō*, OE *meord* ‘reward’ < PIE **mizdhó-* in Gk. *μισθός* ‘wages’, OCS *mъzda*, ‘reward’, Skt. *mīdhá-* ‘prize’. If the OHG demonstrative *dē*, *die* corresponds to Go. *pái* (see §8.10), *ai* may be supposed to have developed to \bar{e}_2 in NWGmc. unstressed syllables and then to have been extended to stressed forms of the demonstrative (so Karstien 1921: 53).
- (e) Influential has been the hypothesis of van Coetsem (1956, 1970: 55–8, 1997) that at least some instances of \bar{e}_2 are to be derived from PIE *ej* before a low vowel in the next syllable. This could account for alternations like OHG *stiaga* ‘path’ ~ *stīgan* ‘ascend’. See also Knapp 1974, van Loon 1986.

1. To explain how the two \bar{e} -sounds failed to coalesce it is sometimes assumed that PIE \bar{e} became PGmc. \bar{a} . This is also a step in the direction of \bar{a} , the NGmc. and, in part, WGmc. reflex of PIE \bar{e} , but this assumption requires that \bar{a} have reverted to \bar{e} in Gothic, and at all events there are other possible values for the PGmc. reflex of PIE \bar{e} . The representation \bar{e}_2 is preferred here for its relative abstractness. See §3.3 n. 2.

2. There also occur OS OFris. *hīr*. The derivation of this word is disputed. It is plainly related to Lat. *cis* ‘on this side’, but \bar{e}_2 has been derived from **ēi* (Jellinek: see below) and by lengthening and lowering of i (Ringe 1984). The latter explanation seems more probable in view of parallel forms, e.g. Go. *þar* ‘there’, *hvar* ‘where?’. For references, see Orel 2003: 172, and cf. Jörundur Hilmarsson 1991. For further possible sources, see Hirt 1931–4: I §29.4.

3. In addition to works devoted specifically to the development of the reduplicated preterites in NWGmc. (references in §12.20), see Sievers 1892: 238–57, Holthausen 1891, van Helten 1896: 438–45, *idem* 1908, Lehmann 1952: 66–73, Grønvik 1998b: 91–5.

4. See esp. Polomé 1988: 384–401; van Coetsem 1997; Kortlandt 2006a; for the earlier literature, see Streitberg 1896: §79, Hirt 1931–4: I, §29.4.

3.6 Ablaut in Proto-Germanic

Whereas PIE ablaut alternations (§3.1) were not extensively maintained in most IE languages, ablaut came to play an important grammatical role in Gmc., where it differentiates the stems used to form the principal parts of strong verbs, and thus it serves as an indicator of tense and/or number, or participial function. Unsurprisingly, then, ablaut alternations are most plainly observable in strong verbs: see §12.11 for an overview of the relevant alternations in this grammatical category. Ablaut alternations are evident, however, in other grammatical contexts, as well. One fairly regular correspondence is between strong verb stems with PIE e and derivatives, either verbal or nominal, with PIE o . Weak verbs of class I provide many examples, e.g. Go. strong *ga-nisan* ‘be saved’ beside weak *nasjan* ‘save’, strong *sigqan* ‘sink’ (intrans.) beside weak *sagqjan* (trans.), strong *af-leiþan* ‘depart’ beside OE weak *lædan* ‘lead’ < **laiðjan* < **laiþjánaⁿ*. Similarly, beside strong verb stems with e -grade there occur fem. abstract nouns with o -grade, e.g. Go. *bi-leiþan* ‘remain’ beside *láiba* ‘remnant’, OE *stelan* ‘steal’ beside *stalu* ‘theft’, Olcel. *riða* ‘ride’ < **rīðanaⁿ* beside *reið* ‘course’ < **raiðō*. Strong verb stems with e -grade often have i -stem derivatives with weak grade, e.g. Go. *qiman* ‘come’ beside *qums* ‘advent’, OE *strīcan* ‘stroke’ beside Go. *striks* ‘stroke’, OE *brecan* ‘break’

beside *bryce* ‘breach’ < **brukiz*.¹ Many less regular alternations are also discoverable, e.g. Go. *liufs* ‘dear’ < **leub-* : *ga-láubjan* ‘believe’ (*o*-grade) : *lubō* ‘love’ (weak grade); Go. *bindan* ‘bind’ : *bandi* ‘band’ : *ga-bundi* ‘bond’; OIcel. *bera* ‘bear’ : *barn* ‘child’ : *burðr* ‘birth’; OE *setl* ‘seat’ : *ge-sæt* ‘act of sitting’ < **-sata-* : *nest* ‘nest’ < PIE **ni-zd-o-* (zero grade) : *sæt* ‘lurking place’ < PGmc. **sēiō* (lengthened grade); OIcel. *gröf* ‘pit’ < **zrabō* : *gróf* ‘pit’; OE *hlæd* ‘burden’ < PIE **klh₂-tó-* (cf. *hladan* ‘lade’) : *hlōð* ‘band’ < **xlōþ-* < PIE **kléh₂-t-*.

Ablaut is also evident in derivational suffixes. The reflex of PIE **-on-* must originally have alternated with **-en-* in the paradigm of OE *morgen* beside unmlauted *mergen* ‘morning’;² likewise in *n*-stems, e.g. Go. acc. sg. *hanan* ‘cock’ < **xananu* : gen. *hanins* < **xaninaz* or **xaniniz* (cf. Gk. ποιμὴν- ‘shepherd’ : δαίμον- ‘divinity’). Although there is analogical redistribution of the PIE variants **-es-* ~ **-os-* in Gmc. *s*-stems, variation remained and is attested by alternative stems in the paradigm with and without umlaut in WGmc., e.g. OE (Northumbrian) nom./acc. sg. *dæg*, pl. *dōgor* ‘day’, OHG nom./acc. sg. *lamb*, pl. *lambir* ‘lamb’. Quantitative alternations are also detectable, as in *r*-stem nouns, e.g. Go. *brōþar* < **bhrāter-* : dat. *brōþr* < **bhrātri*; and in the diminutive suffix **-ing-* : **-ung-* (< **-enko-* : **-hko-*), as in OE *cyning* : OIcel. *konungr* ‘king’. As for inflectional suffixes, with the resegmentation of stems and inflections in PGmc. (§7.1), the theme vowel was incorporated into the inflections, and its ablaut alternations became unrecognizable as such.

For literature on the development of PIE ablaut in Gmc., see Kilbury 1975, Born 1980, Stedje 1987, Lewickij 1996. For an overview of ablaut patterns in PGmc., see Ringe 2017: 253–60.

1. The form **brukiz* (rather than the expected **burkiz* < **bhr̥g-*) probably shows metathesis by analogy to **brekan-*: see §12.31 n. 3.

2. It is necessary to assume lowering of *u* to *o* in **murzanaz* and subsequent extension of *o* throughout the paradigm, as otherwise the regular development of **murzin-* would be OE *myrgen* (which does occur twice, in compounded words). Cf. Ringe & Taylor 2014: 18–20, attributing the alternation of **-in-* and **-an-* to a NWGmc. phonological change.

3.7 Summary tables of Indo-European vowel developments

For comparative purposes it may be useful to summarize in tabular form the main developments of PIE syllabic segments in various IE languages. These tables are generalizations, with many exceptions under given conditions, for which the grammars cited in §1.2 n. 1 should be consulted, or grammars of the individual IE languages.

PIE	PGmc.	Skt.	Gk.	Lat.	Lith.	OCS	OIr.
e	e, i	a	ε	e	e	e	e, i
o	a	a	ο	o ¹	a	o	o, u
a	a	a	α	a	a	o	a
i	i	i	ι	i	i	ь	i, e
u	u	u	υ	u	u	ъ	u, o
ē	ē ₁	ā	η	ē	è	ě	í
ō	ō	ā	ω	ō	uo	a	á
ā	ō	ā	η ²	ā	ō	a	á
ī	ī	ī	ι	ī	y	i	í
ū	ū	ū	υ	ū	ū	y	ú
eī	ī	ē	ει	ī	ie, ei	i	é, ia

PIE	PGmc.	Skt.	Gk.	Lat.	Lith.	OCS	OIr.
eṷ	eu, iu	ō	ευ	ū	au	u	ó, ua
oṷ	ai	ē	οι	ū, oe	ie, ai	ě	ái, ói, oe, ae
ouṷ	au	ō	ου	ū	au	u	ó, ua
aṷ	ai	ē	αι	ae	ie, ai	ě	ái, ói, oe, ae
auṷ	au	ō	αυ	au	au	u	ó, ua
h ₁	a	i	α	a	a	o	a
h ₂	a	i	α	a	a	o	a
h ₃	a	i	α	a	a	o	a
ṛ	ur	ṛ	αρ, ρα	or	ir	rī, rū ³	ri, ar
ḷ	ul	ṛ	αλ, λα	ol, ul	il	lī, lū ³	li, al
ṇ	un	a	α	en	im	ę < im	am, em
ṁ	um	a	α	em	in	ę < in	an, en
ṛ̃	ur	īr, ūr	ρᾱ	rā	ir	rī, rū ³	ri, ar
ḷ̃	ul	īr, ūr	λᾱ	lā	il	lī, lū ³	li, al
ṇ̃	un	ā	vā	nā	im	ę < im	am, em
ṁ̃	um	ā	μᾱ	mā	in	ę < in	an, en

As noted above (§3.1), some studies assume three reflexes in Greek for the three syllabic laryngeals (ε, α, ο), on the basis of forms like the past participles *θετός* ‘placed’ and *δοτός* ‘given’, but more commonly the vowel quality of such is regarded as the result of analogy to full-grade forms (cf. *τίθημι* ‘place’, *δίδωμι* ‘give’): so, e.g., Lindeman 1987: 101–2 and Szemerényi 1996: §4.1.11. On the long diphthongs in Gmc., see §3.4.

Figure 4 summarizes graphically the development of the PIE vowels in PGmc. stressed syllables. Here consonantal laryngeal consonants are treated as if already lost in PIE.

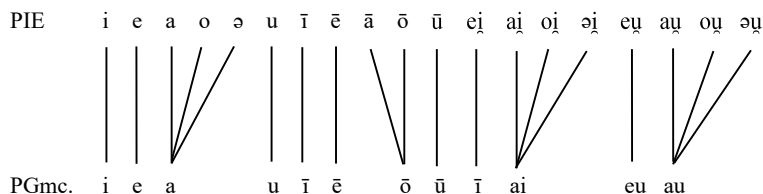


Fig. 4. Reflexes of Proto-Indo-European vowels in Proto-Germanic.

1. But Old Lat. *ol* > Lat. *ul*.
2. Doric and Aeolic *ā*.
3. The spellings *rī, rū, lī, lū* (transliterations of *рѣ, рѹ, лѣ, лѹ*) stand for syllabic sonorants, palatalized and nonpalatalized.